
SPECIFICATIONS

(FOR CONSTRUCTION CONTRACT)

Solicitation No. DACW45-02-B-0018

Fish Hatchery

Intake Structure and Pump House

Fort Peck, MT

May 2002



**US Army Corps
of Engineers**
Omaha District

**FISH HATCHERY
INTAKE STRUCTURE AND PUMP HOUSE
FORT PECK, MT**

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SOLICITATION, OFFER, AND AWARD (Construction, Alteration, or Repair)	1. SOLICITATION NO. DACW45-02-B-0018	2. TYPE OF SOLICITATION <input checked="" type="checkbox"/> SEALED BID (IFB) <input type="checkbox"/> NEGOTIATED (RFP)	3. DATE ISSUED 15 May 2002	PAGE OF PAGES 1 OF 2
	IMPORTANT - The "offer" section on the reverse must be fully completed by offeror.			

4. CONTRACT NO.	5. REQUISITION/PURCHASE REQUEST NO.	6. PROJECT NO.
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7. ISSUED BY U S ARMY ENGINEER DISTRICT, OMAHA 106 South 15th Street Omaha, Nebraska 68102-1618	CODE CT	8. ADDRESS OFFER TO U.S.ARMY CORPS OF ENGINEERS, OMAHA Attn: CONTRACTING DIVISION (CENWO-CT) 106 South 15th Street Omaha, Nebraska 68102-1618
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9. FOR INFORMATION CALL:	A. NAME See SECTION 00100, Para. 25	B. TELEPHONE NO. (Include area code) (NO COLLECT CALLS) See SECTION 00100, Para. 25
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SOLICITATION

NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".

10. THE GOVERNMENT REQUIRES PERFORMANCE OF THE WORK DESCRIBED IN THESE DOCUMENTS (Title, identifying no., date):

The Offeror hereby agrees to do all the work described in these documents entitled:

**Fish Hatchery, Intake Structure and Pump House
Fort Peck, MT**

RETURN WITH BIDS: SECTION 00010 (SF1442), SECTION 00600 REPRESENTATIONS, CERTIFICATIONS & OTHER STATEMENTS OF BIDDERS, and all Bonding Requirements, See SECTION 00700 CONTRACT CLAUSES and SECTION 00100 for Performance and Payment Bonds.

11. The Contractor shall begin performance within <u>10</u> calendar days and complete it within <u>360</u> calendar days after receiving <input type="checkbox"/> award, <input checked="" type="checkbox"/> notice to proceed. This performance period is <input checked="" type="checkbox"/> mandatory, <input type="checkbox"/> negotiable. (See _____.)

12A. THE CONTRACTOR MUST FURNISH ANY REQUIRED PERFORMANCE AND PAYMENT BONDS? (If "YES," indicate within how many calendar days after award in Item 12B.) <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	12B. CALENDAR DAYS 10
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13. ADDITIONAL SOLICITATION REQUIREMENTS:

A. Sealed offers in original and 0 copies to perform the work required are due at the place specified in Item 8 by 2:00 pm (hour) local time 14 Jun 2002 (date). If this is a sealed bid solicitation, offers must be publicly opened at that time. Sealed envelopes containing offers shall be marked to show the offeror's name and address, the solicitation number, and the date and time offers are due.

B. An offer guarantee is, is not required.

C. All offers are subject to the (1) work requirements, and (2) other provisions and clauses incorporated in the solicitation in full text or by reference.

D. Offers providing less than 60 calendar days for Government acceptance after the date offers are due will not be considered and will be rejected.

14. NAME AND ADDRESS OF OFFEROR (Include ZIP Code) DUNS Number : CODE FACILITY CODE	15. TELEPHONE NO. (Include area code) 16. REMITTANCE ADDRESS (Include only if different than Item 14)
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17. The offeror agrees to perform the work required at the prices specified below in strict accordance with the terms of this solicitation, if this offer is accepted by the Government in writing within 60 calendar days after the date offers are due. (Insert any number equal to or greater than the minimum requirement stated in Item 13D. Failure to insert any number means the offeror accepts the minimum in Item 13D.)

AMOUNTS

Total Amount \$ _____
 (In Figures)
 Contractor's Fax No. _____ CAGE CODE _____
 Contractor's E-Mail address _____

18. The offeror agrees to furnish any required performance and payment bonds.

19. ACKNOWLEDGMENT OF AMENDMENTS

(The offeror acknowledges receipt of amendments to the solicitation - give number and date of each)

AMENDMENT NO.									
DATE									

20A. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (Type or print)	20B. SIGNATURE	20C. OFFER DATE
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AWARD (To be completed by Government)

21. ITEMS ACCEPTED:

22. AMOUNT	23. ACCOUNTING AND APPROPRIATION DATA
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24. SUBMIT INVOICES TO ADDRESS SHOWN IN (4 copies unless otherwise specified)	ITEM 26	25. OTHER THAN FULL AND OPEN COMPETITION PURSUANT TO <input type="checkbox"/> 10 U.S.C. 2304(c) () <input type="checkbox"/> 41 U.S.C. 253(c) ()
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26. ADMINISTERED BY CODE	27. PAYMENT WILL BE MADE BY
U.S. Army Engineer District, Omaha 106 South 15th Street Omaha, Nebraska 68102-1618	USAED Omaha c/o USACE Finance Center 5722 Integrity Drive Millington, TN 38054-5005

CONTRACTING OFFICER WILL COMPLETE ITEM 28 OR 29 AS APPLICABLE

<input type="checkbox"/> 28. NEGOTIATED AGREEMENT (contractor is required to sign this document and return _____ copies to issuing office.) Contractor agrees to furnish and deliver all items or perform all work, requisitions identified on this form and any continuation sheets for the consideration stated in this contract. The rights and obligations of the parties to this contract shall be governed by (a) this contract award, (b) the solicitation, and (c) the clauses, representations, certifications, and specifications incorporated by reference in or attached to this contract.	<input type="checkbox"/> 29. AWARD (Contractor is not required to sign this document.) Your offer on this solicitation, is hereby accepted as to the items listed. This award commutes the contract, which consists of (a) the Government solicitation and your offer, and (b) this contract award. No further contractual document is necessary.
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30A. NAME AND TITLE OF CONTRACTOR OR PERSON AUTHORIZED TO SIGN (Type or print)	31A. NAME OF CONTRACTING OFFICER (Type or print)
30B. SIGNATURE	31B. UNITED STATES OF AMERICA
30C. DATE	BY
	31C. AWARD DATE

SECTION 00100

INSTRUCTIONS, CONDITIONS & NOTICES TO BIDDERS
(July 2000, Revised April 2002)

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SECTION 00100

INSTRUCTIONS, CONDITIONS & NOTICES TO BIDDERS

1 GENERAL BIDDING INFORMATION

Bids shall be either mailed or hand-carried as indicated below. Bid will be PUBLICLY opened at the bid time indicated on Standard Form SF 1442 (Page 00010-1).

1.1 MAILED BIDS AND HAND-CARRIED BIDS

Mailed bids shall be addressed to the location as indicated on Standard Form SF 1442 (Page 00010-1), Item No. 8.

Due to heightened security at Government installations, those bidders who have their bids hand-carried* shall contact Jan Cook, Contract Specialist at (402) 221-4118 or (402) 221-4100 prior to delivering to the U. S. Army Corps of Engineer District, Omaha, 106 South 15th Street, Omaha, NE.

On the date specified and for thirty (30) minutes prior to time specified on Standard Form SF 1442, Page 00010-1, item 13.A, a Contracting representative will be in the lobby to receive bids. At the time specified on Standard SF 1442 Page 00010-1, item 13.A, the designated bid opening official will announce that receipt of bids is closed. Official time will be established by time/stamp clock located in the area where bids are received.

Anyone wishing to attend this public opening will be required to present photo identification to sign in and then will be escorted to the facility where bids will be opened. Once bids have been opened, read and recorded, attendees will then be escorted to exit the building.

*This instruction shall also apply to those bids delivered through a delivery or parcel service.

1.2 SOLICITATION RESTRICTIONS

This solicitation is unrestricted and open to both large and small business participation.

1.3 BASIS FOR AWARD.

IT IS INTENDED THAT AWARD WILL BE MADE TO ONE BIDDER FOR THE ENTIRE WORK.

1.4 APPROPRIATION AND AUTHORITY

APPROPRIATION: 96 X 3122 Construction General

AUTHORITY: The work provided for herein is authorized: Section 325 of the Water Resources Development Act of 2000.

1.5 DESCRIPTION OF WORK

The scope of this project is to furnish all plant, labor, materials, and equipment and performing all work for the placement of an infiltration gallery and raw water intake structures within the dredge cut area of the Missouri River. The pumphouse consists of a 30'x 36' structure. Five vertical turbine pumps are located within the pumphouse. One generator will be installed to provide backup power to the pumping units. A monorail unit will be provided over the pumping units to facilitate maintenance.

Work shall be in accordance with plans and specifications issued with this solicitation.

1.6 ESTIMATED CONSTRUCTION COST

The estimated construction cost of this project is between \$1,000,000 and \$2,500,000.

2 (FAR 52.214-6) EXPLANATION TO PROSPECTIVE BIDDERS (APRIL 1984).

Any prospective bidder desiring an explanation or interpretation of the solicitation, drawings, specifications, etc., must request it in writing soon enough to allow a reply to reach all prospective bidders before the submission of their bids. Oral explanations or instructions given before the award of a contract will not be binding. Any information given a prospective bidder concerning a solicitation will be furnished promptly to all other prospective bidders as an amendment to the solicitation, if that information is necessary in submitting bids or if the lack of it would be prejudicial to other prospective bidders.

3 RESERVED

(NOTE: FACSIMILE, ELECTRONIC COMMERCE OR TELEGRAPHIC BIDS ARE NOT AUTHORIZED AND WILL NOT BE ACCEPTED. TELEGRAPHIC MODIFICATIONS OR WITHDRAWAL OF BIDS ARE AUTHORIZED. FACSIMILE MODIFICATIONS OR WITHDRAWAL ARE NOT AUTHORIZED.)

4 (FAR 52.214-5) SUBMISSION OF BIDS (MAR 1997).

(a) Bids and bid modifications shall be submitted in sealed envelopes or packages (unless submitted by electronic means) (1) addressed to the office specified in the solicitation and (2) showing the time and date specified for receipt, the solicitation number, and the name and address of the bidder.

(b) Bidders using commercial carrier services shall ensure that the bid is addressed and marked on the outermost envelope or wrapper as prescribed in subparagraphs (a) (1) and (2) of this provision when delivered to the office specified in the solicitation.

(c) Telegraphic bids will not be considered unless authorized by the

solicitation; however, bids may be modified or withdrawn by written or telegraphic notice.

(d) Facsimile bids, modifications, or withdrawals, will not be considered unless authorized by the solicitation

(e) Bids submitted by electronic commerce shall be considered only if the electronic commerce method was specifically stipulated or permitted by the solicitation.

5 (FAR 52.214-18) PREPARATION OF BIDS - CONSTRUCTION (APRIL 1984).

(a) Bids must be--

- (1) Submitted on the forms furnished by the Government or on copies of those forms, and
- (2) **Manually signed.** The person signing a bid must initial each erasure or change appearing on any bid form.

(b) The bid form may require bidders to submit bid prices for one or more items on various bases, including--

- (1) Lump sum bidding;
- (2) Alternate prices;
- (3) Units of construction; or
- (4) Any combination of subparagraphs (1) through (3) above.

(c) If the solicitation requires bidding on all items, failure to do so will disqualify the bid. If bidding on all items is not required, bidders should insert the words "no bid" in the space provided for any item on which no price is submitted.

(d) Alternate bids will not be considered unless this solicitation authorizes their submission.

6 (FAR 52.214-4) FALSE STATEMENTS IN BIDS (APRIL 1984).

Bidders must provide full, accurate, and complete information as required by this solicitation and its attachments. The penalty for making false statements in bids is prescribed in 18 U.S.C. 1001.

(NOTE: FACSIMILE, ELECTRONIC COMMERCE OR TELEGRAPHIC BIDS ARE NOT AUTHORIZED AND WILL NOT BE ACCEPTED. TELEGRAPHIC MODIFICATIONS OR WITHDRAWAL OF BIDS ARE AUTHORIZED. FACSIMILE MODIFICATIONS OR WITHDRAWAL ARE NOT AUTHORIZED.)

7 (FAR 52.214-7) LATE SUBMISSIONS, MODIFICATIONS, AND WITHDRAWALS OF BIDS (NOV 1999).

(a) Bidders are responsible for submitting bids, and any modifications or withdrawals, so as to reach the Government office designated in the invitation for bids (IFB) by the time specified in the IFB. If no time is specified in the IFB, the time for receipt is 4:30 p.m., local time, for the designated Government office on the date that bids are due.

(b) (1) Any bid, modification, or withdrawal received at the Government office designated in the IFB after the exact time specified for receipt of bids is "late" and will not be considered unless it is received before award is made, the Contracting Officer determines that accepting the late bid would not unduly delay the acquisition; and—

(i) If it was transmitted through an electronic commerce method authorized by the IFB, it was received at the initial point of entry to the Government infrastructure not later than 5:00 p.m. one working day prior to the date specified for receipt of bids; or

(ii) There is acceptable evidence to establish that it was received at the Government installation designated for receipt of bids and was under the Government's control prior to the time set for receipt of bids.

(2) However, a late modification of an otherwise successful bid that makes its terms more favorable to the Government, will be considered at any time it is received and may be accepted.

(c) Acceptable evidence to establish the time of receipt at the Government installation includes the time/date stamp of that installation on the bid wrapper, other documentary evidence of receipt maintained by the installation, or oral testimony or statements of Government personnel.

(d) If an emergency or unanticipated event interrupts normal Government processes so that bids cannot be received at the Government office designated for receipt of bids by the exact time specified in the IFB and urgent Government requirements preclude amendment of the IFB, the time specified for receipt of bids will be deemed to be extended to the same time of day specified in the solicitation on the first work day on which normal Government processes resume.

(e) Bids may be withdrawn by written notice received at any time before the exact time set for receipt of bids. If the IFB authorizes facsimile bids, bids may be withdrawn via facsimile received at any time before the exact time set for receipt of bids, subject to the conditions specified in the provision at 52.214-31, Facsimile Bids. A bid may be withdrawn in person by a bidder or its authorized representative if, before the exact time set for receipt of bids, the identity of the person requesting withdrawal is established and the person signs a receipt for the bid.
(End of provision)

8 INFORMATION FOR MODIFYING BIDS.

Bids which have been delivered to the designated bid receiving office may be modified or withdrawn by mail, mailgram, or telegram received at any time before the exact time set for receipt of bids. Modifications or withdrawals sent by mail should be transmitted to the place of bid opening Standard Form SF1442 (Page 00010-1), Item 8. Telephone modifications or withdrawals, other

than telecopier, will not be accepted. All bid modifications or withdrawals must be signed by the bidder or its authorized representative. Any questions regarding these procedures should be directed to the Omaha District's Contracting Division at (402) 221-4118. This number should also be used to verify the receipt of messages.

9 BID GUARANTEE.

See Contract Clauses clause FAR 52.228-1, BID GUARANTEE. Bid guarantee MUST be in an original and accompanied by an original power of attorney of the surety.

10 PERFORMANCE AND PAYMENT BONDS.

See Contract Clauses clause FAR 52.228-15, PERFORMANCE AND PAYMENT BONDS. To have the bond considered valid, both the bond and the Power of Attorney must be original. Facsimile copies will not be acceptable, and will render the bid invalid, therefore eliminating it from competition.

(NOTE: FOR THE PURPOSES OF THIS SOLICITATION, THE WORD "ITEM" SHALL BE CONSIDERED TO MEAN "SCHEDULE.")

11 (FAR 52.214-19) CONTRACT AWARD - SEALED BIDDING - CONSTRUCTION (AUG 1996).

(a) The Government will evaluate bids in response to this solicitation without discussions and will award a contract to the responsible bidder whose bid, conforming to the solicitation, will be most advantageous to the Government, considering only price and the price-related factors specified elsewhere in the solicitation.

(b) The Government may reject any or all bids, and waive informalities or minor irregularities in bids received.

(c) The Government may accept any item or combination of items, unless doing so is precluded by a restrictive limitation in the solicitation of the bid.

(d) The Government may reject a bid as nonresponsive if the prices bid are materially unbalanced between line items or subline items. A bid is materially unbalanced when it is based on prices significantly less than cost for some work, and prices which are significantly overstated in relation to cost for other work, and if there is a reasonable doubt that the bid will result in the lowest overall cost to the Government even though it may be the low evaluated bid, of it is so unbalanced as to be tantamount to allowing an advance payment.

12 NORTH AMERICAN CLASSIFICATION SYSTEM (NAICS).

In accordance with NAICS Manual, the work in this solicitation is assigned classification code 234990.

13 SMALL BUSINESS SIZE STANDARD.

This solicitation is not limited to small business concerns, but, for definition purposes, a concern is small if its average annual receipts for its preceding 3 fiscal years did not exceed \$28.5 million. (based on FAR 19.102)

14. (FAR 52.214-3) AMENDMENTS TO INVITATIONS FOR BIDS (DECEMBER 1989).

- (a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.
- (b) Bidders shall acknowledge receipt of any amendment to this solicitation (1) by signing and returning the amendment, (2) by identifying the amendment number and date in the space provided for this purpose on the form for submitting a bid, (3) by letter or telegram or (4) by facsimile, if facsimile bids are authorized in the solicitation. The Government must receive the acknowledgment by the time and at the place specified for receipt of bids. (FAR 52.214-3.)

15 CHANGES PRIOR TO OPENING BIDS.

The right is reserved, as the interest of the Government may require, to revise the specifications and/or drawings prior to the date set for opening bids. Such revisions will be announced by an amendment or amendments to this Invitation for Bids. It shall be the responsibility of the prospective bidder to obtain copies of amendments from the website listed in paragraph: PLAN HOLDER'S LIST below. The Government may (but not required) send an amendment notification to let prospective bidders know that an amendment has been issued. If the revisions and amendments are of a nature which requires material changes in quantities or prices to be bid, the date set for opening bids may be postponed as necessary, in the opinion of the Commander, to enable bidders to revise their bids. In such cases, the amendment will include an announcement of the new date for opening bids.

16 (FAR 52.214-34) SUBMISSION OF OFFERS IN THE ENGLISH LANGUAGE (APR 1991)

Offers submitted in response to this solicitation shall be in the English language. Offers received in other than English shall be rejected.

(End of provision)

17 (FAR 52.214-35) SUBMISSION OF OFFERS IN U.S. CURRENCY (APR 1991)

Offers submitted in response to this solicitation shall be in terms of U.S. dollars. Offers received in other than U.S. dollars shall be rejected.

(End of provision)

18 AVAILABILITY OF SPECIFICATIONS, STANDARDS, AND DESCRIPTIONS.

Specifications, standards, and descriptions cited in this solicitation are

available as indicated below:

18.1 (FAR 52.211-2) AVAILABILITY OF SPECIFICATIONS LISTED IN THE DOD INDEX OF SPECIFICATIONS AND STANDARDS (DODISS) AND DESCRIPTIONS LISTED IN THE ACQUISITION MANAGEMENT SYSTEMS AND DATA REQUIREMENTS CONTROL LIST, DOD 5010.12-L (DEC 1999).

Copies of specifications, standards, and data item descriptions cited in this solicitation may be obtained-

(a) From the ASSIST database via the Internet at <http://assist.daps.mil>; or

(b) By submitting a request to the-

Department of Defense Single Stock Point (DoDSSP)
Building 4, Section D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Telephone (215) 697-2667/2179
Facsimile (215) 697-1462.

(End of provision)

18.2 CORPS OF ENGINEERS SPECIFICATIONS.

Corps of Engineers specifications of the CRD-C series may be obtained from the National Institute of Building Sciences Construction Criteria Base (CCB) on CD-ROM. Contact the CCB directly at (202) 289-7800 for an order form or obtain an order form at the following internet address:

<http://www.ccb.org/ccbsubscribe/Subsmain.asp>. There is a regular annual subscription fee to CCB of \$700 per year. (Note: This is considered to be the Contractor's responsibility and cost). This will include CCB on CD-ROM or DVD plus unlimited internet access plus access to the new Whole Building Design Guide, now under construction and scheduled for launch in October 2001.

Selected Corps of Engineers specifications of CRD-C series are available in Acrobat Reader .pdf file format at the following internet address:

<http://www.wes.army.mil/SL/MTC/handbook/handbook.htm>.

18.3 COMMERCIAL (NON-GOVERNMENT) SPECIFICATIONS, STANDARDS, AND DESCRIPTIONS.

These specifications, standards, and descriptions are not available from Government sources. They may be obtained from the publishers.

19 AVAILABLE PLANT.

Each bidder shall, upon request of the Contracting Officer, furnish a list of the plant available to the bidder and proposed for use on the work.

20 EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE.

Whenever a contract or modification of contract price is negotiated, the

Contractor's cost proposals for equipment ownership and operating expenses shall be determined in accordance with the requirements of paragraph: EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE, contained in Section: 00800, SPECIAL CONTRACT REQUIREMENTS of the specifications. A copy of EP 1110-1-8 "Construction Equipment Ownership and Operating Expense Schedule" is available for review at the office listed in paragraph: SITE VISIT (CONSTRUCTION) herein or at the following internet address: <http://www.usace.army.mil/inet/usace-docs/eng-pamphlets/ep.htm>. (copy also included on CD-ROM issued with this solicitation).

21 NOTICE REGARDING BUY AMERICAN ACT.

The Buy American Act (41 U.S.C. 10a-10d) generally requires that only domestic construction material be used in the performance of this contract. Exception from the Buy American Act shall be permitted only in the case of nonavailability of domestic construction materials. A bid or proposal offering nondomestic construction material will not be accepted unless specifically approved by the Government. When a bidder or offeror proposes to furnish nondomestic construction material, his bid or proposal must set forth an itemization of the quantity, unit price, and intended use of each item of such nondomestic construction material. When offering nondomestic construction material pursuant to this paragraph, bids or proposals may also offer, at stated prices, any available comparable domestic construction material, so as to avoid the possibility that failure of a nondomestic construction material to be acceptable under this paragraph will cause rejection of the entire bid. All bidders are cautioned that, prior Government conduct notwithstanding, the Contractor's selection of a domestic construction material (as defined in FAR 52.225-5 Buy American Act-Construction Materials) which would require the subsequent selection of a foreign construction material for compatibility is not a justification for waiver of the Buy American Act. It is the Contractor's responsibility to verify, prior to submitting the materials for approval, that each system can be built to meet the contract specifications without the use of foreign construction materials.

22 (FAR 52.236-27) SITE VISIT (CONSTRUCTION) (FEB 1995).

- (a) The clauses at 52.236-2, Differing Site Conditions, and 52.236-3, Site Investigations and Conditions Affecting the Work, will be included in any contract awarded as a result of this solicitation. Accordingly, offerors or quoters are urged and expected to inspect the site where the work will be performed.
- (b) Contractors interested in inspecting the site of the proposed work should contact Don Streib, US Army Corps of Engineers, PO Box 208, Fort Peck, MT 59223 Phone 406-526-3411

23 BIDDER'S QUESTIONS AND COMMENTS.

Questions and/or comments relative to these bidding documents should be submitted via e-mail or mailed to the address identified in paragraph: AVAILABILITY OF BID RESULTS below. Comments should reach this office no

later than 20 calendar days prior to the date set for opening of bids, if feasible, in order that changes, if needed, may be added by amendment. E-mail addresses, FAX numbers, items for question and points of contact are listed below. Phone calls with questions should be made between 8:30 a.m. and 3:30 p.m. (Central Standard Time) Monday through Friday.

Note: A courtesy copy of all questions shall be sent to the Contract Specialist (Contractual Matters Point of Contact), the Program Manager and Specifications Section (Technical Content Points of Contact).

<u>Items for Question</u>	<u>Points of Contact/ Phone numbers/ FAX Numbers</u>	<u>E-mail Addresses</u>
Contractual Matters: Ordering CD-Rom of the plans and specifications (limit One per firm)/ amendments**/ Bid Results (See Paragraph AVAILABILITY OF BID RESULTS, below)/ Receipt of Bids	Jan Cook 402-221-4118 (phone) 402-221-4199 (Fax)	Jan.M.Cook@usace.army.mil
Planholder's List	See paragraph: PLAN HOLDER'S LIST, below.	
Small Business Matters	Hubert Carter 402-221-4110 (phone)	hubert.j.carter@usace.army.mil
Technical Contents Of Plans and Specification	Ted Streckfuss 402-221-3826 (phone) Or Specifications Section Marylee Stobbe 402-221-4411 (Phone) 402-221-3842 (Fax)	Ted.H.Streckfuss@usace.army.mil Marylee.F.Stobbe@usace.army.mil
Site Inspection	See Paragraph: SITE VISIT (CONSTRUCTION), above	

**** - The Government may elect to send a notification that an amendment has been posted to the Government's web address. It shall be the Contractor's, Subcontractor's and Supplier's responsibility to check the Government's web address for amendments.**

23.1 PLAN HOLDER'S LIST.

The CD-Rom will provide a list of plan holders that have registered at the time the CD-Rom was created. It is bidder's responsibility to check for any updates to the plan holder's list, which is available at the following web address:

<http://ebs-nwo.wes.army.mil/>

23.2 AVAILABILITY OF BID RESULTS (Local Clause/Provision)

Bid results will be available after bid opening on the Government's web address: <http://ebs-nwo.wes.army.mil/>. Official bid abstracts will be available and may be requested by sending a self-addressed stamped envelope to: U.S. Army Corps of Engineers, Omaha District, ATTN: CENWO-CT-C (Jan Cook), 106 South 15th Street, Omaha, NE 68102-1618.

24 (FAR 52.233-2) SERVICE OF PROTEST (AUG 1996).

(a) Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgement of receipt from District Counsel, 106 South 15th Street, Omaha, Nebraska 68102-1618.

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

25 PRE-AWARD SURVEY INFORMATION (Local Provision) (Sep 93)

In accordance with Paragraph PERFORMANCE AND PAYMENT BONDS, request that the following information be submitted with your bid. This facilitates the award process.

1. Financial
 - Name, address, and fax number of Financial Institution
 - Name and phone number of finance individual (primary and alternate) to be contacted for information
 2. Bonding Information
 - Provide the name, address, regular phone number and fax number of your Surety Company.
 3. Performance
 - Provide three (3) references to be contacted on your company's performance
- The following information should be submitted:
- Name and Fax number of Owner/User
 - Project Name, Location, Contract Number, and dollar value
 - Name and phone number of individuals (primary and

alternate) that can verify performance of the project

26 (FAR 52.204-6) DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER (JUNE 1999)

(a) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation "DUNS" followed by the DUNS number that identifies the offeror's name and address exactly as stated in the offer. The DUNS number is a nine-digit number assigned by Dun and Bradstreet Information Services.

(b) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one. A DUNS number will be provided immediately by telephone at no charge to the offeror. For information on obtaining a DUNS number, the offeror, if located within the United States, should call Dun and Bradstreet at 1-800-333-0505. The offeror should be prepared to provide the following information:

- (1) Company name.
- (2) Company address.
- (3) Company telephone number.
- (4) Line of business.
- (5) Chief executive officer/key manager.
- (6) Date the company was started.
- (7) Number of people employed by the company.
- (8) Company affiliation.

(c) Offerors located outside the United States may obtain the location and phone number of the local Dun and Bradstreet Information Services office from the Internet home page at <http://www.customerservice@dnb.com>. If an offeror is unable to locate a local service center, it may send an e-mail to Dun and Bradstreet at globalinfo@mail.dnb.com.

(End of provision)

27 (FAR 52.216-1) TYPE OF CONTRACT (APR 1984).

The Government contemplates award of a Firm Fixed Price contract resulting from this solicitation.

28 SUBCONTRACTING PLAN/SUBCONTRACTING GOALS REGARDING THE UTILIZATION OF SMALL BUSINESS CONCERNS.

a. Application. This clause applies only to large business concerns submitting bids for services exceeding \$500,000 or for construction exceeding \$1,000,000.

b. Federal Acquisition Regulations (FAR). Attention is directed to the following FAR provisions contained in this solicitation:

52.219-8, Utilization of Small Business Concerns (Alternate I)

52.219-9, Small Business Subcontracting Plan (Alternate I)

52.219-16, Liquidated Damages - Small Business Subcontracting Plan

52.226-1, Utilization of Indian Organizations and Indian-Owned Economic Enterprises

c. Goals. The U.S. Army Corps of Engineers considers the following goals reasonable and achievable for fiscal year 2002 and for the performance of the resultant contract:

(1) 61.4% of planned subcontracting dollars with small business concerns.

(2) 9.1% of planned subcontracting dollars with those small business concerns owned and controlled by socially and economically disadvantaged individuals.

(3) 5.0% of planned subcontracting dollars with those small business concerns owned and controlled by women.

(4) 3.0% of planned subcontracting dollars with those small business concerns owned and controlled by Severely Disable Veterans.

(5) 2.5% of planned subcontracting dollars with those small business concerns owned and controlled by HubZones.

d. Submission and Review of Subcontracting Plan.

SUBMISSION OF SMALL BUSINESS SUBCONTRACTING PLAN IS NOT APPLICABLE TO SMALL BUSINESSES.

(1) The apparent low bidder must submit a subcontracting plan within five (5) calendar days after bid opening (a longer period maybe granted by the Contracting Officer upon request) within 24 hours after notification by the Government to the Contracting Activity.

(2) Goals included in the subcontracting plan should be at least equal to those indicated above. If lesser goals are proposed, the bidder may be required to substantiate how the proposed plan represents the bidder's best effort to comply with the terms and conditions of the solicitation. Bidders are highly encouraged to become familiar with the intent of the solicitation provisions and the elements of the subcontracting plan.

(3) The subcontracting plan must contain, as a minimum, the elements set forth in FAR provision 52.219-9. An example subcontracting plan

will be furnished to the apparent low bidder (upon request). The example subcontracting plan (if requested) should not be construed as an acceptable subcontracting plan. Any format will be acceptable provided that the plan addresses each element as required by the Federal Acquisition Regulations and its supplements.

(4) Proposed plans will be reviewed by the Government to ensure the plan represents the firm's best efforts to maximize subcontracting opportunities for small, small disadvantaged and women-owned businesses.

(5) Subcontracting plans are required to be approved prior to Contract Award. The approved subcontracting plan (to include goals) will become a material part of the contract.

e. Failing to Submit An Acceptable Subcontracting Plan. An apparent low bidder failing to submit a subcontracting plan which demonstrates a reasonable effort to meet the goals listed above or provide an explanation why lesser goals are proposed (upon request), will be considered as non-responsive and not considered eligible for award of the contract.

f. Questions or Assistance Needed in Developing Subcontracting Plan. For any questions or assistance needed in developing the subcontracting plan, contact the Contract Specialist or District's Deputing for Small Business (See paragraph: BIDDER QUESTIONS AND COMMENTS, Contract Specialist [Bid Results] or the District's Deputy for Small Business [Small Business] or fax your inquiries to 402-221-4199).

29 (DFARS 252.204-7004) REQUIRED CENTRAL CONTRACTOR REGISTRATION (NOV 2001)

(a) Definitions.

As used in this clause--

(1) "Central Contractor Registration (CCR database" means the primary DoD repository for contractor information required for the conduct of business with DoD.

(2) "Data Universal Numbering System (DUNS) number" means the 9-digit number assigned by Dun and Bradstreet Information Services to identify unique business entities.

(3) "Data Universal Numbering System +4 (DUNS+4) number" means the DUNS number assigned by Dun and Bradstreet plus a 4-digit suffix that may be assigned by a parent (controlling) business concern. This 4-digit suffix may be assigned at the discretion of the parent business concern for such purposes as identifying subunits or affiliates of the parent business concern.

(4) "Registered in the CCR database" means that all mandatory information, including the DUNS number or the DUNS+4 number, if applicable, and the corresponding Commercial and Government Entity (CAGE) code, is in the CCR database; the DUNS number and the CAGE code have been validated; and all edits have been successfully completed.

(b) (1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee must be registered in the CCR database prior to award, during performance, and through final payment of any contract resulting from this solicitation, except for awards to foreign vendors for work to be performed outside the United States.

(2) The offeror shall provide its DUNS or, if applicable, its DUNS+4 number with its offer, which will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.

(3) Lack of registration in the CCR database will make an offeror ineligible for award.

(4) DoD has established a goal of registering an applicant in the CCR database within 48 hours after receipt of a complete and accurate application via the Internet. However, registration of an applicant submitting an application through a method other than the Internet may take up to 30 days. Therefore, offerors that are not registered should consider applying for registration immediately upon receipt of this solicitation.

(c) The Contractor is responsible for the accuracy and completeness of the data within the CCR, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to confirm on an annual basis that its information in the CCR database is accurate and complete.

(d) Offerors and contractors may obtain information on registration and annual confirmation requirements by calling 1-888-227-2423, or via the Internet at <http://www.ccr.gov>.

(End of clause)

REQUIRED CENTRAL CONTRACTOR REGISTRATION (CCR)

Register Now: Don't wait until you submit an offer on a solicitation. You must be registered to receive the contract award. It can often take 30 days for CCR to process your registration information.

Register One of Three Ways:

Internet: <http://www.ccr.gov>

Value Added Network (VAN) for EDI users:

Contact your VAN for information. If you need to find a VAN look at

http://www.acq.osd.mil/ec/ecip/van_list.htm

FAX or Mail: Call (888)227-2423 or (616)961-4725 to receive a registration package. FAX or mail the completed information to the CCR Assistance Center. It can take up to 30 days to process a faxed or mailed package.

CCR Assistance Center

74 Washington Street North, Suite 7

Battle Creek, MI 49017-3084

FAX: (616)961-7243

SECTION 00600
REPRESENTATIONS, CERTIFICATIONS & OTHER STATEMENTS OF BIDDERS

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SECTION 00600
REPRESENTATIONS, CERTIFICATIONS & OTHER STATEMENTS OF BIDDERS

The bidder (offeror) makes the following certification and representations as a part of the bid, shall check the appropriate boxes, fill in the appropriate information, and provide signatures on the attached "Solicitation Form" (00600) pages, and submit with Standard Form 1442 (Section 00010).

1. (FAR 52.203-2) CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (APR 1985).

(a) The offeror certifies that -

(1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to (i) those prices, (ii) the intention to submit an offer, or (iii) the methods or factors used to calculate the prices offered;

(2) the prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a Sealed Bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and

(3) no attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.

(b) Each signature on the offer is considered to be a certification by the signatory that the signatory -

(1) is the person in the offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or

(2)(i) has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above

_____ [insert full name of person(s) in the offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror's organization];

(ii) as an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) as an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above.

(c) If the offeror deletes or modifies subparagraph (a)(2) above, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

2. (FAR 52.203-11) CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (APR 1991).

(a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this certification.

(b) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989, -

(1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;

(2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the Contracting Officer; and

(3) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

(c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

3. (FAR 52.204-3) TAXPAYER IDENTIFICATION (OCT 1998).

(a) Definitions.

"Common parent," as used in this provision, means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

"Taxpayer Identification Number (TIN)," as used in this provision, means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.

(b) All offerors must submit the information required in paragraphs (d) through (f) of this provision to comply with debt collection requirements of 31 U.S.C. 7701(c) and 3325(d), reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M, and implementing regulations issued by the IRS. If the resulting contract is subject to the payment reporting requirements described in Federal Acquisition Regulation (FAR) 4.904, the failure or refusal by the offeror to furnish the information may result in a 31 percent reduction of payments otherwise due under the contract.

(c) The TIN may be used by the Government to collect and report on any delinquent amounts arising out of the offeror's relationship with the Government (31 U.S.C. 7701(c)(3)). If the resulting contract is subject to the payment reporting requirements described in FAR 4.904, the TIN provided hereunder may be matched with IRS records to verify the accuracy of the offeror's TIN.

(d) Taxpayer Identification Number (TIN).

TIN: _____.

TIN has been applied for.

TIN is not required because:

Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the United States and does not have an office or place of business or a fiscal paying agent in the United States;

Offeror is an agency or instrumentality of a foreign government;

Offeror is an agency or instrumentality of the Federal Government.

(e) Type of organization.

Sole proprietorship;

Partnership;

Corporate entity (not tax-exempt);

Corporate entity (tax-exempt);

Government entity (Federal, State, or local);

Foreign government;

International organization per 26 CFR 1.6049-4;

Other _____.

(f) Common parent.

Offeror is not owned or controlled by a common parent as defined in paragraph (a) of this provision.

Name and TIN of common parent:

Name _____

TIN _____

(End of provision)

4. (FAR 52.204-5) WOMEN-OWNED BUSINESS (OTHER THAN SMALL BUSINESS)
[MAY 1999]

(a) *Definition.* Women-owned business concern, as used in this provision, means a concern that is

at least 51 percent owned by one or more women; or in the case of any publicly owned business, at least 51 percent of its stock is owned by one or more women; and whose management and daily business operations are controlled by one or more women.

(b) *Representation.* [Complete only if the offeror is a women-owned business concern and has not represented itself as a small business concern in paragraph (b)(1) of FAR 52.219-1, *Small Business Program Representations, of this solicitation.*] The offeror represents that it [] is a women-owned business concern.

(End of provision)

5. (DFARS 252.204-7001) COMMERCIAL AND GOVERNMENT ENTITY (CAGE) CODE REPORTING (AUG 1999).

(a) The offeror is requested to enter its CAGE code on its offer in the block with its name and address. The CAGE code entered must be for that name and address. Enter “CAGE” before the number.

(b) If the offeror does not have a CAGE code, it may ask the Contracting Officer to request one from the Defense Logistics Information Service (DLIS). The Contracting Officer will-

(1) Ask the Contractor to complete section B of a DD Form 2051, Request for Assignment of a Commercial and Government Entity (CAGE) Code;

(2) Complete section A and forward the form to DLIS; and

(3) Notify the Contractor of its assigned CAGE code.

(c) Do not delay submission of the offer pending receipt of a CAGE code.

6. (FAR 52.209-5) CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (DEC 2001).

(a)(1) The Offeror certifies, to the best of its knowledge and belief, that—

(i) The Offeror and/or any of its Principals—

(A) Are [] are not [] presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;

(B) Have [] have not [], within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and

(C) Are [] are not [] presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in paragraph (a)(1)(i)(B) of this provision.

(ii) The Offeror has [] has not [], within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

(2) “Principals,” for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

This Certification Concerns a Matter Within the Jurisdiction of an Agency of the United States and the Making of a False, Fictitious, or Fraudulent Certification May Render the Maker Subject

to Prosecution Under Section 1001, Title 18, United States Code.

(b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

(c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.

(d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

(e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default. (End of Provision)

7. (DFARS 252.209-7001) DISCLOSURE OF OWNERSHIP OR CONTROL BY A FOREIGN GOVERNMENT THAT SUPPORTS TERRORISM (MAR 1998). [For Contracts exceeding \$100,000]

(a) Definitions.

As used in this provision-

(1) "Government of a terrorist country" includes the state and the government of a terrorist country, as well as any political subdivision, agency, or instrumentality thereof.

(2) "Terrorist country" means a country determined by the Secretary of State, under section 6(j)(1)(A) of the Export Administration Act of 1979 (50 U.S.C. App. 2405(j)(i)(A)), to be a country the government of which has repeatedly provided support for acts of international terrorism. As of the date of this provision, terrorist countries include: Cuba, Iran, Iraq, Libya, North Korea, Sudan, and Syria.

(3) "Significant interest" means-

(i) Ownership of or beneficial interest in 5 percent or more of the firm's or subsidiary's securities. Beneficial interest includes holding 5 percent or more of any class of the firm's securities in "nominee shares," "street names," or some other method of holding securities that does not disclose the beneficial owner;

(ii) Holding a management position in the firm, such as a director or officer;

(iii) Ability to control or influence the election, appointment, or tenure of directors or officers in the firm;

(iv) Ownership of 10 percent or more of the assets of a firm such as equipment, buildings, real estate, or other tangible assets of the firm; or

(v) Holding 50 percent or more of the indebtedness of a firm.

(b) Prohibition on award. In accordance with 10 U.S.C. 2327, no contract may be awarded to a firm or a subsidiary of a firm if the government of a terrorist country has a significant interest in the firm or subsidiary [or, in the case of a subsidiary, the firm that owns the subsidiary], unless a waiver is granted by the Secretary of Defense.

(c) Disclosure.

The Offeror shall disclose any significant interest the government of each of the following countries has in the Offeror or a subsidiary of the Offeror. If the Offeror is a subsidiary, it shall also disclose any significant interest the government of a terrorist country has in any firm that owns or controls the subsidiary. The disclosure shall include--

- (1) Identification of each government holding a significant interest; and
- (2) A description of the significant interest held by each Government.

(End of provision)

8. (FAR 52.211-6) BRAND NAME OR EQUAL (AUG 1999).

(a) If an item in this solicitation is identified as "brand name or equal," the purchase description reflects the characteristics and level of quality that will satisfy the Government's needs. The salient physical, functional, and other characteristics that "equal" products must meet are specified in the solicitation.

(b) To be considered for award, offers of "equal" products, including "equal" products of the brand name manufacturer, must--

(1) Meet the salient physical, functional, and other characteristics specified in the solicitation;

(2) Clearly identify the item by--

(i) Brand name, if any; and

(ii) Make or model number;

(3) Include descriptive literature such as cuts, illustrations, drawings, or a clear reference to previously furnished descriptive data or information available to the Contracting Officer; and

(4) Clearly describe any modifications the offeror plans to make in a product to make it conform to the solicitation requirements. Mark any descriptive material to clearly show the modifications.

(c) The Contracting Officer will evaluate "equal" products on the basis of information by the offeror or identified in the offer and reasonably available to the Contracting Officer. The Contracting Officer is not responsible for locating or securing any information not identified in the offer.

(d) Unless the offeror clearly indicates in its offer that the product being offered is an "equal" product, the offeror shall provide the brand name product referenced in the solicitation.

9. RESERVED

10. RESERVED

**11. (FAR 52.219-1) SMALL BUSINESS PROGRAM REPRESENTATIONS (APR 2002)
ALTERNATE I (APR 2002)**

(a)(1) The North American Industry Classification System (NAICS) code for this acquisition is _____ [*insert NAICS code*].

(2) The small business size standard is _____ [*insert size standard*].

(3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.

(b) *Representations.* (1) The offeror represents as part of its offer that it [] is, [] is not a small

business concern.

(2) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents, for general statistical purposes, that it [] is, [] is not, a small disadvantaged business concern as defined in 13 CFR 124.1002.

(3) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents as part of its offer that it [] is, [] is not a women-owned small business concern.

(4) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents as part of its offer that it [] is, [] is not a veteran-owned small business concern.

(5) [Complete only if the offeror represented itself as a veteran-owned small business concern in paragraph (b)(4) of this provision.] The offeror represents as part of its offer that it [] is, [] is not a service-disabled veteran-owned small business concern.

(6) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents, as part of its offer, that—

(i) It [] is, [] is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126; and

(ii) It [] is, [] is not a joint venture that complies with the requirements of 13 CFR part 126, and the representation in paragraph (b)(6)(i) of this provision is accurate for the HUBZone small business concern or concerns that are participating in the joint venture. [The offeror shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture:_____.] Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.

(7) [Complete if offeror represented itself as disadvantaged in paragraph (b)(2) of this provision.]

The offeror shall check the category in which its ownership falls:

- _____ Black American.
- _____ Hispanic American.
- _____ Native American (American Indians, Eskimos, Aleuts, or Native Hawaiians).
- _____ Asian-Pacific American (persons with origins from Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China, Taiwan, Laos, Cambodia (Kampuchea), Vietnam, Korea, The Philippines, U.S. Trust Territory of the Pacific Islands (Republic of Palau), Republic of the Marshall Islands, Federated States of Micronesia, the Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Hong Kong, Fiji, Tonga, Kiribati, Tuvalu, or Nauru).
- _____ Subcontinent Asian (Asian-Indian) American (persons with origins from India, Pakistan, Bangladesh, Sri Lanka, Bhutan, the Maldives Islands, or Nepal).
- _____ Individual/concern, other than one of the preceding.

(c) *Definitions.* As used in this provision—

“Service-disabled veteran-owned small business concern”—

(1) Means a small business concern—

(i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and

(ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.

(2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service connected, as defined in 38 U.S.C. 101(16).

“Small business concern” means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR part 121 and the size standard in paragraph (a) of this provision.

“Veteran-owned small business concern” means a small business concern—

(1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and

(2) The management and daily business operations of which are controlled by one or more veterans.

“Women-owned small business concern” means a small business concern—

(1) That is at least 51 percent owned by one or more women; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and

(2) Whose management and daily business operations are controlled by one or more women.

(d) *Notice.* (1) If this solicitation is for supplies and has been set aside, in whole or in part, for small business concerns, then the clause in this solicitation providing notice of the set-aside contains restrictions on the source of the end items to be furnished.

(2) Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, HUBZone small, small disadvantaged, or women-owned small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall—

(i) Be punished by imposition of fine, imprisonment, or both;

(ii) Be subject to administrative remedies, including suspension and debarment;

and

(iii) Be ineligible for participation in programs conducted under the authority of

the Act.

(End of provision)

12. (FAR 52.219-2) EQUAL LOW BIDS (OCT 1995)

(a) This provision applies to small business concerns only.

(b) The bidder's status as a labor surplus area (LSA) concern may affect entitlement to award in case of tie bids. If the bidder wishes to be considered for this priority, the bidder must identify, in the following space, the LSA in which the costs to be incurred on account of manufacturing or production (by the bidder or the first-tier subcontractors) amount to more than 50 percent of the contract price.

(c) Failure to identify the labor surplus areas as specified in paragraph (b) of this provision will preclude the bidder from receiving priority consideration. If the bidder is awarded a contract as a result of receiving priority consideration under this provision and would not have otherwise received award, the bidder shall perform the contract or cause the contract to be performed in accordance with the obligations of an LSA concern.

13. RESERVED

14. (FARS 52.219-19) SMALL BUSINESS CONCERN REPRESENTATION FOR THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (OCT 2000).

(a) *Definition.* “Emerging small business” as used in this solicitation, means a small business concern whose size is no greater than 50 percent of the numerical size standard applicable to the North American Industry Classification System (NAICS) code assigned to a contracting opportunity.

(b) (Complete only if Offeror has represented itself under the provision at FAR 52.219-1 as a small business concern under the size standards of this solicitation.) The Offeror [] is, [] is not an emerging small business.

(c) (Complete only if the Offeror is a small business or an emerging small business, indicating its size range.)

Offeror's number of employees for the past 12 months (check this column if size standard stated in solicitation is expressed in terms of number of employees) or Offeror's average annual gross revenue for the last 3 fiscal years (check this column if size standard stated in solicitation is expressed in terms of annual receipts). (Check one of the following.)

No. of Employees	Average Annual Gross Revenues
____ 50 or fewer	____ \$1 million or less
____ 51 - 100	____ \$1,000,001 - \$2 million
____ 101 - 250	____ \$2,000,001 - \$3.5 million
____ 251 - 500	____ \$3,500,001 - \$5 million
____ 501 - 750	____ \$5,000,001 - \$10 million
____ 751 - 1,000	____ \$10,000,001 - \$17 million
____ Over 1,000	____ Over \$17 million

15. (FARS 52.219-21) SMALL BUSINESS SIZE REPRESENTATION FOR TARGETED INDUSTRY CATEGORIES UNDER THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (MAY 1999).

[Complete only if the Offeror has represented itself under the provision at 52.219-1 as a small business concern under the size standards of this solicitation.]

Offeror's number of employees for the past 12 months *[check this column if size standard stated in solicitation is expressed in terms of number of employees]* or Offeror's average annual gross revenue for the last 3 fiscal years *[check this column if size standard in solicitation is expressed in terms of annual receipts]*. *[Check one of the following.]*

No. of Employees	Average Annual Gross Revenues
____ 50 or fewer	____ \$1 million or less
____ 51 - 100	____ \$1,000,001 - \$2 million
____ 101 - 250	____ \$2,000,001 - \$3.5 million
____ 251 - 500	____ \$3,500,001 - \$5 million
____ 501 - 750	____ \$5,000,001 - \$10 million
____ 751 - 1,000	____ \$10,000,001 - \$17 million
____ Over 1,000	____ Over \$17 million

16. (FAR 52.222-21)

CERTIFICATION OF NONSEGREGATED FACILITIES (FEB 1999)

(a) "Segregated facilities," as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.

(b) The Contractor agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor agrees that a breach of this clause is a violation of the Equal Opportunity clause in this contract.

(c) The Contractor shall include this clause in every subcontract and purchase order that is subject to the Equal Opportunity clause of this contract.
(End of clause)

17. (FAR 52.222-22) PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FEB 1999).

The offeror represents that—

(a) It has, has not participated in a previous contract or subcontract subject the Equal Opportunity clause of this solicitation;

(b) It has, has not filed all required compliance reports; and

(c) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.
(End of provision)

18. (FAR 52.223-4) RECOVERED MATERIAL CERTIFICATION (OCT 1997)

As required by the Resource Conservation and Recovery Act of 1976 (42 U.S.C. 6962(c)(3)(A)(i)), the offeror certifies, by signing this offer, that the percentage of recovered materials to be used in the performance of the contract will be at least the amount required by the applicable contract specifications.
(End of provision)

19. (FAR 52.223-13) CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING (OCT 2000) [For Contracts over \$100,000]

(a) Submission of this certification is a prerequisite for making or entering into this contract imposed by Executive Order 12969, August 8, 1995.

(b) By signing this offer, the offeror certifies that-

(1) As the owner or operator of a facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file, for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of the EPCRA and section 6607 of PPA; or

(2) None of its owned or operated facilities to be used in the performance of this contract is subject the Form R filing and reporting requirements because each facility is exempt for at least one of the following reasons: (Check each block that is applicable.)

(i) The facility does not manufacture, process or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);

(ii) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);

(iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);

(iv) The facility does not fall within Standard Industrial Classification Code (SIC) major groups 20 through 39 or their corresponding North American Industry Classification System (NAICS) sectors 31 through 33; or

(v) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.

20. (DFARS 252.225-7031) SECONDARY ARAB BOYCOTT OF ISRAEL (JUN 1992)

(a) Definitions. As used in this clause--

(1) "Foreign person" means any person other than a United States person as defined in Section 16(2) of the Export Administration Act of 1979 (50 U.S.C. App. Sec 2415).

(2) "United States person" is defined in Section 16(2) of the Export Administration Act of 1979 and means any United States resident or national (other than an individual resident outside the United States and employed by other than a United States person), any domestic concern (including any permanent domestic establishment of any foreign concern), and any foreign subsidiary or affiliate (including any foreign establishment) of any domestic concern which is controlled in fact by such domestic concern, as determined under regulations of the President.

(b) Certification.

By submitting this offer, the Offeror, if a foreign person, company, company or entity, certifies that it--

(1) Does not comply with the Secondary Arab Boycott of Israel; and

(2) Is not taking or knowingly agreeing to take any action, with respect to the Secondary Boycott of Israel by Arab countries, which 50 U.S.C. App. Sec 2407(a) prohibits a United States person from taking.

(End of clause)

21. (DFARS 252.247-7022) REPRESENTATION OF EXTENT OF TRANSPORTATION BY SEA (AUG 1992).

(a) The Offeror shall indicate by checking the appropriate blank in paragraph (b) of this provision whether transportation of supplies by sea is anticipated under the resultant contract. The term "supplies" is defined in the Transportation of Supplies by Sea clause of this solicitation.

(b) REPRESENTATION. The Offeror represents that it-

_____ Does anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.

_____ Does not anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.

(c) Any contract resulting from this solicitation will include the Transportation of Supplies by Sea Clause. If the Offeror represents that it will not use ocean transportation, the resulting contract will also include the Defense FAR Supplement clause at 252.247-7024, Notification of Transportation of Supplies by Sea.

SECTION 00700

CONTRACT CLAUSES

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SECTION 00700

CONTRACT CLAUSES

1. FAR 52.252-2 CLAUSES INCORPORATED BY REFERENCE (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at this/these address(es):

<http://www.arnet.gov/far>

(End of clause)

* - CONTRACT CLAUSES THAT MAY BE INCORPORATED BY REFERENCE

2. DFARS 252.201-7000 CONTRACTING OFFICER'S REPRESENTATIVE (DEC 1991)

(a) Definition.

"Contracting officer's representative" means an individual designated in accordance with subsection 201.602-2 of the Defense Federal Acquisition Regulation Supplement and authorized in writing by the contracting officer to perform specific technical or administrative functions.

(b) If the Contracting Officer designates a contracting officer's representative (COR), the Contractor will receive a copy of the written designation. It will specify the extent of the COR's authority to act on behalf of the contracting officer. The COR is not authorized to make any commitments or changes that will affect price, quality, quantity, delivery, or any other term or condition of the contract.

(End of clause)

3. *FAR 52.202-1 DEFINITIONS (DEC 2001) ALTERNATE I (MAR 2001)

a) "Agency head" or "head of the agency" means the Secretary (Attorney General, Administrator, Governor, Chairperson, or other chief official, as appropriate) of the agency, unless otherwise indicated, including any deputy or assistant chief official of the executive agency.

(b) "Commercial component" means any component that is a commercial item.

(c) "Commercial item" means—

(1) Any item, other than real property, that is of a type customarily used by the general public or by non-governmental entities for purposes other than governmental purposes, and that—

(i) Has been sold, leased, or licensed to the general public; or

(ii) Has been offered for sale, lease, or license to the general public;

(2) Any item that evolved from an item described in paragraph (c)(1) of this clause through advances in technology or performance and that is not yet available in the commercial marketplace, but will be available in the commercial marketplace in time to satisfy the delivery requirements under a Government solicitation;

(3) Any item that would satisfy a criterion expressed in paragraphs (c)(1) or (c)(2) of this clause, but for—

(i) Modifications of a type customarily available in the commercial marketplace; or

(ii) Minor modifications of a type not customarily available in the commercial marketplace made to meet Federal Government requirements. "Minor" modifications means modifications that do not significantly alter the nongovernmental function or essential physical characteristics of an item or component, or

change the purpose of a process. Factors to be considered in determining whether a modification is minor include the value and size of the modification and the comparative value and size of the final product. Dollar values and percentages may be used as guideposts, but are not conclusive evidence that a modification is minor;

(4) Any combination of items meeting the requirements of paragraphs (c)(1), (2), (3), or (5) of this clause that are of a type customarily combined and sold in combination to the general public;

(5) Installation services, maintenance services, repair services, training services, and other services if—

(i) Such services are procured for support of an item referred to in paragraph (c)(1), (2), (3), or (4) of this definition, regardless of whether such services are provided by the same source or at the same time as the item; and

(ii) The source of such services provides similar services contemporaneously to the general public under terms and conditions similar to those offered to the Federal Government

(6) Services of a type offered and sold competitively in substantial quantities in the commercial marketplace based on established catalog or market prices for specific tasks performed under standard commercial terms and conditions. This does not include services that are sold based on hourly rates without an established catalog or market price for a specific service performed. For purposes of these services—

(i) “Catalog price” means a price included in a catalog, price list, schedule, or other form that is regularly maintained by the manufacturer or vendor, is either published or otherwise available for inspection by customers, and states prices at which sales are currently, or were last, made to a significant number of buyers constituting the general public; and

(ii) “Market prices” means current prices that are established in the course of ordinary trade between buyers and sellers free to bargain and that can be substantiated through competition or from sources independent of the offerors.

(7) Any item, combination of items, or service referred to in paragraphs (c)(1) through (c)(6), notwithstanding the fact that the item, combination of items, or service is transferred between or among separate divisions, subsidiaries, or affiliates of a Contractor; or

(8) A nondevelopmental item, if the procuring agency determines the item was developed exclusively at private expense and sold in substantial quantities, on a competitive basis, to multiple State and local Governments.

(d) “Component” means any item supplied to the Government as part of an end item or of another component, except that for use in 52.225-9, and 52.225-11 see the definitions in 52.225-9(a) and 52.225-11(a).

(e) “Contracting Officer” means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.

(f) “Nondevelopmental item” means—

(1) Any previously developed item of supply used exclusively for governmental purposes by a Federal agency, a State or local government, or a foreign government with which the United States has a mutual defense cooperation agreement;

(2) Any item described in paragraph (f)(1) of this definition that requires only minor modification or modifications of a type customarily available in the commercial marketplace in order to meet the requirements of the procuring department or agency; or

(3) Any item of supply being produced that does not meet the requirements of paragraph (f)(1) or (f)(2) solely because the item is not yet in use.

(End of clause)

4. *FAR 52.203-3 GRATUITIES (APR 1984)

(a) The right of the Contractor to proceed may be terminated by written notice if, after notice and hearing, the agency head or a designee determines that the Contractor, its agent, or another representative--

(1) Offered or gave a gratuity (e.g., an entertainment or gift) to an officer, official, or employee of the Government; and

(2) Intended, by the gratuity, to obtain a contract or favorable treatment under a contract.

- (b) The facts supporting this determination may be reviewed by any court having lawful jurisdiction.
- (c) If this contract is terminated under paragraph (a) above, the Government is entitled--
 - (1) To pursue the same remedies as in a breach of the contract; and
 - (2) In addition to any other damages provided by law, to exemplary damages of not less than 3 nor more than 10 times the cost incurred by the Contractor in giving gratuities to the person concerned, as determined by the agency head or a designee. (This subparagraph (c)(2) is applicable only if this contract uses money appropriated to the Department of Defense.)
- (d) The rights and remedies of the Government provided in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

5. *FAR 52.203-5 COVENANT AGAINST CONTINGENT FEES (APR 1984)

(a) The Contractor warrants that no person or agency has been employed or retained to solicit or obtain this contract upon an agreement or understanding for a contingent fee, except a bona fide employee or agency. For breach or violation of this warranty, the Government shall have the right to annul this contract without liability or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of the contingent fee.

(b) "Bona fide agency," as used in this clause, means an established commercial or selling agency, maintained by a contractor for the purpose of securing business, that neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds itself out as being able to obtain any Government contract or contracts through improper influence.

"Bona fide employee," as used in this clause, means a person, employed by a contractor and subject to the contractor's supervision and control as to time, place, and manner of performance, who neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds out as being able to obtain any Government contract or contracts through improper influence.

"Contingent fee," as used in this clause, means any commission, percentage, brokerage, or other fee that is contingent upon the success that a person or concern has in securing a Government contract.

"Improper influence," as used in this clause, means any influence that induces or tends to induce a Government employee or officer to give consideration or to act regarding a Government contract on any basis other than the merits of the matter.

6. *FAR 52.203-7 ANTI-KICKBACK PROCEDURES (JUL 1995)

(a) Definitions.

"Kickback," as used in this clause, means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided, directly or indirectly, to any prime Contractor, prime Contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a subcontract relating to a prime contract. "Person," as used in this clause, means a corporation, partnership, business association of any kind, trust, joint-stock company, or individual.

"Prime contract," as used in this clause, means a contract or contractual action entered into by the United States for the purpose of obtaining supplies, materials, equipment, or services of any kind.

"Prime Contractor," as used in this clause, means a person who has entered into a prime contract with the United States.

"Prime Contractor employee," as used in this clause, means any officer, partner, employee, or agent of a prime Contractor.

"Subcontract," as used in this clause, means a contract or contractual action entered into by a prime Contractor or subcontractor for the purpose of obtaining supplies, materials, equipment, or services of any kind under a prime contract.

"Subcontractor," as used in this clause, (1) means any person, other than the prime Contractor, who offers to furnish or furnishes any supplies, materials, equipment, or services of any kind under a prime contract or a

subcontract entered into in connection with such prime contract, and (2) includes any person who offers to furnish or furnishes general supplies to the prime Contractor or a higher tier subcontractor.

"Subcontractor employee," as used in this clause, means any officer, partner, employee, or agent of a subcontractor.

(b) The Anti-Kickback Act of 1986 (41 U.S.C. 51-58) (the Act), prohibits any person from--

- (1) Providing or attempting to provide or offering to provide any kickback;
- (2) Soliciting, accepting, or attempting to accept any kickback; or
- (3) Including, directly or indirectly, the amount of any kickback in the contract price charged

by a prime Contractor to the United States or in the contract price charged by a subcontractor to a prime Contractor or higher tier subcontractor.

(c) (1) The Contractor shall have in place and follow reasonable procedures designed to prevent and detect possible violations described in paragraph (b) of this clause in its own operations and direct business relationships.

(2) When the Contractor has reasonable grounds to believe that a violation described in paragraph (b) of this clause may have occurred, the Contractor shall promptly report in writing the possible violation. Such reports shall be made to the inspector general of the contracting agency, the head of the contracting agency if the agency does not have an inspector general, or the Department of Justice.

(3) The Contractor shall cooperate fully with any Federal agency investigating a possible violation described in paragraph (b) of this clause.

(4) The Contracting Officer may

(i) offset the amount of the kickback against any monies owed by the United States under the prime contract and/or

(ii) direct that the Prime Contractor withhold from sums owed a subcontractor under the prime contract the amount of the kickback. The Contracting Officer may order that monies withheld under subdivision (c)(4)(ii) of this clause be paid over to the Government unless the Government has already offset those monies under subdivision (c)(4)(i) of this clause. In either case, the Prime Contractor shall notify the Contracting Officer when the monies are withheld.

(5) The Contractor agrees to incorporate the substance of this clause, including subparagraph (c)(5) but excepting subparagraph (c)(1), in all subcontracts under this contract which exceed \$100,000.

7. *FAR 52.203-8 CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

(a) If the Government receives information that a contractor or a person has engaged in conduct constituting a violation of subsection (a), (b), (c), or (d) of Section 27 of the Office of Federal Procurement Policy Act (41 U.S.C. 423) (the Act), as amended by section 4304 of the National Defense Authorization Act for Fiscal Year 1996 (Pub. L. 104-106), the Government may--

- (1) Cancel the solicitation, if the contract has not yet been awarded or issued; or
- (2) Rescind the contract with respect to which--

(i) The Contractor or someone acting for the Contractor has been convicted for an offense where the conduct constitutes a violation of subsection 27 (a) or (b) of the Act for the purpose of either--

- (A) Exchanging the information covered by such subsections for anything of value; or
- (B) Obtaining or giving anyone a competitive advantage in the award of a Federal agency

procurement contract; or

(ii) The head of the contracting activity has determined, based upon a preponderance of the evidence, that the Contractor or someone acting for the Contractor has engaged in conduct constituting an offense punishable under subsection 27(e)(1) of the Act.

(b) If the Government rescinds the contract under paragraph (a) of this clause, the Government is entitled to recover, in addition to any penalty prescribed by law, the amount expended under the contract.

(c) The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law, regulation, or under this contract.

8. DFARS 252.203-7001 PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE—CONTRACT-RELATED FELONIES (MARCH 1999)

- (a) Definitions.
As used in this clause--
- (1) "Arising out of a contract with the "DoD" means any any act in connection with--
 - (i) Attempting to obtain;
 - (ii) Obtaining; or
 - (iii) Performing a contract or first-tier subcontract of any department, or component of the Department of Defense (DoD).
 - (2) "Conviction of fraud or any other felony," means any conviction for fraud or a felony in violation of state or Federal criminal statutes, whether entered on a verdict or plea, including a plea of nolo contendere, for which sentence has been imposed.
 - (3) "Date of conviction," means the date judgement was entered against the individual.
- (b) Any individual who is convicted after September 29, 1988 of fraud or any other felony arising out of a contract with the DoD is prohibited from serving--
- (1) In a management or supervisory capacity on any DoD contract or first-tier subcontract;
 - (2) On board of directors of any DoD Contractor or first-tier subcontractor;
 - (3) As a consultant to any DoD Contractor or first-tier subcontractor; or
 - (4) In any other capacity with the authority to influence, advise, or control the decisions of any DoD contractor or subcontractor with regard to any DoD contract or first-tier subcontract.
- (c) Unless waived, the prohibition in paragraph (b) of this clause applies for not less than five years from the date of conviction.
- (d) 10 U.S.C. 2408 provides that a defense Contractor or first-tier subcontractor shall be subject to a criminal penalty of not more than \$500,000 if convicted of knowingly--
- (1) Employing a person under a prohibition in paragraph (b) of this clause;
 - (2) Allowing such a person to serve on the board of directors of Contractor or first-tier subcontractor.
- (e) In addition to the criminal penalties contained in 10 U.S.C. 2408, the Government may consider other available remedies, such as--
- (1) Suspension or debarment;
 - (2) Cancellation of the contract at no cost to the Government; or
 - (3) Termination of the contract for default.
- (f) The Contractor may submit written requests for waiver of the prohibition in paragraph (b) of this clause to the Contracting Officer. Requests shall clearly identify--
- (1) The person involved;
 - (2) The nature of the conviction and resultant sentence or punishment imposed;
 - (3) The reasons for the requested waiver; and
 - (4) An explanation of why a waiver is in the interest of national security.
- (g) The Contractor agrees to include the substance of this clause appropriately modified to reflect the identity and relationship of the parties, in all first-tier subcontracts exceeding the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation, except those for commercial items or components.
- (h) Pursuant to 10 U.S.C.2408(c), defense contractors and subcontractors may obtain information as to whether a particular has been convicted of fraud or any other felony arising out of a contract with the DoD by contracting The Office of Justice Programs, The Denial of Federal Benefits Office, U.S. Department of Justice, telephone (202) 616-3507.

9. DFARS 252.203-7002 DISPLAY OF DOD HOTLINE POSTER (DEC 1991) (For Military Contracts Exceeding \$5,000,000)

- (a) The Contractor shall display prominently in common work areas within business segments performing work under Department of Defense (DoD) contracts, DoD Hotline Posters prepared by DoD Office of the Inspector General.

(b) DoD Hotline Posters may be obtained from the DoD Inspector General, ATTN: Defense Hotline, 400 Army Navy Drive, Washington DC 22202-2884.

(c) The Contract need not comply with paragraph (a) of this clause if it has established a mechanism, such as a hotline, by which employees may report suspected instances of improper conduct, and instructions that encourage employees to make such reports.

10. *FAR 52.203-10 PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

(a) The Government, at its election, may reduce the price of a fixed-price type contract and the total cost and fee under a cost-type contract of profit or fee determined as set forth in paragraph (b) of this clause if the head of the contracting activity or designee determines that there was a violation of subsection 27(a), (b), or (c) of the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 423), as implemented in section 3.104 of the Federal Acquisition Regulation.

(b) The price or fee reduction referred to in paragraph (a) of this clause shall be--

(1) For cost-plus-fixed-fee contracts, the amount of the fee specified in the contract at the time of award;

(2) For cost-plus-incentive-fee contracts, the target fee specified in the contract at the time of award, notwithstanding any minimum fee or "fee floor" specified in the contract;

(3) For cost-plus-award-fee contracts--

(i) The base fee established in the contract at the time of contract award;

(ii) If no base fee is specified in the contract, 30 percent of the amount of each award fee otherwise payable to the Contractor for each award fee evaluation period or at each award fee determination point.

(4) For fixed-price-incentive contracts, the Government may--

(i) Reduce the contract target price and contract target profit both by an amount equal to the initial target profit specified in the contract at the time of contract award; or

(ii) If an immediate adjustment to the contract target price and contract target profit would have a significant adverse impact on the incentive price revision relationship under the contract, or adversely affect the contract financing provisions, the Contracting Officer may defer such adjustment until establishment of the total final price of the contract. The total final price established in accordance with the incentive price revision provisions of the contract shall be reduced by an amount equal to the initial target profit specified in the contract at the time of contract award and such reduced price shall be the total final contract price.

(5) For firm-fixed-price contracts, by 10 percent of the initial contract price or a profit amount determined by the Contracting Officer from records or documents in existence prior to the date of the contract award.

(c) The Government may, at its election, reduce a prime contractor's price or fee in accordance with the procedures of paragraph (b) of this clause for violations of the Act by its subcontractors by an amount not to exceed the amount of profit or fee reflected in the subcontract at the time the subcontract was first definitively priced.

(d) In addition to the remedies in paragraphs (a) and (c) of this clause, the Government may terminate this contract for default. The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law or under this contract.

11. *FAR 52.203-12 LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (JUN 1997)

(a) Definitions.

"Agency," as used in this clause, means executive agency as defined in 2.101.

"Covered Federal Action," as used in this clause, means any of the following Federal actions:

(1) The awarding of any Federal contract.

(2) The making of any Federal grant.

(3) The making of any Federal loan.

(4) The entering into of any cooperative agreement.

(5) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

"Indian tribe" and "tribal organization," as used in this clause, have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) and include Alaskan Natives.

"Influencing or attempting to influence," as used in this clause, means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government," as used in this clause, means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency," as used in this clause, includes the following individuals who are employed by an agency:

(1) An individual who is appointed to a position in the Government under title 5, United States Code, including a position under a temporary appointment.

(2) A member of the uniformed services, as defined in subsection 101(3), title 37, United States Code.

(3) A special Government employee, as defined in section 202, title 18, United States Code.

(4) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, United States Code, appendix 2.

"Person," as used in this clause, means an individual, corporation, company, association, authority, firm, partnership, society, State and local government, regardless of whether such entity is operated for profit, or not for profit. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Reasonable compensation," as used in this clause, means, with respect to a regularly employed officer or employee of any person, compensation that is consistent with the normal compensation for such officer or employee for work that is not furnished to, not funded by, or not furnished in cooperation with the Federal Government.

"Reasonable payment," as used in this clause, means, with respect to professional and other technical services, a payment in an amount that is consistent with the amount normally paid for such services in the private sector.

"Recipient," as used in this clause, includes the Contractor and all subcontractors. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed," as used in this clause, means, with respect to an officer or employee of a person requesting or receiving a Federal contract, an officer or employee who is employed by such person for at least 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract. An officer or employee who is employed by such person for less than 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State," as used in this clause, means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and multi-State, regional, or interstate entity having governmental duties and powers.

(b) Prohibitions.

(1) Section 1352 of title 31, United States Code, among other things, prohibits a recipient of a Federal Contract, grant, loan, or cooperative agreement from using appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: The awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the entering into of any cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.

(2) The Act also requires Contractors to furnish a disclosure if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.

(3) The prohibitions of the Act do not apply under the following conditions:

(i) Agency and legislative liaison by own employees.

(A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action if the payment is for agency and legislative liaison activities not directly related to a covered Federal action.

(B) For purposes of subdivision (b)(3)(i)(A) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.

(C) The following agency and legislative liaison activities are permitted at any time where they are not related to a specific solicitation for any covered Federal action:

(1) Discussing with an agency the qualities and characteristics (including individual demonstrations) of the person's products or services, conditions or terms of sale, and service capabilities.

(2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(D) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action--

(1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;

(2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and

(3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Pub. L. 95-507, and subsequent amendments.

(E) Only those services expressly authorized by subdivision (b)(3)(i)(A) of this clause are permitted under this clause.

(ii) Professional and technical services.

(A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of--

(1) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.

(2) Any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.

(B) For purposes of subdivision (b)(3)(ii)(A) of this clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or

negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.

(C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation and any other requirements in the actual award documents.

(D) Only those services expressly authorized by subdivisions (b)(3)(ii)(A)(1) and (2) of this clause are permitted under this clause.

(E) The reporting requirements of FAR 3.803(a) shall not apply with respect to payments of reasonable compensation made to regularly employed officers or employees of a person.

(iii) Disclosure.

(A) The Contractor who requests or receives from an agency a Federal contract shall file with that agency a disclosure form, OMB standard form LLL, Disclosure of Lobbying Activities, if such person has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered Federal action), which would be prohibited under subparagraph (b)(1) of this clause, if paid for with appropriated funds.

(B) The Contractor shall file a disclosure form at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under subparagraph (c)(1) of this clause. An event that materially affects the accuracy of the information reported includes--

(1) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or

(2) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or

(3) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.

(C) The Contractor shall require the submittal of a certification, and if required, a disclosure form by any person who requests or receives any subcontract exceeding \$100,000 under the Federal contract.

(D) All subcontractor disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the prime Contractor. The prime Contractor shall submit all disclosures to the Contracting Officer at the end of the calendar quarter in which the disclosure form is submitted by the subcontractor. Each subcontractor certification shall be retained in the subcontract file of the awarding Contractor.

(iv) Agreement. The Contractor agrees not to make any payment prohibited by this clause.

(v) Penalties.

(A) Any person who makes an expenditure prohibited under paragraph (a) of this clause or who fails to file or amend the disclosure form to be filed or amended by paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.

(B) Contractors may rely without liability on the representation made by their subcontractors in the certification and disclosure form.

(vi) Cost allowability. Nothing in this clause makes allowable or reasonable any costs which would otherwise be unallowable or unreasonable. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any other provision.

12. *FAR 52.204-4 PRINTED OR COPIED DOUBLE-SIDED ON RECYCLED PAPER (AUG 2000)

(a) Definitions. As used in this clause—

“Postconsumer material” means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of “recovered material.” For paper and paper products, postconsumer material means “postconsumer fiber” defined by the U.S. Environmental Protection Agency (EPA) as—

(1) Paper, paperboard, and fibrous materials from retail stores, office buildings, homes, and so forth, after they have passed through their end-usage as a consumer item, including: used corrugated boxes; old newspapers; old magazines; mixed waste paper; tabulating cards; and used cordage; or

(2) All paper, paperboard, and fibrous materials that enter and are collected from municipal solid waste; but not

(3) Fiber derived from printers' over-runs, converters' scrap, and over-issue publications.

“Printed or copied double-sided” means printing or reproducing a document so that information is on both sides of a sheet of paper.

“Recovered material,” for paper and paper products, is defined by EPA in its Comprehensive Procurement Guideline as “recovered fiber” and means the following materials:

(1) Postconsumer fiber; and

(2) Manufacturing wastes such as—

(i) Dry paper and paperboard waste generated after completion of the papermaking process (that is, those manufacturing operations up to and including the cutting and trimming of the paper machine reel into smaller rolls or rough sheets) including: envelope cuttings, bindery trimmings, and other paper and paperboard waste resulting from printing, cutting, forming, and other converting operations; bag, box, and carton manufacturing wastes; and butt rolls, mill wrappers, and rejected unused stock; and

(ii) Repulped finished paper and paperboard from obsolete inventories of paper and paperboard manufacturers, merchants, wholesalers, dealers, printers, converters, or others.

(b) In accordance with Section 101 of Executive Order 13101 of September 14, 1998, Greening the Government through Waste Prevention, Recycling, and Federal Acquisition, the Contractor is encouraged to submit paper documents, such as offers, letters, or reports, that are printed or copied double-sided on recycled paper that meet minimum content standards specified in Section 505 of Executive Order 13101, when not using electronic commerce methods to submit information or data to the Government.

(c) If the Contractor cannot purchase high-speed copier paper, offset paper, forms bond, computer printout paper, carbonless paper, file folders, white wove envelopes, writing and office paper, book paper, cotton fiber paper, and cover stock meeting the 30 percent postconsumer material standard for use in submitting paper documents to the Government, it should use paper containing no less than 20 percent postconsumer material. This lesser standard should be used only when paper meeting the 30 percent postconsumer material standard is not obtainable at a reasonable price or does not meet reasonable performance standards.

(End of clause)

13. DFARS 252.204-7003 CONTROL OF GOVERNMENT PERSONNEL WORK PRODUCT (APR 1992)

The Contractor's procedures for protecting against unauthorized disclosure of information shall not require Department of Defense employees or members of the Armed Forces to relinquish control of their work products, whether classified or not, to the Contractor.

14. *FAR 52.209-6 PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT (JUL 1995)

(a) The Government suspends or debar Contractors to protect the Government's interests. The Contractor shall not enter into any subcontract in excess of \$25,000 with a Contractor that is debarred, suspended, or proposed for debarment unless there is a compelling reason to do so.

(b) The Contractor shall require each proposed first-tier subcontractor, whose subcontract will exceed \$25,000, to disclose to the Contractor, in writing, whether as of the time of award of the subcontract, the subcontractor, or its principals, is or is not debarred, suspended, or proposed for debarment by the Federal Government.

(c) A corporate office or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is debarred, suspended, or proposed for debarment (see FAR 9.404 for information on the List of Parties Excluded from Procurement Programs). The notice must include the following:

- (1) The name of the subcontractor.
- (2) The Contractor's knowledge of the reasons for the subcontractor being on the List of Parties Excluded from Procurement Programs.
- (3) The compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded From Procurement Programs.
- (4) The systems and procedures the Contractor has established to ensure that it is fully protecting the Government's interests when dealing with such subcontractor in view of the specific basis for the party's debarment, suspension, or proposed debarment.

15. DFARS 252.209-7004 SUBCONTRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)

(a) Unless the Government determines that there is a compelling reason to do so, the Contractor shall not enter into any subcontract in excess of \$25,000 with a firm, or a subsidiary of a firm, that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country.

(b) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country. The notice must include the name of the proposed subcontractor and the compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded From Federal Procurement and Nonprocurement Programs.

(End of clause)

16. *FAR 52.211-15 DEFENSE PRIORITY AND ALLOCATION REQUIREMENTS (SEP 1990) [For Military Contract's Only]

This is a rated order certified for national defense use, and the Contractor shall follow all the requirements of the Defense Priorities and Allocations System regulation (15 CFR 700).

17. FAR 52.211-18 VARIATION IN ESTIMATED QUANTITY (APR 1984)

If the quantity of a unit-priced item in this contract is an estimated quantity and the actual quantity of the unit-priced item varies more than 15 percent above or below the estimated quantity, an equitable adjustment in the contract price shall be made upon demand of either party. The equitable adjustment shall be based upon any increase or decrease in costs due solely to the variation above 115 percent or below 85 percent of the estimated quantity. If the quantity variation is such as to cause an increase in the time necessary for completion, the Contractor may request, in writing, an extension of time, to be received by the Contracting Officer within 10 days from the beginning of the delay, or within such further period as may be granted by the Contracting Officer before the date of final settlement of the contract. Upon the receipt of a written request for an extension, the Contracting

Officer shall ascertain the facts and make an adjustment for extending the completion date as, in the judgement of the Contracting Officer, is justified.

18. *FAR 52.214-26 AUDIT AND RECORDS--SEALED BIDDING (OCT 1997)

(a) As used in this clause, "records" includes books, documents, accounting procedures and practices, and other data, regardless of whether such items are in written form, in the form of computer data, or in any other form.

(b) Cost or pricing data. If the Contractor has submitted cost or pricing data in connection with the pricing of any modification to this contract, the Contracting Officer or authorized representative of the Contracting Officer, in order to evaluate the accuracy, completeness, and currency of the cost or pricing data, shall have the right to examine and audit all of the Contractor's records, including computations and projections related to--

- (1) The proposal for the modification;
- (2) The discussions conducted on the proposal(s), including those related to negotiating;
- (3) Pricing of the modification; or
- (4) Performance of the modification.

(c) Comptroller General. In the case of pricing any modification, the Comptroller General of the United States, or an authorized representative, shall have the same rights as specified in paragraph (b) of this clause.

(d) Availability. The Contractor shall make available at its office at all reasonable times the materials described in paragraph (b) of this clause, for examination, audit, or reproduction, until 3 years after final payment under this contract, or for any other period specified in Subpart 4.7 of the Federal Acquisition Regulation (FAR). FAR Subpart 4.7, Contractor Records Retention, in effect on the date of this contract, is incorporated by reference in its entirety and made a part of this contract.

(1) If this contract is completely or partially terminated, the records relating to the work terminated shall be made available for 3 years after any resulting final termination settlement.

(2) Records pertaining to appeals under the Disputes clause or to litigation or the settlement of claims arising under or relating to the performance of this contract shall be made available until disposition of such appeals, litigation, or claims.

(e) The Contractor shall insert a clause containing all the provisions of this clause, including this paragraph (e), in all subcontracts expected to exceed the threshold in FAR 15.403-4(a)(1) for submission of cost or pricing data.

**19. *FAR 52.214-27 PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA—
MODIFICATIONS--SEALED BIDDING (OCT 1997)**

(a) This clause shall become operative only for any modification to this contract involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), except that this clause does not apply to any modification if an exception under FAR 15.403-1(b) applies.

(b) If any price, including profit, negotiated in connection with any modification under this clause, was increased by any significant amount because

(1) The Contractor or a subcontractor furnished cost or pricing data that were not complete, accurate, and current as certified in its Certificate of Current Cost or Pricing Data,

(2) a subcontractor or prospective subcontractor furnished the Contractor cost or pricing data that were not complete, accurate, and current as certified in the Contractor's Certificate of Current Cost or Pricing Data, or

(3) Any of these parties furnished data of any description that were not accurate, the price shall be reduced accordingly and the contract shall be modified to reflect the reduction. This right to a price reduction is limited to that resulting from defects in data relating to modifications for which this clause becomes operative under paragraph (a) of this clause.

- (c) Any reduction in the contract price under paragraph (b) above due to defective data from a prospective subcontractor that was not subsequently awarded the subcontract shall be limited to the amount, plus applicable overhead and profit markup, by which
- (1) the actual subcontract or
 - (2) the actual cost to the Contractor, if there was no subcontract, was less than the prospective subcontract cost estimate submitted by the Contractor; provided, that the actual subcontract price was not itself affected by defective cost or pricing data.
- (d) (1) If the Contracting Officer determines under paragraph (b) of this clause that a price or cost reduction should be made, the Contractor agrees not to raise the following matters as a defense:
- (i) The Contractor or subcontractor was a sole source supplier or otherwise was in a superior bargaining position and thus the price of the contract would not have been modified even if accurate, complete, and current cost or pricing data had been submitted.
 - (ii) The Contracting Officer should have known that the cost or pricing data in issue were defective even though the Contractor or subcontractor took no affirmative action to bring the character of the data to the attention of the Contracting Officer.
 - (iii) The contract was based on an agreement about the total cost of the contract and there was no agreement about the cost of each item procured under the contract.
 - (iv) The Contractor or subcontractor did not submit a Certificate of Current Cost or Pricing Data.
- (2) (i) Except as prohibited by subdivision (d)(2)(ii) of this clause, an offset in an amount determined appropriate by the Contracting Officer based upon the facts shall be allowed against the amount of a contract price reduction if--
- (A) The Contractor certifies to the Contracting Officer that, to the best of the Contractor's knowledge and belief, the Contractor is entitled to the offset in the amount requested; and
 - (B) The Contractor proves that the cost or pricing data were available before the date of agreement on the price of the contract (or price of the modification) and that the data were not submitted before such date.
- (ii) An offset shall not be allowed if--
- (A) The understated data was known by the Contractor to be understated when the Certificate of Current Cost or Pricing Data was signed; or
 - (B) The Government proves that the facts demonstrate that the contract price would not have increased in the amount to be offset even if the available data had been submitted before the date of agreement on price.
- (e) If any reduction in the contract price under this clause reduces the price of items for which payment was made prior to the date of the modification reflecting the price reduction, the Contractor shall be liable to and shall pay the United States at the time such overpayment is repaid--
- (1) Simple interest on the amount of such overpayment to be computed from the date(s) of overpayment to the Contractor to the date the Government is repaid by the Contractor at the applicable underpayment rate effective for each quarter prescribed by the Secretary of the Treasury under 26 U.S.C. 6621(a)(2); and
 - (2) A penalty equal to the amount of the overpayment, if the Contractor or subcontractor knowingly submitted cost or pricing data which were incomplete, inaccurate, or noncurrent.

20. *FAR 52.214-28 SUBCONTRACTOR COST OR PRICING DATA--MODIFICATIONS—SEALED BIDDING (OCT 1997)

- (a) The requirements of paragraphs (b) and (c) of this clause shall--
- (1) Become operative only for any modification to this contract involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1); and

(2) Be limited to such modifications.

(b) Before awarding any subcontract expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), on the date of agreement on price or the date of award, whichever is later; or before pricing any subcontract modification involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), the Contractor shall require the subcontractor to submit cost or pricing data (actually or by specific identification in writing), unless an exception under FAR 15.403-1(b) applies.

(c) The Contractor shall require the subcontractor to certify in substantially the form prescribed in FAR subsection 15.406-2 that, to the best of its knowledge and belief, the data submitted under paragraph (b) above were accurate, complete, and current as of the date of agreement on the negotiated price of the subcontract or subcontract modification.

(d) The Contractor shall insert the substance of this clause, including this paragraph (d), in each subcontract that when entered into, exceeds the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1).

(End of clause)

21. *FAR 52.219-4 NOTICE OF PRICE EVALUATION PREFERENCE FOR HUBZONE SMALL BUSINESS CONCERNS (JAN 1999)

(a) *Definition.* "HUBZone small business concern," as used in this clause, means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.

(b) *Evaluation preference.* (1) Offers will be evaluated by adding a factor of 10 percent to the price of all offers, except—

(i) Offers from HUBZone small business concerns that have not waived the evaluation preference;

(ii) Otherwise successful offers from small business concerns;

(iii) Otherwise successful offers of eligible products under the Trade Agreements Act when the dollar threshold for application of the Act is exceeded (see 25.402 of the Federal Acquisition Regulation (FAR)); and

(iv) Otherwise successful offers where application of the factor would be inconsistent with a Memorandum of Understanding or other international agreement with a foreign government.

(2) The factor of 10 percent shall be applied on a line item basis or to any group of items on which award may be made. Other evaluation factors described in the solicitation shall be applied before application of the factor.

(3) A concern that is both a HUBZone small business concern and a small disadvantaged business concern will receive the benefit of both the HUBZone small business price evaluation preference and the small disadvantaged business price evaluation adjustment (see FAR clause 52.219-23). Each applicable price evaluation preference or adjustment shall be calculated independently against an offeror's base offer. These individual preference amounts shall be added together to arrive at the total evaluated price for that offer.

(c) *Waiver of evaluation preference.* A HUBZone small business concern may elect to waive the evaluation preference, in which case the factor will be added to its offer for evaluation purposes. The agreements in paragraph (d) of this clause do not apply if the offeror has waived the evaluation preference.

[] Offeror elects to waive the evaluation preference.

(d) *Agreement.* A HUBZone small business concern agrees that in the performance of the contract, in the case of a contract for—

(1) Services (except construction), at least 50 percent of the cost of personnel for contract performance will be spent for employees of the concern or employees of other HUBZone small business concerns;

(2) Supplies (other than procurement from a nonmanufacturer of such supplies), at least 50 percent of the cost of manufacturing, excluding the cost of materials, will be performed by the concern or other HUBZone

small business concerns;

(3) General construction, at least 15 percent of the cost of the contract performance incurred for personnel will be spent on the concern's employees or the employees of other HUBZone small business concerns; or

(4) Construction by special trade contractors, at least 25 percent of the cost of the contract performance incurred for personnel will be spent on the concern's employees or the employees of other HUBZone small business concerns.

(e) A HUBZone joint venture agrees that in the performance of the contract, the applicable percentage specified in paragraph (d) of this clause will be performed by the HUBZone small business participant or participants.

(f) A HUBZone small business concern nonmanufacturer agrees to furnish in performing this contract only end items manufactured or produced by HUBZone small business manufacturer concerns. This paragraph does not apply in connection with construction or service contracts.

(End of clause)

22. *FAR 52.219-8 UTILIZATION OF SMALL BUSINESS CONCERNS (OCT 2000)

(a) It is the policy of the United States that small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns shall have the maximum practicable opportunity to participate in performing contracts let by any Federal agency, including contracts and subcontracts for subsystems, assemblies, components, and related services for major systems. It is further the policy of the United States that its prime contractors establish procedures to ensure the timely payment of amounts due pursuant to the terms of their subcontracts with small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns.

(b) The Contractor hereby agrees to carry out this policy in the awarding of subcontracts to the fullest extent consistent with efficient contract performance. The Contractor further agrees to cooperate in any studies or surveys as may be conducted by the United States Small Business Administration or the awarding agency of the United States as may be necessary to determine the extent of the Contractor's compliance with this clause.

(c) *Definitions.* As used in this contract—

“HUBZone small business concern” means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration .

“Service-disabled veteran-owned small business concern ” —

(1) Means a small business concern—

(i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and

(ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.

(2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).

“Small business concern” means a small business as defined pursuant to Section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto.

“Small disadvantaged business concern” means a small business concern that represents, as part of its offer that—

(1) It has received certification as a small disadvantaged business concern consistent with 13 CFR part 124, Subpart B;

(2) No material change in disadvantaged ownership and control has occurred since its certification;

(3) Where the concern is owned by one or more individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and

(4) It is identified, on the date of its representation, as a certified small disadvantaged business in the database maintained by the Small Business Administration (PRO-Net).

“Veteran-owned small business concern” means a small business concern—

(1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and

(2) The management and daily business operations of which are controlled by one or more veterans.

“Women-owned small business concern” means a small business concern—

(1) That is at least 51 percent owned by one or more women, or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and

(2) Whose management and daily business operations are controlled by one or more women.

(d) Contractors acting in good faith may rely on written representations by their subcontractors regarding their status as a small business concern, a veteran-owned small business concern, a service-disabled veteran-owned small business concern, a HUBZone small business concern, a small disadvantaged business concern, or a women-owned small business concern.

(End of clause)

23. *FAR 52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (JAN 2002) [When Contracting By Negotiations]

(a) This clause does not apply to small business concerns.

(b) *Definitions.* As used in this clause—

“Commercial item” means a product or service that satisfies the definition of commercial item in section 2.101 of the Federal Acquisition Regulation.

“Commercial plan” means a subcontracting plan (including goals) that covers the offeror’s fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (*e.g.*, division, plant, or product line).

“Individual contract plan” means a subcontracting plan that covers the entire contract period (including option periods), applies to a specific contract, and has goals that are based on the offeror’s planned subcontracting in support of the specific contract, except that indirect costs incurred for common or joint purposes may be allocated on a prorated basis to the contract.

“Master plan” means a subcontracting plan that contains all the required elements of an individual contract plan, except goals, and may be incorporated into individual contract plans, provided the master plan has been approved.

“Subcontract” means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

(c) The offeror, upon request by the Contracting Officer, shall submit and negotiate a subcontracting plan, where applicable, that separately addresses subcontracting with small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business concerns, small disadvantaged business, and women-owned small business concerns. If the offeror is submitting an individual contract plan, the plan must separately address subcontracting with small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns, with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be negotiated within the time specified by the Contracting Officer. Failure to submit and negotiate the subcontracting plan shall make the offeror ineligible for award of a contract.

(d) The offeror's subcontracting plan shall include the following:

(1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may

include a proportionate share of products and services that are normally allocated as indirect costs.

(2) A statement of—

(i) Total dollars planned to be subcontracted for an individual contract plan; or the offeror's total projected sales, expressed in dollars, and the total value of projected subcontracts to support the sales for a commercial plan;

(ii) Total dollars planned to be subcontracted to small business concerns;

(iii) Total dollars planned to be subcontracted to veteran-owned small business concerns;

(iv) Total dollars planned to be subcontracted to service-disabled veteran-owned small business;

(v) Total dollars planned to be subcontracted to HUBZone small business concerns;

(vi) Total dollars planned to be subcontracted to small disadvantaged business concerns;

and

(vii) Total dollars planned to be subcontracted to women-owned small business concerns.

(3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to—

(i) Small business concerns;

(ii) Veteran-owned small business concerns;

(iii) Service-disabled veteran-owned small business concerns;

(iv) HUBZone small business concerns;

(v) Small disadvantaged business concerns; and

(vi) Women-owned small business concerns.

(4) A description of the method used to develop the subcontracting goals in paragraph (d)(1) of this clause.

(5) A description of the method used to identify potential sources for solicitation purposes (*e.g.*, existing company source lists, the Procurement Marketing and Access Network (PRO-Net) of the Small Business Administration (SBA), veterans service organizations, the National Minority Purchasing Council Vendor Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and women-owned small business trade associations). A firm may rely on the information contained in PRO-Net as an accurate representation of a concern's size and ownership characteristics for the purposes of maintaining a small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business source list. Use of PRONet as its source list does not relieve a firm of its responsibilities (*e.g.*, outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.

(6) A statement as to whether or not the offeror included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with—

(i) Small business concerns;

(ii) Veteran-owned small business concerns;

(iii) Service-disabled veteran-owned small business concerns;

(iv) HUBZone small business concerns;

(v) Small disadvantaged business concerns; and

(vi) Women-owned small business concerns.

(7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.

(8) A description of the efforts the offeror will make to assure that small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns have an equitable opportunity to compete for subcontracts.

(9) Assurances that the offeror will include the clause of this contract entitled "Utilization of Small Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility) to adopt a subcontracting plan that complies with the requirements of this clause.

(10) Assurances that the offeror will—

(i) Cooperate in any studies or surveys as may be required;

(ii) Submit periodic reports so that the Government can determine the extent of compliance by the offeror with the subcontracting plan;

(iii) Submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with paragraph (j) of this clause. The reports shall provide information on subcontract awards to small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, women-owned small business concerns, and Historically Black Colleges and Universities and Minority Institutions. Reporting shall be in accordance with the instructions on the forms or as provided in agency regulations.

(iv) Ensure that its subcontractors agree to submit SF 294 and SF 295.

(11) A description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated):

(i) Source lists (*e.g.*, PRO-Net), guides, and other data that identify small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.

(ii) Organizations contacted in an attempt to locate sources that are small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, or women-owned small business concerns.

(iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating—

- (A) Whether small business concerns were solicited and, if not, why not;
- (B) Whether veteran-owned small business concerns were solicited and, if not, why not;
- (C) Whether service-disabled veteran-owned small business concerns were solicited and, if not, why not;
- (D) Whether HUBZone small business concerns were solicited and, if not, why not;
- (E) Whether small disadvantaged business concerns were solicited and, if not, why not;
- (F) Whether women-owned small business concerns were solicited and, if not, why not; and
- (G) If applicable, the reason award was not made to a small business concern.

(iv) Records of any outreach efforts to contact—

- (A) Trade associations;
- (B) Business development organizations;
- (C) Conferences and trade fairs to locate small, HUBZone small, small disadvantaged, and women-owned small business sources; and
- (D) Veterans service organizations.

(v) Records of internal guidance and encouragement provided to buyers through—

- (A) Workshops, seminars, training, etc.; and
- (B) Monitoring performance to evaluate compliance with the program's requirements.

(vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having commercial plans need not comply with this requirement.

(e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:

(1) Assist small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to

facilitate the participation by such concerns. Where the Contractor's lists of potential small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.

(2) Provide adequate and timely consideration of the potentialities of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns in all "make-or-buy" decisions.

(3) Counsel and discuss subcontracting opportunities with representatives of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business firms.

(4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small, veteran-owned small business, HUBZone small, small disadvantaged, or women-owned small business for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.

(f) A master plan on a plant or division-wide basis that contains all the elements required by paragraph (d) of this clause, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided —

(1) The master plan has been approved;

(2) The offeror ensures that the master plan is updated as necessary and provides copies of the approved master plan, including evidence of its approval, to the Contracting Officer; and

(3) Goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.

(g) A commercial plan is the preferred type of subcontracting plan for contractors furnishing commercial items. The commercial plan shall relate to the offeror's planned subcontracting generally, for both commercial and Government business, rather than solely to the Government contract. Commercial plans are also preferred for subcontractors that provide commercial items under a prime contract, whether or not the prime contractor is supplying a commercial item.

(h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be considered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.

(i) The failure of the Contractor or subcontractor to comply in good faith with—

(1) The clause of this contract entitled "Utilization Of Small Business Concerns;" or

(2) An approved plan required by this clause, shall be a material breach of the contract.

(j) The Contractor shall submit the following reports:

(1) *Standard Form 294, Subcontracting Report for Individual Contracts*. This report shall be submitted to the Contracting Officer semiannually and at contract completion. The report covers subcontract award data related to this contract. This report is not required for commercial plans.

(2) *Standard Form 295, Summary Subcontract Report*. This report encompasses all of the contracts with the awarding agency. It must be submitted semi-annually for contracts with the Department of Defense and annually for contracts with civilian agencies. If the reporting activity is covered by a commercial plan, the reporting activity must report annually all subcontract awards under that plan. All reports submitted at the close of each fiscal year (both individual and commercial plans) shall include a breakout, in the Contractor's format, of subcontract awards, in whole dollars, to small disadvantaged business concerns by North American Industry Classification System (NAICS) Industry Subsector. For a commercial plan, the Contractor may obtain from each of its subcontractors a predominant NAICS Industry Subsector and report all awards to that subcontractor under its predominant NAICS Industry Subsector.

(End of clause)

24. *FAR 52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (JAN 2002) --ALTERNATE I (OCT 2001) [When Contracting By Sealed Bidding]

(a) This clause does not apply to small business concerns.

(b) *Definitions*. As used in this clause—

"Commercial item" means a product or service that satisfies the definition of commercial item in section 2.101 of the Federal Acquisition Regulation.

“Commercial plan” means a subcontracting plan (including goals) that covers the offeror’s fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (e.g., division, plant, or product line).

“Individual contract plan” means a subcontracting plan that covers the entire contract period (including option periods), applies to a specific contract, and has goals that are based on the offeror’s planned subcontracting in support of the specific contract, except that indirect costs incurred for common or joint purposes may be allocated on a prorated basis to the contract.

“Master plan” means a subcontracting plan that contains all the required elements of an individual contract plan, except goals, and may be incorporated into individual contract plans, provided the master plan has been approved.

“Subcontract” means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

(c) The apparent low bidder, upon request by the Contracting Officer, shall submit a subcontracting plan, where applicable, that separately addresses subcontracting with small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns. If the bidder is submitting an individual contract plan, the plan must separately address subcontracting with small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns, with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be submitted within the time specified by the Contracting Officer. Failure to submit the subcontracting plan shall make the bidder ineligible for the award of a contract.

(d) The offeror's subcontracting plan shall include the following:

(1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may include a proportionate share of products and services that are normally allocated as indirect costs.

(2) A statement of—

(i) Total dollars planned to be subcontracted for an individual contract plan; or the offeror’s total projected sales, expressed in dollars, and the total value of projected subcontracts to support the sales for a commercial plan;

(ii) Total dollars planned to be subcontracted to small business concerns;

(iii) Total dollars planned to be subcontracted to veteran-owned small business concerns;

(iv) Total dollars planned to be subcontracted to service-disabled veteran-owned small business;

(v) Total dollars planned to be subcontracted to HUBZone small business concerns;

(vi) Total dollars planned to be subcontracted to small disadvantaged business concerns; and

(vii) Total dollars planned to be subcontracted to women-owned small business concerns.

(3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to—

(i) Small business concerns;

(ii) Veteran-owned small business concerns;

(iii) Service-disabled veteran-owned small business concerns;

(iv) HUBZone small business concerns;

(v) Small disadvantaged business concerns; and

(vi) Women-owned small business concerns.

(4) A description of the method used to develop the subcontracting goals in paragraph (d)(1) of this clause.

(5) A description of the method used to identify potential sources for solicitation purposes (e.g., existing company source lists, the Procurement Marketing and Access Network (PRO-Net) of the Small Business Administration (SBA), veterans service organizations, the National Minority Purchasing Council Vendor

Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and women-owned small business trade associations). A firm may rely on the information contained in PRO-Net as an accurate representation of a concern's size and ownership characteristics for the purposes of maintaining a small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business source list. Use of PRONet as its source list does not relieve a firm of its responsibilities (*e.g.*, outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.

(6) A statement as to whether or not the offeror included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with—

- (i) Small business concerns;
- (ii) Veteran-owned small business concerns;
- (iii) Service-disabled veteran-owned small business concerns;
- (iv) HUBZone small business concerns;
- (v) Small disadvantaged business concerns; and
- (vi) Women-owned small business concerns.

(7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.

(8) A description of the efforts the offeror will make to assure that small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns have an equitable opportunity to compete for subcontracts.

(9) Assurances that the offeror will include the clause of this contract entitled "Utilization of Small Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility) to adopt a subcontracting plan that complies with the requirements of this clause.

(10) Assurances that the offeror will—

- (i) Cooperate in any studies or surveys as may be required;
- (ii) Submit periodic reports so that the Government can determine the extent of compliance by the offeror with the subcontracting plan;
- (iii) Submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with paragraph (j) of this clause. The reports shall provide information on subcontract awards to small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, women-owned small business concerns, and Historically Black Colleges and Universities and Minority Institutions. Reporting shall be in accordance with the instructions on the forms or as provided in agency regulations.

(iv) Ensure that its subcontractors agree to submit SF 294 and SF 295.

(11) A description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated):

(i) Source lists (*e.g.*, PRO-Net), guides, and other data that identify small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.

(ii) Organizations contacted in an attempt to locate sources that are small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, or women-owned small business concerns.

(iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating—

- (A) Whether small business concerns were solicited and, if not, why not;
- (B) Whether veteran-owned small business concerns were solicited and, if not,

why not;

solicited and, if not, why not;

not;

why not;

why not; and

(C) Whether service-disabled veteran-owned small business concerns were

(D) Whether HUBZone small business concerns were solicited and, if not, why

(E) Whether small disadvantaged business concerns were solicited and, if not,

(F) Whether women-owned small business concerns were solicited and, if not,

(G) If applicable, the reason award was not made to a small business concern.

(iv) Records of any outreach efforts to contact—

(A) Trade associations;

(B) Business development organizations;

(C) Conferences and trade fairs to locate small, HUBZone small, small disadvantaged, and women-owned small business sources; and

(D) Veterans service organizations.

(v) Records of internal guidance and encouragement provided to buyers through—

(A) Workshops, seminars, training, etc.; and

(B) Monitoring performance to evaluate compliance with the program's requirements.

(vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having commercial plans need not comply with this requirement.

(e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:

(1) Assist small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to facilitate the participation by such concerns. Where the Contractor's lists of potential small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.

(2) Provide adequate and timely consideration of the potentialities of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns in all "make-or-buy" decisions.

(3) Counsel and discuss subcontracting opportunities with representatives of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business firms.

(4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small, veteran-owned small business, HUBZone small, small disadvantaged, or women-owned small business for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.

(f) A master plan on a plant or division-wide basis that contains all the elements required by paragraph (d) of this clause, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided —

(1) The master plan has been approved;

(2) The offeror ensures that the master plan is updated as necessary and provides copies of the approved master plan, including evidence of its approval, to the Contracting Officer; and

(3) Goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.

(g) A commercial plan is the preferred type of subcontracting plan for contractors furnishing commercial items. The commercial plan shall relate to the offeror's planned subcontracting generally, for both commercial and Government business, rather than solely to the Government contract. Commercial plans are also preferred for subcontractors that provide commercial items under a prime contract, whether or not the prime contractor is

supplying a commercial item.

(h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be considered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.

(i) The failure of the Contractor or subcontractor to comply in good faith with—

(1) The clause of this contract entitled “Utilization Of Small Business Concerns;” or

(2) An approved plan required by this clause, shall be a material breach of the contract.

(j) The Contractor shall submit the following reports:

(1) *Standard Form 294, Subcontracting Report for Individual Contracts*. This report shall be submitted to the Contracting Officer semiannually and at contract completion. The report covers subcontract award data related to this contract. This report is not required for commercial plans.

(2) *Standard Form 295, Summary Subcontract Report*. This report encompasses all of the contracts with the awarding agency. It must be submitted semi-annually for contracts with the Department of Defense and annually for contracts with civilian agencies. If the reporting activity is covered by a commercial plan, the reporting activity must report annually all subcontract awards under that plan. All reports submitted at the close of each fiscal year (both individual and commercial plans) shall include a breakout, in the Contractor’s format, of subcontract awards, in whole dollars, to small disadvantaged business concerns by North American Industry Classification System (NAICS) Industry Subsector. For a commercial plan, the Contractor may obtain from each of its subcontractors a predominant NAICS Industry Subsector and report all awards to that subcontractor under its predominant NAICS Industry Subsector.

(End of clause)

25. DFARS 252.219-7009 SECTION 8(a) DIRECT AWARD (MAR 2002) [When Competitive 8(a) Contracting Procedures are used]

(a) This contract is issued as a direct award between the contracting office and the 8(a) Contractor pursuant to the Partnership Agreement dated February 1, 2002, between the Small Business Administration (SBA) and the Department of Defense. Accordingly, the SBA, even if not identified in Section A of this contract, is the prime contractor and retains responsibility for 8(a) certification, for 8(a) eligibility determinations and related issues, and for providing counseling and assistance to the 8(a) Contractor under the 8(a) Program. The cognizant SBA district office is:

[To be completed by the Contracting Officer at the time of award]

(b) The contracting office is responsible for administering the contract and for taking any action on behalf of the Government under the terms and conditions of the contract; provided that the contracting office shall give advance notice to the SBA before it issues a final notice terminating performance, either in whole or in part, under the contract. The contracting office also shall coordinate with the SBA prior to processing any novation agreement. The contracting office may assign contract administration functions to a contract administration office.

(c) The 8(a) Contractor agrees that--

(1) It will notify the Contracting Officer, simultaneous with its notification to the SBA (as required by SBA's 8(a) regulations at 13 CFR 124.308), when the owner or owners upon whom 8(a) eligibility is based plan to relinquish ownership or control of the concern. Consistent with Section 407 of Pub. L. 100-656, transfer of

ownership or control shall result in termination of the contract for convenience, unless the SBA waives the requirement for termination prior to the actual relinquishing of ownership and control; and

(2) It will not subcontract the performance of any of the requirements of this contract without the prior written approval of the SBA and the Contracting Officer.

(End of clause)

26. *FAR 52.219-14 LIMITATIONS ON SUBCONTRACTING (DEC 1996) [For Small Business Set Aside Only]

- (a) This clause does not apply to the unrestricted portion of a partial set-aside.
- (b) By submission of an offer and execution of a contract, the Offeror/Contractor agrees that in performance of the contract in the case of a contract for--
 - (1) Services (except construction). At least 50 percent of the cost of contract performance incurred for personnel shall be expended for employees of the concern.
 - (2) Supplies (other than procurement from a nonmanufacturer of such supplies). The concern shall perform work for at least 50 percent of the cost of manufacturing the supplies, not including the cost of materials.
 - (3) General construction. The concern will perform at least 15 percent of the cost of the contract, not including the cost of materials, with its own employees.
 - (4) Construction by special trade contractors. The concern will perform at least 25 percent of the cost of the contract, not including the cost of materials, with its own employees.

27. *FAR 52.219-16 LIQUIDATED DAMAGES-SUBCONTRACTING PLAN (JAN 1999)

(a) Failure to make a good faith effort to comply with the subcontracting plan, as used in this clause, means a willful or intentional failure to perform in accordance with the requirements of the subcontracting plan approved under the clause in this contract entitled "Small Business Subcontracting Plan," or willful or intentional action to frustrate the plan.

(b) Performance shall be measured by applying the percentage goals to the total actual subcontracting dollars or, if a commercial plan is involved, to the pro rata share of actual subcontracting dollars attributable to Government contracts covered by the commercial plan. If, at contract completion, or in the case of a commercial plan, at the close of the fiscal year for which the plan is applicable, the Contractor has failed to meet its subcontracting goals and the Contracting Officer decides in accordance with paragraph (c) of this clause that the Contractor failed to make a good faith effort to comply with its subcontracting plan, established in accordance with the clause in this contract entitled "Small Business Subcontracting Plan," the Contractor shall pay the Government liquidated damages in an amount stated. The amount of probable damages attributable to the Contractor's failure to comply shall be an amount equal to the actual dollar amount by which the Contractor failed to achieve each subcontract goal.

(c) Before the Contracting Officer makes a final decision that the Contractor has failed to make such good faith effort, the Contracting Officer shall give the Contractor written notice specifying the failure and permitting the Contractor to demonstrate what good faith efforts have been made and to discuss the matter. Failure to respond to the notice may be taken as an admission that no valid explanation exists. If, after consideration of all the pertinent data, the Contracting Officer finds that the Contractor failed to make a good faith effort to comply with the subcontracting plan, the Contracting Officer shall issue a final decision to that effect and require that the Contractor pay the Government liquidated damages as provided in paragraph (b) of this clause.

(d) With respect to commercial plans, the Contracting Officer who approved the plan will perform the functions of the Contracting Officer under this clause on behalf of all agencies with contracts covered by a commercial plan.

- (e) The Contractor shall have the right of appeal, under the clause in this contract entitled, Disputes, from many final decision of the Contracting Officer.
- (f) Liquidated damages shall be in addition to any other remedies that the Government may have.

28. DFARS 252.219-7010 ALTERNATE A (JUN 1998) [When Competitive 8(a) Contracting Procedures are used]

As prescribed in 219.811-3(2), substitute the following paragraph (c) for paragraph (c) of the clause at FAR 52.219-18:

(c) Any award resulting from this solicitation will be made directly by the Contracting Officer to the successful 8(a) offeror selected through the evaluation criteria set forth in this solicitation.

29. FAR 52.219-18 NOTIFICATION OF COMPETITION LIMITED TO ELIGIBLE 8(A) CONCERNS (JUNE 1999) [When Competitive 8(a) Contracting Procedures are used]

(a) Offers are solicited only from small business concerns expressly certified by the Small Business Administration (SBA) for participation in the SBA's 8(a) Program and which meet the following criteria at the time of submission of offer--

(1) The Offeror is in conformance with the 8(a) support limitation set forth in its approved business plan; and

(2) The Offeror is in conformance with the Business Activity Targets set forth in its approved business plan or any remedial action directed by the SBA.

(b) By submission of its offer, the Offeror represents that it meets all of the criteria set forth in paragraph (a) of this clause.

(c) Any award resulting from this solicitation will be made to the Small Business Administration, which will subcontract performance to the successful 8(a) offeror selected through the evaluation criteria set forth in this solicitation.

(d) (1) Agreement. A small business concern submitting an offer in its own name agrees to furnish, in performing the contract, only end items manufactured or produced by small business concerns in the United States. The term "United States" includes its territories and possessions, the Commonwealth of Puerto Rico, the Trust Territory of the Pacific Islands, and the District of Columbia. If this procurement is processed under simplified acquisition procedures and the total amount of this contract does not exceed \$25,000, a small business concern may furnish the product of any domestic firm. This subparagraph does not apply in connection with construction or service contracts.

(2) The [insert name of SBA's contractor] will notify the U.S. Army Corps of Engineers Contracting Officer in writing immediately upon entering an agreement (either oral or written) to transfer all or part of its stock or other ownership interest to any other party.

(End of clause)

30. DFARS 252.219-7003 SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (DOD CONTRACTS) (APR 1996)

This clause supplements the Federal Acquisition Regulation 52.219-9, Small, Small Disadvantaged and Women-Owned Small Business Subcontracting Plan, clause of this contract.

(a) Definitions.

"Historically black colleges and universities," as used in this clause, means institutions determined by the Secretary of Education to meet the requirements of 34 CFR Section 608.2. The term also means any nonprofit research institution that was an integral part of such a college or university before November 14, 1986.

"Minority institutions," as used in this clause, means institutions meeting the requirements of Section 1046(3) of the Higher Education Act of 1965 (20 U.S.C. 1135d-5(3)). The term also includes Hispanic-serving institutions as defined in Section 316(b)(1) of such Act (20 U.S.C. 1059c(b)(1)).

(b) Except for company or division-wide commercial products subcontracting plans, the term "small disadvantaged business," when used in the FAR 52.219-9 clause, includes historically black colleges and universities and minority institutions in addition to small disadvantaged business concerns.

(c) Work under the contract or its subcontracts shall be credited toward meeting the small disadvantaged business concern goal required by paragraph (d) of the FAR 52.219-9 clause when:

(1) It is performed on Indian lands or in joint venture with an Indian tribe or a tribally-owned corporation, and

(2) It meets the requirements of 10 U.S.C. 2323a.

(d) Subcontracts awarded to workshops approved by the Committee for Purchase from People Who are Blind or Severely Disabled (41 U.S.C. 46-48), may be counted toward the Contractor's small business subcontracting goal.

(e) A mentor firm, under the Pilot Mentor-Protege Program established under Section 831 of Pub. L. 101-510, as amended, may count toward its small disadvantaged business goal, subcontracts awarded--

(1) Protege firms which are qualified organizations employing the severely handicapped; and

(2) Former protege firms that meet the criteria in Section 831(g)(4) of Pub. L. 101-510.

(f) The master plan approval referred to in paragraph (f) of the FAR 52.219-9 clause is approval by the Contractor's cognizant contract administration activity.

(g) In those subcontracting plans which specifically identify small, small disadvantaged, and women-owned businesses, the Contractor shall notify the Administrative Contracting Officer of any substitutions of firms that are not small, small disadvantaged, or women-owned small businesses for the firms listed in the subcontracting plan. Notifications shall be in writing and shall occur within a reasonable period of time after award of the subcontract. Contractor-specified formats shall be acceptable.

31. DFARS 252.219-7004 SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (TEST PROGRAM) (JUN 1997)

(a) Definition. "Subcontract," as used in this clause, means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

(b) The Offeror's comprehensive small business subcontracting plan and its successors, which are authorized by and approved under the test program of Section 834 of Pub. L. 101-189, shall be included in and made a part of the resultant contract. Upon expulsion from the test program or expiration of the test program, the Contractor shall negotiate an individual subcontracting plan for all future contracts that meet the requirements of Section 211 of Publ. L. 95-507.

(c) The Contractor shall submit Standard Form 295, Summary Subcontract Report, in accordance with the instructions on the form, except--

(1) One copy of SF 295 and attachments shall be submitted to Director, Small and Disadvantaged Business Utilization, Office of the Deputy Under Secretary of Defense (International and Commercial Programs), 3061 Defense Pentagon, Room 2A338, Washington, DC 20301-3061; and

(2) Item 14, Remarks, shall be completed to include semi-annual cumulative--

(1) Small business, small disadvantaged business and women-owned small business goals; and

(2) Small business and small disadvantaged business goals, actual accomplishments, and percentages for each of the two designated industry categories.

(d) The failure of the Contractor or subcontractor to comply in good faith with (1) the clause of this contract entitled "Utilization of Small, Small Disadvantaged and Women-Owned Small Business Concerns," or (2) an approved plan required by this clause, shall be a material breach of the contract.

32. *FAR 52.222-3 CONVICT LABOR (AUG 1996)

The Contractor agrees not to employ in the performance of this contract any person undergoing a sentence of imprisonment which has been imposed by any court of a State, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, or the Trust Territory of the Pacific Islands. This limitation, however, shall not prohibit the employment by the Contractor in the performance of this contract of persons on parole or probation to work at paid employment during the term of their sentence or persons who have been pardoned or who have served their terms. Nor shall it prohibit the employment by the Contractor in the performance of this contract of persons confined for violation of the laws of any of the States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, or the Trust Territory of the Pacific Islands who are authorized to work at paid employment in the community under the laws of such jurisdiction, if--

- (a) (1) The worker is paid or is in an approved work training program on a voluntary basis;
 - (2) Representatives of local union central bodies or similar labor union organizations have been consulted;
 - (3) Such paid employment will not result in the displacement of employed workers, or be applied in skills, crafts, or trades in which there is a surplus of available gainful labor in the locality, or impair existing contracts for services; and
 - (4) The rates of pay and other conditions of employment will not be less than those paid or provided for work of a similar nature in the locality in which the work is being performed; and
- (b) The Attorney General of the United States has certified that the work-release laws or regulations of the jurisdiction involved are in conformity with the requirements of Executive Order 11755, as amended by Executive Orders 12608 and 12943.

33. *FAR 52.222-4 CONTRACT WORK HOURS AND SAFETY STANDARDS ACT—OVERTIME COMPENSATION (SEPT 2000)

(a) *Overtime requirements.* No Contractor or subcontractor employing laborers or mechanics (see Federal Acquisition Regulation 22.300) shall require or permit them to work over 40 hours in any workweek unless they are paid at least 1 and 1/2 times the basic rate of pay for each hour worked over 40 hours.

(b) *Violation; liability for unpaid wages; liquidated damages.* The responsible Contractor and subcontractor are liable for unpaid wages if they violate the terms in paragraph (a) of this clause. In addition, the Contractor and subcontractor are liable for liquidated damages payable to the Government. The Contracting Officer will assess liquidated damages at the rate of \$10 per affected employee for each calendar day on which the employer required or permitted the employee to work in excess of the standard workweek of 40 hours without paying overtime wages required by the Contract Work Hours and Safety Standards Act.

(c) *Withholding for unpaid wages and liquidated damages.* The Contracting Officer will withhold from payments due under the contract sufficient funds required to satisfy any Contractor or subcontractor liabilities for unpaid wages and liquidated damages. If amounts withheld under the contract are insufficient to satisfy Contractor or subcontractor liabilities, the Contracting Officer will withhold payments from other Federal or Federally assisted contracts held by the same Contractor that are subject to the Contract Work Hours and Safety Standards Act.

(d) *Payrolls and basic records.* (1) The Contractor and its subcontractors shall maintain payrolls and basic payroll records for all laborers and mechanics working on the contract during the contract and shall make them available to the Government until 3 years after contract completion. The records shall contain the name and address of each employee, social security number, labor classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. The records need not duplicate those required for construction work by Department of Labor regulations at 29 CFR 5.5(a)(3) implementing the Davis-Bacon Act .

(2) The Contractor and its subcontractors shall allow authorized representatives of the Contracting Officer or the Department of Labor to inspect, copy, or transcribe records maintained under paragraph (d)(1) of this clause. The Contractor or subcontractor also shall allow authorized representatives of the Contracting Officer or Department of Labor to interview employees in the workplace during working hours.

(e) *Subcontracts.* The Contractor shall insert the provisions set forth in paragraphs (a) through (d) of this

clause in subcontracts exceeding \$100,000 and require subcontractors to include these provisions in any lower-tier subcontracts. The Contractor shall be responsible for compliance by any subcontractor or lower-tier subcontractor with the provisions set forth in paragraphs (a) through (d) of this clause.
(End of clause)

34. *FAR 52.222-6 DAVIS-BACON ACT (FEB 1995)

(a) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (d) of this clause; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such period. Such laborers and mechanics shall be paid not less than the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in the clause entitled Apprentices and Trainees. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph (b) of this clause) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(b) (1) The Contracting Officer shall require that any class of laborers or mechanics, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The Contracting Officer shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination.

(ii) The classification is utilized in the area by the construction industry.

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the Contractor and laborers and mechanics to be employed in the classification (if known), or their representatives, and the Contracting Officer agree on the classification and wage rate (including the amount designated for fringe benefits, where appropriate), a report of the action taken shall be sent by the Contracting Officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator or an authorized representative will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

(3) In the event the Contractor, the laborers or mechanics to be employed in the classification, or their representatives, and the Contracting Officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the Contracting Officer shall refer the questions, including the views of all interested parties and the recommendation of the Contracting Officer, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits, where appropriate) determined pursuant to subparagraphs (b)(2) and (b)(3) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(c) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(d) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; provided, that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

35. *FAR 52.222-7 WITHHOLDING OF FUNDS (FEB 1988)

The Contracting Officer shall, upon his or her own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same Prime Contractor, or any other Federally assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same Prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

36. *FAR 52.222-8 PAYROLLS AND BASIC RECORDS (FEB 1988)

(a) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of 3 years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under paragraph (d) of the clause entitled Davis-Bacon Act, that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(b) (1) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under paragraph (a) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. The Prime Contractor is responsible for the submission of copies of payrolls by all subcontractors.

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify--

(i) That the payroll for the payroll period contains the information required to be maintained under paragraph (a) of this clause and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate,

either directly or indirectly, and that no deductions have been made either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR Part 3; and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph (b)(2) of this clause.

(4) The falsification of any of the certifications in this clause may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.

(c) The Contractor or subcontractor shall make the records required under paragraph (a) of this clause available for inspection, copying, or transcription by the Contracting Officer or authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit the Contracting Officer or representatives of the Contracting Officer or the Department of Labor to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit required records or to make them available, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

37. *FAR 52.222-9 APPRENTICES AND TRAINEES (FEB 1988)

(a) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a Contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will not longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(b) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater

than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(c) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

38. *FAR 52.222-10 COMPLIANCE WITH COPELAND ACT REQUIREMENTS (FEB 1988)

The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

39. *FAR 52.222-11 SUBCONTRACTS (LABOR STANDARDS) (FEB 1988)

(a) The Contractor or subcontractor shall insert in any subcontracts the clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Withholding of Funds, Subcontracts (Labor Standards), Contract Termination--Debarment, Disputes Concerning Labor Standards, Compliance with Davis-Bacon and Related Act Regulations, and Certification of Eligibility, and such other clauses as the Contracting Officer may, by appropriate instructions, require, and also a clause requiring subcontractors to include these clauses in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with all the contract clauses cited in this paragraph.

(b) (1) Within 14 days after award of the contract, the Contractor shall deliver to the Contracting Officer a completed Statement and Acknowledgment Form (SF 1413) for each subcontract, including the subcontractor's signed and dated acknowledgment that the clauses set forth in paragraph (a) of this clause have been included in the subcontract.

(2) Within 14 days after the award of any subsequently awarded subcontract the Contractor shall deliver to the Contracting Officer an updated completed SF 1413 for such additional subcontract.

40. *FAR 52.222-12 CONTRACT TERMINATION--DEBARMENT (FEB 1988)

A breach of the contract clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Subcontracts (Labor Standards), Compliance with Davis-Bacon and Related Act Regulations, or Certification of Eligibility may be grounds for termination of the contract, and for debarment as a Contractor and subcontractor as provided in 29 CFR 5.12.

41. *FAR 52.222-13 COMPLIANCE WITH DAVIS-BACON AND RELATED ACT REGULATIONS (FEB 1988)

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are hereby incorporated by reference in this contract.

42. *FAR 52.222-14 DISPUTES CONCERNING LABOR STANDARDS (FEB 1988)

The United States Department of Labor has set forth in 29 CFR Parts 5, 6, and 7 procedures for resolving disputes concerning labor standards requirements. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency the U.S. Department of Labor, or the employees of their representatives.

43. *FAR 52.222-15 CERTIFICATION OF ELIGIBILITY (FEB 1988)

(a) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(b) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(c) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

44. *FAR 52.222-26 EQUAL OPPORTUNITY (APR 2002)

(a) *Definition.* "United States," as used in this clause, means the 50 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, and Wake Island.

(b) If, during any 12-month period (including the 12 months preceding the award of this contract), the Contractor has been or is awarded nonexempt Federal contracts and/or subcontracts that have an aggregate value in excess of \$10,000, the Contractor shall comply with paragraphs (b)(1) through (b)(11) of this clause, except for work performed outside the United States by employees who were not recruited within the United States. Upon request, the Contractor shall provide information necessary to determine the applicability of this clause.

(1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. However, it shall not be a violation of this clause for the Contractor to extend a publicly announced preference in employment to Indians living on or near an Indian reservation, in connection with employment opportunities on or near an Indian reservation, as permitted by 41 CFR 60-1.5.

(2) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. This shall include, but not be limited to—

- (i) Employment;
- (ii) Upgrading;
- (iii) Demotion;
- (iv) Transfer;
- (v) Recruitment or recruitment advertising;
- (vi) Layoff or termination;
- (vii) Rates of pay or other forms of compensation; and
- (viii) Selection for training, including apprenticeship.

(3) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.

(4) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.

(5) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.

(6) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.

(7) The Contractor shall furnish to the contracting agency all information required by Executive Order 11246, as amended, and by the rules, regulations, and orders of the Secretary of Labor. The Contractor shall also file Standard Form 100 (EEO-1), or any successor form, as prescribed in 41 CFR part 60-1. Unless the Contractor has filed within the 12 months preceding the date of contract award, the Contractor shall, within 30 days after contract award, apply to either the regional Office of Federal Contract Compliance Programs (OFCCP) or the local office of the Equal Employment Opportunity Commission for the necessary forms.

(8) The Contractor shall permit access to its premises, during normal business hours, by the contracting agency or the OFCCP for the purpose of conducting on-site compliance evaluations and complaint investigations. The Contractor shall permit the Government to inspect and copy any books, accounts, records (including computerized records), and other material that may be relevant to the matter under investigation and pertinent to compliance with Executive Order 11246, as amended, and rules and regulations that implement the Executive Order.

(9) If the OFCCP determines that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts, under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended; in the rules, regulations, and orders of the Secretary of Labor; or as otherwise provided by law.

(10) The Contractor shall include the terms and conditions of paragraphs (b)(1) through (11) of this clause in every subcontract or purchase order that is not exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor.

(11) The Contractor shall take such action with respect to any subcontract or purchase order as the Contracting Officer may direct as a means of enforcing these terms and conditions, including sanctions for noncompliance, provided, that if the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of any direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.

(c) Notwithstanding any other clause in this contract, disputes relative to this clause will be governed by the procedures in 41 CFR 60-1.1.

(End of clause)

45. *FAR 52.222-27 AFFIRMATIVE ACTION COMPLIANCE REQUIREMENTS FOR CONSTRUCTION (FEB 1999)

(a) Definitions.

"Covered area," as used in this clause, means the geographical area described in the solicitation for this contract.

"Deputy Assistant Secretary," as used in this clause, means the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, or a designee

"Employer's identification number," as used in this clause, means the Federal Social Security number used on the employer's quarterly Federal tax return, U.S. Treasury Department Form 941.

"Minority," as used in this clause, means--

(1) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

- (2) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands);
- (3) Black (all persons having origins in any of the black African racial groups not of Hispanic origin); and
- (4) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race).

(b) If the Contractor, or a subcontractor at any tier, subcontracts a portion of the work involving any construction trade each such subcontract in excess of \$10,000 shall include this clause and the Notice containing the goals for minority and female participation stated in the solicitation for this contract.

(c) If the Contractor is participating in a Hometown Plan (41 CFR 60-4) approved by the U.S. Department of Labor in a covered area, either individually or through an association, its affirmative action obligations on all work in the plan area (including goals) shall comply with the plan for those trades that have unions participating in the plan. Contractors must be able to demonstrate participation in, and compliance with, the provisions of the plan. Each Contractor or subcontractor participating in an approved plan is also required to comply with its obligations under the Equal Opportunity clause, and to make a good faith effort to achieve each goal under the plan in each trade in which it has employees. The overall good-faith performance by other Contractors or subcontractors toward a goal in an approved plan does not excuse any Contractor's or subcontractor's failure to make good-faith efforts to achieve the plan's goals.

(d) The Contractor shall implement the affirmative action procedures in subparagraphs (g)(1) through (16) of this clause. The goals stated in the solicitation for this contract are expressed as percentages of the total hours of employment and training of minority and female utilization that the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for the geographical area where that work is actually performed. The Contractor is expected to make substantially uniform progress toward its goals in each craft.

(e) Neither the terms and conditions of any collective bargaining agreement, nor the failure by a union with which the Contractor has a collective bargaining agreement, to refer minorities or women shall excuse the Contractor's obligations under this clause, Executive Order 11246, as amended, or the regulations thereunder.

(f) In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

(g) The Contractor shall take affirmative action to ensure equal employment opportunity. The evaluation of the Contractor's compliance with this clause shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully and implement affirmative action steps at least as extensive as the following:

- (1) Ensure a working environment free of harassment, intimidation, and coercion at all sites and in all facilities where the Contractor's employees are assigned to work. The Contractor, if possible, will assign two or more women to each construction project. The Contractor shall ensure that foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at these sites or facilities.

- (2) Establish and maintain a current list of sources for minority and female recruitment. Provide written notification to minority and female recruitment sources and community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.

- (3) Establish and maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant, referrals of minorities or females from unions, recruitment sources, or community organizations, and the action taken with respect to each individual. If an individual was sent to the union hiring hall for referral and not referred back to the Contractor by the union or, if referred back, not employed by the Contractor, this shall be documented in the file, along with whatever additional actions the Contractor may have taken.

- (4) Immediately notify the Deputy Assistant Secretary when the union or unions with which the Contractor has a collective bargaining agreement has not referred back to the Contractor a minority or woman

sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.

(5) Develop on-the-job training opportunities and/or participate in training programs for the area that expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under subparagraph (g)(2) of this clause.

(6) Disseminate the Contractor's equal employment policy by--

- (i) Providing notice of the policy to unions and to training, recruitment, and outreach programs, and requesting their cooperation in assisting the Contractor in meeting its contract obligations;
- (ii) Including the policy in any policy manual and in collective bargaining agreements;
- (iii) Publicizing the policy in the company newspaper, annual report, etc.;
- (iv) Reviewing the policy with all management personnel and with all minority and female employees at least once a year; and
- (v) Posting the policy on bulletin boards accessible to employees at each location where construction work is performed.

(7) Review, at least annually, the Contractor's equal employment policy and affirmative action obligations with all employees having responsibility for hiring, assignment, layoff, termination, or other employment decisions. Conduct review of this policy with all on-site supervisory personnel before initiating construction work at a job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

(8) Disseminate the Contractor's equal employment policy externally by including it in any advertising in the news media, specifically including minority and female news media. Provide written notification to, and discuss this policy with, other Contractors and subcontractors with which the Contractor does or anticipates doing business.

(9) Direct recruitment efforts, both oral and written, to minority, female, and community organizations, to schools with minority and female students, and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than 1 month before the date for acceptance of applications for apprenticeship or training by any recruitment source, send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.

(10) Encourage present minority and female employees to recruit minority persons and women. Where reasonable, provide after-school, summer, and vacation employment to minority and female youth both on the site and in other areas of the Contractor's workforce.

(11) Validate all tests and other selection requirements where required under 41 CFR 60-3.

(12) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities. Encourage these employees to seek or to prepare for, through appropriate training, etc., opportunities for promotion.

(13) Ensure that seniority practices job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the Contractor's obligations under this contract are being carried out.

(14) Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

(15) Maintain a record of solicitations for subcontracts for minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.

(16) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's equal employment policy and affirmative action obligations.

(h) The Contractor is encouraged to participate in voluntary associations that may assist in fulfilling one or more of the affirmative action obligations contained in subparagraphs (g)(1) through (16) of this clause. The efforts of a contractor association, joint contractor-union, contractor-community, or similar group of which the contractor is a member and participant may be asserted as fulfilling one or more of its obligations under subparagraphs (g)(1) through (16) of this clause, provided the Contractor--

(1) Actively participates in the group;
(2) Makes every effort to ensure that the group has a positive impact on the employment of minorities and women in the industry;
(3) Ensures that concrete benefits of the program are reflected in the Contractor's minority and female workforce participation;
(4) Makes a good-faith effort to meet its individual goals and timetables; and
(5) Can provide access to documentation that demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply is the Contractor's, and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

(i) A single goal for minorities and a separate single goal for women shall be established. The Contractor is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and nonminority. Consequently, the Contractor may be in violation of Executive Order 11246, as amended, if a particular group is employed in a substantially disparate manner.

(j) The Contractor shall not use goals or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.

(k) The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts under Executive Order 11246, as amended.

(l) The Contractor shall carry out such sanctions and penalties for violation of this clause and of the Equal Opportunity clause, including suspension, termination, and cancellation of existing subcontracts, as may be imposed or ordered under Executive Order 11246, as amended, and its implementing regulations, by the OFCCP. Any failure to carry out these sanctions and penalties as ordered shall be a violation of this clause and Executive Order 11246, as amended.

(m) The Contractor in fulfilling its obligations under this clause shall implement affirmative action procedures at least as extensive as those prescribed in paragraph (g) of this clause, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of Executive Order 11246, as amended, the implementing regulations, or this clause, the Deputy Assistant Secretary shall take action as prescribed in 41 CFR 60-4.8.

(n) The Contractor shall designate a responsible official to--

(1) Monitor all employment-related activity to ensure that the Contractor's equal employment policy is being carried out;

(2) Submit reports as may be required by the Government; and

(3) Keep records that shall at least include for each employee the name, address, telephone number, construction trade, union affiliation (if any), employee identification number, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, separate records are not required to be maintained.

(o) Nothing contained herein shall be construed as a limitation upon the application of other laws that establish different standards of compliance or upon the requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

46. *FAR 52.222-35 EQUAL OPPORTUNITY FOR SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

(a) *Definitions.* As used in this clause—

“All employment openings” means all positions except executive and top management, those positions that will be filled from within the Contractor's organization, and positions lasting 3 days or less. This term includes full-time employment, temporary employment of more than 3 days duration, and part-time employment.

“Executive and top management” means any employee—

(1) Whose primary duty consists of the management of the enterprise in which the individual is employed or of a customarily recognized department or subdivision thereof;

(2) Who customarily and regularly directs the work of two or more other employees;

(3) Who has the authority to hire or fire other employees or whose suggestions and recommendations as to the hiring or firing and as to the advancement and promotion or any other change of status of other employees will be given particular weight;

(4) Who customarily and regularly exercises discretionary powers; and

(5) Who does not devote more than 20 percent or, in the case of an employee of a retail or service establishment, who does not devote more than 40 percent of total hours of work in the work week to activities that are not directly and closely related to the performance of the work described in paragraphs (1) through (4) of this definition. This paragraph (5) does not apply in the case of an employee who is in sole charge of an establishment or a physically separated branch establishment, or who owns at least a 20 percent interest in the enterprise in which the individual is employed.

“Other eligible veteran” means any other veteran who served on active duty during a war or in a campaign or expedition for which a campaign badge has been authorized.

“Positions that will be filled from within the Contractor's organization” means employment openings for which the Contractor will give no consideration to persons outside the Contractor's organization (including any affiliates, subsidiaries, and parent companies) and includes any openings the Contractor proposes to fill from regularly established “recall” lists. The exception does not apply to a particular opening once an employer decides to consider applicants outside of its organization.

“Qualified special disabled veteran” means a special disabled veteran who satisfies the requisite skill, experience, education, and other job-related requirements of the employment position such veteran holds or desires, and who, with or without reasonable accommodation, can perform the essential functions of such position.

“Special disabled veteran” means—

(1) A veteran who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Department of Veterans Affairs for a disability—

(i) Rated at 30 percent or more; or

(ii) Rated at 10 or 20 percent in the case of a veteran who has been determined under 38 U.S.C. 3106 to have a serious employment handicap (*i.e.*, a significant impairment of the veteran's ability to prepare for, obtain, or retain employment consistent with the veteran's abilities, aptitudes, and interests); or

(2) A person who was discharged or released from active duty because of a service-connected disability.

“Veteran of the Vietnam era” means a person who—

(1) Served on active duty for a period of more than 180 days and was discharged or released from active duty with other than a dishonorable discharge, if any part of such active duty occurred—

(i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or

(ii) Between August 5, 1964, and May 7, 1975, in all other cases; or

(2) Was discharged or released from active duty for a service-connected disability if any part of the active duty was performed—

(i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or

(ii) Between August 5, 1964, and May 7, 1975, in all other cases.

(b) *General.* (1) The Contractor shall not discriminate against the individual because the individual is a special disabled veteran, a veteran of the Vietnam era, or other eligible veteran, regarding any position for which the employee or applicant for employment is qualified. The Contractor shall take affirmative action to employ, advance in employment, and otherwise treat qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans without discrimination based upon their disability or veterans' status in all employment practices such as—

(i) Recruitment, advertising, and job application procedures;

(ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring;

(iii) Rate of pay or any other form of compensation and changes in compensation;

(iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;

(v) Leaves of absence, sick leave, or any other leave;

(vi) Fringe benefits available by virtue of employment, whether or not administered by

the Contractor;

(vii) Selection and financial support for training, including apprenticeship, and on-the-job training under 38 U.S.C. 3687, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;

(viii) Activities sponsored by the Contractor including social or recreational programs;

and

(ix) Any other term, condition, or privilege of employment.

(2) The Contractor shall comply with the rules, regulations, and relevant orders of the Secretary of Labor issued under the Vietnam Era Veterans' Readjustment Assistance Act of 1972 (the Act), as amended (38 U.S.C. 4211 and 4212).

(c) *Listing openings.* (1) The Contractor shall immediately list all employment openings that exist at the time of the execution of this contract and those which occur during the performance of this contract, including those not generated by this contract, and including those occurring at an establishment of the Contractor other than the one where the contract is being performed, but excluding those of independently operated corporate affiliates, at an appropriate local public employment service office of the State wherein the opening occurs. Listing employment openings with the U.S. Department of Labor's America's Job Bank shall satisfy the requirement to list jobs with the local employment service office.

(2) The Contractor shall make the listing of employment openings with the local employment service office at least concurrently with using any other recruitment source or effort and shall involve the normal obligations of placing a bona fide job order, including accepting referrals of veterans and nonveterans. This listing of employment openings does not require hiring any particular job applicant or hiring from any particular group of job applicants and is not intended to relieve the Contractor from any requirements of Executive orders or regulations concerning nondiscrimination in employment.

(3) Whenever the Contractor becomes contractually bound to the listing terms of this clause, it shall advise the State public employment agency in each State where it has establishments of the name and location of each hiring location in the State. As long as the Contractor is contractually bound to these terms and has so advised the State agency, it need not advise the State agency of subsequent contracts. The Contractor may advise the State agency when it is no longer bound by this contract clause.

(d) *Applicability.* This clause does not apply to the listing of employment openings that occur and are filled outside the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Virgin Islands of the United States, and Wake Island.

(e) *Postings.* (1) The Contractor shall post employment notices in conspicuous places that are available to employees and applicants for employment.

(2) The employment notices shall—

(i) State the rights of applicants and employees as well as the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants who are special disabled veterans, veterans of the Vietnam era, and other eligible veterans; and

(ii) Be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, Department of Labor (Deputy Assistant Secretary of Labor), and provided by or through the Contracting Officer.

(3) The Contractor shall ensure that applicants or employees who are special disabled veterans are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled veteran, or may lower the posted notice so that it can be read by a person in a wheelchair).

(4) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement, or other contract understanding, that the Contractor is bound by the terms of the Act and is committed to take affirmative action to employ, and advance in employment, qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans.

(f) *Noncompliance.* If the Contractor does not comply with the requirements of this clause, the Government may take appropriate actions under the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the Act.

(g) *Subcontracts.* The Contractor shall insert the terms of this clause in all subcontracts or purchase orders of \$25,000 or more unless exempted by rules, regulations, or orders of the Secretary of Labor. The Contractor shall act as specified by the Deputy Assistant Secretary of Labor to enforce the terms, including action for

noncompliance.
(End of clause)

47. *FAR 52.222-36 AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES (JUN 1998)

(a) General.

(1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against any employee or applicant because of physical or mental disability. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified individuals with disabilities without discrimination based upon their physical or mental disability in all employment practices such as--

- (i) Recruitment, advertising, and job application procedures;
- (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff, and rehiring;
- (iii) Rates of pay or other forms of compensation and changes in compensation;
- (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- (v) Leaves of absence, sick leave, or any other leave;
- (vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;
- (vii) Selection and financial support for training, including apprenticeships, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;
- (viii) Activities sponsored by the Contractor, including social or recreational programs; and
- (ix) Any other term, condition, or privilege of employment.

(2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Rehabilitation Act of 1973 (29 U.S.C. 793) (the Act), as amended.

(b) Postings.

- (1) The Contractor agrees to post employment notices stating--
 - (i) The Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified individuals with disabilities; and
 - (ii) The rights of applicants and employees.
- (2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. The Contractor shall ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor may have the notice read to visually disabled individual, or may lower the posted notice so that it might be read by a person in a wheelchair). The notices shall be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance of the U.S. Department of Labor (Deputy Assistant Secretary) and shall be provided by or through the Contracting Officer.
- (3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of Section 503 of the Act and is committed to take affirmative action to employ, and advance in employment, qualified individuals with physical or mental disabilities.

(c) Noncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.

(d) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order in excess of \$10,000 unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Deputy Assistant Secretary to enforce the terms, including action for noncompliance.

48. *FAR 52.222-37 EMPLOYMENT REPORTS ON SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

(a) Unless the Contractor is a State or local government agency, the Contractor shall report at least annually, as required by the Secretary of Labor, on—

(1) The number of special disabled veterans, the number of veterans of the Vietnam era, and other eligible veterans in the workforce of the Contractor by job category and hiring location; and

(2) The total number of new employees hired during the period covered by the report, and of the total, the number of special disabled veterans, the number of veterans of the Vietnam era, and the number of other eligible veterans; and

(3) The maximum number and the minimum number of employees of the Contractor during the period covered by the report.

(b) The Contractor shall report the above items by completing the Form VETS-100, entitled “Federal Contractor Veterans’ Employment Report (VETS-100 Report)”.

(c) The Contractor shall submit VETS-100 Reports no later than September 30 of each year beginning September 30, 1988.

(d) The employment activity report required by paragraph (a)(2) of this clause shall reflect total hires during the most recent 12-month period as of the ending date selected for the employment profile report required by paragraph (a)(1) of this clause. Contractors may select an ending date—

(1) As of the end of any pay period between July 1 and August 31 of the year the report is due; or

(2) As of December 31, if the Contractor has prior written approval from the Equal Employment Opportunity Commission to do so for purposes of submitting the Employer Information Report EEO-1 (Standard Form 100).

(e) The Contractor shall base the count of veterans reported according to paragraph (a) of this clause on voluntary disclosure. Each Contractor subject to the reporting requirements at 38 U.S.C. 4212 shall invite all special disabled veterans, veterans of the Vietnam era, and other eligible veterans who wish to benefit under the affirmative action program at 38 U.S.C. 4212 to identify themselves to the Contractor. The invitation shall state that—

(1) The information is voluntarily provided;

(2) The information will be kept confidential;

(3) Disclosure or refusal to provide the information will not subject the applicant or employee to any adverse treatment; and

(4) The information will be used only in accordance with the regulations promulgated under 38 U.S.C. 4212.

(f) The Contractor shall insert the terms of this clause in all subcontracts or purchase orders of \$25,000 or more unless exempted by rules, regulations, or orders of the Secretary of Labor.

(End of clause)

49. *FAR 52.222-38 COMPLIANCE WITH VETERANS’ EMPLOYMENT REPORTING REQUIREMENTS (DEC 2001)

By submission of its offer, the offeror represents that, if it is subject to the reporting requirements of 38 U.S.C. 4212(d) (*i.e.*, if it has any contract containing Federal Acquisition Regulation clause 52.222-37, Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans), it has submitted the most recent VETS-100 Report required by that clause.

(End of provision)

50. *FAR 52.223-5 POLLUTION PREVENTION AND RIGHT-TO-KNOW INFORMATION (APR 1998) [For Work on Federal Facilities]

(a) Executive Order 12856 of August 3, 1993, requires Federal facilities to comply with the provisions of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11001-11050) and the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13101-13109).

(b) The Contractor shall provide all information needed by the Federal facility to comply with the emergency planning reporting requirements of Section 302 of EPCRA; the emergency notice requirements of Section 304 of EPCRA; the list of Material Safety Data Sheets required by Section 311 of EPCRA; the emergency and hazardous chemical inventory forms of Section 312 of EPCRA; the toxic chemical release inventory of Section 313 of EPCRA, which includes the reduction and recycling information required by Section 6607 of PPA; and the toxic chemical reduction goals requirements of Section 3-302 of Executive Order 12856.

51. *FAR 52.223-6 DRUG-FREE WORKPLACE (MAY 2001)

(a) Definitions. As used in this clause--

"Controlled substance" means a controlled substance in schedules I through V of section 202 of the Controlled Substances Act (21 U.S.C. 812) and as further defined in regulation at 21 CFR 1308.11 - 1308.15.

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes.

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession or use of any controlled substance.

"Drug-free workplace" means the site(s) for the performance of work done by the Contractor in connection with a specific contract where employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.

"Employee" means an employee of a Contractor directly engaged in the performance of work under a Government contract. "Directly engaged" is defined to include all direct employees and any other Contractor employee who has other than a minimal impact or involvement in contract performance.

"Individual" means an offeror/contractor that has no more than one employee including the offeror/contractor.

(b) The Contractor, if other than an individual, shall--within 30 days after award (unless a longer period is agreed to in writing for contracts of 30 days or more performance duration), or as soon as possible for contracts of less than 30 days performance duration--

(1) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;

(2) Establish an ongoing drug-free awareness program to inform such employees about--

(i) The dangers of drug abuse in the workplace;

(ii) The Contractor's policy of maintaining a drug-free workplace;

(iii) Any available drug counseling, rehabilitation, and employee assistance

programs; and

(iv) The penalties that may be imposed upon employees for drug abuse violations

occurring in the workplace.

(3) Provide all employees engaged in performance of the contract with a copy of the statement required by subparagraph (b)(1) of this clause;

(4) Notify such employees in writing in the statement required by subparagraph (b)(1) of this clause that, as a condition of continued employment on this contract, the employee will--

(i) Abide by the terms of the statement; and

(ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than 5 days after such conviction.

(5) Notify the Contracting Officer in writing within 10 days after receiving notice under subdivision (b)(4)(ii) of this clause, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee;

(6) Within 30 days after receiving notice under subdivision (b)(4)(ii) of this clause of a conviction, take one of the following actions with respect to any employee who is convicted of a drug abuse violation occurring in the workplace:

(i) Taking appropriate personnel action against such employee, up to and including termination; or

(ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

(7) Make a good faith effort to maintain a drug-free workplace through implementation of subparagraphs (b)(1) through (b)(6) of this clause.

(c) The Contractor, if an individual, agrees by award of the contract or acceptance of a purchase order, not to engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance while performing this contract.

(d) In addition to other remedies available to the Government, the Contractor's failure to comply with the requirements of paragraph (b) or (c) of this clause may, pursuant to FAR 23.560, render the Contractor subject to suspension of contract payments, termination of the contract for default, and suspension or debarment.

52. FAR 52.223-9 ESTIMATE OF PERCENTAGE OF RECOVERED MATERIAL CONTENT FOR EPA-DESIGNATED PRODUCTS (AUG 2000) [For Contracts exceeding \$100,000. EPA Designated product (available at <http://www.epa.gov/cpg/>)]

(a) Definitions. As used in this clause—

“Postconsumer material” means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of “recovered material.”

“Recovered material” means waste materials and by-products recovered or diverted from solid waste, but the term does not include those materials and by-products generated from, and commonly reused within, an original manufacturing process.

(b) The Contractor, on completion of this contract, shall—

(1) Estimate the percentage of the total recovered material used in contract performance, including, if applicable, the percentage of postconsumer material content; and

(2) Submit this estimate to the Contracting Officer.

(End of clause)

53. *FAR 52.223-14 TOXIC CHEMICAL RELEASE REPORTING (OCT 2000) [For Contracts Over \$100,000]

(a) Unless otherwise exempt, the Contractor, as owner or operator of a facility used in the performance of this contract, shall file by July 1 for the prior calendar year an annual Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023(a) and (g)), and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106). The Contractor shall file, for each facility subject to the Form R filing and reporting requirements, the annual Form R throughout the life of the contract.

(b) A Contractor owned or operated facility use in the performance of this contract is exempt from the requirement to file an annual Form R if--

(1) The facility does not manufacture, process or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);

(2) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);

(3) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);

(4) The facility does not fall within Standard Industrial Classification Code (SIC) major groups 20 through 39 or their corresponding North American Industry Classification System (NAICS) sectors 31 through 33; or

(5) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.

(c) If the Contractor has certified to an exemption in accordance with one or more of the criteria in paragraph (b) of this clause, and after award of the contract circumstances change so that any one of its owned or operated facilities used in the performance of this contract is no longer exempt-

(1) The Contractor shall notify the Contracting Officer;

and

(2) The Contractor, as owner or operator of a facility used in the performance of this contract is no longer exempt, shall (i) submit a Toxic Chemical Release Inventory Form (Form R) on or before July 1 for the prior calendar year during which the facility becomes eligible; and (ii) continue to file the annual Form R for the life of the contract for such facility.

(d) The Contracting Officer may terminate this contract or take other action as appropriate, if the Contractor fails to comply accurately and fully with the EPCRA and PPA toxic chemical release filing and reporting requirements.

(e) Except for acquisitions of commercial items, as defined in FAR Part 2, the Contractor shall-

(1) For competitive subcontracts expected to exceed \$100,000 (including all options), include a solicitation provision substantially the same as the provision at FAR 52.223-13, Certification of Toxic Chemical Release Reporting; and

(2) Include in any resultant subcontract exceeding \$100,000 (including all options), the substance of this clause, except this paragraph (e).

54. RESERVED

55. DFARS 252.223-7006 PROHIBITION ON STORAGE AND DISPOSAL OF TOXIC AND HAZARDOUS MATERIALS (APR 1993)

(a) Definitions. As used in this clause--

(1) "Storage" means a non-transitory, semi-permanent or permanent holding, placement, or leaving of material. It does not include a temporary accumulation of a limited quantity of a material used in or a waste generated or resulting from authorized activities, such as servicing, maintenance, or repair of Department of Defense (DoD) items, equipment, or facilities.

(2) "Toxic or hazardous materials" means:

(i) Materials referred to in section 101(14) of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980 (42 U.S.C. 9601(14)) and materials designated under section 102 of CERCLA (42 U.S.C. 9602) (40 CFR Part 302);

(ii) Materials that are of an explosive, flammable, or pyrotechnic nature; or

(iii) Materials otherwise identified by the Secretary of Defense as specified in DoD regulations.

(b) In accordance with 10 U.S.C. 2692, the Contractor is prohibited from storing or disposing of non-DoD-owned toxic or hazardous materials on a DoD installation, except to the extent authorized by a statutory exception to 10 U.S.C. 2692 or as authorized by the Secretary of Defense or his designee.

56. *FAR 52.225-9 BUY AMERICAN ACT—CONSTRUCTION MATERIALS (MAY 2002) (For Contracts less than \$6.806 million)

(a) *Definitions.* As used in this clause—

“Component” means an article, material, or supply incorporated directly into a construction material.

“Construction material” means an article, material, or supply brought to the construction site by the Contractor or a subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

“Cost of components” means—

(1) For components purchased by the Contractor, the acquisition cost, including transportation costs to the place of incorporation into the construction material (whether or not such costs are paid to a domestic firm), and any applicable duty (whether or not a duty-free entry certificate is issued); or

(2) For components manufactured by the Contractor, all costs associated with the manufacture of the component, including transportation costs as described in paragraph (1) of this definition, plus allocable overhead costs, but excluding profit. Cost of components does not include any costs associated with the manufacture of the end product.

“Domestic construction material” means—

(1) An unmanufactured construction material mined or produced in the United States; or

(2) A construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind for which nonavailability determinations have been made are treated as domestic.

“Foreign construction material” means a construction material other than a domestic construction material.

“United States” means the 50 States and the District of Columbia, U.S. territories and possessions, Puerto Rico, the Northern Mariana Islands, and any other place subject to U.S. jurisdiction, but does not include leased bases.

(b) *Domestic preference.* (1) This clause implements the Buy American Act (41 U.S.C. 10a - 10d) by providing a preference for domestic construction material. The Contractor shall use only domestic construction material in performing this contract, except as provided in paragraphs (b)(2) and (b)(3) of this clause.

(2) This requirement does not apply to the construction material or components listed by the Government as follows:

[Contracting Officer to list applicable excepted materials or indicate “none”]

(3) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(2) of this clause if the Government determines that—

(i) The cost of domestic construction material would be unreasonable. The cost of a particular domestic construction material subject to the requirements of the Buy American Act is unreasonable when the cost of such material exceeds the cost of foreign material by more than 6 percent;

(ii) The application of the restriction of the Buy American Act to a particular construction material would be impracticable or inconsistent with the public interest; or

(iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.

(c) *Request for determination of inapplicability of the Buy American Act.* (1)(i) Any Contractor request to

use foreign construction material in accordance with paragraph (b)(3) of this clause shall include adequate information for Government evaluation of the request, including—

- (A) A description of the foreign and domestic construction materials;
- (B) Unit of measure;
- (C) Quantity;
- (D) Price;
- (E) Time of delivery or availability;
- (F) Location of the construction project;
- (G) Name and address of the proposed supplier; and
- (H) A detailed justification of the reason for use of foreign construction

materials cited in accordance with paragraph (b)(3) of this clause.

(ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause.

(iii) The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).

(iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.

(2) If the Government determines after contract award that an exception to the Buy American Act applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction material. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(3)(i) of this clause.

(3) Unless the Government determines that an exception to the Buy American Act applies, use of foreign construction material is noncompliant with the Buy American Act.

(d) *Data.* To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

FOREIGN AND DOMESTIC CONSTRUCTION MATERIALS PRICE COMPARISON			
Construction Material Description	Unit of Measure	Quantity	Price (Dollars)*
Item 1:			
Foreign construction material			
Domestic construction material	_____	_____	_____
Item 2:			
Foreign construction material			
Domestic construction material			

[List name, address, telephone number, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.]
[Include other applicable supporting information.]

[* Include all delivery costs to the construction site and any applicable duty (whether or not a duty-free entry certificate is issued).]

57. *FAR 52.225-10 NOTICE OF BUY AMERICAN ACT REQUIREMENT—CONSTRUCTION MATERIALS (MAY 2002) (Applicable with FAR 52.225-9)

(a) *Definitions.* “Construction material,” “domestic construction material,” and “foreign construction material,” as used in this provision, are defined in the clause of this solicitation entitled “Buy American Act—Construction Materials” (Federal Acquisition Regulation (FAR) clause 52.225-9).

(b) *Requests for determinations of inapplicability.* An offeror requesting a determination regarding the

inapplicability of the Buy American Act should submit the request to the Contracting Officer in time to allow a determination before submission of offers. The offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of the clause at FAR 52.225-9 in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American Act before submitting its offer, or has not received a response to a previous request, the offeror shall include the information and supporting data in the offer.

(c) *Evaluation of offers.* (1) The Government will evaluate an offer requesting exception to the requirements of the Buy American Act, based on claimed unreasonable cost of domestic construction material, by adding to the offered price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(3)(i) of the clause at FAR 52.225-9.

(2) If evaluation results in a tie between an offeror that requested the substitution of foreign construction material based on unreasonable cost and an offeror that did not request an exception, the Contracting Officer will award to the offeror that did not request an exception based on unreasonable cost.

(d) *Alternate offers.* (1) When an offer includes foreign construction material not listed by the Government in this solicitation in paragraph (b)(2) of the clause at FAR 52.225-9, the offeror also may submit an alternate offer based on use of equivalent domestic construction material.

(2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of the clause at FAR 52.225-9 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.

(3) If the Government determines that a particular exception requested in accordance with paragraph (c) of the clause at FAR 52.225-9 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic construction material, and the offeror shall be required to furnish such domestic construction material. An offer based on use of the foreign construction material for which an exception was requested—

- (i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
- (ii) May be accepted if revised during negotiations.

(End of provision)

58. *FAR 52.225-11 BUY AMERICAN ACT—CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (MAY 2002) [For Contracts more than \$6,806,000] ALTERNATE I (MAY 2002) [For Contracts between \$6.806 and 7.068419 Million]

(a) *Definitions.* As used in this clause—

“Component” means an article, material, or supply incorporated directly into a construction material.

“Construction material” means an article, material, or supply brought to the construction site by the Contractor or subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

“Cost of components” means—

(1) For components purchased by the Contractor, the acquisition cost, including transportation costs to the place of incorporation into the construction material (whether or not such costs are paid to a domestic firm), and any applicable duty (whether or not a duty-free entry certificate is issued); or

(2) For components manufactured by the Contractor, all costs associated with the manufacture of the component, including transportation costs as described in paragraph (1) of this definition, plus allocable overhead costs, but excluding profit. Cost of components does not include any costs associated with the manufacture of the end product.

“Designated country” means any of the following countries:

Aruba	Kiribati
Austria	Korea, Republic of

Bangladesh	Lesotho
Belgium	Liechtenstein
Benin	Luxembourg
Bhutan	Malawi
Botswana	Maldives
Burkina Faso	Mali
Burundi	Mozambique
Canada	Nepal
Cape Verde	Netherlands
Central African Republic	Niger
Chad	Norway
Comoros	Portugal
Denmark	Rwanda
Djibouti	Sao Tome and Principe
Equatorial Guinea	Sierra Leone
Finland	Singapore
France	Somalia
Gambia	Spain
Germany	Sweden
Greece	Switzerland
Guinea	Tanzania U.R.
Guinea-Bissau	Togo
Haiti	Tuvalu
Hong Kong	Uganda
Iceland	United Kingdom
Ireland	Vanuatu
Israel	Western Samoa
Italy	Yemen
Japan	

“Designated country construction material” means a construction material that—

- (1) Is wholly the growth, product, or manufacture of a designated country; or
- (2) In the case of a construction material that consists in whole or in part of materials from another country, has been substantially transformed in a designated country into a new and different construction material distinct from the materials from which it was transformed.

“Domestic construction material” means—

- (1) An unmanufactured construction material mined or produced in the United States; or
- (2) A construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind for which nonavailability determinations have been made are treated as domestic.

“Foreign construction material” means a construction material other than a domestic construction material.

“North American Free Trade Agreement country” means Canada or Mexico.

“North American Free Trade Agreement country construction material” means a construction material that—

- (1) Is wholly the growth, product, or manufacture of a North American Free Trade Agreement (NAFTA) country; or
- (2) In the case of a construction material that consists in whole or in part of materials from another country, has been substantially transformed in a NAFTA country into a new and different construction material distinct from the materials from which it was transformed.

“United States” means the 50 States and the District of Columbia, U.S. territories and possessions, Puerto Rico, the Northern Mariana Islands, and any other place subject to U.S. jurisdiction, but does not include leased bases.

(b) *Construction materials.* (1) This clause implements the Buy American Act (41 U.S.C. 10a - 10d) by

providing a preference for domestic construction material. In addition, the Contracting Officer has determined that the Trade Agreements Act and the North American Free Trade Agreement (NAFTA) apply to this acquisition. Therefore, the Buy American Act and Balance of Payments Program restrictions are waived for designated country and NAFTA country construction materials.

(2) The Contractor shall use only domestic, designated country, or NAFTA country construction material in performing this contract, except as provided in paragraphs (b)(3) and (b)(4) of this clause.

(3) The requirement in paragraph (b)(2) of this clause does not apply to the construction materials or components listed by the Government as follows:

[Contracting Officer to list applicable excepted materials or indicate "none"]

(4) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(3) of this clause if the Government determines that—

(i) The cost of domestic construction material would be unreasonable. The cost of a particular domestic construction material subject to the restrictions of the Buy American Act is unreasonable when the cost of such material exceeds the cost of foreign material by more than 6 percent;

(ii) The application of the restriction of the Buy American Act to a particular construction material would be impracticable or inconsistent with the public interest; or

(iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.

(c) *Request for determination of inapplicability of the Buy American Act.* (1)(i) Any Contractor request to use foreign construction material in accordance with paragraph (b)(4) of this clause shall include adequate information for Government evaluation of the request, including—

(A) A description of the foreign and domestic construction materials;

(B) Unit of measure;

(C) Quantity;

(D) Price;

(E) Time of delivery or availability;

(F) Location of the construction project;

(G) Name and address of the proposed supplier; and

(H) A detailed justification of the reason for use of foreign construction

materials cited in accordance with paragraph (b)(3) of this clause.

(ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause.

(iii) The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).

(iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.

(2) If the Government determines after contract award that an exception to the Buy American Act applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction material. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(4)(i) of this clause.

(3) Unless the Government determines that an exception to the Buy American Act applies, use of foreign construction material is noncompliant with the Buy American Act.

(d) *Data.* To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

FOREIGN AND DOMESTIC CONSTRUCTION MATERIALS PRICE COMPARISON			
Construction Material Description	Unit of Measure	Quantity	Price (Dollars)*
Item 1:			
Foreign construction material			
Domestic construction material	_____	_____	_____
Item 2:			
Foreign construction material			
Domestic construction material			

[List name, address, telephone number, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.]
[Include other applicable supporting information.]

[* Include all delivery costs to the construction site and any applicable duty (whether or not a duty-free entry certificate is issued).]

(End of clause)

Alternate I (May 2002). As prescribed in 25.1102(c)(3), delete the definitions of “North American Free Trade Agreement country” and “North American Free Trade Agreement country construction material” from the definitions in paragraph (a) of the basic clause and substitute the following paragraphs (b)(1) and (b)(2) for paragraphs (b)(1) and (b)(2) of the basic clause:

(b) *Construction materials.* (1) This clause implements the Buy American Act (41 U.S.C. 10a - 10d) by providing a preference for domestic construction material. In addition, the Contracting Officer has determined that the Trade Agreements Act applies to this acquisition. Therefore, the Buy American Act restrictions are waived for designated country construction materials.

(2) The Contractor shall use only domestic or designated country construction material in performing this contract, except as provided in paragraphs (b)(3) and (b)(4) of this clause.

59. *FAR 52.225-12 NOTICE OF BUY AMERICAN ACT REQUIREMENT—CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (MAY 2002) [Applicable with FAR 52.225-11] ALTERNATE II (MAY 2002) [For Contracts Between 6.806 and 7.068419 Million]

(a) *Definitions.* “Construction material,” “designated country construction material,” “domestic construction material,” “foreign construction material,” and “NAFTA country construction material,” as used in this provision, are defined in the clause of this solicitation entitled “Buy American Act—Construction Materials under Trade Agreements” (Federal Acquisition Regulation (FAR) clause 52.225-11).

(b) *Requests for determination of inapplicability.* An offeror requesting a determination regarding the inapplicability of the Buy American Act should submit the request to the Contracting Officer in time to allow a determination before submission of offers. The offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of FAR clause 52.225-11 in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American Act before submitting its offer, or has not received a response to a previous request, the offeror shall include the information and supporting data in the offer.

(c) *Evaluation of offers.* (1) The Government will evaluate an offer requesting exception to the requirements of the Buy American Act, based on claimed unreasonable cost of domestic construction materials, by adding to the offered price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(4)(i) of FAR clause 52.225-11.

(2) If evaluation results in a tie between an offeror that requested the substitution of foreign construction material based on unreasonable cost and an offeror that did not request an exception, the Contracting Officer will award to the offeror that did not request an exception based on unreasonable cost.

(d) *Alternate offers.* (1) When an offer includes foreign construction material, other than designated country or NAFTA country construction material, that is not listed by the Government in this solicitation in paragraph (b)(3) of FAR clause 52.225-11, the offeror also may submit an alternate offer based on use of equivalent domestic,

designated country, or NAFTA country construction material.

(2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of FAR clause 52.225-11 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.

(3) If the Government determines that a particular exception requested in accordance with paragraph (c) of FAR clause 52.225-11 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic, designated country, or NAFTA country construction material, and the offeror shall be required to furnish such domestic, designated country, or NAFTA country construction material. An offer based on use of the foreign construction material for which an exception was requested—

- (i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
- (ii) May be accepted if revised during negotiations.

(End of provision)

ALTERNATE II (MAY 2002) [For Contracts between 6.806 and 7.068419 Million]

As prescribed in 25.1102(d)(3), substitute the following paragraphs (a) and (d) for paragraphs (a) and (d) of the basic provision:

(a) *Definitions.* “Construction material,” “designated country construction material,” “domestic construction material,” and “foreign construction material,” as used in this provision, are defined in the clause of this solicitation entitled “Buy American Act—Construction Materials under Trade Agreements” (Federal Acquisition Regulation (FAR) clause 52.225-11).

(d) *Alternate offers.* (1) When an offer includes foreign construction material, other than designated country construction material, that is not listed by the Government in this solicitation in paragraph (b)(3) of FAR clause 52.225-11, the offeror also may submit an alternate offer based on use of equivalent domestic or designated country construction material.

(2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of FAR clause 52.225-11 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.

(3) If the Government determines that a particular exception requested in accordance with paragraph (c) of FAR clause 52.225-11 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic or designated country construction material, and the offeror shall be required to furnish such domestic or designated country construction material. An offer based on use of the foreign construction material for which an exception was requested—

- (i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
- (ii) May be accepted if revised during negotiations.

60. *FAR 52.225-13 RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (JULY 2000)

(a) The Contractor shall not acquire, for use in the performance of this contract, any supplies or services originating from sources within, or that were located in or transported from or through, countries whose products are banned from importation into the United States under regulations of the Office of Foreign Assets Control, Department of the Treasury. Those countries are Cuba, Iran, Iraq, Libya, North Korea, Sudan, the territory of Afghanistan controlled by the Taliban, and Serbia (excluding the territory of Kosovo).

(b) The Contractor shall not acquire for use in the performance of this contract any supplies or services from entities controlled by the government of Iraq.

(c) The Contractor shall insert this clause, including this paragraph (c), in all subcontracts.

(End of clause)

61. *FAR 52.226-1 UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OWNED ECONOMIC ENTERPRISES (JUNE 2000)

(a) Definitions. As used in this clause:

“Indian” means any person who is a member of any Indian tribe, band, group, pueblo, or community that is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs (BIA) in accordance with 25 U.S.C. 1452(c) and any “Native” as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601).

“Indian organization” means the governing body of any Indian tribe or entity established or recognized by the governing body of an Indian tribe for the purposes of 25 U.S.C., chapter 17.

“Indian-owned economic enterprise” means any Indian-owned (as determined by the Secretary of the Interior) commercial, industrial, or business activity established or organized for the purpose of profit, provided that Indian ownership constitutes not less than 51 percent of the enterprise.

“Indian tribe” means any Indian tribe, band, group, pueblo, or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, that is recognized by the Federal Government as eligible for services from BIA in accordance with 25 U.S.C. 1452(c).

“Interested party” means a prime contractor or an actual or prospective offeror whose direct economic interest would be affected by the award of a subcontract or by the failure to award a subcontract.

(b) The Contractor shall use its best efforts to give Indian organizations and Indian-owned economic enterprises (25 U.S.C. 1544) the maximum practicable opportunity to participate in the subcontracts it awards to the fullest extent consistent with efficient performance of its contract.

(1) The Contracting Officer and the Contractor, acting in good faith, may rely on the representation of an Indian organization or Indian-owned economic enterprise as to its eligibility, unless an interested party challenges its status or the Contracting Officer has independent reason to question that status. In the event of a challenge to the representation of a subcontractor, the Contracting Officer will refer the matter to the—

U.S. Department of the Interior
Bureau of Indian Affairs (BIA)
Attn: Chief, Division of Contracting and
Grants Administration
1849 C Street, NW,
MS-2626-MIB
Washington, DC 20240-4000.

The BIA will determine the eligibility and notify the Contracting Officer. No incentive payment will be made within 50 working days of subcontract award or while a challenge is pending. If a subcontractor is determined to be an ineligible participant, no incentive payment will be made under the Indian Incentive Program.

(2) The Contractor may request an adjustment under the Indian Incentive Program to the following:

- (i) The estimated cost of a cost-type contract.
- (ii) The target cost of a cost-plus-incentive-fee prime contract.
- (iii) The target cost and ceiling price of a fixed-price incentive prime contract.
- (iv) The price of a firm-fixed-price prime contract.

(3) The amount of the adjustment to the prime contract is 5 percent of the estimated cost, target cost, or firm-fixed-price included in the subcontract initially awarded to the Indian organization or Indian-owned economic enterprise.

(4) The Contractor has the burden of proving the amount claimed and must assert its request for an adjustment prior to completion of contract performance.

(c) The Contracting Officer, subject to the terms and conditions of the contract and the availability of funds, will authorize an incentive payment of 5 percent of the amount paid to the subcontractor. The Contracting Officer will seek funding in accordance with agency procedures.

(End of Clause)

62. *FAR 52.227-1 AUTHORIZATION AND CONSENT (JUL 1995)

(a) The Government authorizes and consents to all use and manufacture, in performing this contract or any subcontract at any tier, of any invention described in and covered by a United States patent

(1) embodied in the structure or composition of any article the delivery of which is accepted by the Government under this contract or

(2) used in machinery, tools, or methods whose use necessarily results from compliance by the Contractor or a subcontractor with

(i) specifications or written provisions forming a part of this contract or

(ii) specific written instructions given by the Contracting Officer directing the manner of performance. The entire liability to the Government for infringement of a patent of the United States shall be determined solely by the provisions of the indemnity clause, if any, included in this contract or any subcontract hereunder (including any lower-tier subcontract), and the Government assumes liability for all other infringement to the extent of the authorization and consent hereinabove granted.

(b) The Contractor agrees to include, and require inclusion of, this clause, suitably modified to identify the parties, in all subcontracts at any tier for supplies or services (including construction, architect-engineer services, and materials, supplies, models, samples, and design or testing services expected to exceed the simplified acquisition threshold) however, omission of this clause from any subcontract, including those at or below the simplified acquisition threshold, does not affect this authorization and consent.

63. *FAR 52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (AUG 1996)

(a) The Contractor shall report to the Contracting Officer, promptly and in reasonable written detail, each notice or claim of patent or copy-right infringement based on the performance of this contract of which the Contractor has knowledge.

(b) In the event of any claim or suit against the Government on account of any alleged patent or copyright infringement arising out of the performance of this contract or out of the use of any supplies furnished or work or services performed under this contract, the Contractor shall furnish to the Government, when requested by the Contracting Officer, all evidence and information in possession of the Contractor pertaining to such suit or claim. Such evidence and information shall be furnished at the expense of the Government except where the Contractor has agreed to indemnify the Government.

(c) The Contractor agrees to include, and require inclusion of, this clause in all subcontracts at any tier for supplies or services (including construction and architect-engineer subcontracts and those for material, supplies, models, samples, or design or testing services) expected to exceed the simplified acquisition threshold at FAR 2.101.

64. *FAR 52.227-4 PATENT INDEMNITY--CONSTRUCTION CONTRACTS (APR 1984)

Except as otherwise provided, the Contractor agrees to indemnify the Government and its officers, agents, and employees against liability, including costs and expenses, for infringement upon any United States patent (except a patent issued upon an application that is now or may hereafter be withheld from issue pursuant to a Secrecy Order under 35 U.S.C. 181) arising out of performing this contract or out of the use or disposal by or for the account of the Government of supplies furnished or work performed under this contract.

65. DFARS 252.227-7033

RIGHTS IN SHOP DRAWINGS (APR 1966)

(a) Shop drawings for construction means drawings, submitted to the Government by the Construction Contractor, subcontractor or any lower-tier subcontractor pursuant to a construction contract, showing in detail

(i) the proposed fabrication and assembly of structural elements and (ii) the installation (i.e., form, fit, and attachment details) of materials or equipment. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

(b) This clause, including this paragraph (b), shall be included in all subcontracts hereunder at any tier.

66. FAR 52.228-1

BID GUARANTEE (SEP 1996) [NOTE: Not required for projects less than

\$100,000]

(a) Failure to furnish a bid guarantee in the proper form and amount, by the time set for opening of bids, may be cause for rejection of bids.

(b) The bidder shall furnish a bid guarantee in the form of a firm commitment, e.g., bid bond supported by good and sufficient surety or sureties acceptable to the Government, postal money order, certified check, cashier's check, irrevocable letter of credit, or, under Treasury Department regulations, certain bonds or notes of the United States. The Contracting Officer will return bid guarantees, other than bid bonds, (1) to unsuccessful bidders as soon as practicable after the opening of bids; and (2) to the successful bidder upon execution of contractual documents and bonds (including any necessary coinsurance or reinsurance agreements), as required by the bid as accepted.

(c) The amount of the bid guarantee shall be twenty (20%) of the bid price or Three Million Dollars (\$3,000,000), whichever is less.

(d) If the successful bidder, upon acceptance of its bid by the Government within the period specified for acceptance, fails to execute all contractual documents or furnish executed bond(s) within 10 days after receipt of the forms by the bidder, the Contracting Officer may terminate the contract for default.

(e) In the event the contract is terminated for default, the bidder is liable for any cost of acquiring the work that exceeds the amount of its bid and the bid guarantee is available to offset the difference.

67. *FAR 52.228-2

ADDITIONAL BOND SECURITY (OCT 1997)

The Contractor shall promptly furnish additional security required to protect the Government and persons supplying labor or materials under this contract if--

(a) Any surety upon any bond, or issuing financial institution for other security, furnished with this contract becomes unacceptable to the Government;

(b) Any surety fails to furnish reports on its financial condition as required by the Government;

(c) The contract price is increased so that the penal sum of any bond becomes inadequate in the opinion of the Contracting Officer; or

(d) An irrevocable letter of credit (ILC) used as security will expire before the end of the period of required security. If the Contractor does not furnish an acceptable extension or replacement ILC, or other acceptable substitute, at least 30 days before an ILC's scheduled expiration, the Contracting Officer has the right to immediately draw on the ILC.

68. *FAR 52.228-5

INSURANCE--WORK ON A GOVERNMENT INSTALLATION (JAN 1997) [For

Contracts Exceeding \$100,000

(a) The Contractor shall, at its own expense, provide and maintain during the entire performance of this contract, at least the kinds and minimum amounts of insurance required in the Schedule or elsewhere in the contract.

(b) Before commencing work under this contract, the Contractor shall notify the Contracting Officer in writing that the required insurance has been obtained. The policies evidencing required insurance shall contain an endorsement to the effect that any cancellation or any material change adversely affecting the Government's interest shall not be effective

(1) for such period as the laws of the State in which this contract is to be performed prescribe, or

(2) until 30 days after the insurer or the Contractor gives written notice to the Contracting Officer, whichever period is longer.

(c) The Contractor shall insert the substance of this clause, including this paragraph (c), in subcontracts under this contract that require work on a Government installation and shall require subcontractors to provide and maintain the insurance required in the Schedule or elsewhere in the contract. The Contractor shall maintain a copy of all subcontractors' proofs of required insurance, and shall make copies available to the Contracting Officer upon request.

69. *FAR 52.228-11 PLEDGES OF ASSETS (FEB 1992)

(a) Offerors shall obtain from each person acting as an individual surety on a bid guarantee, a performance bond, or a payment bond--

(1) Pledge of assets; and

(2) Standard Form 28, Affidavit of Individual Surety.

(b) Pledges of assets from each person acting as an individual surety shall be in the form of--

(1) Evidence of an escrow account containing cash, certificates of deposit, commercial or Government securities, or other assets described in FAR 28.203-2 (except see 28.203-2(b)(2) with respect to Government securities held in book entry form) and/or;

(2) A recorded lien on real estate. The offeror will be required to provide--

(i) Evidence of title in the form of a certificate of title prepared by a title insurance company approved by the United States Department of Justice. This title evidence must show fee simple title vested in the surety along with any concurrent owners; whether any real estate taxes are due and payable; and any recorded encumbrances against the property, including the lien filed in favor of the Government as required by FAR 28.203-3(d);

(ii) Evidence of the amount due under any encumbrance shown in the evidence of title;

(iii) A copy of the current real estate tax assessment of the property or a current appraisal dated no earlier than 6 months prior to the date of the bond, prepared by a professional appraiser who certifies that the appraisal has been conducted in accordance with the generally accepted appraisal standards as reflected in the Uniform Standards of Professional Appraisal Practice, as promulgated by the Appraisal Foundation.

70. *FAR 52.228-12 PROSPECTIVE SUBCONTRACTOR REQUESTS FOR BONDS (OCT 1995)

In accordance with Section 806(a)(3) of Public Law 102-190, as amended by Sections 2091 and 8105 of Pub. L. 103-355, upon the request of a prospective subcontractor or supplier offering to furnish labor or material for the performance of this contract for which a payment bond has been furnished to the Government pursuant to the Miller Act, the Contractor shall promptly provide a copy of such payment bond to the requestor.

71. FAR 52.228-13 ALTERNATIVE PAYMENT PROTECTIONS (JULY 2000) [Applicable only for projects or delivery orders less than \$100,000]

- (a) The Contractor shall submit one of the following payment protections:
 - (1) A payment bond.
 - (2) An irrevocable letter of credit from a federally insured financial institution.
- (b) The amount of the payment protection shall be 100 percent of the contract price.
- (c) The submission of the payment protection is required within 10 days of contract award.
- (d) The payment protection shall provide protection for the full contract performance period plus a one-year period.
- (e) Except for escrow agreements and payment bonds, which provide their own protection procedures, the Contracting Officer is authorized to access funds under the payment protection when it has been alleged in writing by a supplier of labor or material that a nonpayment has occurred, and to withhold funds pending resolution by administrative or judicial proceedings or mutual agreement of the parties.
- (f) When a tripartite escrow agreement is used, the Contractor shall utilize only suppliers of labor and material that signed the escrow agreement.

72. FAR 52.228-14 IRREVOCABLE LETTER OF CREDIT (DEC 1999)

- (a) "Irrevocable letter of credit" (ILC), as used in this clause, means a written commitment by a federally insured financial institution to pay all or part of a stated amount of money, until the expiration date of the letter, upon presentation by the Government (the beneficiary) of a written demand therefor. Neither the financial institution nor the offeror/Contractor can revoke or condition the letter of credit.
- (b) If the offeror intends to use an ILC in lieu of a bid bond, or to secure other types of bonds such as performance and payment bonds, the letter of credit and letter of confirmation formats in paragraphs (e) and (f) of this clause shall be used.
- (c) The letter of credit shall be irrevocable, shall require presentation of no document other than a written demand and the ILC (including confirming letter, if any), shall be issued/confirmed by an acceptable federally insured financial institution as provided in paragraph (d) of this clause, and--
 - (1) If used as a bid guarantee, the ILC shall expire no earlier than 60 days after the close of the bid acceptance period;
 - (2) If used as an alternative to corporate or individual sureties as security for a performance or payment bond, the offeror/Contractor may submit an ILC to cover the entire period of performance or may submit an ILC with an initial expiration date estimated to cover the entire period for which financial security is required or may submit an ILC with an initial expiration that is a minimum period of one year from the date of issuance. The ILC shall provide that, unless the issuer provides the beneficiary written notice of non-renewal of least 60 days in advance of the current expiration date, the ILC is automatically extended without amendment for one year from the expiration date, or any future expiration date, until the period of required coverage is completed and the Contracting Officer provides the financial institution with a written statement waiving the right to payment. The period of required coverage shall be:
 - (i) For contracts subject to the Miller Act, the later of--
 - (A) One year following the expected date of final payment;
 - (B) For performance bonds only, until completion of any warranty period; or
 - (C) For payment bonds only, until resolution of all claims filed against the payment bond during the one-year period following final payment.
 - (ii) For contracts not subject to the Miller Act, the later of--
 - (A) 90 days following final payment; or
 - (B) For performance bonds only, until completion of any warranty period.
- (d) Only federally insured financial institution rated investment grade or higher shall issue or confirm the ILC. The offeror/Contractor shall provide the Contracting Officer a credit rating that indicates the financial institution has the required rating(s) as of the date of issuance of the ILC. Unless the financial institution issuing the

ILC had letter of credit business of at least \$25 million in the past year, ILCs over \$5 million must be confirmed by another acceptable financial institution that had letter of credit business of at least \$25 million in the past year.

(e) The following format shall be used by the issuing financial institution to create an ILC:

[Issuing Financial Institution's Letterhead or Name and Address]

Issue Date-----

Irrevocable Letter of Credit No.-----

Account party's name-----

Account party's address-----

For Solicitation No.-----

(For reference only)

TO: [U.S. Government agency]

[U.S. Government agency's address]

1. We hereby establish this irrevocable and transferable Letter of Credit in your favor for one or more drawings up to United States \$ _____. This Letter of Credit is payable at [issuing financial institution's and, if any, confirming financial institution's] office at [issuing financial institution's address and, if any, confirming financial institution's address] and expires with our close of business on _____, or any automatically extended expiration date.

2. We hereby undertake to honor your or transferee's sight draft(s) drawn on issuing or, if any, the confirming financial institution, for all or any part of this credit if presented with this Letter of Credit and confirmation, if any, at the office specified in paragraph 1 of this Letter of Credit on or before the expiration date or any automatically extended expiration date.

3. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this Letter of Credit that it is deemed to be automatically extended without amendment for one year from the expiration date hereof, or any future expiration date, unless at least 60 days prior to any expiration date, we notify you or the transferee by registered mail, or other receipted means of delivery, that we elect not to consider this Letter of Credit renewed for any such additional period. At the time we notify you, we also agree to notify the account party (and confirming financial institution, if any) by the same means of delivery.

4. This Letter of Credit is transferable. Transfers and assignments of proceeds are to be effected without charge to either the beneficiary or the transferee/assignee of proceeds. Such transfer or assignment shall be only at the written direction of the Government (the beneficiary) in a form satisfactory to the issuing financial institution and the confirming financial institution, if any.

5. This Letter of Credit is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of _____ [state of confirming financial institution, if any, otherwise state of issuing financial institution].

6. If this credit expires during an interruption of business of this financial institution as described in Article 17 of the UCP, the financial institution specifically agrees to effect payment if this credit is drawn against within 30 days after the resumption of our business.

Sincerely,

[Issuing financial institution]

(f) The following format shall be used by the financial institution to confirm an ILC:

[Confirming Financial Institution's Letterhead or Name and Address]---

(Date) _____

Our Letter of Credit

Advice Number-----

Beneficiary:-----

[U.S. Government agency]

Issuing Financial Institution:-----

Issuing Financial Institution's LC No.:-----

Gentlemen:

1. We hereby confirm the above indicated Letter of Credit, the original of which is attached, issued by _____ [name of issuing financial institution] for drawings of up to United States dollars _____/U.S. \$ _____ and expiring with our close of business on _____ [the expiration date], or any automatically extended expiration date.

2. Draft(s) drawn under the Letter of Credit and this Confirmation are payable at our office located at _____.

3. We hereby undertake to honor sight draft(s) drawn under and presented with the Letter of Credit and this Confirmation at our offices as specified herein.

4. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this confirmation that it be deemed automatically extended without amendment for one year from the expiration date hereof, or any automatically extended expiration date, unless:

(a) At least 60 days prior to any such expiration date, we shall notify the Contracting Officer, or the transferee and the issuing financial institution, by registered mail or other receipted means of delivery, that we elect not to consider this confirmation extended for any such additional period; or

(b) The issuing financial institution shall have exercised its right to notify you or the transferee, the account party, and ourselves, of its election not to extend the expiration date of the Letter of Credit.

5. This confirmation is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of _____ [state of confirming financial institution].

6. If this confirmation expires during an interruption of business of this financial institution as described in Article 17 of the UCP, we specifically agree to effect payment if this credit is drawn against within 30 days after the resumption of our business.

Sincerely,

[Confirming financial institution]

(g) The following format shall be used by the Contracting Officer for a sight draft to draw on the Letter of Credit:
SIGHT DRAFT

[City, State]

(Date) _____

[Name and address of financial institution]

Pay to the order of-----

[Beneficiary Agency] _____

the sum of United States \$ _____

This draft is drawn under-----

Irrevocable Letter of Credit No.-----

[Beneficiary Agency]

By: _____

73. FAR 52.228-15 PERFORMANCE AND PAYMENT BONDS (JULY 2000).

[This provision is Not Required for projects less than \$100,000. See Clauses "Alternate Payment Protections" and "Inapplicable Provisions and Clauses".]

(a) *Definitions.* As used in this clause—

“Original contract price” means the award price of the contract; or, for requirements contracts, the price payable for the estimated total quantity; or, for indefinite-quantity contracts, the price payable for the specified minimum quantity. Original contract price does not include the price of any options, except those options exercised at the time of contract award.

(b) *Amount of required bonds.* Unless the resulting contract price is \$100,000 or less, the successful offeror shall furnish performance and payment bonds to the Contracting Officer as follows:

(1) *Performance bonds (Standard Form 25).* The penal amount of performance bonds at the time of contract award shall be 100 percent of the original contract price.

(2) *Payment Bonds (Standard Form 25-A).* The penal amount of payment bonds at the time of contract award shall be 100 percent of the original contract price.

(3) *Additional bond protection.* (i) The Government may require additional performance and payment bond protection if the contract price is increased. The increase in protection generally will equal 100 percent of the increase in contract price.

(ii) The Government may secure the additional protection by directing the Contractor to increase the penal amount of the existing bond or to obtain an additional bond.

(c) *Furnishing executed bonds.* The Contractor shall furnish all executed bonds, including any necessary reinsurance agreements, to the Contracting Officer, within the time period specified in the Bid Guarantee provision of the solicitation, or otherwise specified by the Contracting Officer, but in any event, before starting work.

(d) *Surety or other security for bonds.* The bonds shall be in the form of firm commitment, supported by corporate sureties whose names appear on the list contained in Treasury Department Circular 570, individual sureties, or by other acceptable security such as postal money order, certified check, cashier’s check, irrevocable letter of credit, or, in accordance with Treasury Department regulations, certain bonds or notes of the United States. Treasury Circular 570 is published in the Federal Register or may be obtained from the:

U.S. Department of Treasury
Financial Management Service
Surety Bond Branch
401 14th Street, NW, 2nd Floor, West Wing
Washington, DC 20227.

(e) *Notice of subcontractor waiver of protection (40 U.S.C. 270b(c)).* Any waiver of the right to sue on the payment bond is void unless it is in writing, signed by the person whose right is waived, and executed after such person has first furnished labor or material for use in the performance of the contract.

(End of clause)

74. FAR 52.229-3 FEDERAL, STATE, AND LOCAL TAXES (JAN 1991) [For Contracts Exceeding \$100,000]

(a) "Contract date," as used in this clause, means the date set for bid opening or, if this is a negotiated contract or a modification, the effective date of this contract or modification.

"All applicable Federal, State, and local taxes and duties," as used in this clause, means all taxes and duties, in effect on the contract date, that the taxing authority is imposing and collecting on the transactions or property covered by this contract.

"After-imposed Federal tax," as used in this clause, means any new or increased Federal excise tax or duty, or tax that was exempted or excluded on the contract date but whose exemption was later revoked or reduced during the contract period, on the transactions or property covered by this contract that the Contractor is

required to pay or bear as the result of legislative, judicial, or administrative action taking effect after the contract date. It does not include social security tax or other employment taxes.

"After-relieved Federal tax," as used in this clause, means any amount of Federal excise tax or duty, except social security or other employment taxes, that would otherwise have been payable on the transactions or property covered by this contract, but which the Contractor is not required to pay or bear, or for which the Contractor obtains a refund or drawback, as the result of legislative, judicial, or administrative action taking effect after the contract date.

(b) The contract price includes all applicable Federal, State, and local taxes and duties.

(c) The contract price shall be increased by the amount of any after-imposed Federal tax, provided the Contractor warrants in writing that no amount for such newly imposed Federal excise tax or duty or rate increase was included in the contract price, as a contingency reserve or otherwise.

(d) The contract price shall be decreased by the amount of any after-relieved Federal tax.

(e) The contract price shall be decreased by the amount of any Federal excise tax or duty, except social security or other employment taxes, that the Contractor is required to pay or bear, or does not obtain a refund of, through the Contractor's fault, negligence, or failure to follow instructions of the Contracting Officer.

(f) No adjustment shall be made in the contract price under this clause unless the amount of the adjustment exceeds \$250.

(g) The Contractor shall promptly notify the Contracting Officer of all matters relating to any Federal excise tax or duty that reasonably may be expected to result in either an increase or decrease in the contract price and shall take appropriate action as the Contracting Officer directs.

(h) The Government shall, without liability, furnish evidence appropriate to establish exemption from any Federal, State, or local tax when the Contractor requests such evidence and a reasonable basis exists to sustain the exemption.

75. *FAR 52.229-5 TAXES--CONTRACTS PERFORMED IN U.S. POSSESSIONS OR PUERTO RICO (APR 1984)

The term "local taxes," as used in the Federal, State, and local taxes clause of this contract, includes taxes imposed by a possession of the United States or by Puerto Rico.

76. DFARS 252.231-7000 SUPPLEMENTAL COST PRINCIPLES (DEC 1991)

When the allowability of costs under this contract is determined in accordance with part 31 of the Federal Acquisition Regulation (FAR) allowability shall also be determined in accordance with part 231 of the DoD FAR Supplement, in effect on the date of this contract.

77. *FAR 52.232-5 PAYMENTS UNDER FIXED-PRICE CONSTRUCTION CONTRACTS (MAY 1997)

(a) Payment of Price. The Government shall pay the Contractor the contract price as provided in this contract.

(b) Progress Payments. The Government shall make progress payments monthly as the work proceeds, or at more frequent intervals as determined by the Contracting Officer, on estimates of work accomplished which meets the standards of quality established under the contract, as approved by the Contracting Officer.

(1) The Contractor's request for progress payments shall include the following substantiation:

(i) An itemization of the amounts requested, related to the various elements of work required by the contract covered by the payment requested.

(ii) A listing of the amount included for work performed by each subcontractor under the contract.

(iii) A listing of the total amount of each subcontract under the contract.

(iv) A listing of the amounts previously paid to each such subcontractor under the contract.

(v) Additional supporting data in a form and detail required by the Contracting Officer.

(2) In the preparation of estimates, the Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration. Material delivered to the Contractor at locations other than the site also may be taken into consideration if--

(i) Consideration is specifically authorized by this contract; and

(ii) The Contractor furnishes satisfactory evidence that it has acquired title to such material and that the material will be used to perform this contract.

(c) Contractor Certification. Along with each request for progress payments, the Contractor shall furnish the following certification, or payment shall not be made: (However, if the Contractor elects to delete paragraph (c)(4) from the certification, the certification is still acceptable.) I hereby certify, to the best of my knowledge and belief, that--

(1) The amounts requested are only for performance in accordance with the specifications, terms, and conditions of the contract;

(2) Payments to subcontractors and suppliers have been made from previous payments received under the contract, and timely payments will be made from the proceeds of the payment covered by this certification, in accordance with subcontract agreements and the requirements of chapter 39 of Title 31, United States Code;

(3) This request for progress payments does not include any amounts which the prime contractor intends to withhold or retain from a subcontractor or supplier in accordance with the terms and conditions of the subcontract; and

(4) This certification is not to be construed as final acceptance of a subcontractor's performance.

(Name)

(Title)

(Date)

(d) Refund of Unearned Amounts. If the Contractor, after making a certified request for progress payments, discovers that a portion or all of such request constitutes a payment for performance by the Contractor that fails to conform to the specifications, terms, and conditions of this contract (hereinafter referred to as the "unearned amount"), the Contractor shall--

(1) Notify the Contracting Officer of such performance deficiency; and

(2) Be obligated to pay the Government an amount (computed by the Contracting Officer in the manner provided in paragraph (j) of this clause) equal to interest on the unearned amount from the 8th day after the date of receipt of the unearned amount until--

(i) The date the Contractor notifies the Contracting Officer that the performance deficiency has been corrected; or

(ii) The date the Contractor reduces the amount of any subsequent certified request for progress payments by an amount equal to the unearned amount.

(e) Retainage. If the Contracting Officer finds that satisfactory progress was achieved during any period for which a progress payment is to be made, the Contracting Officer shall authorize payment to be made in full. However, if satisfactory progress has not been made, the Contracting Officer may retain a maximum of 10 percent of the amount of the payment until satisfactory progress is achieved. When the work is substantially complete, the Contracting Officer may retain from previously withheld funds and future progress payments that amount the Contracting Officer considers adequate for protection of the Government and shall release to the Contractor all the remaining withheld funds. Also, on completion and acceptance of each separate building, public work, or other division of the contract, for which the price is stated separately in the contract, payment shall be made for the completed work without retention of a percentage.

(f) Title, Liability, and Reservation of Rights. All material and work covered by progress payments made shall, at the time of payment, become the sole property of the Government, but this shall not be construed as--

(1) Relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work; or
(2) Waiving the right of the Government to require the fulfillment of all of the terms of the contract.

(g) Reimbursement for Bond Premiums. In making these progress payments, the Government shall, upon request, reimburse the Contractor for the amount of premiums paid for performance and payment bonds (including coinsurance and reinsurance agreements, when applicable) after the Contractor has furnished evidence of full payment to the surety. The retainage provisions in paragraph (e) of this clause shall not apply to that portion of progress payments attributable to bond premiums.

(h) Final Payment. The Government shall pay the amount due the Contractor under this contract after--
(1) Completion and acceptance of all work;
(2) Presentation of a properly executed voucher; and
(3) Presentation of release of all claims against the Government arising by virtue of this contract, other than claims, in stated amounts, that the Contractor has specifically excepted from the operation of the release. A release may also be required of the assignee if the Contractor's claim to amounts payable under this contract has been assigned under the Assignment of Claims Act of 1940 (31 U.S.C. 3727 and 41 U.S.C. 15).

(i) Limitation Because of Undefined Work. Notwithstanding any provision of this contract, progress payments shall not exceed 80 percent on work accomplished on undefined contract actions. A "contract action" is any action resulting in a contract, as defined in FAR Subpart 2.1, including contract modifications for additional supplies or services, but not including contract modifications that are within the scope and under the terms of the contract, such as contract modifications issued pursuant to the Changes clause, or funding and other administrative changes.

(j) Interest Computation on Unearned Amounts. In accordance with 31 U.S.C. 3903(c)(1), the amount payable under subparagraph (d)(2) of this clause shall be--

- (1) Computed at the rate of average bond equivalent rates of 91-day Treasury bills auctioned at the most recent auction of such bills prior to the date the Contractor receives the unearned amount; and
- (2) Deducted from the next available payment to the Contractor.

78. RESERVED.

79. *FAR 52.232-17 INTEREST (JUN 1996)

(a) Except as otherwise provided in this contract under a Price Reduction for Defective Cost or Pricing Data clause or a Cost Accounting Standards clause, all amounts that become payable by the Contractor to the Government under this contract (net of any applicable tax credit under the Internal Revenue Code (26 U.S.C. 1481)) shall bear simple interest from the date due until paid unless paid within 30 days of becoming due. The interest rate shall be the interest rate established by the Secretary of the Treasury as provided in Section 12 of the Contract Disputes Act of 1978 (Public Law 95-563), which is applicable to the period in which the amount becomes due, as provided in paragraph (b) of this clause, and then at the rate applicable for each six-month period as fixed by the Secretary until the amount is paid.

(b) Amounts shall be due at the earliest of the following dates:

- (1) The date fixed under this contract.
- (2) The date of the first written demand for payment consistent with this contract, including any demand resulting from a default termination.
- (3) The date the Government transmits to the Contractor a proposed supplemental agreement to confirm completed negotiations establishing the amount of debt.
- (4) If this contract provides for revision of prices, the date of written notice to the Contractor stating the amount of refund payable in connection with a pricing proposal or a negotiated pricing agreement not confirmed by contract modification.

(c) The interest charge made under this clause may be reduced under the procedures prescribed in 32.614-2 of the Federal Acquisition Regulation in effect on the date of this contract.

80. *FAR 52.232-23 ASSIGNMENT OF CLAIMS (JAN 1986)

(a) The Contractor, under the Assignment of Claims Act, as amended, 31 U.S.C. 3727, 41 U.S.C. 15 (hereafter referred to as "the Act"), may assign its rights to be paid amounts due or to become due as a result of the performance of this contract to a bank, trust company, or other financing institution, including any Federal lending agency. The assignee under such an assignment may thereafter further assign or reassign its right under the original assignment to any type of financing institution described in the preceding sentence.

(b) Any assignment or reassignment authorized under the Act and this clause shall cover all unpaid amounts payable under this contract, and shall not be made to more than one party, except that an assignment or reassignment may be made to one party as agent or trustee for two or more parties participating in the financing of this contract.

(c) The Contractor shall not furnish or disclose to any assignee under this contract any classified document (including this contract) or information related to work under this contract until the Contracting Officer authorizes such action in writing.

81. *FAR 52.232-27 PROMPT PAY FOR CONSTRUCTION CONTRACTS (FEB 2002)

Notwithstanding any other payment terms in this contract, the Government will make invoice payments under the terms and conditions specified in this clause. The Government considers payment as being made on the day a check is dated or the date of an electronic funds transfer. Definitions of pertinent terms are set forth in sections 2.101, 32.001, and 32.902 of the Federal Acquisition Regulation. All days referred to in this clause are calendar days, unless otherwise specified. (However, see paragraph (a)(3) concerning payments due on Saturdays, Sundays, and legal holidays.)

(a) *Invoice payments*—(1) *Types of invoice payments*. For purposes of this clause, there are several types of invoice payments that may occur under this contract, as follows:

(i) Progress payments, if provided for elsewhere in this contract, based on Contracting Officer approval of the estimated amount and value of work or services performed, including payments for reaching milestones in any project.

(A) The due date for making such payments is 14 days after the designated billing office receives a proper payment request. If the designated billing office fails to annotate the payment request with the actual date of receipt at the time of receipt, the payment due date is the 14th day after the date of the Contractor's payment request, provided the designated billing office receives a proper payment request and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.

(B) The due date for payment of any amounts retained by the Contracting Officer in accordance with the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts, is as specified in the contract or, if not specified, 30 days after approval by the Contracting Officer for release to the Contractor.

(ii) Final payments based on completion and acceptance of all work and presentation of release of all claims against the Government arising by virtue of the contract, and payments for partial deliveries that have been accepted by the Government (*e.g.*, each separate building, public work, or other division of the contract for which the price is stated separately in the contract).

(A) The due date for making such payments is the later of the following two events:

(1) The 30th day after the designated billing office receives a proper invoice from the Contractor.

(2) The 30th day after Government acceptance of the work or services completed by the Contractor. For a final invoice when the payment amount is subject to contract settlement actions (*e.g.*, release of claims), acceptance is deemed to occur on the effective date of the contract settlement.

(B) If the designated billing office fails to annotate the invoice with the date of

actual receipt at the time of receipt, the invoice payment due date is the 30th day after the date of the Contractor's invoice, provided the designated billing office receives a proper invoice and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.

(2) *Contractor's invoice.* The Contractor shall prepare and submit invoices to the designated billing office specified in the contract. A proper invoice must include the items listed in paragraphs (a)(2)(i) through (a)(2)(xi) of this clause. If the invoice does not comply with these requirements, the designated billing office must return it within 7 days after receipt, with the reasons why it is not a proper invoice. When computing any interest penalty owed the Contractor, the Government will take into account if the Government notifies the Contractor of an improper invoice in an untimely manner.

(i) Name and address of the Contractor.

(ii) Invoice date and invoice number. (The Contractor should date invoices as close as possible to the date of mailing or transmission.)

(iii) Contract number or other authorization for work or services performed (including order number and contract line item number).

(iv) Description of work or services performed.

(v) Delivery and payment terms (e.g., discount for prompt payment terms).

(vi) Name and address of Contractor official to whom payment is to be sent (must be the same as that in the contract or in a proper notice of assignment).

(vii) Name (where practicable), title, phone number, and mailing address of person to notify in the event of a defective invoice.

(viii) For payments described in paragraph (a)(1)(i) of this clause, substantiation of the amounts requested and certification in accordance with the requirements of the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts.

(ix) Taxpayer Identification Number (TIN). The Contractor shall include its TIN on the invoice only if required elsewhere in this contract.

(x) Electronic funds transfer (EFT) banking information.

(A) The Contractor shall include EFT banking information on the invoice only if required elsewhere in this contract.

(B) If EFT banking information is not required to be on the invoice, in order for the invoice to be a proper invoice, the Contractor shall have submitted correct EFT banking information in accordance with the applicable solicitation provision (e.g., 52.232-38, Submission of Electronic Funds Transfer Information with Offer), contract clause (e.g., 52.232-33, Payment by Electronic Funds Transfer—Central Contractor Registration, or 52.232-34, Payment by Electronic Funds Transfer—Other Than Central Contractor Registration), or applicable agency procedures.

(C) EFT banking information is not required if the Government waived the requirement to pay by EFT.

(xi) Any other information or documentation required by the contract.

(3) *Interest penalty.* The designated payment office will pay an interest penalty automatically, without request from the Contractor, if payment is not made by the due date and the conditions listed in paragraphs (a)(3)(i) through (a)(3)(iii) of this clause are met, if applicable. However, when the due date falls on a Saturday, Sunday, or legal holiday, the designated payment office may make payment on the following working day without incurring a late payment interest penalty.

(i) The designated billing office received a proper invoice.

(ii) The Government processed a receiving report or other Government documentation authorizing payment and there was no disagreement over quantity, quality, Contractor compliance with any contract term or condition, or requested progress payment amount.

(iii) In the case of a final invoice for any balance of funds due the Contractor for work or services performed, the amount was not subject to further contract settlement actions between the Government and the Contractor.

(4) *Computing penalty amount.* The Government will compute the interest penalty in accordance with the Office of Management and Budget prompt payment regulations at 5 CFR part 1315.

(i) For the sole purpose of computing an interest penalty that might be due the Contractor for payments described in paragraph (a)(1)(ii) of this clause, Government acceptance or approval is deemed to occur constructively on the 7th day after the Contractor has completed the work or services in accordance

with the terms and conditions of the contract. If actual acceptance or approval occurs within the constructive acceptance or approval period, the Government will base the determination of an interest penalty on the actual date of acceptance or approval. Constructive acceptance or constructive approval requirements do not apply if there is a disagreement over quantity, quality, or Contractor compliance with a contract provision. These requirements also do not compel Government officials to accept work or services, approve Contractor estimates, perform contract administration functions, or make payment prior to fulfilling their responsibilities.

(ii) The prompt payment regulations at 5 CFR 1315.10(c) do not require the Government to pay interest penalties if payment delays are due to disagreement between the Government and the Contractor over the payment amount or other issues involving contract compliance, or on amounts temporarily withheld or retained in accordance with the terms of the contract. The Government and the Contractor shall resolve claims involving disputes, and any interest that may be payable in accordance with the clause at FAR 52.233-1, Disputes.

(5) *Discounts for prompt payment.* The designated payment office will pay an interest penalty automatically, without request from the Contractor, if the Government takes a discount for prompt payment improperly. The Government will calculate the interest penalty in accordance with the prompt payment regulations at 5 CFR part 1315.

(6) *Additional interest penalty.* (i) The designated payment office will pay a penalty amount, calculated in accordance with the prompt payment regulations at 5 CFR part 1315 in addition to the interest penalty amount only if—

(A) The Government owes an interest penalty of \$1 or more;

(B) The designated payment office does not pay the interest penalty within 10 days after the date the invoice amount is paid; and

(C) The Contractor makes a written demand to the designated payment office for additional penalty payment, in accordance with paragraph (a)(6)(ii) of this clause, postmarked not later than 40 days after the date the invoice amount is paid.

(ii)(A) The Contractor shall support written demands for additional penalty payments with the following data. The Government will not request any additional data. The Contractor shall—

(1) Specifically assert that late payment interest is due under a specific invoice, and request payment of all overdue late payment interest penalty and such additional penalty as may be required;

(2) Attach a copy of the invoice on which the unpaid late payment interest was due; and

(3) State that payment of the principal has been received, including the date of receipt.

(B) If there is no postmark or the postmark is illegible—

(1) The designated payment office that receives the demand will annotate it with the date of receipt provided the demand is received on or before the 40th day after payment was made; or

(2) If the designated payment office fails to make the required annotation, the Government will determine the demand's validity based on the date the Contractor has placed on the demand, provided such date is no later than the 40th day after payment was made.

(b) *Contract financing payments.* If this contract provides for contract financing, the Government will make contract financing payments in accordance with the applicable contract financing clause.

(c) *Subcontract clause requirements.* The Contractor shall include in each subcontract for property or services (including a material supplier) for the purpose of performing this contract the following:

(1) *Prompt payment for subcontractors.* A payment clause that obligates the Contractor to pay the subcontractor for satisfactory performance under its subcontract not later than 7 days from receipt of payment out of such amounts as are paid to the Contractor under this contract.

(2) *Interest for subcontractors.* An interest penalty clause that obligates the Contractor to pay to the subcontractor an interest penalty for each payment not made in accordance with the payment clause—

(i) For the period beginning on the day after the required payment date and ending on the date on which payment of the amount due is made; and

(ii) Computed at the rate of interest established by the Secretary of the Treasury, and published in the **Federal Register**, for interest payments under section 12 of the Contract Disputes Act of 1978 (41

U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.

(3) *Subcontractor clause flowdown.* A clause requiring each subcontractor to

(i) Include a payment clause and an interest penalty clause conforming to the standards set forth in paragraphs (c)(1) and (c)(2) of this clause in each of its subcontracts; and

(ii) Require each of its subcontractors to include such clauses in their subcontracts with each lower-tier subcontractor or supplier.

(d) *Subcontract clause interpretation.* The clauses required by paragraph (c) of this clause shall not be construed to impair the right of the Contractor or a subcontractor at any tier to negotiate, and to include in their subcontract, provisions that—

(1) *Retainage permitted.* Permit the Contractor or a subcontractor to retain (without cause) a specified percentage of each progress payment otherwise due to a subcontractor for satisfactory performance under the subcontract without incurring any obligation to pay a late payment interest penalty, in accordance with terms and conditions agreed to by the parties to the subcontract, giving such recognition as the parties deem appropriate to the ability of a subcontractor to furnish a performance bond and a payment bond;

(2) *Withholding permitted.* Permit the Contractor or subcontractor to make a determination that part or all of the subcontractor's request for payment may be withheld in accordance with the subcontract agreement; and

(3) *Withholding requirements.* Permit such withholding without incurring any obligation to pay a late payment penalty if—

(i) A notice conforming to the standards of paragraph (g) of this clause previously has been furnished to the subcontractor; and

(ii) The Contractor furnishes to the Contracting Officer a copy of any notice issued by a Contractor pursuant to paragraph (d)(3)(i) of this clause.

(e) *Subcontractor withholding procedures.* If a Contractor, after making a request for payment to the Government but before making a payment to a subcontractor for the subcontractor's performance covered by the payment request, discovers that all or a portion of the payment otherwise due such subcontractor is subject to withholding from the subcontractor in accordance with the subcontract agreement, then the Contractor shall—

(1) *Subcontractor notice.* Furnish to the subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon ascertaining the cause giving rise to a withholding, but prior to the due date for subcontractor payment;

(2) *Contracting Officer notice.* Furnish to the Contracting Officer, as soon as practicable, a copy of the notice furnished to the subcontractor pursuant to paragraph (e)(1) of this clause;

(3) *Subcontractor progress payment reduction.* Reduce the subcontractor's progress payment by an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (e)(1) of this clause;

(4) *Subsequent subcontractor payment.* Pay the subcontractor as soon as practicable after the correction of the identified subcontract performance deficiency, and—

(i) Make such payment within—

(A) Seven days after correction of the identified subcontract performance deficiency (unless the funds therefor must be recovered from the Government because of a reduction under paragraph (e)(5)(i)) of this clause; or

(B) Seven days after the Contractor recovers such funds from the Government;

or

(ii) Incur an obligation to pay a late payment interest penalty computed at the rate of interest established by the Secretary of the Treasury, and published in the **Federal Register**, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty;

(5) *Notice to Contracting Officer.* Notify the Contracting Officer upon—

(i) Reduction of the amount of any subsequent certified application for payment; or

(ii) Payment to the subcontractor of any withheld amounts of a progress payment,

specifying—

(A) The amounts withheld under paragraph (e)(1) of this clause; and

(B) The dates that such withholding began and ended; and

(6) *Interest to Government.* Be obligated to pay to the Government an amount equal to interest on

the withheld payments (computed in the manner provided in 31 U.S.C. 3903(c)(1)), from the 8th day after receipt of the withheld amounts from the Government until—

- (i) The day the identified subcontractor performance deficiency is corrected; or
- (ii) The date that any subsequent payment is reduced under paragraph (e)(5)(i) of this

clause.

(f) *Third-party deficiency reports*—(1) *Withholding from subcontractor*. If a Contractor, after making payment to a first-tier subcontractor, receives from a supplier or subcontractor of the first-tier subcontractor (hereafter referred to as a “second-tier subcontractor”) a written notice in accordance with section 2 of the Act of August 24, 1935 (40 U.S.C. 270b, Miller Act), asserting a deficiency in such first-tier subcontractor’s performance under the contract for which the Contractor may be ultimately liable, and the Contractor determines that all or a portion of future payments otherwise due such first-tier subcontractor is subject to withholding in accordance with the subcontract agreement, the Contractor may, without incurring an obligation to pay an interest penalty under paragraph (e)(6) of this clause—

- (i) Furnish to the first-tier subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon making such determination; and
- (ii) Withhold from the first-tier subcontractor’s next available progress payment or payments an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (f)(1)(i) of this clause.

(2) *Subsequent payment or interest charge*. As soon as practicable, but not later than 7 days after receipt of satisfactory written notification that the identified subcontract performance deficiency has been corrected, the Contractor shall—

- (i) Pay the amount withheld under paragraph (f)(1)(ii) of this clause to such first-tier subcontractor; or
- (ii) Incur an obligation to pay a late payment interest penalty to such first-tier subcontractor computed at the rate of interest established by the Secretary of the Treasury, and published in the **Federal Register**, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.

(g) *Written notice of subcontractor withholding*. The Contractor shall issue a written notice of any withholding to a subcontractor (with a copy furnished to the Contracting Officer), specifying—

- (1) The amount to be withheld;
- (2) The specific causes for the withholding under the terms of the subcontract; and
- (3) The remedial actions to be taken by the subcontractor in order to receive payment of the

amounts withheld.

(h) *Subcontractor payment entitlement*. The Contractor may not request payment from the Government of any amount withheld or retained in accordance with paragraph (d) of this clause until such time as the Contractor has determined and certified to the Contracting Officer that the subcontractor is entitled to the payment of such amount.

(i) *Prime-subcontractor disputes*. A dispute between the Contractor and subcontractor relating to the amount or entitlement of a subcontractor to a payment or a late payment interest penalty under a clause included in the subcontract pursuant to paragraph (c) of this clause does not constitute a dispute to which the Government is a party. The Government may not be interpleaded in any judicial or administrative proceeding involving such a dispute.

(j) *Preservation of prime-subcontractor rights*. Except as provided in paragraph (i) of this clause, this clause shall not limit or impair any contractual, administrative, or judicial remedies otherwise available to the Contractor or a subcontractor in the event of a dispute involving late payment or nonpayment by the Contractor or deficient subcontract performance or nonperformance by a subcontractor.

(k) *Non-recourse for prime contractor interest penalty*. The Contractor’s obligation to pay an interest penalty to a subcontractor pursuant to the clauses included in a subcontract under paragraph (c) of this clause shall not be construed to be an obligation of the Government for such interest penalty. A cost-reimbursement claim may not include any amount for reimbursement of such interest penalty.

(l) *Overpayments*. If the Contractor becomes aware of a duplicate payment or that the Government has otherwise overpaid on an invoice payment, the Contractor shall immediately notify the Contracting Officer and request instructions for disposition of the overpayment.

(End of clause)

82. *FAR 52.232-33 PAYMENT BY ELECTRONIC FUNDS TRANSFER –CENTRAL CONTRACTOR REGISTRATION (MAY 1999)

(a) *Method of payment.* (1) All payments by the Government under this contract shall be made by electronic funds transfer (EFT), except as provided in paragraph (a)(2) of this clause. As used in this clause, the term “EFT” refers to the funds transfer and may also include the payment information transfer.

(2) In the event the Government is unable to release one or more payments by EFT, the Contractor agrees to either—

(i) Accept payment by check or some other mutually agreeable method of payment; or

(ii) Request the Government to extend the payment due date until such time as the Government can make payment by EFT (but see paragraph (d) of this clause).

(b) *Contractor’s EFT information.* The Government shall make payment to the Contractor using the EFT information contained in the Central Contractor Registration (CCR) database. In the event that the EFT information changes, the Contractor shall be responsible for providing the updated information to the CCR database.

(c) *Mechanisms for EFT payment.* The Government may make payment by EFT through either the Automated Clearing House (ACH) network, subject to the rules of the National Automated Clearing House Association, or the Fedwire Transfer System. The rules governing Federal payments through the ACH are contained in 31 CFR part 210.

(d) *Suspension of payment.* If the Contractor’s EFT information in the CCR database is incorrect, then the Government need not make payment to the Contractor under this contract until correct EFT information is entered into the CCR database; and any invoice or contract financing request shall be deemed not to be a proper invoice for the purpose of prompt payment under this contract. The prompt payment terms of the contract regarding notice of an improper invoice and delays in accrual of interest penalties apply.

(e) *Contractor EFT arrangements.* If the Contractor has identified multiple payment receiving points (i.e., more than one remittance address and/or EFT information set) in the CCR database, and the Contractor has not notified the Government of the payment receiving point applicable to this contract, the Government shall make payment to the first payment receiving point (EFT information set or remittance address as applicable) listed in the CCR database.

(f) *Liability for uncompleted or erroneous transfers.* (1) If an uncompleted or erroneous transfer occurs because the Government used the Contractor’s EFT information incorrectly, the Government remains responsible for—

(i) Making a correct payment;

(ii) Paying any prompt payment penalty due; and

(iii) Recovering any erroneously directed funds.

(2) If an uncompleted or erroneous transfer occurs because the Contractor’s EFT information was incorrect, or was revised within 30 days of Government release of the EFT payment transaction instruction to the Federal Reserve System, and—

(i) If the funds are no longer under the control of the payment office, the Government is deemed to have made payment and the Contractor is responsible for recovery of any erroneously directed funds; or

(ii) If the funds remain under the control of the payment office, the Government shall not make payment, and the provisions of paragraph (d) of this clause shall apply.

(g) *EFT and prompt payment.* A payment shall be deemed to have been made in a timely manner in accordance with the prompt payment terms of this contract if, in the EFT payment transaction instruction released to the Federal Reserve System, the date specified for settlement of the payment is on or before the prompt payment due date, provided the specified payment date is a valid date under the rules of the Federal Reserve System.

(h) *EFT and assignment of claims.* If the Contractor assigns the proceeds of this contract as provided for in the assignment of claims terms of this contract, the Contractor shall require as a condition of any such assignment, that the assignee shall register in the CCR database and shall be paid by EFT in accordance with the terms of this clause. In all respects, the requirements of this clause shall apply to the assignee as if it were the Contractor. EFT information that shows the ultimate recipient of the transfer to be other than the Contractor, in the absence of a proper assignment of claims acceptable to the Government, is incorrect EFT information within the meaning of

paragraph (d) of this clause.

(i) *Liability for change of EFT information by financial agent.* The Government is not liable for errors resulting from changes to EFT information made by the Contractor's financial agent.

(j) *Payment information.* The payment or disbursing office shall forward to the Contractor available payment information that is suitable for transmission as of the date of release of the EFT instruction to the Federal Reserve System. The Government may request the Contractor to designate a desired format and method(s) for delivery of payment information from a list of formats and methods the payment office is capable of executing. However, the Government does not guarantee that any particular format or method of delivery is available at any particular payment office and retains the latitude to use the format and delivery method most convenient to the Government. If the Government makes payment by check in accordance with paragraph (a) of this clause, the Government shall mail the payment information to the remittance address contained in the CCR database.
(End of Clause)

83. DFARS 252.232-7004 DOD PROGRESS PAYMENT RATES (OCT 2001)

(a) If the contractor is a small business concern, the Progress Payments clause of this contract is modified to change each mention of the progress payment rate and liquidation rate (excepting paragraph (k), *Limitations on Unfinalized Contract Actions*) to 90 percent.

(b) If the contractor is a small disadvantaged business concern, the Progress Payments clause of this contract is modified to change each mention of the progress payment rate and liquidation rate (excepting paragraph (k), *Limitations on Unfinalized Contract Actions*) to 95 percent.
(End of clause)

**84. DFARS 252.232-7005 REIMBURSEMENT OF SUBCONTRACTOR ADVANCE PAYMENTS--
DOD PILOT MENTOR-PROTEGE PROGRAM (SEP 2001)**

(a) The Government will reimburse the Contractor for any advance payments made by the Contractor, as a mentor firm, to a protege firm, pursuant to an approved mentor-protege agreement, provided-

(1) The Contractor's subcontract with the protege firm includes a provision substantially the same as FAR 52.232-12, Advance Payments;

(2) The Contractor has administered the advance payments in accordance with the policies of FAR Subpart 32.4; and

(3) The Contractor agrees that any financial loss resulting from the failure or inability of the protege firm to repay any unliquidated advance payments is the sole financial responsibility of the Contractor.

(b) For a fixed price type contract, advance payments made to a protege firm shall be paid and administered as if they were 100 percent progress payments. The Contractor shall include as a separate attachment with each Standard Form (SF) 1443, Contractor's Request for Progress Payment, a request for reimbursement of advance payments made to a protege firm. The attachment shall provide a separate calculation of lines 14a through 14e of SF 1443 for each protege, reflecting the status of advance payments made to that protege.

(c) For cost reimbursable contracts, reimbursement of advance payments shall be made via public voucher. The Contractor shall show the amounts of advance payments made to each protege on the public voucher, in the form and detail directed by the cognizant contracting officer or contract auditor.
(End of clause)

85. *FAR 52.233-1 DISPUTES (DEC 1998)

(a) This contract is subject to the Contract Disputes Act of 1978, as amended (41 U.S.C. 601-613).

(b) Except as provided in the Act, all disputes arising under or relating to this contract shall be resolved under this clause.

(c) 'Claim,' as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to this contract. A claim arising under a contract, unlike a claim relating to that contract, is a claim that can be resolved under a contract clause that provides for the relief sought by the claimant. However, a written demand or written assertion by the Contractor seeking the payment of money exceeding \$100,000 is not a claim under the Act until certified as required by subparagraph (d)(2) of this clause. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim under the Act. The submission may be converted to a claim under the Act, by complying with the submission and certification requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a reasonable time.

(d)(1) A claim by the Contractor shall be made in writing and, unless otherwise stated in this contract, submitted within 6 years after accrual of the claim to the Contracting Officer for a written decision. A claim by the Government against the Contractor shall be subject to a written decision by the Contracting Officer.

(2) (i) Contractors shall provide the certification specified in paragraph (d)(2)(iii) of this clause when submitting any claim exceeding \$100,000.

(ii) The certification requirement does not apply to issues in controversy that have not been submitted as all or part of a claim.

(iii) The certification shall state as follows:

'I certify that the claim is made in good faith; that the supporting data are accurate and complete to the best of my knowledge and belief; that the amount requested accurately reflects the contract adjustment for which the Contractor believes the Government is liable; and that I am duly authorized to certify the claim on behalf of the Contractor.'

(3) The certification may be executed by any person duly authorized to bind the Contractor with respect to the claim.

(e) For Contractor claims of \$100,000 or less, the Contracting Officer must, if requested in writing by the Contractor, render a decision within 60 days of the request. For Contractor-certified claims over \$100,000, the Contracting Officer must, within 60 days, decide the claim or notify the Contractor of the date by which the decision will be made.

(f) The Contracting Officer's decision shall be final unless the Contractor appeals or files a suit as provided in the Act.

(g) If the claim by the Contractor is submitted to the Contracting Officer or a claim by the Government is presented to the Contractor, the parties, by mutual consent, may agree to use alternative dispute resolution (ADR). If the Contractor refuses an offer for ADR, the Contractor shall inform the Contracting Officer, in writing, of the Contractor's specific reasons for rejecting the offer.

(h) The Government shall pay interest on the amount found due and unpaid from (1) the date the Contracting Officer receives the claim (certified if required), or (2) the date that payment otherwise would be due, if that date is later, until the date of payment. With regard to claims having defective certifications, as defined in (FAR) 48 CFR 33.201, interest shall be paid from the date that the Contracting Officer initially receives the claim. Simple interest on claims shall be paid at the rate, fixed by the Secretary of the Treasury as provided in the Act, which is applicable to the period during which the Contracting Officer receives the claim and then at the rate applicable for each 6-month period as fixed by the Treasury Secretary during the pendency of the claim.

(i) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the Contracting Officer.

86. *FAR 52.233-3 PROTEST AFTER AWARD (AUG 1996)

(a) Upon receipt of a notice of protest (as defined in FAR 33.101) or a determination that a protest is likely (see FAR 33.102(d)), the Contracting Officer may, by written order to the Contractor, direct the Contractor to stop performance of the work called for by this contract. The order shall be specifically identified as a stop-work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take

all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Upon receipt of the final decision in the protest, the Contracting Officer shall either--

- (1) Cancel the stop-work order; or
- (2) Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the Government, clause of this contract.

(b) If a stop-work order issued under this clause is canceled either before or after a final decision in the protest, the Contractor shall resume work. The Contracting Officer shall make an equitable adjustment in the delivery schedule or contract price, or both, and the contract shall be modified, in writing, accordingly, if--

- (1) The stop-work order results in an increase in the time required for, or in the Contractor's cost properly allocable to, the performance of any part of this contract; and
- (2) The Contractor asserts its right to an adjustment within 30 days after the end of the period of work stoppage; provided, that if the Contracting Officer decides the facts justify the action, the Contracting Officer may receive and act upon a proposal at any time before final payment under this contract.

(c) If a stop-work order is not canceled and the work covered by the order is terminated for the convenience of the Government, the Contracting Officer shall allow reasonable costs resulting from the stop-work order in arriving at the termination settlement.

(d) If a stop-work order is not canceled and the work covered by the order is terminated for default, the Contracting Officer shall allow, by equitable adjustment or otherwise, reasonable costs resulting from the stop-work order.

(e) The Government's rights to terminate this contract at any time are not affected by action taken under this clause.

(f) If, as the result of the Contractor's intentional or negligent misstatement, misrepresentation, or miscertification, a protest related to this contract is sustained, and the Government pays costs, as provided in FAR 33.102(b)(2) or 33.104(h)(1), the Government may require the Contractor to reimburse the Government the amount of such costs. In addition to any other remedy available, and pursuant to the requirements of Subpart 32.6, the Government may collect this debt by offsetting the amount against any payment due the Contractor under any contract between the Contractor and the Government.

87. RESERVED

88. FAR 52.236-2 DIFFERING SITE CONDITIONS (APR 1984)

(a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of

- (1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or
- (2) unknown physical conditions at the site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.

(b) The Contracting Officer shall investigate the site conditions promptly after receiving the notice. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, an equitable adjustment shall be made under this clause and the contract modified in writing accordingly.

(c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required, provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.

(d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

89. *FAR 52.236-3 SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK (APR

1984)

(a) The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the work, and that it has investigated and satisfied itself as to the general and local conditions which can affect the work or its cost, including but not limited to

- (1) conditions bearing upon transportation, disposal, handling, and storage of materials;
- (2) the availability of labor, water, electric power, and roads;
- (3) uncertainties of weather, river stages, tides, or similar physical conditions at the site;
- (4) the conformation and conditions of the ground; and
- (5) the character of equipment and facilities needed preliminary to and during work

performance. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the site, including all exploratory work done by the Government, as well as from the drawings and specifications made a part of this contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to the Government.

(b) The Government assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by the Government. Nor does the Government assume responsibility for any understanding reached or representation made concerning conditions which can affect the work by any of its officers or agents before the execution of this contract, unless that understanding or representation is expressly stated in this contract.

90. *FAR 52.236-5 MATERIAL AND WORKMANSHIP (APR 1984)

(a) All equipment, material, and articles incorporated into the work covered by this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the specifications to equipment, material, articles, or patented processes by trade name, make, or catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.

(b) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. When directed to do so, the Contractor shall submit samples for approval at the Contractor's expense, with all shipping charges prepaid. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.

(c) All work under this contract shall be performed in a skillful and workmanlike manner. The Contracting Officer may require, in writing, that the Contractor remove from the work any employee the Contracting Officer deems incompetent, careless, or otherwise objectionable.

91. *FAR 52.232-33 PAYMENT BY ELECTRONIC FUNDS TRANSFER –CENTRAL CONTRACTOR REGISTRATION (MAY 1999)

(a) *Method of payment.* (1) All payments by the Government under this contract shall be made by electronic funds transfer (EFT), except as provided in paragraph (a)(2) of this clause. As used in this clause, the term "EFT" refers to the funds transfer and may also include the payment information transfer.

(2) In the event the Government is unable to release one or more payments by EFT, the Contractor agrees to either—

(i) Accept payment by check or some other mutually agreeable method of payment; or

(ii) Request the Government to extend the payment due date until such time as the Government can make payment by EFT (but see paragraph (d) of this clause).

(b) *Contractor's EFT information.* The Government shall make payment to the Contractor using the EFT information contained in the Central Contractor Registration (CCR) database. In the event that the EFT information changes, the Contractor shall be responsible for providing the updated information to the CCR database.

(c) *Mechanisms for EFT payment.* The Government may make payment by EFT through either the Automated Clearing House (ACH) network, subject to the rules of the National Automated Clearing House Association, or the Fedwire Transfer System. The rules governing Federal payments through the ACH are contained in 31 CFR part 210.

(d) *Suspension of payment.* If the Contractor's EFT information in the CCR database is incorrect, then the Government need not make payment to the Contractor under this contract until correct EFT information is entered into the CCR database; and any invoice or contract financing request shall be deemed not to be a proper invoice for the purpose of prompt payment under this contract. The prompt payment terms of the contract regarding notice of an improper invoice and delays in accrual of interest penalties apply.

(e) *Contractor EFT arrangements.* If the Contractor has identified multiple payment receiving points (i.e., more than one remittance address and/or EFT information set) in the CCR database, and the Contractor has not notified the Government of the payment receiving point applicable to this contract, the Government shall make payment to the first payment receiving point (EFT information set or remittance address as applicable) listed in the CCR database.

(f) *Liability for uncompleted or erroneous transfers.* (1) If an uncompleted or erroneous transfer occurs because the Government used the Contractor's EFT information incorrectly, the Government remains responsible for—

(i) Making a correct payment;

(ii) Paying any prompt payment penalty due; and

(iii) Recovering any erroneously directed funds.

(2) If an uncompleted or erroneous transfer occurs because the Contractor's EFT information was incorrect, or was revised within 30 days of Government release of the EFT payment transaction instruction to the Federal Reserve System, and—

(i) If the funds are no longer under the control of the payment office, the Government is deemed to have made payment and the Contractor is responsible for recovery of any erroneously directed funds; or

(ii) If the funds remain under the control of the payment office, the Government shall not make payment, and the provisions of paragraph (d) of this clause shall apply.

(g) *EFT and prompt payment.* A payment shall be deemed to have been made in a timely manner in accordance with the prompt payment terms of this contract if, in the EFT payment transaction instruction released to the Federal Reserve System, the date specified for settlement of the payment is on or before the prompt payment due date, provided the specified payment date is a valid date under the rules of the Federal Reserve System.

(h) *EFT and assignment of claims.* If the Contractor assigns the proceeds of this contract as provided for in the assignment of claims terms of this contract, the Contractor shall require as a condition of any such assignment, that the assignee shall register in the CCR database and shall be paid by EFT in accordance with the terms of this clause. In all respects, the requirements of this clause shall apply to the assignee as if it were the Contractor. EFT information that shows the ultimate recipient of the transfer to be other than the Contractor, in the absence of a proper assignment of claims acceptable to the Government, is incorrect EFT information within the meaning of paragraph (d) of this clause.

(i) *Liability for change of EFT information by financial agent.* The Government is not liable for errors resulting from changes to EFT information made by the Contractor's financial agent.

(j) *Payment information.* The payment or disbursing office shall forward to the Contractor available payment information that is suitable for transmission as of the date of release of the EFT instruction to the Federal Reserve System. The Government may request the Contractor to designate a desired format and method(s) for delivery of payment information from a list of formats and methods the payment office is capable of executing.

However, the Government does not guarantee that any particular format or method of delivery is available at any particular payment office and retains the latitude to use the format and delivery method most convenient to the Government. If the Government makes payment by check in accordance with paragraph (a) of this clause, the Government shall mail the payment information to the remittance address contained in the CCR database.
(End of Clause)

92. *FAR 52.236-6 SUPERINTENDENCE BY THE CONTRACTOR (APR 1984)

At all times during performance of this contract and until the work is completed and accepted, the Contractor shall directly superintend the work or assign and have on the work site a competent superintendent who is satisfactory to the Contracting Officer and has authority to act for the Contractor.

93. FAR 52.236-7 PERMITS AND RESPONSIBILITIES (NOV 1991)

The Contractor shall, without additional expense to the Government, be responsible for obtaining any necessary licenses and permits, and for complying with any Federal, State, and municipal laws, codes, and regulations applicable to the performance of the work. The Contractor shall also be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.

94. *FAR 52.236-8 OTHER CONTRACTS (APR 1984)

The Government may undertake or award other contracts for additional work at or near the site of the work under this contract. The Contractor shall fully cooperate with the other contractors and with Government employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or by Government employees.

95. *FAR 52.236-9 PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS (APR 1984)

(a) The Contractor shall preserve and protect all structures, equipment, and vegetation (such as trees, shrubs, and grass) on or adjacent to the work site, which are not to be removed and which do not unreasonably interfere with the work required under this contract. The Contractor shall only remove trees when specifically authorized to do so, and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during contract performance, or by the careless operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.

(b) The Contractor shall protect from damage all existing improvements and utilities

- (1) at or near the work site, and
- (2) on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. The Contractor shall repair any damage to those facilities, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refused to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.

96. *FAR 52.236-10 OPERATIONS AND STORAGE AREAS (APR 1984)

(a) The Contractor shall confine all operations (including storage of materials) on Government premises to areas authorized or approved by the Contracting Officer. The Contractor shall hold and save the Government, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.

(b) Temporary buildings (e.g., storage sheds, shops, offices) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the Government. The temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.

(c) The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any Federal, State, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

97. *FAR 52.236-11 USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)

(a) The Government shall have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the Government intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The Government's possession or use shall not be deemed an acceptance of any work under the contract.

(b) While the Government has such possession or use, the Contractor shall be relieved of the responsibility for the loss of or damage to the work resulting from the Government's possession or use, notwithstanding the terms of the clause in this contract entitled "Permits and Responsibilities." If prior possession or use by the Government delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

98. *FAR 52.236-12 CLEANING UP (APR 1984)

The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. Before completing the work, the Contractor shall remove from the work and premises any rubbish, tools, scaffolding, equipment, and materials that are not the property of the Government. Upon completing the work, the Contractor shall leave the work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer.

99. *FAR 52.236-13 ACCIDENT PREVENTION-ALTERNATE I (NOV 1991)

(a) The Contractor shall provide and maintain work environments and procedures which will (1) safeguard the public and Government personnel, property, materials, supplies, and equipment exposed to Contractor operations and activities; (2) avoid interruptions of Government operations and delays in project completion dates; and (3) control costs in the performance of this contract.

(b) For these purposes on contracts for construction or dismantling, demolition, or removal of improvements, the Contractor shall--

- (1) Provide appropriate safety barricades, signs, and signal lights;

(2) Comply with the standards issued by the Secretary of Labor at 29 CFR Part 1926 and 29 CFR Part 1910; and

(3) Ensure that any additional measures the Contracting Officer determines to be reasonably necessary for the purposes are taken.

(c) If this contract is for construction or dismantling, demolition or removal of improvements with any Department of Defense agency or component, the Contractor shall comply with all pertinent provisions of the latest version of U.S. Army Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1, in effect on the date of the solicitation.

(d) Whenever the Contracting Officer becomes aware of any noncompliance with these requirements or any condition which poses a serious or imminent danger to the health or safety of the public or Government personnel, the Contracting Officer shall notify the Contractor orally, with written confirmation, and request immediate initiation of corrective action. This notice, when delivered to the Contractor or the Contractor's representative at the work site, shall be deemed sufficient notice of the noncompliance and that corrective action is required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to promptly take corrective action, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not be entitled to any equitable adjustment of the contract price or extension of the performance schedule on any stop work order issued under this clause.

(e) The Contractor shall insert this clause, including this paragraph (e), with appropriate changes in the designation of the parties, in subcontractors.

(f) Before commencing the work, the Contractor shall--

(1) Submit a written proposed plan for implementing this clause. The plan shall include an analysis of the significant hazards to life, limb, and property inherent in contract work performance and a plan for controlling these hazards; and

(2) Meet with representatives of the Contracting Officer to discuss and develop a mutual understanding relative to administration of the overall safety program.

100.*FAR 52.236-14 AVAILABILITY AND USE OF UTILITY SERVICES (APR 1984)

(a) The Government shall make all reasonably required amounts of utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed shall be charged to or paid for by the Contractor at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. The Contractor shall carefully conserve any utilities furnished without charge.

(b) The Contractor, at its expense and in a workmanlike manner satisfactory to the Contracting Officer, shall install and maintain all necessary temporary connections and distribution lines, and all meters required to measure the amount of each utility used for the purpose of determining charges. Before final acceptance of the work by the Government, the Contractor shall remove all the temporary connections, distribution lines, meters, and associated paraphernalia.

101.FAR 52.236-15 SCHEDULES FOR CONSTRUCTION CONTRACTS (APR 1984)

(a) The Contractor shall, within five days after the work commences on the contract or another period of time determined by the Contracting Officer, prepare and submit to the Contracting Officer for approval three copies of a practicable schedule showing the order in which the Contractor proposes to perform the work, and the dates on which the Contractor contemplates starting and completing the several salient features of the work (including acquiring materials, plant, and equipment). The schedule shall be in the form of a progress chart of suitable scale to indicate appropriately the percentage of work scheduled for completion by any given date during the period. If the Contractor fails to submit a schedule within the time prescribed, the Contracting Officer may withhold approval of progress payments until the Contractor submits the required schedule.

(b) The Contractor shall enter the actual progress on the chart as directed by the Contracting Officer, and upon doing so shall immediately deliver three copies of the annotated schedule to the Contracting Officer. If, in the opinion of the Contracting Officer, the Contractor falls behind the approved schedule, the Contractor shall take steps necessary to improve its progress, including those that may be required by the Contracting Officer, without additional cost to the Government. In this circumstance, the Contracting Officer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction plant, and to submit for approval any supplementary schedule or schedules in chart form as the Contracting Officer deems necessary to demonstrate how the approved rate of progress will be regained.

(c) Failure of the Contractor to comply with the requirements of the Contracting Officer under this clause shall be grounds for a determination by the Contracting Officer that the Contractor is not prosecuting the work with sufficient diligence to ensure completion within the time specified in the contract. Upon making this determination, the Contracting Officer may terminate the Contractor's right to proceed with the work, or any separable part of it, in accordance with the default terms of this contract.

102.*FAR 52.236-17 LAYOUT OF WORK (APR 1984)

The Contractor shall lay out its work from Government-established base lines and bench marks indicated on the drawings, and shall be responsible for all measurements in connection with the layout. The Contractor shall furnish, at its own expense, all stakes, templates, platforms, equipment, tools, materials, and labor required to lay out any part of the work. The Contractor shall be responsible for executing the work to the lines and grades that may be established or indicated by the Contracting Officer. The Contractor shall also be responsible for maintaining and preserving all stakes and other marks established by the Contracting Officer until authorized to remove them. If such marks are destroyed by the Contractor or through its negligence before their removal is authorized, the Contracting Officer may replace them and deduct the expense of the replacement from any amounts due or to become due to the Contractor.

103.FAR 52.236-21 SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (FEB 1997)

(a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.

(b) Wherever in the specifications or upon the drawings the words "directed," "required," "ordered," "designated," "prescribed," or words of like import are used, it shall be understood that the "direction," "requirement," "order," "designation," or "prescription," of the Contracting Officer is intended and similarly the words "approved," "acceptable," "satisfactory," or words of like import shall mean "approved by," or "acceptable to," or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.

(c) Where "as shown," "as indicated," "as detailed," or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place," that is "furnished and installed."

(d) Shop drawings means drawings, submitted to the Government by the Contractor, subcontractor, or any lower tier subcontractor pursuant to a construction contract, showing in detail

- (1) the proposed fabrication and assembly of structural elements, and
- (2) the installation (i.e., fit, and attachment details) of materials or equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the Contractor to explain in detail specific portions of the work required by the

contract. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

(e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate the Government's reasons therefor. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.

(f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Contracting Officer approves any such variation, the Contracting Officer shall issue an appropriate contract modification, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.

(g) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by the Contracting Officer and one set will be returned to the Contractor.

104. *FAR 52.236-26 PRECONSTRUCTION CONFERENCE (FEB 1995)

If the Contracting Officer decides to conduct a preconstruction conference, the successful offeror will be notified and will be required to attend. The Contracting Officer's notification will include specific details regarding the date, time, and location of the conference, any need for attendance by subcontractors, and information regarding the items to be discussed.

105. DFARS 252.236-7000 MODIFICATION OF PROPOSALS - PRICE BREAKDOWN (DEC 1991)

(a) The Contractor shall furnish a price breakdown, itemized as required and within the time specified by the Contracting Officer, with any proposal for a contract modification.

(b) The price breakdown--

(1) Must include sufficient detail to permit an analysis of profit, and of all costs for--

- (i) Material;
- (ii) Labor,
- (iii) Equipment;
- (iv) Subcontracts; and

(2) Most cover all work involved in the modification, whether the work was deleted, added, or changed.

(c) The Contractor shall provide similar price breakdowns to support any amounts claimed for subcontracts.

(d) The Contractor's proposal shall include a justification for any time extension proposed.

106. DFARS 252.236-7008 CONTRACT PRICES - BIDDING SCHEDULES (DEC 1991)

(a) The Government's payment for the items listed in the Bidding Schedule shall constitute full compensation to the Contractor for--

- (1) Furnishing all plant, labor, equipment, appliances, and materials; and
- (2) Performing all operations required to complete the work in conformity with the drawings and specifications.

(b) The Contractor shall include in the prices for the items listed in the Bidding Schedule all costs for work in the specifications, whether or not specifically listed in the Bidding Schedule.

107. *FAR 52.242-13 BANKRUPTCY (JUL 1995)

In the event the Contractor enters into proceedings relating to bankruptcy, whether voluntary or involuntary, the Contractor agrees to furnish, by certified mail or electronic commerce method authorized by the contract, written notification of the bankruptcy to the Contracting Officer responsible for administering the contract. This notification shall be furnished within five days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of Government contract numbers and contracting offices for all Government contracts against which final payment has not been made. This obligation remains in effect until final payment under this contract.

108. *FAR 52.242-14 SUSPENSION OF WORK (APR 1984)

(a) The Contracting Officer may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Government.

(b) If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified in this contract (or within a reasonable time if not specified), an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) necessarily caused by the unreasonable suspension, delay, or interruption, and the contract modified in writing accordingly. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor, or for which an equitable adjustment is provided for or excluded under any other term or condition of this contract.

(c) A claim under this clause shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply as to a claim resulting from a suspension order), and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

109. FAR 52.243-4 CHANGES (AUG 1987)

(a) The Contracting Officer may, at any time, without notice to the sureties, if any, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract, including changes--

- (1) In the specifications (including drawings and designs);
- (2) In the method or manner of performance of the work;
- (3) In the Government-furnished facilities, equipment, materials, services, or site; or
- (4) Directing acceleration in the performance of the work.

(b) Any other written or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating

- (1) the date, circumstances, and source of the order and
- (2) that the Contractor regards the order as a change order.

(c) Except as provided in this clause, no order, statement, or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.

(d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for, the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for an adjustment based on defective specifications, no adjustment for any change under paragraph (b) of this clause shall be made for any costs incurred more than 20 days before the Contractor gives written notice as required. In the case of defective specifications for which the Government is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.

(e) The Contractor must assert its right to an adjustment under this clause within 30 days after
(1) receipt of a written change order under paragraph (a) of this clause or
(2) the furnishing of a written notice under paragraph (b) of this clause, by submitting to the Contracting Officer a written statement describing the general nature and amount of the proposal, unless this period is extended by the Government. The statement of proposal for adjustment may be included in the notice under paragraph (b) above.

(f) No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.

110. DFARS 252.243-7001 PRICING OF CONTRACT MODIFICATIONS (DEC 1991)

When costs are a factor in any price adjustment under this contract, the contract cost principles and procedures in FAR Part 31 and DFARS Part 231, in effect on the date of this contract, apply.

111. DFARS 252.243-7002 REQUESTS FOR EQUITABLE ADJUSTMENT (MAR 1998)

(a) The amount of any request for equitable adjustment to contract terms shall accurately reflect the contract adjustment for which the Contractor believes the Government is liable. The request shall include only costs for performing the change, and shall not include any costs that already have been reimbursed or that have been separately claimed. All indirect costs included in the request shall be properly allocable to the change in accordance with applicable acquisition regulations.

(b) In accordance with 10 U.S.C. 2410(a), any request for equitable adjustment to contract terms that exceeds the simplified acquisition threshold shall bear, at the time of submission, the following certificate executed by an individual authorized to certify the request on behalf of the Contractor:
I certify that the request is made in good faith, and that the supporting data are accurate and complete to the best of my knowledge and belief.

(Official's Name)

(Title)

(c) The certification in paragraph (b) of this clause requires full disclosure of all relevant facts, including--

(1) Cost or pricing data if required in accordance with subsection 15.403-4 of the Federal Acquisition Regulation; and

(2) Information other than cost or pricing data, in accordance with subsection 15.403-3 of the FAR, including actual cost data and data to support any estimated costs, even if cost or pricing data are not required.

(d) The certification requirement in paragraph (b) of this clause does not apply to---

(1) Requests for routine contract payments; for example, requests for payment for accepted supplies and services, routine vouchers under a cost-reimbursement type contract, or progress payment invoices; or

(2) Final adjustment under an incentive provision of the contract.

(End of clause)

112. *FAR 52.244-2 SUBCONTRACTS (AUG 1998)

(a) Definitions. As used in this clause--

"Approved purchasing system" means a Contractor's purchasing system that has been reviewed and approved in accordance with Part 44 of the Federal Acquisition Regulation (FAR).

"Consent of subcontract" means the Contracting Officer's written consent for the Contractor to enter into a particular subcontract.

"Subcontract," means any contract, as defined in FAR Subpart 2.1, entered into by a subcontractor to furnish supplies or services for performance of the the prime contract or a subcontract. It includes, but is not limited to purchase orders, and changes and modifications to purchase orders.

(b) This clause does not apply to subcontracts for special test equipment when the contract contains the clause at FAR 52.245-18, Special Test Equipment.

(c) When this clause is included in a fixed-price type contract, consent to subcontract is required only on unpriced contract actions (including unpriced modification or unpriced delivery orders), and only if required in accordance with paragraph (d) or (e) of this clause.

(d) If the Contractor does not have an approved purchasing system, consent to subcontract is required for any subcontract that--

- (1) Is of the cost-reimbursement, time-and-materials, or labor-hour type; or
- (2) Is fixed-price and exceeds--

(i) For a contract awarded by the Department of Defense, the Coast Guard, or the National Aeronautics and Space Administration, the greater of the simplified threshold or 5 percent of the total estimated cost of the contract; or

(ii) For a contract awarded by a civilian agency other than the Coast Guard and the National Aeronautics and Space Administration, either the the simplified threshold or 5 percent of the total estimated cost of the contract.

(e) If the Contractor has an approved purchasing system, the Contractor nevertheless shall obtain the Contracting Officer's written consent before placing the following subcontracts:

(f)(1) The Contractor shall notify the Contracting Officer reasonably in advance of placing any subcontract or modification thereof for which consent is required under paragraph (c), (d), or (e) of this clause, including the following information:

- (i) A description of the supplies or services to be subcontracted.
- (ii) Identification of the type of subcontract to be used.
- (iii) Identification of the proposed subcontractor.
- (iv) The proposed subcontract price.

- (v) The subcontractor's current, complete, and accurate cost or pricing data and Certificate of Current Cost or Pricing Data, if required by other contract provisions.
- (vi) The subcontractor's Disclosure Statement or Certificate relating to Cost Accounting Standards when such data are required by other provisions of this contract.
- (vii) A negotiation memorandum reflecting--
 - (A) The principal elements of the subcontract price negotiations;
 - (B) The most significant considerations controlling establishment of initial or revised prices;
 - (C) The reason cost or pricing data were or were not required;
 - (D) The extent, if any, to which the Contractor did not rely on the subcontractor's cost or pricing data in determining the price objective and in negotiating the final price;
 - (E) The extent to which it was recognized in the negotiation that the subcontractor's cost or pricing data were not accurate, complete, or current; the action taken by the Contractor and subcontractor; and the effect of any such defective data on the total price negotiated;
 - (F) The reasons for any significant difference between the Contractor's price objective and the price negotiated; and
 - (G) A complete explanation of the incentive fee or profit plan when incentives are used. The explanation shall identify each critical performance element, management decisions used to quantify each incentive element, reasons for the incentives, and a summary of all trade-off possibilities considered.
- (2) The Contractor is not required to notify the Contracting Officer in advance of entering into any subcontract for which consent is not required under paragraph (c), (d), or (e) of this clause.
- (g) Unless the consent or approval specifically provides otherwise, neither consent by the Contracting Officer to any subcontract nor approval of the Contractor's purchasing system shall constitute a determination--
 - (1) Of the acceptability of any subcontract terms or conditions;
 - (2) Of the acceptability of any cost under this contract; or
 - (3) To relieve the Contractor of any responsibility for performing this contract.
- (h) No subcontract or modification thereof placed under this contract shall provide for payment on a cost-plus-a-percentage-of-cost basis, and any fee payable under cost-reimbursement subcontracts shall not exceed the fee limitations in FAR 15.404-4(c)(4)(i).
- (i) The Contractor shall give the Contracting Officer immediate written notice of any action or suit filed and prompt notice of any claim made against the Contractor by any subcontractor or vendor that, in the opinion of the Contractor, may result in litigation related in any way to this contract, with respect to which the Contractor may be entitled to reimbursement by the Government.
- (j) The Government reserves the right to review the Contractor's purchasing system as set forth in FAR Subpart 44.3.
- (k) Paragraphs (d) and (f) of this clause do not apply to the following subcontracts, which ere evaluated during negotiations:

(End of clause)

113. FAR 52.244-6 SUBCONTRACTS FOR COMMERCIAL ITEMS (MAY 2001)

(a) *Definitions.* As used in this clause—

“Commercial item” has the meaning contained in the clause at 52.202-1, Definitions.
 “Subcontract” includes a transfer of commercial items between divisions, subsidiaries, or affiliates of the Contractor or subcontractor at any tier.

(b) To the maximum extent practicable, the Contractor shall incorporate, and require its subcontractors at all tiers to incorporate, commercial items or nondevelopmental items as components of items to be supplied under this contract.

(c)(1) The following clauses shall be flowed down to subcontracts for commercial items:

(i) 52.219-8, Utilization of Small Business Concerns (OCT 2000) (15 U.S.C. 637(d)(2) and (3)), in all subcontracts that offer further subcontracting opportunities. If the subcontract (except subcontracts to small business concerns) exceeds \$500,000 (\$1,000,000 for construction of any public facility), the subcontractor must include 52.219-8 in lower tier subcontracts that offer sub-contracting opportunities.

(ii) 52.222-26, Equal Opportunity (FEB 1999) (E.O. 11246).

(iii) 52.222-35, Affirmative Action for Disabled Veterans and Veterans of the Vietnam Era (APR 1998) (38 U.S.C. 4212(a)).

(iv) 52.222-36, Affirmative Action for Workers with Disabilities (JUN 1998) (29 U.S.C. 793).

(v) 52.247-64, Preference for Privately Owned U.S.-Flagged Commercial Vessels (JUN 2000) (46 U.S.C. Appx 1241) (flowdown not required for subcontracts awarded beginning May 1, 1996).

(2) While not required, the Contractor may flow down to subcontracts for commercial items a minimal number of additional clauses necessary to satisfy its contractual obligations.

(d) The Contractor shall include the terms of this clause, including this paragraph (d), in subcontracts awarded under this contract.

(End of clause)

114. *FAR 52.245-2 GOVERNMENT PROPERTY (FIXED-PRICE CONTRACTS) (DEC 1989) [For Government Property over \$100,000]

(a) Government-furnished property.

(1) The Government shall deliver to the Contractor, for use in connection with and under the terms of this contract, the Government-furnished property described in the Schedule or specifications together with any related data and information that the Contractor may request and is reasonably required for the intended use of the property (hereinafter referred to as "Government-furnished property").

(2) The delivery or performance dates for this contract are based upon the expectation that Government-furnished property suitable for use (except for property furnished "as is") will be delivered to the Contractor at the times stated in the Schedule or, if not so stated, in sufficient time to enable the Contractor to meet the contract's delivery or performance dates.

(3) If Government-furnished property is received by the Contractor in a condition not suitable for the intended use, the Contractor shall, upon receipt of it, notify the Contracting Officer, detailing the facts, and, as directed by the Contracting Officer and at Government expense, either repair, modify, return, or otherwise dispose of the property. After completing the directed action and upon written request of the Contractor, the Contracting Officer shall make an equitable adjustment as provided in paragraph (h) of this clause.

(4) If Government-furnished property is not delivered to the Contractor by the required time, the Contracting Officer shall, upon the Contractor's timely written request, make a determination of the delay, if any, caused the Contractor and shall make an equitable adjustment in accordance with paragraph (h) of this clause.

(b) Changes in Government-furnished property.

(1) The Contracting Officer may, by written notice,

(i) decrease the Government-furnished property provided or to be provided under this contract, or

(ii) substitute other Government-furnished property for the property to be provided by the Government, or to be acquired by the Contractor for the Government, under this contract. The Contractor shall promptly take such action as the Contracting Officer may direct regarding the removal, shipment, or disposal of the property covered by such notice.

(2) Upon the Contractor's written request, the Contracting Officer shall make an equitable adjustment to the contract in accordance with paragraph (h) of this clause, if the Government has agreed in the Schedule to make the property available for performing this contract and there is any--

(i) Decrease or substitution in this property pursuant to subparagraph (b)(1) above;

or

(ii) Withdrawal of authority to use this property, if provided under any other contract or lease.

(c) Title in Government property.

(1) The Government shall retain title to all Government-furnished property.

(2) All Government-furnished property and all property acquired by the Contractor, title to which vests in the Government under this paragraph (collectively referred to as "Government property"), are subject to the provisions of this clause. However, special tooling accountable to this contract is subject to the provisions of the Special Tooling clause and is not subject to the provisions of this clause. Title to Government property shall not be affected by its incorporation into or attachment to any property not owned by the Government, nor shall government property become a fixture or lose its identity as personal property by being attached to any real property.

(3) Title to each item of facilities and special test equipment acquired by the Contractor for the Government under this contract shall pass to and vest in the Government when its use in performing this contract commences or when the Government has paid for it, whichever is earlier, whether or not title previously vested in the Government.

(4) If this contract contains a provision directing the Contractor to purchase material for which the Government will reimburse the Contractor as a direct item of cost under this contract--

(i) Title to material purchased from a vendor shall pass to and vest in the Government upon the vendor's delivery of such material; and

(ii) Title to all other material shall pass to and vest in the Government upon--
(A) Issuance of the material for use in contract performance;
(B) Commencement of processing of the material or its use in contract performance; or

(C) Reimbursement of the cost of the material by the Government, whichever occurs first.

(d) Use of Government property. The Government property shall be used only for performing this contract, unless otherwise provided in this contract or approved by the Contracting Officer.

(e) Property Administration.

(1) The Contractor shall be responsible and accountable for all Government property provided under this contract and shall comply with Federal Acquisition Regulation (FAR) Subpart 45.5, as in effect on the date of this contract.

(2) The Contractor shall establish and maintain a program for the use, maintenance, repair, protection, and preservation of Government property in accordance with sound industrial practice and the applicable provisions of Subpart 45.5 of the FAR.

(3) If damage occurs to Government property, the risk of which has been assumed by the Government under this contract, the Government shall replace the items or the Contractor shall make such repairs as the Government directs. However, if the Contractor cannot effect such repairs within the time required, the Contractor shall dispose of the property as directed by the Contracting Officer. When any property for which the Government is responsible is replaced or repaired, the Contracting Officer shall make an equitable adjustment in accordance with paragraph (h) of this clause.

(4) The Contractor represents that the contract price does not include any amount for repairs or replacement for which the Government is responsible. Repair or replacement of property for which the Contractor is responsible shall be accomplished by the Contractor at its own expense.

(f) Access. The Government and all its designees shall have access at all reasonable times to the premises in which any Government property is located for the purpose of inspecting the Government property.

(g) Risk of loss. Unless otherwise provided in this contract, the Contractor assumes the risk of, and shall be responsible for, any loss or destruction of, or damage to, Government property upon its delivery to the Contractor or upon passage of title to the Government under paragraph (c) of this clause. However, the Contractor is not responsible for reasonable wear and tear to Government property or for Government property properly consumed in performing this contract.

(h) Equitable adjustment. When this clause specifies an equitable adjustment, it shall be made to any affected contract provision in accordance with the procedures of the Changes clause. When appropriate, the Contracting Officer may initiate an equitable adjustment in favor of the Government. The right to an equitable

adjustment shall be the Contractor's exclusive remedy. The Government shall not be liable to suit for breach of contract for--

- (1) Any delay in delivery of Government-furnished property;
- (2) Delivery of Government-furnished property in a condition not suitable for its intended use;
- (3) A decrease in or substitution of Government-furnished property; or
- (4) Failure to repair or replace Government property for which the Government is responsible.

(i) Final accounting and disposition of Government property. Upon completing this contract, or at such earlier dates as may be fixed by the Contracting Officer, the Contractor shall submit, in a form acceptable to the Contracting Officer, inventory schedules covering all items of Government property (including any resulting scrap) not consumed in performing this contract or delivered to the Government. The Contractor shall prepare for shipment, deliver f.o.b. origin, or dispose of the Government property as may be directed or authorized by the Contracting Officer. The net proceeds of any such disposal shall be credited to the contract price or shall be paid to the Government as the Contracting Officer directs.

(j) Abandonment and restoration of Contractor's premises. Unless otherwise provided herein, the Government--

(1) May abandon any Government property in place, at which time all obligations of the Government regarding such abandoned property shall cease; and

(2) Has no obligation to restore or rehabilitate the Contractor's premises under any circumstances (e.g., abandonment, disposition upon completion of need, or upon contract completion). However, if the Government-furnished property (listed in the Schedule or specifications) is withdrawn or is unsuitable for the intended use, or if other Government property is substituted, then the equitable adjustment under paragraph (h) of this clause may properly include restoration or rehabilitation costs.

(k) Communications. All communications under this clause shall be in writing.

(l) Overseas contracts. If this contract is to be performed outside of the United States of America, its territories, or possessions, the words "Government" and "Government-furnished" (wherever they appear in this clause) shall be construed as "United States Government" and "United States Government-furnished," respectively.

**115. *FAR 52.245-4 GOVERNMENT-FURNISHED PROPERTY (SHORT FORM) (APR 1984)
[For Government Property \$100,000 or Less]**

(a) The Government shall delivery to the Contractor, at the time and locations stated in this contract, the Government-furnished property described in the Schedule or specifications. If that property, suitable for its intended use, is not delivered to the Contractor, the Contracting Officer shall equitably adjust affected provisions of this contract in accordance with the Changed clause when--

- (1) The Contractor submits a timely written request for an equitable adjustment; and
- (2) The facts warrant an equitable adjustment.

(b) Title to Government-furnished property shall remain in the Government. The Contractor shall use the Government-furnished property only in connection with this contract. The Contractor shall maintain adequate property control records in accordance with sound industrial practice and will make such records available for Government inspection at all reasonable times, unless the clause at Federal Acquisition Regulation 52.245-1, Property Records, is included in this contract.

(c) Upon delivery of Government-furnished property to the Contractor, the Contractor assumes the risk and responsibility for its loss or damage, except--

- (1) For reasonable wear and tear;
- (2) To the extent property is consumed in performing this contract; or
- (3) As otherwise provided for by the provisions of this contract.

(d) Upon completing this contract, the Contractor shall follow the instructions of the Contracting Officer regarding the disposition of all Government-furnished property not consumed in performing this contract or previously delivered to the Government. The Contractor shall prepare for shipment, deliver f.o.b. origin, or dispose of the Government property, as may be directed or authorized by the Contracting Officer. The net proceeds of any such disposal shall be credited to the contract price or shall be paid to the Government as directed by the Contracting Officer.

(e) If this contract is to be performed outside the United States of America, its territories, or possessions, the words "Government" and "Government-furnished" (wherever they appear in this clause) shall be construed as "United States Government" and "United States Government-furnished," respectively.

116. *FAR 52.246-12 INSPECTION OF CONSTRUCTION (AUG 1996)

(a) Definition. "Work" includes, but is not limited to, materials, workmanship, and manufacture and fabrication of components.

(b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. The Contractor shall maintain complete inspection records and make them available to the Government. All work shall be conducted under the general direction of the Contracting Officer and is subject to Government inspection and test at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.

(c) Government inspections and tests are for the sole benefit of the Government and do not--
(1) Relieve the Contractor of responsibility for providing adequate quality control measures;
(2) Relieve the Contractor of responsibility for damage to or loss of the material before acceptance;
(3) Constitute or imply acceptance; or
(4) Affect the continuing rights of the Government after acceptance of the completed work under paragraph (i) below.

(d) The presence or absence of a Government inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specification without the Contracting Officer's written authorization.

(e) The Contractor shall promptly furnish, at no increase in contract price, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The Government may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. The Government shall perform all inspections and tests in a manner that will not unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.

(f) The Contractor shall, without charge, replace or correct work found by the Government not to conform to contract requirements, unless in the public interest the Government consents to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.

(g) If the Contractor does not promptly replace or correct rejected work, the Government may
(1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor
or
(2) Terminate for default the Contractor's right to proceed.

(h) If, before acceptance of the entire work, the Government decides to examine already completed work by removing it or tearing it out, the Contractor, on request, shall promptly furnish all necessary facilities, labor, and material. If the work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its subcontractors, the Contractor shall defray the expenses of the examination and of satisfactory reconstruction. However, if the work is found to meet contract requirements, the Contracting Officer shall make an equitable adjustment for the additional services involved in the examination and reconstruction, including, if completion of the work was thereby delayed, an extension of time.

(i) Unless otherwise specified in the contract, the Government shall accept, as promptly as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Government's rights under any warranty or guarantee.

117. *FAR 52.246-21 WARRANTY OF CONSTRUCTION (MAR 1994)

(a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (i) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.

(b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.

(c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government-owned or controlled real or personal property, when that damage is the result of--

- (1) The Contractor's failure to conform to contract requirements; or
- (2) Any defect of equipment, material, workmanship, or design furnished.

(d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.

(e) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.

(f) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.

(g) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--

- (1) Obtain all warranties that would be given in normal commercial practice;
- (2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and
- (3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.

(h) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

(i) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.

(j) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud.

118. DFARS 252.247-7023 TRANSPORTATION OF SUPPLIES BY SEA (MAR 2000)

- (a) Definitions.

As used in this clause--

- (1) "Components" means articles, materials, and supplies incorporated directly into end products at any level of manufacture, fabrication, or assembly by the Contractor or any subcontractor.
- (2) "Department of Defense" (DOD) means the Army, Navy, Air Force, Marine Corps, and defense agencies.
- (3) "Foreign flag vessel" means any vessel that is not a U.S.-flag vessel.
- (4) "Ocean transportation" means any transportation aboard a ship, vessel, boat, barge, or ferry through international waters.
- (5) "Subcontractor" means a supplier, materialman, distributor, or vendor at any level below the prime Contractor whose contractual obligation to perform results from, or is conditioned upon, award of the prime contract and who is performing any part of the work or other requirement of the prime contract.
- (6) "Supplies" means all property, except land and interests in land, that is clearly identifiable for eventual use by or owned by the DoD at the time of transportation by sea.
 - (i) An item is clearly identifiable for eventual use by the DoD if, for example, the contract documentation contains a reference to a DoD contract number or a military destination.
 - (ii) "Supplies" includes (but is not limited to) public works; buildings and facilities; ships; floating equipment and vessels of every character, type, and description, with parts, subassemblies, accessories, and equipment; machine tools; material; equipment; stores of all kinds; end items; construction materials; and components of the foregoing.
- (7) "U.S.-flag vessel" means a vessel of the United States or belonging to the United States, including any vessel registered or having national status under the laws of the United States.
 - (b) (1) The Contractor shall use U.S. -flag vessels when transporting any supplies by sea under this contract.
 - (2) A subcontractor transporting supplies by sea under this contract shall use U.S.-flag vessel if--
 - (i) This Contract is a construction contract; or
 - (ii) The supplies being transported are--
 - (A) Noncommercial items; or
 - (B) Commercial items that--
 - (1) The Contractor is reselling or distributing to the Government without adding value (generally, the Contractor does not add value to items that it subcontracts for f.o.b. destination shipment);
 - (2) Are shipped in direct support of U.S. military contingency operations, exercises, or forces deployed in humanitarian or peacekeeping operations; or
 - (3) Are commissary or exchange cargoes transported outside of the Defense Transportation System in accordance with 10 U.S.C. 2643.
 - (c) The Contractor and its subcontractors may request that the Contracting Officer authorize shipment in foreign-flag vessels, or designate available U.S.-flag vessels, if the Contractor or a subcontractor believes that--
 - (1) U.S.-flag vessels are not available for timely shipment;
 - (2) The freight charges are inordinately excessive or unreasonable; or
 - (3) Freight charges are higher than charges to private persons for transportation of like goods.
 - (d) The Contractor must submit any request for use of other than U.S.-flag vessels in writing to the Contracting Officer at least 45 days prior to the sailing date necessary to meet its delivery schedules. The Contracting Officer will process requests submitted after such date(s) as expeditiously as possible, but the Contracting Officer's failure to grant approvals to meet the shipper's sailing date will not of itself constitute a compensable delay under this or any other clause of this contract. Requests shall contain at a minimum--
 - (1) Type, weight, and cube of cargo;
 - (2) Required shipping date;
 - (3) Special handling and discharge requirements;
 - (4) Loading and discharge points;
 - (5) Name of shipper and consignee;
 - (6) Prime contract number, and

(7) A documented description of efforts made to secure U.S.-flag vessels, including points of contact (with names and telephone numbers) with at least two U.S.-flag carriers contacted. Copies of telephone notes, telegraphic and facsimile message or letters will be sufficient for this purpose.

(e) The Contractor shall, within 30 days after each shipment covered by this clause, provide the Contracting Officer and the Division of National Cargo, Office of Market Development, Maritime Administration, U.S. Department of Transportation, Washington, DC 20590, one copy of the rated on board vessel operating carrier's ocean bill of lading, which shall contain the following information--

- (1) Prime contract number;
- (2) Name of vessel;
- (3) Vessel flag of registry;
- (4) Date of loading;
- (5) Port of loading;
- (6) Port of final discharge;
- (7) Description of commodity;
- (8) Gross weight in pounds and cubic feet if available;
- (9) Total ocean freight in U.S. dollars; and
- (10) Name of the steamship company.

(f) The Contractor agrees to provide with its final invoice under this contract a representation that to the best of its knowledge and belief--

- (1) No ocean transportation was used in the performance of this contract;
- (2) Ocean transportation was used and only U.S.-flag vessels were used for all ocean shipments under the contract;
- (3) Ocean transportation was used, and the Contractor had the written consent of the Contracting Officer for all non-U.S.-flag ocean transportation; or
- (4) Ocean transportation was used and some or all of the shipments were made on non-U.S.-flag vessels without the written consent of the Contracting Officer. The Contractor shall describe these shipments in the following format;

ITEM	CONTRACT
DESCRIPTION	LINE ITEMS QUANTITY

TOTAL

(g) If the final invoice does not include the required representation, the Government will reject and return it to the Contractor as an improper invoice for the purposes of the Prompt Payment clause of this contract. In the event there has been unauthorized use of non-U.S.-flag vessels in the performance of this contract, the Contracting Officer is entitled to equitably adjust the contract, based on the unauthorized use.

(h) The Contractor shall include this clause, including this paragraph (h) in all subcontracts under this contract that--

- (1) Exceed the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation; and
- (2) Are for a type of supplies described in paragraph (b) (2) of this clause.

119. DFARS 252.247-7024 NOTIFICATION OF TRANSPORTATION OF SUPPLIES BY SEA (MAR 2000)

(a) The Contractor has indicated by the response to the solicitation provision, Representation of Extent of Transportation by Sea, that it did not anticipate transporting by sea any supplies. If, however, after the award of this contract, the Contractor learns that supplies, as defined in the Transportation of Supplies by Sea clause of this contract, will be transported by sea, the Contractor--

- (1) Shall notify the Contracting Officer of that fact; and
- (2) Hereby agrees to comply with all the terms and conditions of the Transportation of Supplies by Sea clause of this contract.

- (b) (1) The Contractor shall use U.S. -flag vessels when transporting any supplies by sea under this contract.
- (2) A subcontractor transporting supplies by sea under this contract shall use U.S.-flag vessel if--
- (i) This Contract is a construction contract; or
 - (ii) The supplies being transported are-
 - (A) Noncommercial items; or
 - (B) Commercial items that-
 - (1) The Contractor is reselling or distributing to the Government without adding value (generally, the Contractor does not add value to items that it subcontracts for f.o.b. destination shipment);
 - (2) Are shipped in direct support of U.S. military contingency operations, exercises, or forces deployed in humanitarian or peacekeeping operations; or
 - (3) Are commissary or exchange cargoes transported outside of the Defense Transportation System in accordance with 10 U.S.C. 2643.

120. FAR 52.248-3 VALUE ENGINEERING--CONSTRUCTION (FEB 2000) (ALERNATE I (APR 1984))

(a) General. The Contractor is encouraged to develop, prepare, and submit value engineering change proposals (VECP's) voluntarily. The Contractor shall share in any instant contract savings realized from accepted VECP's, in accordance with paragraph (f) of this clause.

(b) Definitions. "Collateral costs," as used in this clause, means agency costs of operation, maintenance, logistic support, or Government-furnished property.

"Collateral savings," as used in this clause, means those measurable net reductions resulting from a VECP in the agency's overall projected collateral costs, exclusive of acquisition savings, whether or not the acquisition cost changes.

"Contractor's development and implementation costs," as used in this clause, means those costs the Contractor incurs on a VECP specifically in developing, testing, preparing, and submitting the VECP, as well as those costs the Contractor incurs to make the contractual changes required by Government acceptance of a VECP.

"Government costs," as used in this clause, means those agency costs that result directly from developing and implementing the VECP, such as any net increases in the cost of testing, operations, maintenance, and logistic support. The term does not include the normal administrative costs of processing the VECP.

"Instant contract savings," as used in this clause, means the estimated reduction in Contractor cost of performance resulting from acceptance of the VECP, minus allowable Contractor's development and implementation costs, including subcontractors' development and implementation costs (see paragraph (h) of this clause).

"Value engineering change proposal (VECP)" means a proposal that--

- (1) Requires a change to this, the instant contract, to implement; and
- (2) Results in reducing the contract price or estimated cost without impairing essential functions or characteristics; provided, that it does not involve a change--
 - (i) In deliverable end item quantities only; or
 - (ii) To the contract type only.

(c) VECP preparation. As a minimum, the Contractor shall include in each VECP the information described in paragraphs (c) (1) through (7) of this clause. If the proposed change is affected by contractually required configuration management or similar procedures, the instructions in those procedures relating to format, identification, and priority assignment shall govern VECP preparation. The VECP shall include the following:

- (1) A description of the difference between the existing contract requirement and that proposed, the comparative advantages and disadvantages of each, a justification when an item's function or characteristics are being altered, and the effect of the change on the end item's performance.
- (2) A list and analysis of the contract requirements that must be changed if the VECP is accepted, including any suggested specification revisions.
- (3) A separate, detailed cost estimate for

(i) the affected portions of the existing contract requirement and
(ii) the VECP. The cost reduction associated with the VECP shall take into account the Contractor's allowable development and implementation costs, including any amount attributable to subcontracts under paragraph (h) of this clause.

(4) A description and estimate of costs the Government may incur in implementing the VECP, such as test and evaluation and operating and support costs.

(5) A prediction of any effects the proposed change would have on collateral costs to the agency.

(6) A statement of the time by which a contract modification accepting the VECP must be issued in order to achieve the maximum cost reduction, noting any effect on the contract completion time or delivery schedule.

(7) Identification of any previous submissions of the VECP, including the dates submitted, the agencies and contract numbers involved, and previous Government actions, if known.

(d) Submission. The Contractor shall submit VECP's to the Resident Engineer at the worksite, with a copy to the Contracting Officer.

(e) Government action.

(1) The Contracting Officer will notify the Contractor of the status of the VECP within 45 calendar days after the contracting office receives it. If additional time is required, the Contracting Officer will notify the Contractor within the 45-day period and provide the reason for the delay and the expected date of the decision. The Government will process VECP's expeditiously; however, it will not be liable for any delay in acting upon a VECP.

(2) If the VECP is not accepted, the Contracting Officer will notify the Contractor in writing, explaining the reasons for rejection. The Contractor may withdraw any VECP, in whole or in part, at any time before it is accepted by the Government. The Contracting Officer may require that the Contractor provide written notification before undertaking significant expenditures for VECP effort.

(3) Any VECP may be accepted, in whole or in part, by the Contracting Officer's award of a modification to this contract citing this clause. The Contracting Officer may accept the VECP, even though an agreement on price reduction has not been reached, by issuing the Contractor a notice to proceed with the change. Until a notice to proceed is issued or a contract modification applied a VECP to this contract, the Contractor shall perform in accordance with the existing contract. The decision to accept or reject all or part of any VECP is a unilateral decision made solely at the discretion of the Contracting Officer.

(f) Sharing.

(1) Rates. The Government's share of savings is determined by subtracting Government costs from instant contract savings and multiplying the result by

- (i) 45 percent for fixed-price contracts or
- (ii) 75 percent for cost-reimbursement contracts.

(2) Payment. Payment of any share due the Contractor for use of a VECP on this contract shall be authorized by a modification to this contract to--

- (i) Accept the VECP;
- (ii) Reduce the contract price or estimated cost by the amount of instant contract

savings; and

(iii) Provide the Contractor's share of savings by adding the amount calculated to the contract price or fee.

(g) Deleted.

(h) Subcontracts. The Contractor shall include an appropriate value engineering clause in any subcontract of \$50,000 or more and may include one in subcontracts of lesser value. In computing any adjustment in this contract's price under paragraph (f) of this clause, the Contractor's allowable development and implementation costs clearly resulting from a VECP accepted by the Government under this contract, but shall exclude any value engineering incentive payments to a subcontractor. The Contractor may choose any arrangement for subcontractor value engineering incentive payments; provided, that these payments shall not reduce the Government's share of the savings resulting from the VECP.

(i) Data. The Contractor may restrict the Government's right to use any part of a VECP or the supporting data by marking the following legend on the affected parts:

"These data, furnished under the Value Engineering--Construction clause of contract - _____, shall not be disclosed outside the Government or duplicated, used, or disclosed, in whole or in part, for any purpose other than to evaluate a value engineering change proposal submitted under the clause. This restriction does not limit the Government's right to use information contained in these data if it has been obtained or is otherwise available from the Contractor or from another source without limitations."

If a VECP is accepted, the Contractor hereby grants the Government unlimited rights in the VECP and supporting data, except that, with respect to data qualifying and submitted as limited rights technical data, the Government shall have the rights specified in the contract modification implementing the VECP and shall appropriately mark the data. (The terms "unlimited rights" and "limited rights" are defined in Part 27 of the Federal Acquisition Regulation.)

(End of Clause)

121. *FAR 52.249-1 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SHORT FORM) (APR 1984) [For Contracts \$100,000 or Less]

The Contracting Officer, by written notice, may terminate this contract, in whole or in part, when it is in the Government's interest. If this contract is terminated, the rights, duties, and obligations of the parties, including compensation to the Contractor, shall be in accordance with Part 49 of the Federal Acquisition Regulation in effect on the date of this contract.

122. *FAR 52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) ALTERNATE I (SEP 1996) [For Contracts Over \$100,000]

(a) The Government may terminate performance of work under this contract in whole or, from time to time, in part if the Contracting Officer determines that a termination is in the Government's interest. The Contracting Officer shall terminate by delivering to the Contractor a Notice of Termination specifying the extent of termination and the effective date.

(b) After receipt of a Notice of Termination, and except as directed by the Contracting Officer, the Contractor shall immediately proceed with the following obligations, regardless of any delay in determining or adjusting any amounts due under this clause:

- (1) Stop work as specified in the notice.
- (2) Place no further subcontracts or orders (referred to as subcontracts in this clause) for materials, services, or facilities, except as necessary to complete the continued portion of the contract.
- (3) Terminate all subcontracts to the extent they relate to the work terminated.
- (4) Assign to the Government, as directed by the Contracting Officer, all right, title, and interest of the Contractor under the subcontracts terminated, in which case the Government shall have the right to settle or to pay any termination settlement proposal arising out of those terminations.
- (5) With approval or ratification to the extent required by the Contracting Officer, settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts; the approval or ratification will be final for purposes of this clause.
- (6) As directed by the Contracting Officer, transfer title and deliver to the Government
 - (i) the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the work terminated, and
 - (ii) the completed or partially completed plans, drawings, information, and other property that, if the contract had been completed, would be required to be furnished to the Government.
- (7) Complete performance of the work not terminated.
- (8) Take any action that may be necessary, or that the Contracting Officer may direct, for the protection and preservation of the property related to this contract that is in the possession of the Contractor and in which the Government has or may acquire an interest.
- (9) Use its best efforts to sell, as directed or authorized by the Contracting Officer, any property of the types referred to in subparagraph (b) (6) of this clause; provided, however, that the Contractor
 - (i) is not required to extend credit to any purchaser and

(ii) may acquire the property under the conditions prescribed by, and at prices approved by, the Contracting Officer. The proceeds of any transfer or disposition will be applied to reduce any payments to be made by the Government under this contract, credited to the price or cost of the work, or paid in any other manner directed by the Contracting Officer.

(c) The Contractor shall submit complete termination inventory schedules no later than 120 days from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 120-day period.

(d) After expiration of the plant clearance period as defined in Subpart 45.6 of the Federal Acquisition Regulation, the Contractor may submit to the Contracting Officer a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Contracting Officer. The Contractor may request the Government to remove those items or enter into an agreement for their storage. Within 15 days, the Government will accept title to those items and remove them or enter into a storage agreement. The Contracting Officer may verify the list upon removal of the items, or if stored, within 45 days from submission of the list, and shall correct the list, as necessary, before final settlement.

(e) After termination, the Contractor shall submit a final termination settlement proposal to the Contracting Officer in the form and with the certification prescribed by the Contracting Officer. The Contractor shall submit the proposal promptly, but no later than 1 year from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 1 year period. However, if the Contracting Officer determines that the facts justify it, a termination settlement proposal may be received and acted on after 1 year or any extension. If the Contractor fails to submit the proposal within the time allowed, the Contracting Officer may determine, on the basis of information available, the amount, if any, due the Contractor because of the termination and shall pay the amount determined.

(f) Subject to paragraph (e) of this clause, the Contractor and the Contracting Officer may agree upon the whole or any part of the amount to be paid because of the termination. The amount may include a reasonable allowance for profit on work done. However, the agreed amount, whether under this paragraph (f) or paragraph (g) of this clause, exclusive of costs shown in subparagraph (g)(3) of this clause, may not exceed the total contract price as reduced by (1) the amount of payments previously made and (2) the contract price of work not terminated. The contract shall be amended, and the Contractor paid the agreed amount. Paragraph (f) of this clause shall not limit, restrict, or affect the amount that may be agreed upon to be paid under this paragraph.

(g) If the Contractor and the Contracting Officer fail to agree on the whole amount to be paid the Contractor because of the termination of work, the Contracting Officer shall pay the Contractor the amounts determined as follows, but without duplication of any amounts agreed upon under paragraph (f) of this clause:

(1) For contract work performed before the effective date of the termination, the total (without duplication of any items) of--

(i) The cost of this work;

(ii) The cost of settling and paying termination settlement proposals under terminated subcontracts that are properly chargeable to the terminated portion of the contract if not included in subdivision (g)(1)(i) of this clause; and

(iii) A sum, as profit on subdivision (g)(1)(i) of this clause, determined by the Contracting Officer under 49.202 of the Federal Acquisition Regulation, in effect on the date of this contract, to be fair and reasonable; however, if it appears that the Contractor would have sustained a loss on the entire contract had it been completed, the Contracting Officer shall allow no profit under this subdivision (iii) and shall reduce the settlement to reflect the indicated rate of loss.

(2) The reasonable costs of settlement of the work terminated, including--

(i) Accounting, legal, clerical, and other expenses reasonably necessary for the preparation of termination settlement proposals and supporting data;

(ii) The termination and settlement of subcontracts (excluding the amounts of such settlements); and

(iii) Storage, transportation, and other costs incurred, reasonably necessary for the preservation, protection, or disposition of the termination inventory.

(h) Except for normal spoilage, and except to the extent that the Government expressly assumed the risk of loss, the Contracting Officer shall exclude from the amounts payable to the Contractor under paragraph (g) of this clause, the fair value, as determined by the Contracting Officer, of property that is destroyed, lost, stolen, or damaged so as to become undeliverable to the Government or to a buyer.

(i) The cost principles and procedures of Part 31 of the Federal Acquisition Regulation, in effect on the date of this contract, shall govern all costs claimed, agreed to, or determined under this clause.

(j) The Contractor shall have the right of appeal, under the Disputes clause, from any determination made by the Contracting Officer under paragraph (e), (g), or (l) of this clause, except that if the Contractor failed to submit the termination settlement proposal within the time provided in paragraph (e) or (l), respectively, and failed to request a time extension, there is no right of appeal.

(k) In arriving at the amount due the Contractor under this clause, there shall be deducted--

(1) All unliquidated advance or other payments to the Contractor under the terminated portion of this contract;

(2) Any claim which the Government has against the Contractor under this contract; and

(3) The agreed price for, or the proceeds of sale of, materials, supplies, or other things acquired by the Contractor or sold under the provisions of this clause and not recovered by or credited to the Government.

(l) If the termination is partial, the Contractor may file a proposal with the Contracting Officer for an equitable adjustment of the price(s) of the continued portion of the contract. The Contracting Officer shall make any equitable adjustment agreed upon. Any proposal by the Contractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination unless extended in writing by the Contracting Officer.

(m) (1) The Government may, under the terms and conditions it prescribes, make partial payments and payments against costs incurred by the Contractor for the terminated portion of the contract, if the Contracting Officer believes the total of these payments will not exceed the amount to which the Contractor will be entitled.

(2) If the total payments exceed the amount finally determined to be due, the Contractor shall repay the excess to the Government upon demand, together with interest computed at the rate established by the Secretary of the Treasury under 50 U.S.C. App. 1215(b)(2). Interest shall be computed for the period from the date the excess payment is received by the Contractor to the date the excess is repaid. Interest shall not be charged on any excess payment due to a reduction in the Contractor's termination settlement proposal because of retention or other disposition of termination inventory until 10 days after the date of the retention or disposition, or a later date determined by the Contracting Officer because of the circumstances.

(n) Unless otherwise provided in this contract or by statute, the Contractor shall maintain all records and documents relating to the terminated portion of this contract for 3 years after final settlement. This includes all books and other evidence bearing on the Contractor's costs and expenses under this contract. The Contractor shall make these records and documents available to the Government, at the Contractor's office, at all reasonable times, without any direct charge. If approved by the Contracting Officer, photographs, microphotographs, or other authentic reproductions may be maintained instead of original records and documents.

123. *FAR 52.249-10 DEFAULT (FIXED-PRICE CONSTRUCTION) (APR 1984)

(a) If the Contractor refuses or fails to prosecute the work or any separable part, with the diligence that will insure its completion within the time specified in this contract including any extension, or fails to complete the work within this time, the Government may, by written notice to the Contractor, terminate the right to proceed with the work (or the separable part of the work) that has been delayed. In this event, the Government may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the Government resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the Government in completing the work.

(b) The Contractor's right to proceed shall not be terminated nor the Contractor charged with damages under this clause, if-

(1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include

(i) acts of God or of the public enemy,

(ii) acts of the Government in either its sovereign or contractual capacity,

Government, (iii) acts of another Contractor in the performance of a contract with the
(iv) fires,
(v) floods,
(vi) epidemics,
(vii) quarantine restrictions,
(viii) strikes,
(ix) freight embargoes,
(x) unusually severe weather, or
(xi) delays of subcontractors or suppliers at any tier arising from unforeseeable causes beyond the control and without the fault or negligence of both the Contractor and the subcontractors or suppliers; and

(2) The Contractor, within 10 days from the beginning of any delay (unless extended by the Contracting Officer), notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of delay. If, in the judgment of the Contracting Officer, the findings of fact warrant such action, the time for completing the work shall be extended. The findings of the Contracting Officer shall be final and conclusive on the parties, but subject to appeal under the Disputes clause.

(c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of the Government.

(d) The rights and remedies of the Government in this clause are in addition to any other rights and remedies provided by law or under this contract.

124. ENVIRONMENTAL LITIGATION (1974 NOV OCE)

(a) If the performance of all or any part of the work is suspended, delayed, or interrupted due to an order of a court of competent jurisdiction as a result of environmental litigation, as defined below, the Contracting Officer, at the request of the Contractor, shall determine whether the order is due in any part to the acts or omissions of the Contractor or a Subcontractor at any tier not required by the terms of this contract. If it is determined that the order is not due in any part to acts or omissions of the Contractor or a Subcontractor at any tier other than as required by the terms of this contract, such suspension, delay, or interruption shall be considered as if ordered by the Contracting Officer in the administration of this contract under the terms of the "Suspension of Work" clause of this contract. The period of such suspension, delay, or interruption shall be considered unreasonable, and an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) as provided in that clause, subject to all the provisions thereof.

(b) The term "environmental litigation," as used herein, means a lawsuit alleging that the work will have an adverse effect on the environment or that the Government has not duly considered, either substantively or procedurally, the effect of the work on the environment.

125. EFARS 52.249-5000 BASIS FOR SETTLEMENT OF PROPOSALS

Actual costs will be used to determine equipment cost for a settlement proposal submitted on the total cost basis under FAR 49.206-2(b). In evaluating a termination settlement proposal using the total cost basis, the following principles will be applied to determine allowable equipment costs:

(1) Actual costs for each piece of equipment, or groups of similar serial or series equipment, need not be available in the contractor's accounting records to determine total actual equipment costs.

(2) If equipment costs have been allocated to a contract using predetermined rates, those charges will be adjusted to actual costs.

(3) Recorded job costs adjusted for unallowable and unallocable expenses will be used to determine equipment operating expenses.

(4) Ownership costs (depreciation) will be determined using the contractor's depreciation schedule (subject to the provisions of FAR 31.205-11).

(5) License, taxes, storage and insurance costs are normally recovered as an indirect expense and unless the contractor charges these costs directly to contracts, they will be recovered through the indirect expense rate.

126. INAPPLICABLE PROVISIONS AND CLAUSES (Local Provision). [Applicable only for projects or delivery orders less than \$100,000]

This provision applies only to delivery orders and projects less than \$100,000.

Pursuant to Pub. L. 103-355, the following provisions and clauses, as noted below, are inapplicable to this contract:

- (a) FAR 28.102-3, Miller Act requirements;
- (b) Not Used;
- (c) FAR 52.203-5, Covenant Against Contingent Fees;
- (d) FAR 52.203-6, Restrictions on Subcontractor Sales to the Government;
- (e) FAR 52.203-7, Anti-Kickback Procedures;
- (f) FAR 52.222-4, Contract Work Hours and Safety Standards Act-Overtime Compensation; and
- (g) FAR 52.223-6, Drug-Free Workplace, except for individuals.

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SECTION 00800

SPECIAL CONTRACT REQUIREMENTS
5/00, Rev 9/01

PART 1 GENERAL

Attachments:

General Wage Decision Nos. MT020001 and MT020003

1.1 COMMENCEMENT, PROSECUTION, AND COMPLETION OF WORK (APR 1984)

The Contractor shall commence work under this contract within ten (10) calendar days after the date of receipt by him of Notice to Proceed, prosecute said work diligently, and complete the entire work except seeding ready for use not later than 360 calendar days after receipt of Notice to Proceed. The time stated for completion shall include final cleanup of the premises. (FAR 52.211-10)

1.1.1 Start Work

Evidence that the Contractor has started procurement of materials, preparation and submission of shop drawings, preparation of subcontracts, and other preparatory work will satisfy the requirement that work commence within ten (10) calendar days after receipt of Notice to Proceed. Therefore, work need not be commenced at the construction site within ten (10) calendar days.

1.2 LIQUIDATED DAMAGES-CONSTRUCTION (SEPT 2000)

(a) If the Contractor fails to complete the work within the time specified in the contract, the Contractor shall pay liquidated damages to the Government in the amount of \$1150 for each calendar day of delay until the work is completed or accepted.

(b) If the Government terminates the Contractor's right to proceed, liquidated damages will continue to accrue until the work is completed. These liquidated damages are in addition to excess costs of repurchase under the Termination clause. (FAR 52.211-12)

1.3 EXCEPTION TO COMPLETION TIME AND LIQUIDATED DAMAGES

In case the Contracting Officer determines that seeding, and/or the specified maintenance thereof is not feasible during the construction period, such work will be excepted from the completion time and liquidated damages. This work shall be accomplished during the first seeding, period and the specified maintenance period following the completion date.

1.4 COMPUTING COMPLETION DATES FOR NON-WORK PERIOD

No work will be required at the construction site during the period 15 November through 15 March inclusive. The days in this period have been included in computing the calendar days for completion of the work. The Contractor may perform work at the site during all or any part of this period upon giving prior written notice to the Contracting Officer.

Working during this non-work period shall require approval by the Contracting Officer. No time extensions will be granted for delays during this period.

1.5 CONTRACT DRAWINGS AND SPECIFICATIONS

1.5.1 SETS FURNISHED

The contractor shall be responsible for making copies of specifications including amendments. The bid drawings as amended shall be utilized in the performance of the work until contract drawings (i.e., bid drawings that have been posted with all amendment changes) are mailed to the Contractor. See Section 01040 As-Built Drawings for drawings being furnished to the Contractor. The work shall conform to the contract drawings, set out in the drawing index, all of which form a part of these specifications. The work shall also conform to the standard details bound or referenced herein.

1.5.2 NOTIFICATION OF DISCREPANCIES

The Contractor shall check all drawings furnished him immediately upon their receipt and shall promptly notify the Contracting Officer of any discrepancies. Dimensions marked on drawings shall be followed in lieu of scale measurements. Enlarged plans and details shall govern where the same work is shown at smaller scales. All scales shown are based on a standard drawing size of 28" x 40" . If any other size drawings are furnished or plotted the contractor shall adjust the scales accordingly. The contractor shall also advise his sub-contractors of the above. The Contractor shall compare all drawings and verify the figures before laying out the work and will be responsible for any errors which might have been avoided thereby.

1.5.3 OMISSIONS

Omissions from the drawings or specifications or the misdescription of details of work which are manifestly necessary to carry out the intent of the drawings and specifications, or which are customarily performed, shall not relieve the Contractor from performing such omitted or misdescribed details of the work but they shall be performed as if fully and correctly set forth and described in the drawings and specifications.

1.6 PHYSICAL DATA (APR 1984)

Data and information furnished or referred to below is for the Contractors' information. The Government shall not be responsible for any interpretation of or conclusion drawn from the data or information by the Contractor.

a. The indications of physical conditions on the drawings and in the specifications are the result of site investigations by surveys auger borings . The data shown graphically and by symbol for each respective boring represents the actual geologic features observed and logged at the location given on the drawings. While the borings are representative of subsurface conditions at their respective locations and for their respective vertical reaches, local minor variations characteristic of the subsurface materials of this region could occur.

b. Weather conditions shall have been investigated by the Contractor to satisfy himself as to the hazards likely to arise therefrom. Complete weather records and reports may be obtained from the local U.S. Weather Bureau.

c. Transportation facilities shall have been investigated by the Contractor to satisfy himself as to the existence of access highways and railroad facilities. (FAR 52.236-4)

1.7 PAYMENT

1.7.1 PROMPT PAYMENT ACT

Pay requests authorized in CONTRACT CLAUSES clause: "Payments Under Fixed-Price Construction Contracts", will be paid pursuant to the clause, "Prompt Payment for Construction Contracts". Pay requests will be submitted on ENG Form 93 and 93a, "Payment Estimate-Contract Performance" and "Continuation". All information and substantiation required by the identified contract clauses will be submitted with the ENG Form 93, and the required certification will be included on the last page of the ENG Form 93a, signed by an authorized contractor official and dated when signed. The designated billing office is the Office of the Area Engineer.

1.7.2 PAYMENTS FOR MODIFICATIONS

Payments may be made for cost bearing change orders within the scope of the contract only to the extent funds are authorized in the order on a two-part modification. Contractor pricing proposed must be submitted at the earliest possible time after the change order is issued, or at a specific time as directed by the Contracting Officer. At the discretion of the Contracting Officer, any and all payments may be withheld on the modification until the Contractor has submitted a qualifying price proposal, in as much detail as required by the Contracting Officer, and the final price has been agreed.

1.7.3 PAYMENT FOR MATERIALS DELIVERED OFFSITE (MAR 1995)

a. Pursuant to FAR clause 52.232-5, Payments Under Fixed Priced Construction Contracts, materials delivered to the contractor at locations other than the site of the work may be taken into consideration in making payments if included in payment estimates and if all the conditions of the General Provisions are fulfilled. Payment for items delivered to locations other than the work site will be limited to: (1) materials required by the technical provisions; or (2) materials that have been fabricated to the point where they are identifiable to an item of work required under this contract.

b. Such payment will be made only after receipt of paid or receipted invoices or invoices with canceled check showing title to the items in the prime contractor and including the value of material and labor incorporated into the item. Payment for materials delivered off-site includes petroleum products. (List additional items for which payments will be made for off-site delivery.) (EFAR 52.232-5000)

1.8 DAMAGE TO WORK

The responsibility for damage to any part of the permanent work shall be as set forth in the CONTRACT CLAUSES clause: "Permits and Responsibilities." However, if, in the judgment of the Contracting Officer, any part of the permanent work performed by the Contractor is damaged by flood or earthquake, which damage is not due to the failure of the Contractor to take reasonable precautions or to exercise sound engineering and construction practices in the conduct of the work, the Contractor will make

the repairs as ordered by the Contracting Officer and full compensation for such repairs will be made at the applicable contract unit or lump sum prices as fixed and established in the contract. If, in the opinion of the Contracting Officer, there are no contract unit or lump sum prices applicable to any part of such work an equitable adjustment pursuant to CONTRACT CLAUSES clause: "Changes," of the contract will be made as full compensation for the repairs of that part of the permanent work for which there are no applicable contract unit or lump sum prices. Except as herein provided, damage to all work (including temporary construction), utilities, materials, equipment and plant shall be repaired to the satisfaction of the Contracting Officer at the Contractor's expense, regardless of the cause of such damage.

1.9 AVAILABILITY AND USE OF UTILITY SERVICES

Use of public and private utilities will be as found available. The Contractor shall make his own arrangements for use of public and private utilities.

1.10 TIME EXTENSIONS FOR UNUSUALLY SEVERE WEATHER

a. This clause specifies the procedure for the determination of time extensions for unusually severe weather in accordance with the contract clause entitled "Default: (Fixed-Price Construction)." In order for the Contracting Officer to award a time extension under this clause, the following conditions must be satisfied:

(1) The weather experienced at the project site during the contract period must be found to be unusually severe, that is, more severe than the adverse weather anticipated for the project location during any given month.

(2) The unusually severe weather must actually cause a delay to the completion of the project. The delay must be beyond the control and without the fault or negligence of the contractor.

b. The following schedule of monthly anticipated adverse weather delays is based on National Oceanic and Atmospheric Administration (NOAA) or similar data for the project location and will constitute the base line for monthly weather time evaluations. The contractor's progress schedule must reflect these anticipated adverse weather delays in all weather dependent activities.

MONTHLY ANTICIPATED ADVERSE WEATHER DELAY WORK DAYS BASED ON (5) DAY WORK WEEK

JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
(*)	(18)	(10)	(02)	(03)	(06)	(03)	(03)	(01)	(01)	(09)	(20)

* Anticipate losing entire month to adverse weather.

c. Upon acknowledgment of the Notice to Proceed (NTP) and continuing throughout the contract, the contractor will record on the daily CQC report, the occurrence of adverse weather and resultant impact to normally scheduled work. Actual adverse weather delay days must prevent work on critical activities for 50 percent or more of the contractor's scheduled work day. The number of actual adverse weather delay days shall include days impacted by actual adverse weather (even if adverse weather occurred in previous month), be calculated chronologically from the first to the

last day of each month, and be recorded as full days. If the number of actual adverse weather delay days exceeds the number of days anticipated in paragraph b. above, the contracting officer will convert any qualifying delays to calendar days, giving full consideration for equivalent fair weather work days, and issue a modification in accordance with the contract clause entitled "Default (Fixed Price Construction)". (ER 415-1-15)

1.11 INSURANCE REQUIRED

In accordance with CONTRACT CLAUSES clause: "Insurance Work on a Government Installation," the Contractor shall procure the following minimum insurance:

Type	Amount
Workmen's Compensation and Employer's Liability Insurance	\$100,000
General Liability Insurance	\$500,000 per occurrence
Automobile Liability Insurance	
Bodily injury	\$200,000 per person and \$500,000 per occurrence
Property damage	\$ 20,000 per occurrence

(Coverages per FAR 28.307-2)

1.12 CONTRACTOR QUALITY CONTROL (CQC)

See Section 01451 Contractor Quality Control.

1.13 NONDOMESTIC CONSTRUCTION MATERIALS

The List of nondomestic construction materials or their components included in the list set forth in paragraph 25.104 of the Federal Acquisition Regulation does not apply to the requirements of the contract clause entitled "Buy American Act Construction Materials".

1.14 DAILY WORK SCHEDULES

In order to closely coordinate work under this contract, the Contractor shall prepare a written agenda/meeting minutes and attend a weekly coordination meeting with the Contracting Officer and Using Service at which time the Contractor shall submit for coordination and approval, his proposed daily work schedule for the next two week period. The Contractor shall provide a copy of modifications (MODs), Serial Letters, Requests for Information (RFIs) and any other information that is needed in the minutes of the meeting. Required temporary utility services, time and duration of interruptions, and protection of adjoining areas shall be included with the Contractor's proposed 2-week work schedule. At this meeting, the Contractor shall also submit his schedule of proposed dates and times of all preparatory inspections to be performed during the next 2 weeks. Coordination action by the Contracting Officer relative to these schedules will be accomplished during these weekly meetings. Daily reports shall be completed and given to the Contracting Officer or Representative within 24 hours of work

1.15 EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE (MAR 1995)

- a. This statement shall become operative only for negotiated contracts

where cost or pricing data is requested, and for modifications to sealed bid or negotiated contracts where cost or pricing data is requested. This clause does not apply to terminations. See 52.249-5000, Basis for settlement of proposals and FAR Part 49.

b. Allowable cost for construction and marine plant and equipment in sound workable condition owned or controlled and furnished by a Contractor or subcontractor at any tier shall be based on actual cost data for each piece of equipment or groups of similar serial and series for which the Government can determine both ownership and operating costs from the Contractor's accounting records. When both ownership and operating costs cannot be determined for any piece of equipment or groups of similar serial or series of equipment from the Contractor's accounting records, costs for that equipment shall be based upon the applicable provisions of EP 1110-1-8, "Construction Equipment Ownership and Operating Expense Schedule," Region IV. Copies of each regional schedule may be obtained through the following internet site: <http://www.usace.army.mil/inet/usace-docs/eng-pamphlets/ep.htm>. Working conditions shall be considered to be average for determining equipment rates using the schedule unless specified otherwise by the Contracting Officer. For equipment not included in the schedule, rates for comparable pieces of equipment may be developed using the formula provided in the schedule. For forward pricing, the Schedule in effect at the time of negotiations shall apply. For retrospective pricing, the Schedule in effect at the time the work was performed shall apply.

c. Equipment rental costs are allowable, subject to the provisions of FAR 31.105(d)(ii) and FAR 31.205-36. Rates for equipment rented from an organization under common control, lease-purchase arrangements, and sale-leaseback arrangements will be determined using the schedule, except that actual rates will be used for equipment leased from an organization under common control that has an established practice of leasing the same or similar equipment to unaffiliated lessees.

d. When actual equipment costs are proposed and the total amount of the pricing action exceeds the small purchase threshold, the contracting officer shall request the contractor to submit either certified cost or pricing data, or partial/limited data as appropriate. The data shall be submitted on Standard Form 1411, Contract Pricing Proposal Cover Sheet. (EFARS 52.231-5000)

1.16 AS-BUILT DRAWINGS

See SECTION 01040 - AS-BUILT DRAWINGS

1.17 PERFORMANCE OF WORK BY CONTRACTOR (APR 1984)

The Contractor shall perform on the site, and with its own organization, work equivalent to at least twenty (20) percent of the total amount of work to be performed under the contract. This percentage may be reduced by a supplemental agreement to this contract if, during performing the work, the Contractor requests a reduction and the Contracting Officer determines that the reduction would be to the advantage of the Government. (FAR 52.236-1)

1.18 PROFIT

a. Weighted guidelines method of determining profit shall be used on any equitable adjustment change order or modification issued under this

contract. The profit factors shall be as follows:

Factor	Rate	Weight	Value
Degree of Risk	20		
Relative difficulty of work	15		
Size of Job	15		
Period of performance	15		
Contractor's investment	5		
Assistance by Government	5		
Subcontracting	25		
	100		

b. Based on the circumstances of each procurement action, each of the above factors shall be weighted from .03 to .12 as indicated below. The value shall be obtained by multiplying the rate by the weight. The value column when totalled indicates the fair and reasonable profit percentage under the circumstances of the particular procurement.

(1) Degree of Risk. Where the work involves no risk or the degree of risk is very small, the weighting should be .03; as the degree of risk increases, the weighting should be increased up to a maximum of .12. Lump sum items will have, generally, a higher weighted value than the unit price items for which quantities are provided. Other things to consider: the portion of the work to be done by subcontractors, nature of work, where work is to be performed, reasonableness of negotiated costs, amount of labor included in costs, and whether the negotiation is before or after performance of work.

(2) Relative Difficulty of Work. If the work is most difficult and complex, the weighting should be .12 and should be proportionately reduced to .03 on the simplest of jobs. This factor is tied in to some extent with the degree of risk. Some things to consider: the nature of the work, by whom it is to be done, where, and what is the time schedule.

(3) Size of Job. All work not in excess of \$100,000 shall be weighted at .12. Work estimated between \$100,000 and \$5,000,000 shall be proportionately weighted from .12 to .05.

(4) Periods of Performance. Jobs in excess of 24 months are to be weighted at .12. Jobs of lesser duration are to be proportionately weighted to a minimum of .03 for jobs not to exceed 30 days. No weight where additional time not required.

(5) Contractor's Investment. To be weighted from .03 to .12 on the basis of below average, average, and above average. Things to consider: amount of subcontracting, mobilization payment item, Government furnished property, equipment and facilities, and expediting assistance.

(6) Assistance by Government. To be weighted from .12 to .03 on the basis of average to above average. Things to consider: use of Government-owned property, equipment and facilities, and expediting assistance.

(7) Subcontracting. To be weighted inversely proportional to the amount of subcontracting. Where 80 percent or more of the work is to be subcontracted, the weighting is to be .03 and such weighting proportionately increased to .12 where all the work is performed by the Contractor's own forces.

1.19 LABOR CONDITIONS APPLICABLE TO TEMPORARY FACILITIES

It is the position of the Department of Defense that the Davis-Bacon Act, 40 U.S.C. 276a is applicable to temporary facilities such as batch plants, sandpits, rock quarries, and similar operations, located off the immediate site of the construction but set up exclusively to furnish required materials for a construction project on the site of the work. Clause "Payrolls and Basic Records" of the CONTRACT CLAUSES is applicable to such operations.

1.20 DRAWING SCALES

All scales shown are based on a standard drawing size of 28" x 40" . If any other size drawings are furnished or plotted, the contractor shall adjust the scales accordingly. The Contractor shall also advise his sub-contractors of the above.

1.21 WAGE RATE APPLICATION

For copies of Wage Rates, See Attachments: GENERAL WAGE DECISIONS.

1.21.1 Building Schedule

Applicable to all work required within 5 feet outside the building lines.

1.21.2 Heavy and Highway Schedule

Applicable to all work required beyond 5 feet outside the building.

1.22 (FAR 52.222-23) NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY FOR CONSTRUCTION (FEB 1999)

(a) The offeror's attention is called to the Equal Opportunity clause and the Affirmative Action Compliance Requirements for Construction clause of this solicitation.

(b) The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Goals for Minority Participation for Each Trade	Goals for Female Participation for Each Trade
*****	*****

4.1

6.9

These goals are applicable to all the Contractor's construction work performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, the Contractor shall apply the goals established for the geographical area where the work is actually performed. Goals are published periodically in the Federal Register in notice form, and these notices may be obtained from

any Office of Federal Contract Compliance Programs Office.

(c) The Contractor's compliance with Executive Order 11246, as amended, and the regulations in 41 CFR 60-4 shall be based on (1) its implementation of the Equal Opportunity clause, (2) specific affirmative action obligations required by the clause entitled "Affirmative Action Compliance Requirements for Construction," and (3) its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade. The Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor, or from project to project, for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, Executive Order 11246, as amended, and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.

(d) The Contractor shall provide written notification to the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, within 10 working days following award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the -

- (1) Name, address, and telephone number of the subcontractor;
- (2) Employer identification number of the subcontractor;
- (3) Estimated dollar amount of the subcontract;
- (4) Estimated starting and completion dates of the subcontract; and
- (5) Geographical area in which the subcontract is to be performed.

(e) As used in this Notice, and in any contract resulting from this solicitation, the "covered area" is Great Falls EA-153, which Valley county is a part of.

1.23 (EFARS 52.232-5001) CONTINUING CONTRACTS (MAR 1995)

a. This is a continuing contract, as authorized by Section 10 of the River and Harbor Act of September 22, 1922 (33 U.S. Code 621). The payment of some portion of the contract price is dependent upon reservations of funds from future appropriations, and from future contributions to the project having one or more non-federal project sponsors. The responsibilities of the Government are limited by this clause notwithstanding any contrary provision of the "Payments to Contractor" clause or any other clause of this contract.

b. The sum of \$200,000 has been reserved for this contract and is available for payments to the Contractor during the current fiscal year. It is expected that Congress will make appropriations for future fiscal years from which additional funds together with funds provided by one or more non-federal project sponsors will be reserved for this contract.

c. Failure to make payments in excess of the amount currently reserved, or that may be reserved from time to time, shall not entitle the Contractor to a price adjustment under the terms of this contract except as specifically provided in certain paragraphs below. No such failure shall constitute a

breach of this contract, except that this provision shall not bar a breach-of-contract action if an amount finally determined to be due as a termination allowance remains unpaid for one year due solely to a failure to reserve sufficient additional funds therefor.

d. The Government may at any time reserve additional funds for payments under the contract if there are funds available for such purpose. The Contracting Officer will promptly notify the Contractor of any additional funds reserved for the contract by issuing an administrative modification to the contract.

e. If earnings will be such that funds reserved for the contract will be exhausted before the end of any fiscal year, the Contractor shall give written notice to the Contracting Officer of the estimated date of exhaustion and the amount of additional funds which will be needed to meet payments due or to become due under the contract during that fiscal year. This notice shall be given not less than 45 nor more than 60 days prior to the estimated date of exhaustion.

f. No payments will be made after exhaustion of funds except to the extent that additional funds are reserved for the contract. The Contractor shall be entitled to simple interest on any payment that the Contracting Officer determines was actually earned under the terms of the contract and would have been made except for exhaustion of funds. Interest shall be computed from the time such payment would otherwise have been made until actually or constructively made, and shall be at the rate established by the Secretary of the Treasury pursuant to Public Law 92-41, 85 STAT 97, as in effect on the first day of the delay in such payment.

g. Any suspension, delay, or interruption of work arising from exhaustion or anticipated exhaustion of funds shall not constitute a breach of this contract and shall not entitle the Contractor to any price adjustment under the "Suspension of Work" clause or in any other manner under this contract.

h. An equitable adjustment in performance time shall be made for any increase in the time required for performance of any part of the work arising from exhaustion of funds or the reasonable anticipation of exhaustion of funds.

i. If, upon the expiration of sixty (60) days after the beginning of the fiscal year following an exhaustion of funds, the Government has failed to reserve sufficient additional funds to cover payments otherwise due, the Contractor, by written notice delivered to the Contracting Officer at any time before such additional funds are reserved, may elect to treat his right to proceed with the work as having been terminated. Such a termination shall be considered a termination for the convenience of the Government.

j. If at any time it becomes apparent that the funds reserved for any fiscal year are in excess of the funds required to meet all payments due or to become due the Contractor because of work performed and to be performed under the contract during the fiscal year, the Government reserves the right, after notice to the Contractor, to reduce said reservation by the amount of such excess.

1.24 (EFARS 52.236-5000) PLANT AND MATERIAL REMOVAL AFTER CONTRACT TERMINATION(MAR 1995)

Should this contract be terminated as provided in clause 52.232-5001

because of the failure of Congress to provide additional funds for its completion, the contractor may be permitted to remove plant and material on which payments for preparatory work have been made, subject to an equitable deduction from the amounts due the contractor to reimburse the United States for the unabsorbed value of such plant and material.

1.25 FEDERAL HOLIDAYS

The following Federal legal holidays are observed by this installation:

New Year's Day	1 January
Martin Luther King's Birthday	Third Monday in January
President's Day	Third Monday in February
Memorial Day	Last Monday in May
Independence Day	4 July
Labor Day	First Monday in September
Columbus Day	Second Monday in October
Veterans Day	11 November
Thanksgiving Day	Fourth Thursday in November
Christmas Day	25 December

If a wage determination applies the number of holidays specified on it, it has priority over this clause.

PART 2 NOT USED

PART 3 NOT USED

-- End of Section --

STATEWIDE EXCEPT BEAVERHEAD AND SILVER BOW COUNTIES

DIVERS	54.93	5.70
TENDERS	26.25	5.70

CARP0112A 05/01/1998

	Rates	Fringes
BEAVERHEAD AND SILVER BOW COUNTIES		
CARPENTERS [Zone pay not applicable]:		
Carpenter	16.71	4.82
Millwright	17.21	4.82
Pile Driver	17.71	4.82

ELEC0044A 06/01/2001

	Rates	Fringes
BEAVERHEAD, BIG HORN, BLAINE, BROADWATER, CARBON, CARTER, CASCADE, CHOUTEAU, CUSTER, DANIELS, DAWSON, DEER LODGE, FALLON, FERGUS, GALLATIN, GARFIELD, GLACIER, GOLDEN VALLEY, GRANITE, HILL, JEFFERSON, JUDITH, BASIN, LEWIS AND CLARK, LIBERTY, MADISON, MCCONE, MEAGHER, MINERAL, MISSOULA, MUSSELSHELL, PARK, PETROLEUM, PHILLIPS, PONDERA, POWDER RIVER, POWELL, PRAIRIE, RAVALLI, RICHLAND, ROOSEVELT, ROSEBUD, SHERIDAN, SILVER BOW, STILWATER, SWEET GRASS, TETON, TOOLE, TREASURE, VALLEY, WHEATLAND, WIBAUX, AND YELLOWSTONE COUNTIES		
LINE CONSTRUCTION:		
Lineman	25.04	4.25%+6.71
Equipment Operator	20.03	4.25%+6.34
Experienced Groundman	16.28	4.25%+6.07

ELEC0233A 06/01/2001

	Rates	Fringes
BLAINE, CASCADE, CHOUTEAU, FERGUS, GLACIER, HILL, JUDITH BASIN, LIBERTY, PETROLEUM, PHILLIPS, PONDERA, TETON, TOOLE, VALLEY, AND WHEATLAND COUNTIES		
ELECTRICIANS	22.13	4.25%+6.14

ELEC0233B 06/01/2001

	Rates	Fringes
BEAVERHEAD, DEER LODGE, GRANITE, JEFFERSON, MADISON, POWELL, AND SILVER BOW COUNTIES		
ELECTRICIANS	21.65	4.25%+6.56

ELEC0233F 06/01/2001

	Rates	Fringes
BROADWATER, LEWIS AND CLARK, AND MEAGHER COUNTIES		
ELECTRICIANS	22.13	4.25%+6.14

ELEC0532A 06/01/2001

	Rates	Fringes
GALLATIN, PARK, AND SWEET GRASS COUNTIES		
ELECTRICIANS	20.74	4.25%+6.07

ELEC0532C 06/01/2001

	Rates	Fringes
BIG HORN, CARBON, CARTER, CUSTER, DANIELS, DAWSON, FALLON, GARFIELD, GOLDEN VALLEY, McCONE, MUSSELSHELL, POWDER RIVER, PRAIRIE, RICHLAND, ROOSEVELT, ROSEBUD, SHERIDAN, STILLWATER, TREASURE, WIBAUX AND YELLOWSTONE COUNTIES		
ELECTRICIANS	22.63	4.25%+6.46

ELEC0768A 06/01/2001

	Rates	Fringes
FLATHEAD, LAKE, LINCOLN, MINERAL, MISSOULA, RAVALLI, AND SANDERS COUNTIES		
ELECTRICIANS	23.00	7.28

ELEC0768C 12/01/2000

	Rates	Fringes
FLATHEAD, LAKE, AND LINCOLN COUNTIES		
LINE CONSTRUCTION:		
Cable Splicer	26.79	4.25%+6.41
Lineman	24.61	4.25%+6.32
Tree Trimmer	21.96	4.25%+6.21
Pole Sprayer	21.12	4.25%+6.17
Line Equipment Operator	20.28	4.25%+6.14
Experienced Groundman	16.24	4.25%+5.96

ENGI0400A 05/01/2001

	Rates	Fringes
POWER EQUIPMENT OPERATORS:		
ZONE 1:		
GROUP 1	17.74	4.90
GROUP 2	18.16	4.90
GROUP 3	18.53	4.90
GROUP 4	18.78	4.90
GROUP 5	19.76	4.90
GROUP 6	20.27	4.90
GROUP 7	21.81	4.90

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Air Compressor; Auto Fine Grader; Belt Finishing Machine; Boring Machine, small; Cement Silo; Crane, A-Frame Truck Crane; Crusher Conveyor; DW-10, 15, and 20 Tractor Roller; Farm Tractor; Forklift; Form Grader; Front-end Loader under 1 cu yd; Heavy Duty Drills; Herman Nelson Heater; Mulching Machine; Oiler, all except Cranes. & Shovels; Pumpman.

GROUP 2: Air Doctor; Backhoe/Excavator/Shovel to and including 3 cu yd; Bit Grinder; Bituminous Paving Travel Plant; Boring Machine, large; Broom, self-propelled; Concrete Travel Batcher; Concrete Float & Spreader; Concrete Bucket Dispatcher; Concrete Finish Machine; Concrete Conveyor; Distributor; Dozer, Rubber-

Tired, Push & Side Boom; Elevating Grader/Gradall; Field Equipment Serviceman; Front-end Loader 1 cu yd to including 5 cu yd; Grade Setter; Heavy Duty Drills, all types; Hoist/Tugger, all; Hydralift & similar; Industrial Locomotive; Motor Patrol, except Finish; Mountain Skidder; Oiler - Cranes & Shovels; Pavement Breaker, EMSCO; Power Saw, self-propelled; Pugmill; Pumpcrete/Grout Machine; Punch Truck; Roller, other than Asphalt; Roller, Sheepsfoot, self-propelled; Roller, 25 tons and over; Ross Carrier; Rotomill under 6 ft; Trenching Machine; Washing/Screening Plant.

GROUP 3: Asphalt Paving Machine; Asphalt Screed; Backhoe/Excavator/Shovel over 3 cu yd; Cableway Highline; Concrete Batch Plant; Concrete Curing Machine; Concrete Pump; Cranes, Creter; Cranes, Electric Overhead; Cranes, 24 tons and under; Curb Machine/Slip Form Paver; Finish Dozer; Front-end Loader over 5 cu yd; Mechanic/Welder; Pioneer Dozer; Roller, Asphalt (Breakdown & Finish); Rotomill, over 6 ft; Scraper, single, twin, or pulling Belly Dump; Yo-Yo Cat.

GROUP 4: Asphalt/Hot Plant Operator; Cranes, 25 tons to 44 tons; Crusher Operator; Finish Motor Patrol; Finish Scraper.

GROUP 5: Cranes, 45 tons to including 74 tons; Crane, Tower, all.

GROUP 6: Cranes, 75 tons to including 149 tons; Crane, Whirley, all.

GROUP 7: Cranes, 150 tons to including 250 tons (add \$1.00 for every 100 tons over 250 tons); Crane, Stiff-Leg or Derrick; Helicopter Hoist.

IRON0014C	07/01/2001		
		Rates	Fringes
FLATHEAD, GLACIER, LAKE, LINCOLN, MINERAL, MISSOULA, AND SANDERS COUNTIES			
		20.52	10.53

IRON0841B 10/01/2001

	Rates	Fringes
REMAINING COUNTIES		
IRONWORKERS	18.80	10.61

LABO0098A 05/01/2000		
	Rates	Fringes
LABORERS:		
ZONE 1:		
GROUP 1	13.41	4.40
GROUP 2	16.19	4.40
GROUP 3	16.33	4.40
GROUP 4	17.05	4.40

LABORERS CLASSIFICATIONS

GROUP 1: Flagperson

GROUP 2: All General Labor work; Burning Bar; Bucket man; Carpenter Tender; Caisson Worker; Cement Mason Tender; Cement Handler (dry); Chuck Tender; Choker Setter; Concrete worker; Curb Machine-Lay Down; Crusher and Batch worker; Fence Erector; Form Setter; Form Stripper; Heater Tender; Landscaper; Pipe Wrapper; Pot Tender; Powderman Tender; Rail and Truck Loaders and Unloaders; Riprapper; Sealants for concrete and other materials; Sign Erection, Guard Rail and Jersey Rail; Stake Jumper; Spike Driver; Signalman; Tail Hoseman; Tool Checker and Houseman; Traffic Control worker.

GROUP 3: Concrete Vibrator; Dumpman (Grademan); Equipment Handler; Geotextile and Liners; High-Pressure Nozzleman; Jackhammer (Pavement Breaker); Laser Equipment; Non-Riding Rollers; Pipelayer; Posthole Digger (Power); Power Driven Wheelbarrow; Rigger; Sandblaster; Sod Cutter-Power; Tampers

GROUP 4: Asphalt Raker; Cutting Torch; Grade Setter; High-Scaler; Power Saws (Faller & Concrete); Powderman (\$1.00 per hour above Group 4 rate); Rock & Core Drill; Track or Truck mounted Wagon Drill; Welder including Air Arc

PAIN0260A 07/01/1999		
	Rates	Fringes
BLAINE, BROADWATER, CASCADE, CHOUTEAU, DANIELS, FERGUS, GARFIELD, GLACIER, GRANITE (South of a line running East & West through the Southern city limits of Phillipsburg), HILL, JEFFERSON, JUDITH BASIN, LEWIS AND CLARK, LIBERTY, McCONE, MEAGHER, PETROLEUM, PHILLIPS, PONDERA, POWELL (South of a line running East & West through the Southern City limits of Helmsville), RICHLAND, ROOSEVELT, SHERIDAN, TETON, TOOLE, VALLEY, AND WHEATLAND COUNTIES		
PAINTERS	13.35	1%+2.75

PAIN0260C 07/01/2001

Rates Fringes
FLATHEAD, GRANITE (North of a line running East & West through
the Southern city limits of Phillipsburg), LAKE, LINCOLN,
MINERAL, MISSOULA, POWELL (North of a line running East & West
through the Southern city limits of Helmsville), RAVALLI, AND
SANDERS COUNTIES

PAINTERS 16.35 3.57

PAIN1922A 06/01/2000

Rates Fringes
BEAVERHEAD, BIG HORN, CARBON, CARTER, CUSTER, DAWSON, DEER
LODGE, FALLON, GALLATIN, GOLDEN VALLEY, JEFFERSON, MADISON,
MUSSELSHELL, PARK, POWDER RIVER, PRAIRIE, ROSEBUD, SILVER BOW,
STILLWATER, SWEET GRASS, TREASURE, WIBAUX, AND YELLOWSTONE
COUNTIES

INDUSTRIAL PAINTERS
[Includes Industrial Plants,
Tanks, Pipes, Bridges] 17.55 7.13

PLAS0119A 05/01/2000

Rates Fringes
STATEWIDE (except Deer Lodge, Jefferson, Powell, and Silver Bow
Counties)

CEMENT MASONS:
ZONE 1 16.23 5.10

DEER LODGE, JEFFERSON, POWELL, AND SILVER BOW COUNTIES

CEMENT MASONS:
ZONE 1 17.30 5.10

PLUM0030C 09/01/2001

Rates Fringes
BIGHORN, BLAINE, CARBON, CARTER, CASCADE, CHOUTEAU, CUSTER,
DANIELS, DAWSON, FALLON, FERGUS, GARFIELD, GLACIER, GOLDEN
VALLEY, HILL, JUDITH BASIN, LIBERTY, McCONE, MEAGHER,
MUSSELSHELL, PETROLEUM, PHILLIPS, PONDERA, POWDER RIVER, PRAIRIE.
RICHLAND, ROOSEVELT, ROSEBUD, SHERIDAN, STILLWATER, TETON, TOOLE,
TREASURE, VALLEY, WHEATLAND, WIBAUX AND YELLOWSTONE COUNTIES

PLUMBERS 23.15 8.90

PLUM0041A 07/01/2001

Rates Fringes
BEAVERHEAD, BROADWATER, DEER LODGE, GALLATIN, GRANITE, JEFFERSON,
LEWIS AND CLARK, MADISON, PARK, POWELL, SILVER BOW, AND SWEET
GRASS COUNTIES

PLUMBERS 23.65 7.30

PLUM0459A 05/01/2001
Rates Fringes
FLATHEAD, GLACIER, LAKE, LINCOLN, MINERAL, MISSOULA, RAVALLI,
AND SANDERS

PLUMBERS 22.56 7.45

TEAM0002A 06/01/1999
Rates Fringes

TRUCK DRIVERS:

ZONE 1:
GROUP 1 13.31 4.96
GROUP 2 17.34 4.96

TRUCK DRIVERS CLASSIFICATIONS:

GROUP 1: Pilot Car

GROUP 2: All Combination Trucks and Concrete Mixers; Distributor Driver; All Dry Batch Trucks; Dumpman, Gravel Spreader Box Operator; All Dump Trucks and similar equipment including DW 20, DW 21, or Euclid Tractor; Dumpsters; Flat Trucks; Servicemen; Lowboys, Four-Wheel Trailers; Float Semi-Trailer; Lumber Carriers, Lift Trucks & Fork Lifts; Pick-up Driver hauling material; Powder Truck (Bulk Unloader type); Power Boom; Service Truck Drivers, Fuel Truck Drivers, Tiremen; All Water Tank Drivers; Petroleum Products Drivers; Trucks with Power Equipment such as Winch, A-Frame Truck, Crane, Hydralift, Gout-Crete Truck, and Combination Mulching, Seeding & Fertilizing Truck; Truck Mechanic

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.
=====

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(v)).

In the listing above, the "SU" designation means that rates listed under that identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter

- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.
END OF GENERAL DECISION

General Decision Number MT020003

General Decision Number MT020003
 Superseded General Decision No. MT010003

State: Montana

Construction Type:
 BUILDING

County(ies):

BIG HORN	HILL	ROOSEVELT
BLAINE	JUDITH BASIN	ROSEBUD
CARBON	LIBERTY	SHERIDAN
CARTER	MCCONE	STILLWATER
CHOUTEAU	MEAGHER	SWEET GRASS
CUSTER	MUSSELSHELL	TETON
DANIELS	PARK	TOOLE
DAWSON	PETROLEUM	TREASURE
FALLON	PHILLIPS	VALLEY
FERGUS	PONDERA	WHEATLAND
GARFIELD	POWDER RIVER	WIBAUX
GLACIER	PRAIRIE	
GOLDEN VALLEY	RICHLAND	

BUILDING CONSTRUCTION PROJECTS (does not include residential construction consisting of single family homes and apartments up to and including 4 stories)

Modification Number	Publication Date
0	03/01/2002

COUNTY(ies):

BIG HORN	HILL	ROOSEVELT
BLAINE	JUDITH BASIN	ROSEBUD
CARBON	LIBERTY	SHERIDAN
CARTER	MCCONE	STILLWATER
CHOUTEAU	MEAGHER	SWEET GRASS
CUSTER	MUSSELSHELL	TETON
DANIELS	PARK	TOOLE
DAWSON	PETROLEUM	TREASURE
FALLON	PHILLIPS	VALLEY
FERGUS	PONDERA	WHEATLAND
GARFIELD	POWDER RIVER	WIBAUX
GLACIER	PRAIRIE	
GOLDEN VALLEY	RICHLAND	

BRMT0003B 04/01/2000

	Rates	Fringes
CHOUTEAU, FERGUS, JUDITH BASIN, PETROLEUM, PONDERA AND TETON COUNTIES		
BRICKLAYERS	19.50	6.45

BRMT0005A 06/01/2001

	Rates	Fringes
PARK COUNTY		

BRICKLAYERS	25.70	1.75

BRMT0006A 04/01/2000		
	Rates	Fringes
MEAGHER COUNTY:		
BRICKLAYERS	19.85	5.65

BRMT0010A 06/01/2000		
	Rates	Fringes
BIG HORN, CARBON, CARTER, CUSTER, DAWSON, FALLON, GARFIELD, GOLDEN VALLEY, MCCONE, MUSSELSHELL, POWDER RIVER, PRAIRIE, RICHLAND, ROSEBUD, STILLWATER, SWEET GRASS, TREASURE, WHEATLAND, AND WIBAUX COUNTIES		
BRICKLAYERS	18.87	6.08

BRMT0012A 01/01/2000		
	Rates	Fringes
BLAINE, DANIELS, HILL, LIBERTY, PHILIPS, ROOSEVELT, SHERIDAN, TOOLE, AND VALLEY COUNTIES		
BRICKLAYERS	19.58	6.40

PLUM0030A 09/01/2001		
	Rates	Fringes
BIG HORN, BLAINE, CARBON, CARTER, CHOUTEAU, CUSTER, DANIELS, DAWSON, FALLON, FERGUS, GARFIELD, GLACIER, GOLDEN VALLEY, HILL, JUDITH BASIN, LIBERTY, McCONE, MEAGHER, MUSSELSHELL, PETROLEUM, PHILLIPS, PONDERA, POWDER RIVER, PRAIRIE, RICHLAND, ROOSEVELT, ROSEBUD, SHERIDAN, STILLWATER, TETON, TOOLE, TREASURE, VALLEY, WIBAUX, AND WHEATLAND Counties:		
PLUMBERS & PIPEFITTERS (Including HVAC Piping, and Set unit)	23.15	8.90

PLUM0041B 07/01/2001		
	Rates	Fringes
PARK AND SWEET GRASS COUNTIES PLUMBERS & PIPEFITTERS (Including HVAC Piping, and Set unit)	23.65	7.30

SUMT1006A 02/23/1999		
	Rates	Fringes
CARPENTERS (Including installation of Batt Insulation and Drywall; excluding Form Work and Overhead Door installation)	14.94	4.20
CARPENTERS (Form work only)	15.47	4.45
ELECTRICIANS (Including Alarms; excluding HVAC control wiring)	16.63	3.32
LABORERS:		
General	12.24	3.50
Hod Carriers	13.50	3.75
OVERHEAD DOOR INSTALLER	10.50	1.13
POWER EQUIPMENT OPERATOR:		
Backhoe	16.36	5.32
ROOFERS	13.50	
TRUCK DRIVERS:		
10 cu. yd. Tandem Dump	13.85	

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(v)).

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END OF GENERAL DECISION

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SECTION 01040

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5/00; Rev 03/02

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SECTION 01040

AS-BUILT DRAWINGS
5/00; Rev 03/02

PART 1 GENERAL

1.1 DEFINITIONS

The definitions listed below form a part of this specification.

1.1.1 Red-Line Drawings

Contract drawings marked-up to show actual work performed to include necessary sketches, modification drawings, shop drawings and notes. Green ink is used to indicate work deleted from the contract. Red ink is used for additions and deviations from the contract.

1.1.2 As-Built Drawings

Professional finished electronic CADD files developed from the original contract drawings that include all of the information from the redline drawings and suitable for half-size reproduction.

1.1.3 Vellum Drawings

Drawings on erasable Vellum 20# similar or equal to Xerox Zero solvent vellum.

1.1.4 Black-Line Drawings

Paper drawings reproduced from electronic CADD files or high quality reproducible drawings.

1.1.5 Full-Size Drawings

28 inches x 40 inches nominal size drawings with all details visually readable.

1.1.6 Half-Size Drawings

14 inches x 20 inches nominal size drawings with all details visually readable.

1.1.7 Modification Circle

A circle with a horizontal line through the center. The top half will contain the letter "P" with the bottom half containing the Modification number. The lettering standard will be 120/6 WRICO or similar.

1.1.8 Mylar Drawings

Drawings on polyester film, 3 or 5 mil, similar or equal to K & E Stabilene.

1.1.9 Electronic CADD Files

Electronic CADD files are files saved on CD-ROM in accordance with appropriate CADD standard. The CADD standard will include level on/off status, special characters, line weights, font, and size requirements.

1.2 GENERAL REQUIREMENTS

The work includes creation of electronic CADD files on Microstation SE for as-built drawings to accurately depict existing conditions of the project. As-Built Drawings will become the permanent record drawings of the construction. The Contractor is responsible for development of electronic CADD files in accordance with Omaha District CADD standards. Omaha District's CADD standards are located on the Omaha District's FTP site (<ftp://ftp.nwo.usace.army.mil/pub/ED/CADD/ae/standards/ACADstd.pdf>) for AutoCADD and (<ftp://ftp.nwo.usace.army.mil/pub/ED/CADD/ae/standards/Caddstd.PDF>) for Microstation. The Omaha District will furnish a CD of CAD (read-write) contract drawing files in the software language specified in paragraph Procedure below. This is the software language required by the Using Service. These drawing files shall be used to prepare required As-Built drawings. The As-Built drawings shall include all major features of the work and all details to the same level as the original contract set of drawings. All changes from the contract drawings, including but not limited to all deviations, additional information, and modifications to the contract. Where contract drawings or specifications allow for options, only the option selected and actually constructed shall be shown on the As-Built Drawings. Systems designed or enhanced by the Contractor such as HVAC control system, fire alarm system fire sprinkler system, irrigation sprinkler system, letters of clarification, shall be accurately and neatly recorded on the As-Built Drawings using the same symbols, terminology, and general quality as the original set of contract drawings. All sheets affected by a change shall be revised. The transmittal requirements for the As-built Drawings shall be shown as events on the Contractor prepared project schedule.

1.3 PAYMENT

In accordance with the clause "Payment Under Fixed - Price Construction Contracts", which provides for progress payments on estimates of work accomplished (which meets the standards of quality established under the contract), \$120,000 will be withheld from payment for the creation of As-Built drawings until the final as-built drawings are delivered to the Contracting Officer (including any necessary revisions and subject to the approval of the Contracting Officer).

1.4 TRANSMITTAL OF AS-BUILT DRAWINGS

1.4.1 Preliminary As-Built Drawings

The Contractor shall produce Preliminary As-Built Drawings indicating as-built conditions on Microstation SE with "clouding". As-Built preparation process is provided in paragraph As-Built Preparation below. Preliminary drawings shall consist of 15 percent of total project drawings. The As-Built CADD files which include all changes up to the time Preliminary Drawings shall be sent as stated below. The Contractor shall draw attention to all drawing changes by "clouding" the affected area. This "clouding" shall be accomplished on layer 63 of the drawing file. The Preliminary Drawings shall consist of one (1) set of CADD files on a CD-ROM and one (1) full-size set of the Black-Line Drawings. One (1) set of CADD files on a CD-ROM shall be submitted to the Omaha District Office (ATTN:

CENWO-ED-DI, Jim Janicek). One (1) full-size of the Black-Line Drawings shall be submitted to the COR. Both documents shall be submitted three (3) weeks prior to the final acceptance inspection unless otherwise directed by the COR. The COR will notify the Contractor in writing of approval / disapproval. The Contractor shall not submit the Final Drawings until he receives the COR's letter approving the Preliminary Drawings.

1.4.2 Final As-Built Drawings

The Contractor shall produce Final As-Built Drawings on Microstation SE without "clouding". As-Built preparation process is provided in paragraph As-Built Preparation below. The Final Drawings shall include all changes. The Final Drawings in the form of a CD-ROM shall be submitted to the COR and Omaha District Office (CENWO-ED-DI) no earlier than the day of acceptance of the project and no later than thirty (30) days after the date on the acceptance letter for the Preliminary Drawing unless otherwise directed by the COR. (Note: Final drawings shall not be forwarded to the customer. Corps of Engineers, Omaha District COR will forward to the customer after Quality Review.) Contractor shall submit one (1) set of CADD files on a CD-ROM to the Omaha District Office (ATTN: CENWO-ED-DI, Jim Janicek). Contractor shall send the following documents to the COR:

a) One (1) set of CADD files on CD-ROM (folder name containing as-built files shall be designated "AS-BUILTS" on each CD-ROM). Both CD case and CD-ROM shall contain the name of the project, location, specification number, and contract number, and words "As-Built Record Set"). The folder shall contain drawings, indexes and X-REF files related to all as-builts.

b) One (1) full-size set of red-lined hard copy drawings prepared by the Contractor during construction.

COR will forward one (1) full-size set of drawings along with CD-ROM to the customer.

1.4.3 As-Built Preparation

Both preliminary and final electronic as-built drawings shall be produced in accordance with the following process for MicroStation drawings:

1.4.3.1 For Microstation (*.DGN.) Files

- a. When opened, the drawing shall be seen exactly as it should be plotted.
- b. Only one view port is open in the file.
- c. The view shall be zoomed to fit the border.
- d. All information in the title block shall be filled in, including plot scale.
- e. The information in the title block shall be correct, including the design file name and the plot scale.
- f. All files shall reference a MicroStation border supplied by the Omaha District.
- g. Detach all unused reference files.
- h. For any half tone references, the logical name shall have common first or last character(s). Preferably use "_xxx" at the end of the logical name. Include this information with the pertinent information.
- i. All unnecessary information outside the border shall be deleted.
- j. All files shall be compressed.
- k. All fonts used shall be included with the set, even if it is the standard MicroStation fonts.

1. An ASCII text file shall be provided with the following information: a brief history of how the files were created, reference file paths that should be added to MS_RFDIR, the name of your font resource file, the name and phone number of the person we need to contact if we have problems, and the version of MicroStation used to create and/or work on the drawings.

1.4.3.2 Not Used

1.4.3.3 Not Used

1.5 PROCEDURE

One (1) CD-ROM containing the contract drawings (read-write CADD files) and CADD standards in Microstation SE format, for use in the preparation of As-Built Drawings by the Contractor, will be forwarded to the Resident Engineer. This CD-ROM will then be furnished to the Contractor after signed receipt to the Resident Engineer. The Contractor shall create a set of electronic Cadd files and full-size Red-Line Drawings to fully indicate As-Built conditions. The Red-Line Drawings shall be maintained at the site, in a current condition until the completion of the work and shall be available for review by the COR at all times. All as-built conditions shall be on the Red-Line Drawings within two (2) days after the work activity is completed or shall be entered on the deficiency tracking system (see Section 01451A, CONTRACTOR QUALITY CONTROL). The Contractor shall not convert electronic drawing files from one software language to another (i.e. Microstation to AutoCADD or AutoCADD to Microstation).

1.6 TITLE BLOCKS

The contract number and the specification number (if available) shall be shown on all sheets. "RECORD DRAWING" shall be added below the title block on all sheets. All modifications to the contract shall be posted in ascending order. The top line of the revision box shall state "REVISED TO SHOW AS-BUILT CONDITIONS" and dated. All modifications to all plans, sections, or details, shall have a modification number placed in the revision box under column entitled "Symbol". The statement "GENERAL REVISIONS" may be used when applicable. The date to be added in the revision box for modifications is found in Block 3 of Form SF-30. Cover Sheet will have Contract Award Set changed to As-Built Record Set with month & year completed. Month and year completed will also go in the date box in the title block. There will be no separate dates.

1.7 PROCEDURES FOR POSTING MODIFICATION CHANGES TO DRAWINGS

Follow directions in the modification for posting descriptive changes.

A Modification Circle shall be placed at the location of each deletion.

The highest modification number on the sheet should be shown in the modification circle in the "DATE" and "DRAWING CODE" boxes of the title block.

For all new details or sections that are added to a drawing, place a Modification Circle by the detail or section title.

For changes to a drawing, place a Modification Circle by the title of the affected plan, section or detail titles (each location).

For changes to schedules on drawings, a Modification Circle shall be placed either by the schedule heading or by the change in the schedule.

The Modification Circle size shall be 1/2-inch diameter unless the area where circle is to be placed is crowded. Use smaller size circle for crowded areas.

1.8 WORD ABBREVIATIONS

Abbreviations shown on the abbreviation sheet shall be used to describe all work items. Additional word abbreviations, not found on the abbreviation sheet but necessary to describe the work, shall be properly identified and incorporated with the other standard word abbreviations.

1.9 LEGEND SHEETS

Symbols, which conflict with those on the original contract legend sheet, shall not be used. Additional symbols, properly identified, necessary to depict any additional work items, shall be added to the legend sheet or supplemental legend. Those projects that do not have legend sheets may use supplemental legends on each sheet where symbol is shown.

1.10 CONTRACTOR SHOP DRAWINGS

Contractor shop drawings, which supersede data on the contract plans and/or additional drawings, prepared by the Contractor, shall be incorporated into the As-Built Drawings. Design plans prepared by Contractor shall include the designer's name on the As-Built Drawings.

1.11 INDEXING OF DRAWINGS

If drawings are added to the portfolio of drawings to depict as-built conditions, the index of drawings shall be revised accordingly.

PART 2 PRODUCTS (NOT APPLICABLE)

PART 3 EXECUTION

3.1 GENERAL

As-Built drawings shall include as-built information to the same level of detail as shown on the original details, unless otherwise specified. The Contractor shall provide any additional full-size drawings as required to display all the details.

3.2 SITE WORK

3.2.1 Utilities

All utilities shall be shown whether active, inactive, shown on the original contract drawings, or found on-site. The type of utility, location, general direction, size, material make-up and depth shall be shown. The location and description of any utility line or other installations of any kind known to exist within the construction area shall be shown. The location shall include dimensions to permanent features.

3.2.2 Structures

Structures above and below ground shall be shown. The size, material

make-up, location, height, and/or depth shall be shown. Manholes shall show rim elevation and invert elevations as applicable. Power poles shall show electrical equipment and voltage rating.

3.2.3 Grades

Grade or alignment of roads, structures, or utilities shall be corrected if any changes were made from the contract drawings. Elevations shall be corrected if changes were made in site grading.

3.3 STRUCTURAL

3.3.1 Steel

Shop drawings that deviate from the contract drawings shall be incorporated in the As-Built Drawings.

3.4 MECHANICAL

3.4.1 Ductwork

Ductwork shall be shown to reflect actual installation and duct size. Ductwork routing changes shall be shown.

3.4.2 Plumbing

Piping and fixtures shall be shown to reflect the type of material, size and the route or location.

3.5 ELECTRICAL

3.5.1 PANELS

All contract drawing panel schedules shall be revised to show as-built conditions. Home-run circuit designation on electrical drawings shall accurately correspond to the as-built panel schedules.

3.5.2 Controls

All control diagrams in contract drawings shall be revised to reflect as-built conditions, and setpoints.

-- End of Section--

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SECTION 01080

DIVING REQUIREMENTS FOR UNDERWATER INSTALLATION of the INFILTRATION GALLERY

[07/01]

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PART 2 PRODUCTS

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-- End of Section Table of Contents --

SECTION 01080

DIVING REQUIREMENTS FOR UNDERWATER INSTALLATION of the INFILTRATION GALLERY
[07/01]

PART 1 GENERAL

1.1 APPLICABLE REGULATIONS and PUBLICATIONS

All diving operations shall be performed in accordance with the following regulations and publications. Where a difference in standards exist, the more stringent regulation or publication shall apply. Where the specifications are more stringent than the regulations and publications, the specifications shall apply.

US Army Corps of Engineers

EM 385-1-1 (3 Sept 1996) Safety and Health Requirements Manual (Available at <http://www.usace.army.mil/inet/usace-docs/eng-manuals/em385-1-1/toc.htm>)

OSHA

29 CFR 1910 Subpart T (OSHA)

1.2 GENERAL REQUIREMENTS

The Contractor shall provide all of the necessary personnel and equipment to perform the work described by these specifications.

- a. See drawings for locations of structures.
- b. The work will be accomplished and the dive crew manned and equipped as prescribed in EM 385-1-1.
- c. The Contractor shall provide primary and emergency air supply, dive suits, hoses, lines, and all other related diving and safety equipment necessary for the work to be performed. Communications with the divers is required at all times. Any Inspection data shall be recorded for government information.

1.3 PROJECT REQUIREMENTS

The Fort Peck Fish Hatchery intake structure will have two separate intake pipes that are used to collect water from the dredge cut area of the Missouri River. These pipes are to be installed as specified in the drawings and specifications. It is anticipated that diving work may be required for the piping placement, connections, and/or quality control aspects of the project.

1.3.1 DEPTH OF DIVE

The depth of the water where the work is to be performed will be approximately 25 feet.

1.3.2 LOCATION OF THE DIVE

The location of the work is in the dredge cut area of the Missouri River, below the Fort Peck dam. Access to the site is by boat. A boat ramp is available near the site.

1.3.3 WORK SCHEDULE

The work shall be scheduled for between August 1, 2002 through October 31, 2002. The date of diving activities shall be coordinated with the Prime Contractor for this project, the Omaha District personnel at Fort Peck Project Office and the Omaha District Diving Coordinator. See paragraph: Submittals for information to be submitted by the Contractor prior to any diving operations.

1.4 PRE AND POST DIVE MEETINGS

1.4.1 PRE-DIVE MEETING

A pre-dive briefing will be held at the site prior to the commencement of any diving. This meeting is to ensure that all submittals have been approved and to acquaint all personnel with the schedule and method of operation for the work to be performed. The Contractor's dive plan will be reviewed and the Government personnel and the Contractor will discuss the dive, review all applicable portions of the Safety and Health Requirement Manual, EM 385-1-1, and discuss all potential hazards that may be encountered. There shall be daily pre-work meetings with the on-site government personnel.

1.4.2 POST-DIVE MEETING

A post-dive debriefing will be held at the Fort Peck Project Office to review the work accomplished and for the Contractor and the divers to give additional details about what was found during the work.

1.5 SITE CONDITIONS

1.5.1 DIVE LOCATION

The dredge cut area of the Missouri River is located immediately downstream of the Fort Peck Dam in Valley County, Montana near the town of Fort Peck.

1.5.2 ANTICIPATED CONDITION AT THE DIVE SITE

1.5.2.1 Weather

Unknown

1.5.2.2 Water Condition

Water elevation will be approximately 2039.5 feet (M.S.L.).

1.5.2.3 Visibililty

0 to 3 feet.

1.5.2.4 Type of Bottom

Silty/sandy cover.

1.5.2.5 Depth

Not to exceed 25 feet.

1.5.2.6 Currents/Turbulence

There should be little natural currents at the working depth. The site is located off of the main channel of the Missouri River within the dredge cut area. See the project drawings for site location.

1.5.2.7 Approximate Water Temperature

40-50 degrees Fahrenheit, depending upon time of year.

1.5.3 AVAILABLE POWER

Electrical power is not available at the site. The contractor shall supply needed power.

1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-05 Design Data

Diving Operations Plan; G-ED

Safe Practices Manual; G-ED

Daily Diving Logs

SD-07 Certificates

Dive Team Qualifications; G-ED

SD-11 Closeout Submittals

Closout Report

1.6.1 G-ED SUBMITTALS

These submittals shall be submitted for approval at least 14 days prior to commencement of diving operations. The information shall be submitted to:

District Diving Coordinator (Robert Wilcuts)
CENWO-OD-MR
Omaha District, Corps of Engineers
9901 Pershing Drive
OMAHA, NE 68112

1.7 DIVING OPERATIONS PLAN

The diving operations plan shall depict the Contractor's plan for accomplishing the diving operations required in this specification. A plan shall be prepared for each type of diving activity by the contractor's Diving Supervisor and reviewed by all dive team members prior to diving operations. Dive Operations Plans will contain as a minimum the following items:

- a. Detailed description of the mission.
- b. Date, time and location of operation. (include maps and drawings as needed).
- c. Listing of any information, drawings or equipment to be supplied by the government.
- d. Coordinated communication plan for communication between the dive site and the USACE inspectors.
- e. Nature of the work to be performed by the divers.
- f. Names and duties of the dive team members, including the dive supervisor.
- g. Diving mode to be utilized (including a description of the diving equipment and the backup air supply system to be used).
- h. Maximum working depth and estimated bottom time. Altitude adjustments to dive tables shall be made at altitudes of 1000 feet, or more, above sea level.
- i. A detailed Activity Hazard Analysis (AHA) for each separate diving operation. (See Para. 01.A.09 and FIGURE 1-1 of EM 385-1-1 (3 Sept 1996)) The AHA shall specifically address every known or suspected hazard and outline the procedures to be followed to eliminate or reduce the hazard to an acceptable level. To include but not limited to the following items:
 - (1) Topside conditions such as other persons in the work area, structures, electrical wires, traffic, diver entry/exit area, weather, surface water conditions, etc.
 - (2) Underwater conditions which includes depth, visibility, obstructions, bottom conditions, currents, etc.
 - (3) The hazards associated with flying after diving.
- j. Lock out/Tag out and daily visual check procedure.
- k. Emergency management plan, to include, safety and emergency equipment to be available at the site, evacuation route, route and procedure for moving divers to medical facilities, etc. This plan shall also include but not be limited to the following items:
 - (1) Description and exact address of dive site.
 - (2) Location of nearest working phones.
 - (3) Emergency Information (location, name of emergency personnel and phone #)

- (a) Hospital
- (b) Ambulance/Rescue Squad
- (c) Physician
- (d) Diver's Alert Network 919-684-8111
- (e) Law Enforcement

(The Contractor shall make previous arrangements with all of the emergency response units to ensure telephone numbers are valid and the nature of the work involved is explained to the emergency units.)

(4) Standby Emergency Procedures for the following:

- (a) Decompression sickness, Gas embolism.
- (b) Search and rescue.
- (c) Removing divers from water.
- (d) Hypothermia

(5) Material Safety Data Sheets (MSDS) for any potentially hazardous substances to be used. (epoxies etc.)

1. All dive operations plans shall include the following statement:

"If for any reason the dive plan is altered in mission, depth, personnel, or equipment, the Corps Diving Coordinator at the District level shall be contacted and shall review any revision prior to any dive operation."

1.8 SAFE PRACTICES MANUAL

The contractor shall develop and maintain a Safe Practices Manual which shall include as a minimum, the following:

- a. Contractor's standard safety procedures and checklists.
- b. Assignments and responsibilities of dive team members.
- c. Equipment certifications, procedures and checklists including a copy of the latest state pressure vessel inspections for air receivers.
- d. Emergency procedures for fire, equipment failure, adverse weather conditions, and medical illness or injury.
- e. Requirements for inspections.

1.9 DIVE TEAM QUALIFICATIONS

Prior to any dive operations; the Contractor shall provide proof that each individual on the dive team is:

- a. Medically fit to dive. Provide evidence that each dive team member who is, or is likely to be, exposed to hyperbaric pressure has received a physical examination within one year prior to the dive with the examining licensed physician stating that the diver is fit to dive.
- b. Adequately trained and certified and has the required experience and proficiency for the work assigned.

(1) Each dive team member shall have documented training and/or

experience consistent with the type of work to be performed. Divers shall have successfully completed at least four (4) working dives to the prescribed depths required for this job. Dive logs of these qualifying dives shall be provided.

(2) Proof of current nationally recognized certification in CPR and first aid. First aid training should include the use of oxygen systems as required for all members of the dive team.

c. Provided with and adheres to contractor's prescribed safe practices manual.

d. Trained in, provided with, and required to utilize the appropriate equipment and/or tools to perform the assigned tasks.

1.10 DAILY DIVING LOGS

The Contractor's dive supervisor shall fill out daily diving logs for each diver and dive. Original logs shall be maintained at the dive location for the documentation of the diver's dive statistics and one copy of the completed logs shall be provided to the Corps diving inspector at the completion of each days diving. As a minimum the following information shall be recorded:

- a. Full name of diver.
- b. Date and location of dive.
- c. Maximum depth and bottom time.
- d. Surface interval between dives.
- e. Breathing medium and type of equipment used.
- f. Group classification at beginning and end of each interval.
- g. Water and ambient air temperature.
- h. Depth(s) and durations of any decompression stops.
- i. Date and time of last previous dive.

1.11 SPECIAL SAFETY REQUIREMENTS

In addition to the Safety and Health Requirements Manual, EM 385-1-1, the Contractor shall comply with the requirements listed below.

- a. An oxygen resuscitation system capable of delivering 100-percent oxygen for a minimum of 30 minutes shall be at the dive location.
- b. For each dive where decompression sickness is suspected, has occurred, or the symptoms are evident, the following additional information shall be recorded and attached to the dive plan to become part of the official contract file.
 - (1) Description of decompression symptoms (including depth and time of onset).
 - (2) Description and any results of treatment given.

c. The Contractor's diving supervisor shall be on-site at all times while diving is underway. He/she shall have the authority to make decisions for the contractor during diving operations, and shall have responsibility for the diving operation and the dive crew members.

d. In addition to the pre-dive meeting above, just prior to commencement of the daily dive operations the contractor shall conduct a safety meeting at the site. All of the contractors employees involved in the dive operation shall attend that meeting without exception. At the meeting the contractor's Activity Hazard Analysis will be reviewed and discussed by all personnel that will be involved with the dive operation. Additional input shall be encouraged from all of those present.

1.12 CLOSEOUT REPORT

A written report shall be provided by the Contractor within 30 days after the completion of the work. The report shall include a description of the dive and of the work accomplished (including copies of the divers logs). Photos detailing any problems found shall also be included.

PART 2 PRODUCTS

NOT APPLICABLE

PART 3 EXECUTION

NOT APPLICABLE -- End of Section --

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SECTION 01200

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5/00; Rev 01/02

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SECTION 01200

CONSTRUCTION GENERAL
5/00; Rev 01/02

PART 1 GENERAL

1.1 SCOPE

The work covered in this section is outlined as a statement of construction requirements common to all the work. Specific requirements for materials and installations are provided under the Technical Sections herewith. No claims for extras shall be made on account of items presumed to have been omitted from this section.

1.2 CONSTRUCTION RIGHT-OF-WAY

The Contractor will be assigned construction areas or construction right-of-way limits for use in the prosecution of work under this contract, subject to the CONTRACT CLAUSES clause entitled "Operations and Storage Areas." In the event that the Contractor finds it necessary to utilize for any purpose whatsoever, additional right-of-way, plant or construction area for the performance of this contract, he will be required to do the following:

(1) Where the additional required area is owned or controlled by the Government, the area may be assigned to the Contractor upon request, provided the area is not needed for other purposes and provided there is no additional cost to the Government. All necessary grading and drainage shall be done at the Contractor's expense.

(2) Where the additional required right-of-way is not owned or controlled by the Government, the Contractor shall make all necessary arrangements for the utilization of the required area at no additional expense to the Government. Such arrangements shall be subject to the approval of the Contracting Officer, and copies of agreements for use of such rights-of-way shall be furnished the Contracting Officer before entering thereon. Such agreements shall clearly relieve the Government of any responsibility for damage resulting from the use of the grounds.

1.3 PROTECTION OF EXISTING FACILITIES AND WORKS

The Contractor shall be responsible for the protection of the work area from damage and upon completion of the work shall leave existing works in a condition equal to that which existed when the work started. All work, storage of materials, and construction plant shall be kept within the limits of the areas assigned. Prior to construction operations, the Contractor shall confer with the Contracting Officer's representative to determine the proximity of any possible under-ground obstructions, pipe or equipment which could be damaged as a result of construction operations. Existing utility lines that are shown on the drawings or the locations are otherwise made known to the Contractor shall be protected from damage, and if damaged, shall be repaired by the Contractor at no additional expense to

the Government. In the event that the Contractor damages any existing utility lines that are not shown or the locations of which have not been made known to the Contractor, report thereof shall be made immediately to the Contracting Officer. If the Contracting Officer determines that repairs shall be made by the Contractor, such repairs will be ordered under CONTRACT CLAUSES clause entitled "Changes." The Contractor will be responsible for the protection of structures from any structural damage during the construction operations. Roads and surfaces shall be protected from damage by the work or if damaged shall be repaired with equal materials at no additional expense to the Government. At all times the plant and work areas shall be kept in a condition conducive to safety of workmen and the public and neat in appearance. Waste or surplus materials shall not be allowed to accumulate in the construction areas.

1.3.1 Protection of Appurtenances from Bituminous Material Applications

It shall be the responsibility of the Contractor to cover and protect the surfaces of roadway appurtenances, structures and installations by approved methods in advance of any bituminous material application adjacent thereto. Damages or defacement thereof shall be corrected as directed, by and at the expense of the Contractor.

1.3.2 Interruption of Electric Power

If it is necessary to cut off power in transmission lines that pass through construction areas, it shall be the Contractor's responsibility to make the necessary arrangements with the owner of the powerline, and the Contractor shall pay all costs therefor.

1.3.3 Flood Protection Works

In all cases where materials in the existing flood protection works are used or connected with the construction of new work under this contract, the work shall be so planned and executed that the new work shall be completed to provide protection equivalent to the existing protection as the existing protection is weakened or removed. These operating restrictions shall be followed in order that the new work may be tied in, or connected promptly, by the Contractor, with the existing facilities so as to furnish a continuous service in an emergency. These ties or connections shall be made during periods of suspended construction operations and the Contractor shall leave incompletd pipe outlets and other structures in such conditions as to not interfere with the natural drainage from areas served by these pipes or structures.

1.3.4 Dust Control and Removal

Special measures shall be taken to minimize air-borne dust in work areas. Dust shall not be allowed to accumulate about the powerhouse in general. Return air ducts for heating, ventilating and air conditioning shall be blocked, where practicable, or equipped with filters in areas where dust is being produced or agitated. Removal of dust shall be by sweeping with dust wetted down or overlain with sweeping compound, or by vacuum cleaner as approved. Where air-borne dust in objectionable amount is unavoidable, equipment, which contain commutators, contactors, bearing, etc., shall be covered. Adequate air filters shall be provided in covers over equipment which requires circulation of air while operating. Dust shall be removed daily on a continuing basis during the life of this contract. Dust shall be removed as directed from the interior of equipment housed in cabinets by method approved by the Contracting Officer.

1.4 CARE OF WATER

Full responsibility for care of water shall be borne by the Contractor until completion of work under this contract. The Contractor shall provide the materials and equipment and perform all work necessary to facilitate construction and to protect the work from damage by water. The Contractor shall make his own investigations and determinations of conditions, both existing and anticipated concerning care of water. Plans for care of water are subject to approval by the Contracting Officer prior to construction. Facilities shall be removed upon completion of the work.

1.5 DISPOSITION OF CONSTRUCTION FACILITIES

All buildings and facilities constructed by the Contractor shall be maintained in a satisfactory condition with strict observance of the rules of sanitation, safety and order as may be established by the Contracting Officer. Prior to final payment under the contract, all buildings and facilities constructed by the Contractor for his own use shall be removed from the site by the Contractor.

1.6 ACCESS ROADS AND HAUL ROADS

1.6.1 Access Roads

Access roads as required for the prosecution of the work shall be maintained (including sprinkling for dust control, safety personnel, signals and control) within the work areas assigned to the Contractor. Consideration shall be given to the avoidance of interference with others, safety and frequency of traffic, subject to review and approval prior to construction. Access road areas shall be restored to their original or suitable condition upon completion of this contract. The Contractor shall be responsible for repair of damage to existing roads caused by his operation.

1.6.2 Haul Roads

The Contractor shall construct haul roads as may be necessary for the conduct of the work without additional cost to the Government. The Contractor shall arrange his hauling operations so as to cause a minimum interference with traffic and shall furnish flagmen and other facilities as required to avoid additional hazards to the public. Lines, grades and widths for haul roads, shall be selected to fulfill the requirements for safe and efficient hauling operations and shall be approved by the Contracting Officer prior to construction. Haul roads shall have ample width to provide safety. Preference shall be given to one-way haul roads when these are feasible. All roads shall be maintained in first class condition during all periods of their use. Roads shall be sprinkled whenever, in the opinion of the Contracting Officer, control of dust is necessary to insure safe movements of construction traffic. Upon completion of work under this contract, roads shall be disposed of as directed by the Contracting Officer. All signs, culverts, guards, fences and other improvements on roads constructed for the Contractor's convenience and operations shall be removed from the project site and shall remain his property. Use and repair of existing roads and bridges shall be subject to the requirements of local authorities. Prior to start of hauling operations on public roads, the Contractor shall furnish written evidence to the Contracting Officer that an agreement has been consummated with State and County officials on the use of public roads and bridges.

Such agreements shall clearly relieve the Government of any responsibility for damage resulting from hauling across or on these roads.

1.6.3 Joint Use of Transportation Facilities

The Contractor may use jointly with contractors and others, existing access and haul roads constructed by the Government or by others. Unless otherwise specifically authorized in writing, the Contractor will not be granted exclusive use of any access or haul road whether it be an existing road or one constructed by the Contractor. However, the use by others of roads constructed by or assigned to the Contractor primarily for his own use will be limited by the Contracting Officer to the minimum considered consistent with efficient prosecution of the work under this and other contracts in force. Maintenance of jointly used facilities shall be shared in proportion to use. In the event of a disagreement between this Contractor and others as to the use of any road, the decision of the Contracting Officer shall be final. The Contractor shall not obstruct any existing road on the land controlled by the Government.

1.7 PUBLIC ROADS

1.7.1 Traffic Control

The Contractor shall be responsible for the safe control of traffic on all haul and access roads used primarily for the work under these specifications and at their crossings with roads used by others. The Contractor shall, at his own expense, furnish all personnel, signal devices and signal necessary for the safe and efficient control of construction traffic on road systems used by the Contractor. A plan for traffic control, including a listing of equipment and its employment, shall be submitted for review and approval prior to construction.

1.7.2 Operations

When operations are being conducted near a U. S. or State Highway or when construction equipment is being used on or adjacent to such highway, the Contractor shall furnish signalmen and such warning signs as are necessary to provide adequate warning to the traveling public in accordance with applicable State and Local laws and regulations. The highways and streets shall be kept open at all times.

1.7.3 State and Federal Highways

Where the Contractor hauls across or on State or Federal highways, he shall enter into all agreements with the State Highway Commission and shall comply with any restrictions they may impose relative to load limits, care of traffic and cleanup. Such agreements shall clearly relieve the Government of any responsibility for damages resulting from hauling across or on State highways. Copies of such agreements shall be furnished to the Contracting Officer before the Contractor begins hauling on these highways.

1.7.4 State and Local Public Roads

(a) Load Limits:

The Montana Highway Commission and local County Road Commissions restrict the load weights of haul vehicles using highways and county roads during the spring thaw period. The load restrictions are usually imposed from 1 April through June depending on actual weather conditions.

(b) Hauling Regulations:

Prior to start of hauling operations on public roads, the Contractor shall furnish evidence to the Contracting Officer that an agreement has been consummated with State and County officials on the use of public roads and bridges. Such agreements shall clearly relieve the Government of any responsibility for damage resulting from hauling across or on these roads.

1.8 COOPERATION WITH OTHERS

The Contractor shall cooperate and coordinate his work with that of others as required for orderly completion of all work. In the event of disagreement between the Contractor and others, the decision of the Contracting Officer shall be final.

1.9 WINTER WORK

Should the Contractor exercise his option to work during the non-work period set forth in SECTION: SPECIAL CONTRACT REQUIREMENTS, paragraph COMPUTING COMPLETION DATES FOR NON-WORK PERIOD, or at any other time during the life of this contract, there will be no separate payment for winterizing or other protective measures required by the Contractor to maintain his schedule progress.

1.10 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Proposed Methods of Operation; G-RE

Progress Charts; G-RE

Construction Right-of-Way

Right-of-Way Agreements

State and Federal Highways

Agreements for hauling on highways

SD-02 Shop Drawings

Care of Water; G-RE

SD-11 Closeout Submittals

Warranty of Construction

List of warranties with copy of each

1.11 SPECIAL INSTRUCTIONS FOR PROGRESS CHARTS

To be submitted in accordance with the CONTRACT CLAUSES clause entitled "Schedule for Construction Contracts" shall indicate the required data for each of the principal features of the work. Contract changes or modifications will not include extensions of time unless the updated progress chart shows that the contract completion date is delayed due to the affect of the change on one or more principal features of the work.

1.12 PROJECT SIGN

The Contractor shall provide a new project sign constructed in accordance with drawing sheet C1.05. The sign shall be erected at the project site by the Contractor at the location determined by the Contracting Officer. The project sign shall remain the property of the Contractor and upon completion of the contract, work shall be removed from the premises by the Contractor. . No separate payment will be made for the sign.

1.13 WARRANTY OF CONSTRUCTION (MAR 1994)

(a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (i) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.

(b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.

(c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government-owned or controlled real or personal property, when that damage is the result of--

(1) The Contractor's failure to conform to contract requirements; or

(2) Any defect of equipment, material, workmanship, or design furnished.

(d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.

(e) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.

(f) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.

(g) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--

(1) Obtain all warranties that would be given in normal commercial

practice;

(2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and

(3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.

(h) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

(i) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.

(j) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud. (FAR 52.246-21)

1.14 PORTABLE TOILETS

Toilet facilities will not be available for Contractor's use. The Contractor shall provide and maintain portable toilets for use by the Contractor's staff. Toilet(s) shall be placed at the location directed by the Contracting Officer.

PART 2 NOT USED

PART 3 NOT USED

-- End of Section --

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DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01330

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SECTION 01330

SUBMITTAL PROCEDURES
09/01; Omaha Update 03/02

PART 1 GENERAL

Attachments: Submittal Register
ENG Form 4025, Transmittal Form

1.1 CONTRACTOR RESPONSIBILITIES

The Contractor is responsible for total management of his work including scheduling, control, and certification of all submittals. The submittal management system provided in these specifications is intended to be a complete system for the Contractor to use to control the quality of materials, equipment and workmanship provided by manufacturers, fabricators, suppliers and subcontractors. The Contractor shall review each submittal for contract compliance. Submittals that comply will be forwarded to the Government. Submittals that do not conform will be returned to the originator to be corrected. The Submittal Register (ENG Form 4288) will be utilized to log and monitor all submittal activities. No construction or installation activities shall be performed prior to required approvals of applicable submittals. The Contractor shall perform a check to assure that all materials and/or equipment have been tested, submitted and approved during the preparatory phase of quality control inspections.

1.2 SUBMITTAL IDENTIFICATION (SD)

Submittals required are identified by SD numbers and titles as follows:

SD-01 Preconstruction Submittals

Tabular lists showing location, features, or other pertinent information regarding products, materials, equipment, or components to be used in the work.

In addition, the following items are included:

Construction Progress Schedule
Health and safety plan
Work plan
Quality control plan
Environmental protection plan
Permits

SD-02 Shop Drawings

Submittals which graphically show relationship of various components of the work, schematic diagrams of systems, details of fabrication, layouts of particular elements, connections, and other relational aspects of the work.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Samples, including both fabricated and unfabricated physical examples of materials, products, and units of work as complete units or as portions of units of work.

Physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged. Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards by which the ensuring work can be judged. Includes assemblies or portions of assemblies which are to be incorporated into the project and those which will be removed at conclusion of the work.

SD-05 Design Data

Calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accordance with specified requirements. (Testing must have been within three years of date of contract award for the project.)

Report which includes findings of a test required to be performed by the contractor on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report which includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily checklists

Final acceptance test and operational test procedure

SD-07 Certificates

A document, required of the Contractor, or through the Contractor, from a supplier, installer, manufacturer, or other lower tier Contractor, the purpose of which is to confirm the quality or orderly progression of a portion of the work by documenting procedures, acceptability of methods or personnel, qualifications, or other verifications of quality.

Statement signed by an official authorized to certify on behalf of the manufacturer of a product, system or material, attesting that the product, system or material meets specified requirements. The statement must be dated after the award of the contract, must state the Contractor's name and address, must name the project and location, and must list the specific requirements which are being certified.

Confined space entry permits.

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and material safety data sheets, if any, concerning impedances, hazards, and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative to confirm compliance with manufacturer's standards or instructions.

Factory test reports.

SD-10 Operation and Maintenance Data

Data intended to be incorporated in operations and maintenance manuals.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

In addition, the following items are included:

As-built drawings

Special warranties

Posted operating instructions

Training plan

1.3 SUBMITTAL CLASSIFICATION

Submittals are classified as follows:

1.3.1 Government Approved

Governmental approval is required for extensions of design, critical materials, deviations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Contracting Officer. Within the terms of the Contract Clause entitled "Specifications and Drawings for Construction," they are considered to be "shop drawings." All submittals noted in the technical specifications and Submittal Register as "G-ED", "G-AE" or "G-RE" are subject to Government Approval.

1.3.2 Information Only (FIO)

All submittals not requiring Government approval will be for information only. They are not considered to be "shop drawings" within the terms of the Contract Clause referred to above. The Contracting Officer has the option to review any submittal.

1.4 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals shall not be construed as a complete check, but will indicate only that the general method of construction, materials, detailing and other information are satisfactory. Approval will not relieve the Contractor of the responsibility for any error which may exist, as the Contractor under the Contractor Quality Control (CQC) requirements of this contract is responsible for dimensions, the design of adequate connections and details, and the satisfactory construction of all work. After submittals have been approved by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.5 DISAPPROVED SUBMITTALS

The Contractor shall make all corrections required by the Contracting Officer and promptly furnish a corrected submittal in the form and number of copies specified for the initial submittal. If the Contractor considers any correction indicated on the submittals to constitute a change to the contract, a notice in accordance with the Contract Clause "Changes" shall be given promptly to the Contracting Officer.

1.6 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

1.7 GENERAL

The Contractor shall make submittals as required by the specifications. The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective sections. Units of weights and measures used on all submittals shall be the same as those used in the contract drawings. Each submittal shall be complete and in sufficient detail to allow ready determination of compliance with contract requirements. Prior to submittal, all items shall be checked and approved by the Contractor's Quality Control (CQC) System Manager and each item shall be stamped, signed, and dated by the CQC System Manager indicating action taken. Proposed deviations from the contract requirements shall be clearly identified. Submittals shall include items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals. Submittals requiring Government approval shall be scheduled and made prior to the acquisition of the material or equipment covered thereby. Samples remaining upon completion of the work shall be picked up and disposed of in accordance with manufacturer's Material Safety Data Sheets (MSDS) and in compliance with existing laws and regulations.

1.8 SUBMITTAL REGISTER AND ENG FORM 4288 (RMS) SUBMITTAL REGISTER

At the end of this section is a submittal register (submittal form) showing items of equipment and materials for which submittals are required by the specifications; this list may not be all inclusive and additional submittals may be required. The attached submittal register identifies only the submittal section, type of submittal, description of item submitted, paragraph number related to submittal item (section submittal paragraph if none listed), submittal classification (G), and submittal reviewer identifier (ED, AE or RE). Any submittal without a submittal classification and submittal reviewer identifier is considered to be For Information Only (FIO). The submittal register generated by the Government Resident Management System (RMS) Software is used for tracking construction submittals and is referred to as ENG Form 4288 (RMS). The Contractor shall maintain an ENG Form 4288 (RMS) for the project in accordance with the attached ENG Form 4288 (RMS) Instructions. The Contractor will be furnished one (1) set of ENG Forms 4288 (RMS) at the preconstruction conference. Much of the same information contained on the attached submittal register will be included on the ENG Forms 4288 (RMS) furnished to the Contractor. The Contractor shall complete the appropriate columns as indicated on the attached ENG Form 4288 (RMS) Instructions and return to the Contracting Officer for approval within 20 calendar days after the preconstruction conference. The ENG Form 4288 (RMS) will become a part of the contract after approval. The TRANSMITTAL NUMBER AND ITEM NUMBER shall be left blank for use later to record the respective transmittal and item number corresponding to those listed on the transmittal form entitled: "TRANSMITTAL OF SHOP DRAWINGS, EQUIPMENT DATA, MATERIAL SAMPLES, OR MANUFACTURER'S CERTIFICATES OF COMPLIANCE" (ENG Form 4025). The approved ENG Form 4288 (RMS) will become the scheduling document and will be used to control submittals throughout the life of the contract. The ENG Form 4288 (RMS) and the progress schedules shall be coordinated.

1.9 SCHEDULING

Submittals covering component items forming a system or items that are interrelated shall be scheduled to be coordinated and submitted concurrently. Certifications to be submitted with the pertinent drawings shall be so scheduled. Adequate time (a minimum of 20 calendar days exclusive of mailing time) shall be allowed and shown on the register for review and approval. No delay damages or time extensions will be allowed for time lost in late submittals. .

1.10 TRANSMITTAL FORM (ENG FORM 4025)

The sample transmittal form (ENG Form 4025) attached to this section shall be used for submitting both Government approved and information only submittals in accordance with the instructions on the reverse side of the form. These forms will be furnished to the Contractor. This form shall be properly completed by filling out all the heading blank spaces and identifying each item submitted. Special care shall be exercised to ensure proper listing of the specification paragraph and/or sheet number of the contract drawings pertinent to the data submitted for each item.

1.11 SUBMITTAL PROCEDURES

Submittals shall be made as follows:

1.11.1 Procedures

1.11.1.1 "G-ED or G-AE" Submittals

All items listed as "G-ED" or "G-AE" submittals in the various sections or on the Submittal Register shall be mailed directly to the addressee shown below as directed. For each submittal, a completed information copy of the attached transmittal form shall also be mailed to the Area Engineer .

Technical Reviewer

Engineering Division (ED)
Attn: CENWO-ED-DI
U.S. Army Engineer District, Omaha
106 South 15th Street
Omaha, NE 68102-1618

Each required submittal which is in the form of a drawing shall be submitted as seven (7) prints of the drawing. Drawing prints shall be either blue or black line permanent-type prints on a white background or blueprint and shall be sufficiently clear and suitable for making legible copies.

All catalog and descriptive data shall be submitted in seven (7) copies. Catalog cuts and other descriptive data which have more than one model, size, or type or which shows optional equipment shall be clearly marked to show the model, size, or type and all optional equipment which is proposed for approval. Submittals on component items forming a system or that are interrelated shall be submitted at one time as a single submittal in order to demonstrate that the items have been properly coordinated and will function as a unit.

1.11.1.2 "G-RE" and FIO Submittals

Except as noted below, data for all items listed as "G-RE" Submittals in the various sections shall be submitted in five (5) copies to the Area Engineer using the transmittal form. Items not to be submitted in multiples, such as samples and test cylinders, shall be submitted to the Area or Resident Engineer (as directed) accompanied by five (5) copies of the transmittal form.

Except as noted below, data for all items listed as FIO Submittals in the various sections shall be submitted in three (3) copies to the Area Engineer using the transmittal form. Items not to be submitted in multiples, such as samples and test cylinders, shall be submitted to the Area or Resident Engineer (as directed) accompanied by three (3) copies of the transmittal form. The Government has the option to review any FIO submittal.

1.11.1.3 Certificates of Compliance

Each certificate shall be signed by an official authorized to certify in behalf of the manufacturing company and shall contain the name and address of the Contractor, the project name and location, and the quantity and date or dates of shipment or delivery to which the certificates apply. Copies of laboratory test reports submitted with certificates shall contain the name and address of the testing laboratory and the date or dates of the tests to which the report applies. Certification shall not be construed as relieving the Contractor from furnishing satisfactory material, if, after tests are performed on selected samples, the material is found not to meet

the specific requirements.

1.11.1.4 Purchase Orders

Copies of purchase orders shall be furnished to the Contracting Officer when the Contractor requests assistance for expediting deliveries of equipment or materials, or when requested by the Contracting Officer for the purpose of quality assurance review. Each purchase order issued by the Contractor or his subcontractors for materials and equipment to be incorporated into the project shall (1) be clearly identified with the applicable DA contract number, (2) carry an identifying number, (3) be in sufficient detail to identify the material being purchased, (4) indicate a definite delivery date, and (5) display the DMS priority rating, if applicable.

1.11.1.5 Operation and Maintenance Instructions and/or Manuals

Where required by various technical sections, operations and maintenance instructions and/or manuals with parts lists included shall be provided by the Contractor in quintuplicate, unless otherwise specified, and shall be assembled in three-ring binders with index and tabbed section divider and having a cover indicating the contents by equipment or system name and project title and shall be submitted for approval to the Contracting Officer 90 days prior to final tests of mechanical and electrical systems, unless otherwise specified. Each operation and maintenance manual shall contain a copy of all warranties and a list of local service representatives required by Section 01200 Warranty of Construction. If field testing requires these copies to be revised, they shall be updated and resubmitted for approval within 10 calendar days after completion of tests. The Operations and Maintenance Instructions and/or Manuals shall be shown as a separate activity on the Contractor prepared construction schedule bar chart or network analysis system. In addition, one reproducible unfolded copy of all wiring and control diagrams and approved system layout drawings shall be submitted with the O&M Manuals.

1.11.2 Deviations

For submittals which include proposed deviations requested by the Contractor, the column "variation" of ENG Form 4025 shall be checked. The Contractor shall set forth in writing the reason for any deviations and annotate such deviations on the submittal. The Government reserves the right to rescind inadvertent approval of submittals containing unnoted deviations.

1.12 CONTROL OF SUBMITTALS

The Contractor shall carefully control his procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."

1.13 GOVERNMENT APPROVED SUBMITTALS

Upon completion of review of submittals requiring Government approval, the submittals will be identified as having received approval by being so stamped and dated.

1.13.1 "G-AE" or "G-ED" Submittals

The drawing print and five (5) sets of all catalog data and descriptive literature and drawing prints will be retained by the Contracting Officer and two (2) sets of catalog data and descriptive literature and drawing prints will be returned to the Contractor.

1.13.2 "G-RE" Submittals

Two (2) copies of "G-RE" submittals for approval will be returned to the Contractor except for samples, test cylinders, and O&M manuals for which two (2) copies of the transmittal form only will be returned to the Contractor.

1.14 INFORMATION ONLY SUBMITTALS

Normally submittals for information only will not be returned. Approval of the Contracting Officer is not required on information only submittals. The Government reserves the right to require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.15 STAMPS

Stamps used by the Contractor on the submittal data to certify that the submittal meets contract requirements shall be similar to the following. The stamp shall be affixed and filled out on the back of each ENG Form 4025.

<p>CONTRACTOR</p> <p>(Firm Name)</p> <p>_____ Approved</p> <p>_____ Approved with corrections as noted on submittal data and/or attached sheets(s).</p> <p>SIGNATURE: _____</p> <p>TITLE: _____</p> <p>DATE: _____</p>
--

INSTRUCTIONS
ENG FORM 4288 (RMS)

1. The Contractor shall utilize the ENG Form 4288 (RMS) generated by the Government Residential Management System (RMS) software for tracking construction submittals. The Submittal Register information, columns (c) thru (f) from the Submittal Forms furnished with this solicitation, [will be utilized by the Government] to generate the ENG Form 4288 (RMS). [The Government will furnish the Contractor a hard copy of the ENG Form 4288 (RMS) at the preconstruction conference.]. The ENG Form 4288 (RMS) includes the following items and parties responsible for completing the information required on the ENG Form 4288 (RMS):

a. Activity Number: will be provided by the Contractor from his Network Analysis, if required, and when a network analysis is accepted.

b. Transmittal Number and Item Number: will be provided by the Contractor from ENG Form 4025 for each item.

c. Specification Paragraph Number: will be provided by the Government from the Submittal Register from column entitled "Specification Paragraph Number".

d. Description of Submittal: will be provided by the Government from the Submittal Register from column entitled "Description of Item Submitted".

e. Type of Submittal: will be provided by the Government from the Submittal Register from column entitled "Type of Submittal" or "Description of Item Submitted".

f. Classification: will be provided by the Government from the Submittal Register from column entitled "Classification".

g. Reviewing Office - Reviewer: will be provided by the Government from the Submittal Register from column entitled "Classification" or "Reviewer".

h. Contractor Schedule Dates: the Contractor will provide schedule dates for

"Submit Needed By" (Date the Contractor expects to submit an item. It is the Contractors responsibility to calculate the lead time needed for the government approval. Note if resubmittal is required it is the Contractors responsibility to make all adjustments necessary to meet the contract completion date.)

"Approval Needed By" (date the Contractor can receive approval and still obtain the material by need date.), and

"Material Needed By" (date that the material is needed at the site. If there is a network analysis it should reflect that date on the analysis.)

i. Contractor Action: Includes the following items: "Code" and "Submit to the Corps". These items will be completed by the Contractor. The action codes will be one of the following:

A - Approved as submitted.

B - Approved, except as noted.

G - Other (specify)

j. Government Action: This item includes a Government Action "Code" and "Date" and is reserved for Government use. The Government reserves the right to review any submittal for contract compliance. Receipt of an Action Code "F - Receipt Acknowledged" or failure of the Contractor to receive an Action Code by the Government, does not mean that the submittal is in compliance with the contract requirements. When used by the Government, the action code will be one of the following:

A - Approved as submitted.

B - Approved except as noted on drawings.

C - Approved, except as noted on drawings. Refer to attached ____ sheet resubmission required.

D - Will be returned by separate correspondence.

E - Disapproved (See Attached).

F - Receipt Acknowledged.

Fx - Receipt acknowledged, does not comply as noted with contract requirements.

G - Other (specify).

2. Reviewer Abbreviation code will be as follows;

G-ED, G-AE or G-RE - Government Approved

For Information Only - Any submittal without a Government Approved abbreviation code.

INSTRUCTIONS
ENG FORM 4025

1. DATE at the top of form will be the date submitted to the Government which is to be completed by the Contractor.
2. TRANSMITTAL NO. Each new transmittal (i.e. [G-AE,] [G-ED,] G-RE or FIO) shall be numbered consecutively for each specification section in the space provided in "Transmittal No.". This number will be the identifying symbol for each submittal. Example: "15400A-001", "15895A-001" "15895A-002", "16415A-001", etc. For each new submittal or for a resubmittal, the appropriate box must be marked. Resubmittals must be designated by their original sequential number followed by an ".1", ".2", etc. for each sequential resubmittal. Example: "15895A-001.1" (previous submittal No. 15895A-001).
3. TO: Box will contain the name and address of the office which will review the submittal. The name and address should be given in paragraph 3.5. Contractor is to complete this box after reviewing the classification provided by the government on Eng Form 4288 column f and determining the proper address.
4. FROM: Box will be the name and address of the Contractor. Contractor is to complete this box.
5. CONTRACT NO. box will contain the Contractors construction contract number (e.g., DACXXX-XX-C-XXXX).
6. CHECK ONE box will be completed by the Contractor with one box marked. If a resubmittal is provided last transmittal number will be added. If the submittal is for Government Approval, make the following pen and ink changes:

Approval by the Area or Resident Office, delete "GOV'T APPROVAL" and substitute "G-RE".

Approval by the Technical Reviewer identified in paragraph: SUBMITTAL PROCEDURES of this section, delete "GOV'T APPROVAL" and substitute ["G-AE"]["G-ED"].
7. SPECIFICATION SECTION NO. box will be completed by the Contractor. The number will be the five digit number found in the specifications. No more than one section will be covered with each transmittal.
8. PROJECT TITLE AND LOCATION box will be completed by the Contractor.
9. Column a, will be completed by the Contractor and will contain a different number for each item submitted in that transmittal. Once a number is assigned to an item it will remain the same even if there is a resubmittal.
10. Column b, will be completed by the Contractor. The description of each item on this form will be the descriptions provided on the submittal register. The Contractor shall submit each submittal register item all at once on one transmittal if possible. If a submittal register item can not be submitted all at once Contractor should note that in the remarks box.
11. Column c, will be completed by the Contractor. The information will be the appropriate submittal description number as described this Section or

shown on the submittal register (e.g. SD-XX).

12. Column d, will be completed by the Contractor. The number of copies will be determined by the Contractor after review of submittal register for the classification of the item and after review of paragraph: SUBMITTAL PROCEDURES of this Section.

13. Column e, will be completed by the Contractor. The Contractor shall state all applicable paragraph numbers.

14. Column f, will be completed by the Contractor. The Contractor shall state all applicable drawing sheet numbers.

15. Column g, will be completed by the Contractor. The action codes will be one of the following:

- A - Approved as submitted.
- B - Approved, except as noted.
- G - Other (specify)

16. Column h, will be completely by the Contractor. A check shall be placed in this column when a submittal is not in accordance with the plans and specifications also, a written statement to that effect shall be included in the space provided for "Remarks".

17. Column i, is reserved for Government use and may or may not be provided. When used by the Government, the action code will be one of the following:

- A - Approved as submitted.
- B - Approved except as noted on drawings.
- C - Approved, except as noted on drawings. Refer to attached ____ sheet resubmission required.
- D - Will be returned by separate correspondence.
- E - Disapproved (See Attached).
- F - Receipt Acknowledged.
- Fx - Receipt acknowledged, does not comply as noted with contract requirements.
- G - Other (specify).

18. REMARKS box self explained.

19. Contractor Quality Control Manager must provide name and sign all Eng Form 4025 certifying conformance. In the space for the name and signature, also include a phone number where the CQC Manager may be reached.

20. Section II will be completed by the Government. Contractor is not to write in this space.

See reverse side of ENG Form 4025 for additional instructions.

-- End of Section --

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Fort Peck Fish Hatchery: Intake Structure, Fort Peck, Montana

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY				REMARKS		
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE		DATE OF ACTION	MAILED TO CONTR/
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01080	SD-05 Design Data														
			Diving Operations Plan	1.7	G ED												
			Safe Practices Manual	1.8	G ED												
			Daily Diving Logs	1.10													
			SD-07 Certificates														
			Dive Team Qualifications	1.9	G ED												
			SD-11 Closeout Submittals														
			Closout Report														
		01200	SD-01 Preconstruction Submittals														
			Proposed Methods of Operation		G RE												
			Progress Charts	1.11	G RE												
			Construction Right-of-Way														
			State and Federal Highways	1.7.3													
			SD-02 Shop Drawings														
			Care of Water	1.4	G RE												
			SD-11 Closeout Submittals														
			Warranty of Construction														
		01355A	SD-01 Preconstruction Submittals														
			Environmental Protection Plan	1.7	G ED												
		01356	SD-07 Certificates														
			Mill Certificate or Affidavit	2.1.3													
		01400	SD-01 Preconstruction Submittals														
			Accident Prevention Plan		G RE												
			SD-07 Certificates														
			Qualifications		G RE												
		02300a	SD-06 Test Reports														

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION Fort Peck Fish Hatchery: Intake Structure, Fort Peck, Montana						CONTRACTOR											
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(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		02300a	Testing	3.10	G RE												
			SD-07 Certificates														
			Testing	3.10	G RE												
		02315a	SD-01 Preconstruction Submittals														
			Dewatering Plan														
			Excavation Plan		G RE												
			SD-06 Test Reports														
			Testing	3.14	G RE												
		02316a	SD-06 Test Reports														
			Field Density Tests	3.5.3	G RE												
			Testing of Backfill Materials	3.5.2	G RE												
			SD-08 Manufacturer's Instructions														
			Installation Workplan		G ED												
		02620a	SD-09 Manufacturer's Field														
			Reports														
			Gradation Test Results		G ED												
		02630a	SD-03 Product Data														
			Placing Pipe	3.3													
			SD-07 Certificates														
			Determination of Density	3.6.3	G RE												
		02731a	SD-03 Product Data														
			Equipment	1.4	G RE												
			SD-06 Test Reports														
			Sampling and Testing	1.5													
			-RE														
			Density Tests	3.10	G RE												

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Fort Peck Fish Hatchery: Intake Structure, Fort Peck, Montana

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY				REMARKS		
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		02821a	SD-07 Certificates														
			Chain Link Fence	2.1.1													
		02921	SD-01 Preconstruction Submittals														
			Equipment														
			Chemical Treatment Material														
			SD-07 Certificates														
			Equipment														
			SD-08 Manufacturer's Instructions														
			Delivery	1.4.1													
			Finished Grade and Topsoil	3.2.1													
			Topsoil	2.2													
			SD-09 Manufacturer's Field														
			Reports														
			Equipment Calibration	3.1.3													
			Seed	2.1													
			Topsoil	2.2													
			Fertilizer	2.3.1													
			Organic Material	2.3.2													
			Soil Conditioner														
			Mulch	2.4													
			Pesticide	2.6													
			Delivered Topsoil	1.4.1.1													
			Soil Amendments	2.3													
			Mulch	2.4													
			Quantity Check	3.5													
			Seed Establishment Period	3.9													

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Fort Peck Fish Hatchery: Intake Structure, Fort Peck, Montana

CONTRACTOR

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		02921	Maintenance Record	3.9.3.5													
			Application of Pesticide	3.6													
		03150a	SD-02 Shop Drawings														
			Waterstops	2.1	G ED												
			SD-03 Product Data														
			Waterstops	2.1	G ED												
			SD-07 Certificates														
			Waterstops	2.1	G RE												
		03200a	SD-02 Shop Drawings														
			Reinforcement	3.1	G ED												
			SD-07 Certificates														
			Reinforcing Steel	2.2													
		03300	SD-03 Product Data														
			Mixture Proportions	1.5	G RE												
			SD-06 Test Reports														
			Testing and Inspection for Contractor Quality Control	3.12	G RE												
			SD-07 Certificates														
			Qualifications	1.3													
		05093a	SD-02 Shop Drawings														
			Pressure Piping	1.4	G ED												
			SD-03 Product Data														
			Qualifications	1.6	G RE												
			Welding Operations	3.1	G RE												
			SD-07 Certificates														
			Qualifications	1.6	G RE												

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(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		05500a	SD-02 Shop Drawings														
			Miscellaneous Metal Items	1.6	G ED												
			SD-04 Samples														
			Miscellaneous Metal Items	1.6	G ED												
		06100a	SD-02 Shop Drawings														
			Nailing Strips	3.1.1	G RE.												
			SD-07 Certificates														
			Grading and Marking	2.1.1													
		07131a	SD-02 Shop Drawings														
			Waterproofing	3.1	G RE.												
			SD-03 Product Data														
			Installation	3.2													
			SD-07 Certificates														
			Materials	2.1													
		07416a	SD-02 Shop Drawings														
			Structural Standing Seam Metal		G ED												
			Roof System														
			SD-03 Product Data														
			Design Analysis		G ED												
			Qualifications														
			SD-04 Samples														
			Accessories	2.3													
			Roof Panels	2.1													
			Factory Color Finish	2.6													
			Fasteners	2.4													

SUBMITTAL REGISTER

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		07416a	Gaskets and Insulating Compounds	2.9													
			Sealant	2.8													
			Concealed Anchor Clips	2.2													
			Subpurlins	2.5													
			EPDM Rubber Boots	2.10													
			SD-06 Test Reports														
			Test Report for Uplift Resistance of the SSSMR														
			SD-07 Certificates														
			Structural Standing Seam Metal Roof System														
		07600a	SD-02 Shop Drawings														
			Materials	2.1	G ED.												
		07900a	SD-03 Product Data														
			Backing	2.1													
			Bond-Breaker	2.2													
			Sealant	2.5													
			SD-07 Certificates														
			Sealant	2.5													
		08110	SD-02 Shop Drawings														
			Doors	2.1	G G												
			Doors	2.1	G G												
			Frames	2.4	G G												
			Frames	2.4	G G												
			Accessories														

SUBMITTAL REGISTER

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Fort Peck Fish Hatchery: Intake Structure, Fort Peck, Montana																	
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(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		08110	Weatherstripping	2.5													
			SD-03 Product Data														
			Doors	2.1	G RE												
			Frames	2.4	G RE												
			Accessories														
			Weatherstripping	2.5													
			SD-04 Samples														
			Factory-applied enamel finish		G ED												
		08361	SD-02 Shop Drawings														
			Doors	2.2	G RE												
			SD-03 Product Data														
			Doors	2.2	G RE												
			SD-08 Manufacturer's Instructions														
			Doors	2.2													
			SD-10 Operation and Maintenance Data														
			Doors	2.2	G RE												
		08710	SD-02 Shop Drawings														
			Hardware schedule	1.3	G RE												
			Keying system	2.3.5													
			SD-03 Product Data														
			Hardware items	2.3	G RE												
			SD-08 Manufacturer's Instructions														
			Installation	3.1													
			SD-11 Closeout Submittals														
			Key bitting	1.4													

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION Fort Peck Fish Hatchery: Intake Structure, Fort Peck, Montana						CONTRACTOR											
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(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		09900	SD-02 Shop Drawings														
			Piping identification stencil	3.6	G												
			SD-03 Product Data														
			Coating	2.1	G												
			Manufacturer's Technical Data Sheets	2.1													
			SD-04 Samples														
			Color	1.9	G ED												
			SD-07 Certificates														
			Applicator's qualifications	1.3													
			Qualification Testing	1.4.1.2	G RE												
			SD-08 Manufacturer's Instructions														
			Application instructions														
			Mixing	3.4.2													
			Manufacturer's Material Safety Data Sheets	1.7.2													
			SD-10 Operation and Maintenance Data														
			Coatings:	2.1	G RE												
		11212A	SD-02 Shop Drawings														
			Installation	3.1	G ED												
			SD-03 Product Data														
			Vertical Turbine Pumping Units	2.1.1													
			GED														
			Spare Parts		G RE												

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Fort Peck Fish Hatchery: Intake Structure, Fort Peck, Montana

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY				REMARKS			
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																		(g)
		11212A	Vertical Turbine Pump System		G ED													
			SD-06 Test Reports															
			Testing	3.3	G RE													
			SD-10 Operation and Maintenance															
			Data															
			Vertical Turbine Pumping Units	2.1.1	G ED													
		13112A	SD-02 Shop Drawings															
			Drawings		G ED													
			Contractor's Modifications	1.3.1	G ED													
			SD-03 Product Data															
			Miscellaneous Materials	2.4	G ED]													
			Equipment		G ED]													
			Spare Parts		G RE													
			SD-06 Test Reports															
			Tests and Measurements	3.5														
			Contractor's Modifications	1.3.1	G ED													
			SD-07 Certificates															
			Cathodic Protection System	1.3														
			Services of 'Corrosion Expert'	1.3.6	G ED]													
			SD-10 Operation and Maintenance															
			Data															
			Cathodic Protection System	1.3	G RE]													
			Training Course	3.6	G RE]													
		13121A	SD-02 Shop Drawings															
			Metal Building	1.3.1	G ED													
			SD-03 Product Data															

SUBMITTAL REGISTER

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CONTRACTOR

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		13121A	Manufacturer's Instructions		G RE													
			Qualifications	1.3.2	G RE													
			SD-05 Design Data															
			Structural Design	1.4.2	G ED													
			SD-07 Certificates															
			Design Criteria	1.4														
			Framing and Structural Members	2.1														
			Siding															
			Insulation	2.5														
			Warranties	1.6	G RE													
		13850A	SD-02 Shop Drawings															
			Fire Alarm Reporting System	1.4.1	G ED													
			SD-03 Product Data															
			Storage Batteries	2.2	G ED													
			Voltage Drop		G ED													
			Spare Parts	2.8.4	G RE													
			Technical Data and Computer Software	1.5	G ED													
			Training		G RE													
			Testing	3.5	G RE													
			SD-06 Test Reports															
			Testing	3.5	G RE													
			SD-07 Certificates															
			Equipment		G ED													
			Qualifications	1.3.7	G RE													

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION Fort Peck Fish Hatchery: Intake Structure, Fort Peck, Montana						CONTRACTOR											
ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY					REMARKS	
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		13850A	SD-10 Operation and Maintenance Data														
			Technical Data and Computer Software	1.5	G RE												
		14534N	SD-02 Shop Drawings														
			Monorail track beam	2.2	G ED												
			SD-05 Design Data														
			Design calculations	1.4.2	G ED												
			SD-06 Test Reports														
			Magnetic-particle inspection	2.1.3													
			Load test	3.2.2													
			SD-07 Certificates														
			Load chain capacity	1.4.1													
			Load chain capacity	2.1.5													
			SD-10 Operation and Maintenance Data														
			Monorail track beam	2.2	G RE												
			Hoist and trolley	2.1	G RE												
		15190A	SD-02 Shop Drawings														
			Gas Piping System	3.2	G RE												
			SD-03 Product Data														
			Qualifications														
			Not Used	3.15.2													
			SD-06 Test Reports														
			Pressure Tests	3.15.1	G RE												
			Test With Gas	3.15.3	G RE												

SUBMITTAL REGISTER

CONTRACT NO.

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		15200A	SD-02 Shop Drawings														
			Pipe and Equipment	3.1.1	G ED												
			SD-03 Product Data														
			Welders	1.5.2	G RE												
			Waste Water Disposal	3.14	G RE												
			Materials and Equipment		G ED												
			Installation		G ED												
			Pipe Schedule		G RE												
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INSTRUCTIONS

1. Section I will be initiated by the Contractor in the required number of copies.
2. Each transmittal shall be numbered consecutively in the space provided for "Transmittal No.". This number, in addition to the contract number, will form a serial number for identifying each submittal. For new submittals or resubmittals mark the appropriate box; on resubmittals, insert transmittal number of last submission as well as the new submittal number.
3. The "Item No." will be the same "Item No." as indicated on ENG FORM 4288-R for each entry on this form.
4. Submittals requiring expeditious handling will be submitted on a separate form.
5. Separate transmittal form will be used for submittals under separate sections of the specifications.
6. A check shall be placed in the "Variation" column when a submittal is not in accordance with the plans and specifications--also, a written statement to that effect shall be included in the space provided for "Remarks".
7. Form is self-transmittal, letter of transmittal is not required.
8. When a sample of material or Manufacturer's Certificate of Compliance is transmitted, indicate "Sample" or "Certificate" in column c, Section I.
9. U.S. Army Corps of Engineers approving authority will assign action codes as indicated below in space provided in Section I, column i to each item submitted. In addition they will ensure enclosures are indicated and attached to the form prior to return to the contractor. The Contractor will assign action codes as indicated below in Section I, column g, to each item submitted.

THE FOLLOWING ACTION CODES ARE GIVEN TO ITEMS SUBMITTED

A	--	Approved as submitted.	E	--	Disapproved (See attached).
B	--	Approved, except as noted on drawings.	F	--	Receipt acknowledged.
C	--	Approved, except as noted on drawings. Refer to attached sheet resubmission required.	FX	--	Receipt acknowledged, does not comply as noted with contract requirements.
D	--	Will be returned by separate correspondence.	G	--	Other (<i>Specify</i>)

10. Approval of items does not relieve the contractor from complying with all the requirements of the contact plans and specifications.

(Reverse of ENG Form 4025-R)

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SECTION 01355

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SECTION 01355

ENVIRONMENTAL PROTECTION
10/00

PART 1 GENERAL

Attachment: State of Montana Department of Environmental Quality, Authorization to Discharge Under the Montana Pollutant Discharge Elimination System. Permit number MTR100000.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

CODE OF FEDERAL REGULATIONS (CFR)

33 CFR 328	Definitions
40 CFR 68	Chemical Accident Prevention Provisions
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 279	Standards for the Management of Used Oil
40 CFR 302	Designation, Reportable Quantities, and Notification
40 CFR 355	Emergency Planning and Notification
49 CFR 171 - 178	Hazardous Materials Regulations

ENGINEERING MANUALS (EM)

EM 385-1-1	(1996) U.S. Army Corps of Engineers Safety and Health Requirements Manual
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US ARMY CORPS OF ENGINEERS TECHNICAL REPORT

WETLAND MANUAL	Corps of Engineers Wetlands Delineation Manual Technical Report Y-87-1
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1.2 DEFINITIONS

1.2.1 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally and/or historically.

1.2.2 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.3 Contractor Generated Hazardous Waste

Contractor generated hazardous waste means materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e. methyl ethyl ketone, toluene etc.), waste thinners, excess paints, excess solvents, waste solvents, and excess pesticides, and contaminated pesticide equipment rinse water.

1.2.4 Land Application for Discharge Water

The term "Land Application" for discharge water implies that the Contractor shall discharge water at a rate which allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" shall occur. Land Application shall be in compliance with all applicable Federal, State, and local laws and regulations.

1.2.5 Pesticide

Pesticide is defined as any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest, or intended for use as a plant regulator, defoliant or desiccant.

1.2.6 Pests

The term "pests" means arthropods, birds, rodents, nematodes, fungi, bacteria, viruses, algae, snails, marine borers, snakes, weeds and other organisms (except for human or animal disease-causing organisms) that adversely affect readiness, military operations, or the well-being of personnel and animals; attack or damage real property, supplies, equipment, or vegetation; or are otherwise undesirable.

1.2.7 Surface Discharge

The term "Surface Discharge" implies that the water is discharged with possible sheeting action and subsequent soil erosion may occur. Waters that are surface discharged may terminate in drainage ditches, storm sewers, creeks, and/or "waters of the United States" and would require a permit to discharge water from the governing agency.

1.2.8 Waters of the United States

All waters which are under the jurisdiction of the Clean Water Act, as defined in 33 CFR 328.

1.2.9 Wetlands

Wetlands means those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, and bogs. Official determination of whether or not an area is classified as a wetland must be done in accordance with WETLAND MANUAL.

1.3 GENERAL REQUIREMENTS

The Contractor shall minimize environmental pollution and damage that may occur as the result of construction operations. The environmental resources within the project boundaries and those affected outside the limits of permanent work shall be protected during the entire duration of this contract. The Contractor shall comply with all applicable environmental Federal, State, and local laws and regulations. The Contractor shall be responsible for any delays resulting from failure to comply with environmental laws and regulations.

1.4 SUBCONTRACTORS

The Contractor shall ensure compliance with this section by subcontractors.

1.5 PAYMENT

No separate payment will be made for work covered under this section. The Contractor shall be responsible for payment of fees associated with environmental permits, application, and/or notices obtained by the Contractor. All costs associated with this section shall be included in the contract price. The Contractor shall be responsible for payment of all fines/fees for violation or non-compliance with Federal, State, Regional and local laws and regulations.

1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Environmental Protection Plan; G-ED

The environmental protection plan.

1.7 ENVIRONMENTAL PROTECTION PLAN

Prior to commencing construction activities or delivery of materials to the site, the Contractor shall submit an Environmental Protection Plan for review and approval by the Contracting Officer. The purpose of the

Environmental Protection Plan is to present a comprehensive overview of known or potential environmental issues which the Contractor must address during construction. Issues of concern shall be defined within the Environmental Protection Plan as outlined in this section. The Contractor shall address each topic at a level of detail commensurate with the environmental issue and required construction task(s). Topics or issues which are not identified in this section, but which the Contractor considers necessary, shall be identified and discussed after those items formally identified in this section. Prior to submittal of the Environmental Protection Plan, the Contractor shall meet with the Contracting Officer for the purpose of discussing the implementation of the initial Environmental Protection Plan; possible subsequent additions and revisions to the plan including any reporting requirements; and methods for administration of the Contractor's Environmental Plans. The Environmental Protection Plan shall be current and maintained onsite by the Contractor.

1.7.1 Compliance

No requirement in this Section shall be construed as relieving the Contractor of any applicable Federal, State, and local environmental protection laws and regulations. During Construction, the Contractor shall be responsible for identifying, implementing, and submitting for approval any additional requirements to be included in the Environmental Protection Plan.

1.7.2 Contents

The environmental protection plan shall include, but shall not be limited to, the following:

- a. Name(s) of person(s) within the Contractor's organization who is(are) responsible for ensuring adherence to the Environmental Protection Plan.
- b. Name(s) and qualifications of person(s) responsible for manifesting hazardous waste to be removed from the site, if applicable.
- c. Name(s) and qualifications of person(s) responsible for training the Contractor's environmental protection personnel.
- d. Description of the Contractor's environmental protection personnel training program.
- e. An erosion control plan which identifies the type and location of the erosion and sediment controls to be provided in Specification Section 01569 Montana MPDES Permit Requirements for Storm Water Discharge from Construction Sites..
- f. Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, material storage areas, structures, sanitary facilities, and stockpiles of excess or spoil materials including methods to control runoff and to contain materials on the site.
- g. Traffic control plans including measures to reduce erosion of temporary roadbeds by construction traffic, especially during wet weather. Plan shall include measures to minimize the amount of mud transported onto paved public roads by vehicles or runoff.

h. Work area plan showing the proposed activity in each portion of the area and identifying the areas of limited use or nonuse. Plan should include measures for marking the limits of use areas including methods for protection of features to be preserved within authorized work areas.

i. The Spill Control plan shall include the procedures, instructions, and reports to be used in the event of an unforeseen spill of a substance regulated by 40 CFR 68, 40 CFR 302, 40 CFR 355, and/or regulated under State or Local laws and regulations. The Spill Control Plan supplements the requirements of EM 385-1-1. This plan shall include as a minimum:

1. The name of the individual who will report any spills or hazardous substance releases and who will follow up with complete documentation. This individual shall immediately notify the Contracting Officer and the local Fire Department in addition to the legally required Federal, State, and local reporting channels (including the National Response Center 1-800-424-8802) if a reportable quantity is released to the environment. The plan shall contain a list of the required reporting channels and telephone numbers.

2. The name and qualifications of the individual who will be responsible for implementing and supervising the containment and cleanup.

3. Training requirements for Contractor's personnel and methods of accomplishing the training.

4. A list of materials and equipment to be immediately available at the job site, tailored to cleanup work of the potential hazard(s) identified.

5. The names and locations of suppliers of containment materials and locations of additional fuel oil recovery, cleanup, restoration, and material-placement equipment available in case of an unforeseen spill emergency.

6. The methods and procedures to be used for expeditious contaminant cleanup.

j. A non-hazardous solid waste disposal plan identifying methods and locations for solid waste disposal including clearing debris. The plan shall include schedules for disposal. The Contractor shall identify any subcontractors responsible for the transportation and disposal of solid waste. Licenses or permits shall be submitted for solid waste disposal sites that are not a commercial operating facility. Evidence of the disposal facility's acceptance of the solid waste shall be attached to this plan during the construction.

k. A recycling and solid waste minimization plan with a list of measures to reduce consumption of energy and natural resources. The plan shall detail the Contractor's actions to comply with and to participate in Federal, State, Regional, and local government sponsored recycling programs to reduce the volume of solid waste at the source.

- l. An air pollution control plan detailing provisions to assure that dust, debris, materials, trash, etc., do not become air borne and travel off the project site.

m. A contaminant prevention plan that: identifies potentially hazardous substances to be used on the job site; identifies the intended actions to prevent introduction of such materials into the air, water, or ground; and details provisions for compliance with Federal, State, and local laws and regulations for storage and handling of these materials. In accordance with EM 385-1-1, a copy of the Material Safety Data Sheets (MSDS) and the maximum quantity of each hazardous material to be on site at any given time shall be included in the contaminant prevention plan. As new hazardous materials are brought on site or removed from the site, the plan shall be updated.

n. A waste water management plan that identifies the methods and procedures for management and/or discharge of waste waters which are directly derived from construction activities, such as concrete curing water, clean-up water, dewatering of ground water, disinfection water, hydrostatic test water, and water used in flushing of lines. If a settling/retention pond is required, the plan shall include the design of the pond including drawings, removal plan, and testing requirements for possible pollutants. If land application will be the method of disposal for the waste water, the plan shall include a sketch showing the location for land application along with a description of the pretreatment methods to be implemented. If surface discharge will be the method of disposal, a copy of the permit and associated documents shall be included as an attachment prior to discharging the waste water.

o. A historical, archaeological, cultural resources biological resources and wetlands plan that defines procedures for identifying and protecting historical, archaeological, cultural resources, biological resources and wetlands known to be on the project site: and/or identifies procedures to be followed if historical archaeological, cultural resources, biological resources and wetlands not previously known to be onsite or in the area are discovered during construction. The plan shall include methods to assure the protection of known or discovered resources and shall identify lines of communication between Contractor personnel and the Contracting Officer.

p. If applicable, a pesticide treatment plan shall be included and updated, as information becomes available. The plan shall include: sequence of treatment, dates, times, locations, pesticide trade name, EPA registration numbers, authorized uses, chemical composition, formulation, original and applied concentration, application rates of active ingredient (i.e. pounds of active ingredient applied), equipment used for application and calibration of equipment. The Contractor is responsible for Federal, State, Regional and Local pest management record keeping and reporting requirements. A copy of all reports shall be included in the Appendix to the Environmental Protection Plan.

1.7.3 Appendix

Copies of all environmental permits, permit application packages, approvals to construct, notifications, certifications, reports, and termination documents shall be attached, as an appendix, to the Environmental Protection Plan.

1.8 PROTECTION FEATURES

This paragraph supplements the Contract Clause PROTECTION OF EXISTING

VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, the Contractor and the Contracting Officer shall make a joint condition survey. Immediately following the survey, the Contractor shall prepare a brief report including a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. This survey report shall be signed by both the Contractor and the Contracting Officer upon mutual agreement as to its accuracy and completeness. The Contractor shall protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference which their preservation may cause to the Contractor's work under the contract.

1.9 ENVIRONMENTAL ASSESSMENT OF CONTRACT DEVIATIONS

Any deviations, requested by the Contractor, from the drawings, plans and specifications which may have an environmental impact will be subject to approval by the Contracting Officer and may require an extended review, processing, and approval time. The Contracting Officer reserves the right to disapprove alternate methods, even if they are more cost effective, if the Contracting Officer determines that the proposed alternate method will have an adverse environmental impact.

1.10 NOTIFICATION

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with Federal, State or local environmental laws or regulations, permits, and other elements of the Contractor's Environmental Protection plan. The Contractor shall, after receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No time extensions shall be granted or equitable adjustments allowed to the Contractor for any such suspensions. This is in addition to any other actions the Contracting Officer may take under the contract, or in accordance with the Federal Acquisition Regulation or Federal Law.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION

3.1 ENVIRONMENTAL PERMITS AND COMMITMENTS

This paragraph supplements the Contractor's responsibility under the contract clause "PERMITS AND RESPONSIBILITIES" to the extent that the Government has obtained or is in the process of obtaining the permit/certifications.

- a. Department of the Army Section 404 Authorization/Permit
- b. State of Montana Section 401 Water Quality Certification

If during construction, a modification to the design of the permitted/approval system is required, the Contractor shall be responsible for submitting the modified documents to the Contracting Officer for

submittal to the governing agency. The Contractor shall not implement the modification until approval has been received from the Contracting Officer.

The Contractor shall be responsible for all other environmental permits and/or approvals required by Federal, State, and local laws and regulations. See Section 01569 (MONTANA) NPDES PERMIT REQUIREMENTS FOR STORM WATER DISCHARGES FROM CONSTRUCTION SITES.

3.2 LAND RESOURCES

The Contractor shall confine all activities to areas defined by the drawings and specifications. Prior to the beginning of any construction, the Contractor shall identify any land resources to be preserved within the work area. Except in areas indicated on the drawings or specified to be cleared, the Contractor shall not remove, cut, deface, injure, or destroy land resources including trees, shrubs, vines, grasses, topsoil, and land forms without approval. No ropes, cables, or guys shall be fastened to or attached to any trees for anchorage unless specifically authorized. The Contractor shall provide effective protection for land and vegetation resources at all times as defined in the following subparagraphs. Stone, soil, or other materials displaced into uncleared areas shall be removed by the Contractor.

3.2.1 Work Area Limits

Prior to commencing construction activities, the Contractor shall mark the areas that need not be disturbed under this contract. Isolated areas within the general work area which are not to be disturbed shall be marked or fenced. Monuments and markers shall be protected before construction operations commence. Where construction operations are to be conducted during darkness, any markers shall be visible in the dark. The Contractor's personnel shall be knowledgeable of the purpose for marking and/or protecting particular objects.

3.2.2 Landscape

Trees, shrubs, vines, grasses, land forms and other landscape features indicated and defined on the drawings to be preserved shall be clearly identified by marking, fencing, or wrapping with boards, or any other approved techniques. The Contractor shall restore landscape features damaged or destroyed during construction operations outside the limits of the approved work area.

3.2.3 Erosion and Sediment Controls

The Contractor shall be responsible for providing erosion and sediment control measures in accordance with Federal, State, and local laws and regulations. The erosion and sediment controls selected and maintained by the Contractor shall be such that water quality standards are not violated as a result of the Contractor's construction activities. The area of bare soil exposed at any one time by construction operations should be kept to a minimum. The Contractor shall construct or install temporary and permanent erosion and sediment control best management practices (BMPs) as specified in Section 01356 STORM WATER POLLUTION PREVENTION MEASURES. BMPs may include, but not be limited to, vegetation cover, stream bank stabilization, slope stabilization, silt fences, construction of terraces, interceptor channels, sediment traps, inlet and outfall protection, diversion channels, and sedimentation basins. Any temporary measures shall

be removed after the area has been stabilized.

3.2.4 Contractor Facilities and Work Areas

The Contractor's field offices, staging areas, stockpile storage, and temporary buildings shall be placed in areas designated on the drawings or as directed by the Contracting Officer. Temporary movement or relocation of Contractor facilities shall be made only when approved. Erosion and sediment controls shall be provided for on-site borrow and spoil areas to prevent sediment from entering nearby waters. Temporary excavation and embankments for plant and/or work areas shall be controlled to protect adjacent areas.

3.3 WATER RESOURCES

The Contractor shall monitor construction activities to prevent pollution of surface and ground waters. Toxic or hazardous chemicals shall not be applied to soil or vegetation unless otherwise indicated. All water areas affected by construction activities shall be monitored by the Contractor. For construction activities immediately adjacent to impaired surface waters, the Contractor shall be capable of quantifying sediment or pollutant loading to that surface water when required by State or Federally issued Clean Water Act permits.

3.3.1 Wetlands

The Contractor shall not enter, disturb, destroy, or allow discharge of contaminants into any wetlands, except as authorized herein. Authorization to enter specific wetlands identified on the drawings shall not relieve the Contractor from any obligation to protect other wetlands within, adjacent to, or in the vicinity of the construction site and associated boundaries.

3.4 AIR RESOURCES

Equipment operation, activities, or processes performed by the Contractor shall be in accordance with all Federal and State air emission and performance laws and standards.

3.4.1 Particulates

Dust particles; aerosols and gaseous by-products from construction activities; and processing and preparation of materials, such as from asphaltic batch plants; shall be controlled at all times, including weekends, holidays and hours when work is not in progress. The Contractor shall maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates which would cause the Federal, State, and local air pollution standards to be exceeded or which would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp at all times. The Contractor must have sufficient, competent equipment available to accomplish these tasks. Particulate control shall be performed as the work proceeds and whenever a particulate nuisance or hazard occurs. The Contractor shall comply with all State and local visibility regulations.

3.4.2 Odors

Odors from construction activities shall be controlled at all times. The odors shall not cause a health hazard and shall be in compliance with State regulations and/or local ordinances.

3.4.3 Sound Intrusions

The Contractor shall keep construction activities under surveillance and control to minimize environment damage by noise. The Contractor shall comply with the provisions of the State.

3.4.4 Burning

Burning will not be allowed on the project site unless specified in other sections of the specifications or authorized in writing by the Contracting Officer. The specific time, location, and manner of burning shall be subject to approval.

3.5 CHEMICAL MATERIALS MANAGEMENT AND WASTE DISPOSAL

Disposal of wastes shall be as directed below, unless otherwise specified in other sections and/or shown on the drawings.

3.5.1 Solid Wastes

Solid wastes (excluding clearing debris) shall be placed in containers which are emptied on a regular schedule. Handling, storage, and disposal shall be conducted to prevent contamination. Segregation measures shall be employed so that no hazardous or toxic waste will become co-mingled with solid waste. The Contractor shall transport solid waste off Government property and dispose of it in compliance with Federal, State, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill shall be the minimum acceptable off-site solid waste disposal option. The Contractor shall verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate.

3.5.2 Chemicals and Chemical Wastes

Chemicals shall be dispensed ensuring no spillage to the ground or water. Periodic inspections of dispensing areas to identify leakage and initiate corrective action shall be performed and documented. This documentation will be periodically reviewed by the Government. Chemical waste shall be collected in corrosion resistant, compatible containers. Collection drums shall be monitored and removed to a staging or storage area when contents are within 6 inches) of the top. Wastes shall be classified, managed, stored, and disposed of in accordance with Federal, State, and local laws and regulations.

3.5.3 Contractor Generated Hazardous Wastes/Excess Hazardous Materials

Hazardous wastes are defined in 40 CFR 261, or are as defined by applicable State and local regulations. Hazardous materials are defined in 49 CFR 171 - 178. The Contractor shall, at a minimum, manage and store hazardous waste in compliance with 40 CFR 262. The Contractor shall take sufficient measures to prevent spillage of hazardous and toxic materials during dispensing. The Contractor shall segregate hazardous waste from other materials and wastes, shall protect it from the weather by placing it in a safe covered location, and shall take precautionary measures such as berming or other appropriate measures against accidental spillage. The

Contractor shall be responsible for storage, describing, packaging, labeling, marking, and placarding of hazardous waste and hazardous material in accordance with 49 CFR 171 - 178, State, and local laws and regulations.

The Contractor shall transport Contractor generated hazardous waste off Government property within 60 days in accordance with the Environmental Protection Agency and the Department of Transportation laws and regulations. The Contractor shall dispose of hazardous waste in compliance with Federal, State and local laws and regulations. Spills of hazardous or toxic materials shall be immediately reported to the Contracting Officer. Cleanup and cleanup costs due to spills shall be the Contractor's responsibility. The disposition of Contractor generated hazardous waste and excess hazardous materials are the Contractor's responsibility.

3.5.4 Fuel and Lubricants

Storage, fueling and lubrication of equipment and motor vehicles shall be conducted in a manner that affords the maximum protection against spill and evaporation. Fuel, lubricants and oil shall be managed and stored in accordance with all Federal, State, Regional, and local laws and regulations. Used lubricants and used oil to be discarded shall be stored in marked corrosion-resistant containers and recycled or disposed in accordance with 40 CFR 279, State, and local laws and regulations. There shall be no storage of fuel on the project site. Fuel must be brought to the project site each day that work is performed.

3.5.5 Waste Water

Disposal of waste water shall be as specified below.

- a. Waste water from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, forms, etc. shall not be allowed to enter water ways or to be discharged prior to being treated to remove pollutants. The Contractor shall dispose of the construction related waste water off-Government property in accordance with all Federal, State, Regional and Local laws and regulations.
- b. For discharge of ground water, the Contractor shall surface discharge if possible in accordance with the requirements of the NPDES or State STORM WATER DISCHARGES FROM CONSTRUCTION SITES permit or land apply on the project site. Land application shall be in accordance with all Federal, State, Regional, and/or Local laws and regulations for pumping and land applying ground water. Per the State of Montana a NPDES Storm Water Discharge from Construction Sites may be required if discharge is not land applied. The fee for the State of Montana NPDES Storm Water Discharge from Construction Sites permit (Construction Dewatering) is \$300 for the application fee, and an annual fee of \$250. The Contractor shall be responsible for the applying for coverage under the permit. The Contractor shall be responsible for implementing the terms and requirements of the permit. All submissions to the State shall be by certified mail. This listing of requirements are not to be considered all-inclusive by the Contractor, but is provided as information that may be used in successfully accomplishing the environmental compliance. See Internet site <http://www.deq.state.mt.us/wqinfo/MPDES/StormwaterConstruction.asp>; Montana Department of Environmental Quality, Water Quality page.

- c. Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing shall be land applied in accordance with all Federal, State, and local laws and regulations for land application.

3.6 RECYCLING AND WASTE MINIMIZATION

The Contractor shall participate in State and local government sponsored recycling programs.

3.7 HISTORICAL, ARCHAEOLOGICAL, AND CULTURAL RESOURCES

If during excavation or other construction activities any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, all activities that may damage or alter such resources shall be temporarily suspended. Resources covered by this paragraph include but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find, the Contractor shall immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. The Contractor shall cease all activities that may result in impact to or the destruction of these resources. The Contractor shall secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources.

3.8 BIOLOGICAL RESOURCES

The Contractor shall minimize interference with, disturbance to, and damage to fish, wildlife, and plants including their habitat. The Contractor shall be responsible for the protection of threatened and endangered animal and plant species including their habitat in accordance with Federal, State, Regional, and local laws and regulations.

3.9 PREVIOUSLY USED EQUIPMENT

The Contractor shall clean all previously used construction equipment prior to bringing it onto the project site. The Contractor shall ensure that the equipment is free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. The Contractor shall consult with the USDA jurisdictional office for additional cleaning requirements.

3.10 MAINTENANCE OF POLLUTION FACILITIES

The Contractor shall maintain permanent and temporary pollution control facilities and devices for the duration of the contract or for that length of time construction activities create the particular pollutant.

3.11 TRAINING OF CONTRACTOR PERSONNEL

The Contractor's personnel shall be trained in all phases of environmental protection and pollution control. The Contractor shall conduct environmental protection/pollution control meetings for all Contractor personnel prior to commencing construction activities. Additional meetings shall be conducted for new personnel and when site conditions change. The training and meeting agenda shall include: methods of detecting and

avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, wetlands, and endangered species and their habitat that are known to be in the area.

3.12 POST CONSTRUCTION CLEANUP

The Contractor shall clean up all areas used for construction in accordance with Contract Clause: "Cleaning Up". The Contractor shall, unless otherwise instructed in writing by the Contracting Officer, obliterate all signs of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. The disturbed area shall be graded, filled and the entire area seeded unless otherwise indicated.

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SECTION 01356

STORM WATER POLLUTION PREVENTION MEASURES

11/01

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SECTION 01356

STORM WATER POLLUTION PREVENTION MEASURES
11/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 4873 (2001) Identification, Storage, and Handling of Geosynthetic Rolls and Samples

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 288 (2000) Geotextile for Highway Applications

1.2 GENERAL

The Contractor shall implement the storm water pollution prevention measures specified in this section in a manner which will meet the requirements of Section 01355 ENVIRONMENTAL PROTECTION, and the requirements of the Montana Pollution Discharge Elimination System (MPDES) permit specified in Section 01569 MONTANA NPDES PERMIT REQUIREMENTS FOR STORM WATER DISCHARGES FROM CONSTRUCTION SITES.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-07 Certificates

Mill Certificate or Affidavit

1.4 EROSION AND SEDIMENT CONTROLS

The controls and measures required by the Contractor are described in the Erosion Control Plan attached to Section 01569 NPDES PERMIT REQUIREMENTS FOR STORM WATER DISCHARGES FROM CONSTRUCTION SITES.

PART 2 PRODUCTS

2.1 COMPONENTS FOR SILT FENCES

2.1.1 Geotextile

The geotextile shall comply with the requirements of AASHTO M 288 for temporary silt fence.

2.1.2 Silt Fence Stakes and Posts

The Contractor may use either wooden stakes or steel posts for fence construction. Wooden stakes utilized for silt fence construction, shall have a minimum cross section of 2 inches by 2 inches when oak is used and 4 inches by 4 inches when pine is used, and shall have a minimum length of 3 feet. Steel posts (standard "U" or "T" section) utilized for silt fence construction, shall have a minimum weight of 1.33 pounds per linear foot and a minimum length of 5 feet.

2.1.3 Mill Certificate or Affidavit

A mill certificate or affidavit shall be provided attesting that the geotextile and factory seams meet chemical, physical, and manufacturing requirements specified above. The mill certificate or affidavit shall specify the actual Minimum Average Roll Values and shall identify the fabric supplied by roll identification numbers. The Contractor shall submit a mill certificate or affidavit signed by a legally authorized official from the company manufacturing the geotextile.

2.1.4 Identification Storage and Handling

Geotextile shall be identified, stored and handled in accordance with ASTM D 4873.

2.1.5 Support Mesh

Support mesh shall be 14-1/2 gage or heavier steel wire with a mesh spacing of 6 by 6 inch or a prefabricated polymeric mesh of equivalent strength.

2.2 Erosion Control Blankets

Erosion control blankets shall be a machine-produced mat with a biodegradable agricultural straw matrix (approximately 0.50 lb/sq yd) and photodegradable netting on each side. The blanket shall be sewn together with degradable thread. Installation staple patterns shall be clearly marked on the erosion control blanket with environmentally safe paint.

PART 3 EXECUTION

3.1 INSTALLATION OF SILT FENCES

Silt fences shall extend a minimum of 16 inches above the ground surface and shall not exceed 34 inches above the ground surface. Filter fabric shall be from a continuous roll cut to the length of the barrier to avoid the use of joints. When joints are unavoidable, filter fabric shall be spliced together at a support post, with a minimum 6 inch overlap, and securely sealed. A trench shall be excavated approximately 6 inches wide and 8 inches deep on the upslope side of the location of the silt fence. The 6-inch by 8-inch trench shall be backfilled and the soil compacted over the filter fabric. Silt fences shall be removed upon approval by the Contracting Officer.

3.2 MAINTENANCE

The Contractor shall maintain the temporary and permanent vegetation,

erosion and sediment control measures, and other protective measures in good and effective operating condition by performing routine inspections to determine condition and effectiveness, by restoration of destroyed vegetative cover, and by repair of erosion and sediment control measures and other protective measures. The following procedures shall be followed to maintain the protective measures.

3.2.1 Silt Fences

Silt fences shall be inspected in accordance with paragraph INSPECTIONS. Any required repairs shall be made promptly. Close attention shall be paid to the repair of damaged silt fence resulting from end runs and undercutting. Should the fabric on a silt fence decompose or become ineffective, and the barrier is still necessary, the fabric shall be replaced promptly. Sediment deposits shall be removed when deposits reach one-third of the height of the barrier. When a silt fence is no longer required, it shall be removed. The immediate area occupied by the fence and any sediment deposits shall be shaped to an acceptable grade. The areas disturbed by this shaping shall be seeded in accordance with Section 02921 SEEDING.

3.2.2 Storm Drain Inlet Protection

Inlet protection structures shall be inspected after each rainfall and repairs made as needed. Sediment shall be removed and the trap restored to its original dimensions when the sediment has accumulated to one half the design depth.

3.2.3 Stone Construction Entrance

Stone construction entrances shall be maintained in a condition which will prevent tracking or flow of mud onto paved roads. This may require periodic top dressing with additional stone or the washing and reworking of existing stone as conditions demand and repair and/or cleanout of any structures used to trap sediment. The use of water trucks to remove materials dropped, washed, or tracked onto roadways will not be permitted under any circumstances.

3.3 INSPECTIONS

3.3.1 General

Inspection of protective measures shall conform to the requirements in the Erosion Control Plan.

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SECTION 01400

SPECIAL SAFETY REQUIREMENTS

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SECTION 01400

SPECIAL SAFETY REQUIREMENTS
05/00 Rev 12/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

CODE OF FEDERAL REGULATIONS (CFR)

29 CFR 1926 Safety and Health Regulations for Construction

ENGINEERING MANUALS (EM)

EM 385-1-1 (1996 and Changes) Safety and Health Requirements Manual

1.2 SUMMARY

1.2.1 General

This section provides guidelines for preparation of accident prevention plans, and to implement the accident prevention clause (this specification) and EM 385-1-1, Safety and Health Requirements Manual. The U.S. Army Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1 is available from U.S. Government bookstores operated by the Government Printing Office and a copy is included on the CD-ROM issued with this solicitation. Changes to EM 385-1-1 applicable to this contract include only those revisions posted at the following website (all revisions up to the time this solicitation is issued):

http://www.hq.usace.army.mil/soh/hqusace_soh.htm ("Changes to EM"). U.S. Government bookstores are located in most major cities including Milwaukee, Chicago, Kansas City, Denver, and Pueblo, Colorado.

1.3 PRECONSTRUCTION CONFERENCE

See Contract Clause "PRECONSTRUCTION CONFERENCE". A preconstruction conference will be scheduled prior to beginning of site work. Requirements relative to planning and administration of the overall safety program will be discussed.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan; G-RE

The written site-specific Accident Prevention Plan.

SD-07 Certificates

Qualifications; G-RE.

A written report providing evidence of qualifications for personnel, facilities and equipment assigned to the work.

1.5 ACCIDENT PREVENTION PLAN

The Contractor shall submit, prior to the start of on site construction activity, a proposed accident prevention plan which shall be the accident prevention policy to be followed by all of the Contractor's and subcontractor's personnel and supervisory staff during performance of the work.

1.5.1 Requirements

The proposed plan shall be developed after a careful analysis of the work involved and shall be tailored specifically to the conditions of this project. The Contractor's accident prevention plan shall contain, as a minimum, the following general information or procedures for the activity indicated. The Contractor shall submit his plan for review and acceptance prior to commencing work.

1.5.1.1 Responsible Individual(s)

The Contractor shall designate an onsite employee as the individual responsible for insuring the accident prevention plan is implemented and enforced.

1.5.1.2 Subcontractor Supervision

Explain procedures to assure that subcontractor(s) fully comply with the accident prevention plan.

1.5.1.3 Indoctrination of New Employees

The plan shall include provisions for advising workers of the purpose of the accident prevention plan, specific hazards on the job and precautions to be taken, emergency procedures, information concerning tool box safety meetings, required protective equipment, cleanup rules and location of company safety rules (posting or handout).

1.5.1.4 Tool Box Safety Meetings

Hold weekly "Tool Box" safety meetings. Timely safety subjects shall be determined by a responsible individual. Employees will be informed of time, location, who will conduct, and subject. Identify procedures for including subcontractors. The Contractor shall provide a copy of the Weekly Tool Box Meeting and Monthly Supervisor's Safety Meeting to the Contracting Officer.

1.5.1.5 Fire Prevention and Protection

Identify source of fire protection. Insure adequate fire extinguishers, water barrels, or other fire-fighting equipment is located on site. Explain prevention activities to include storage areas and special hazards such as welding and use of flammable liquids, and other special hazards.

1.5.1.6 Housekeeping

Daily cleanup of all debris and waste materials is required. Adequate disposal containers should be placed strategically around the site. Debris shall be removed on a regular basis. Explain procedures that include use of barrels, dumpsters, trash chutes, etc.

1.5.1.7 Mechanical Equipment Inspection

All mechanical equipment (trucks, cranes, forklifts, backhoes, graders, etc.) shall be inspected prior to use and at fixed intervals throughout the life of the contract. Explain how inspections will be accomplished (frequency, by whom, and records to be kept).

1.5.1.8 First Aid and Medical Facilities

First aid facilities shall be made available on the job site. Arrangements for emergency medical attention shall be made prior to start of work. All emergency numbers (doctor, hospital, ambulance, fire department) shall be posted at the project superintendent's office.

1.5.1.9 Sanitation

Include provisions for toilet facilities, drinking water and washing facilities. A sufficient number of toilet facilities as specified in EM 385-1-1 shall be provided unless permission is granted to use existing facilities (portable chemical are authorized). Insure safe drinking water and individual cups are available. For the projects where corrosive or toxic materials are used, separate washing facilities are required.

1.5.1.10 Safety Promotions

The Contractor shall promote accident prevention. Identify method (posters, awards etc.).

1.5.1.11 Accident Reporting

All accidents (employee injuries, vehicle, building, or equipment damage etc.) regardless of their severity, shall be reported to the onsite government representative or to the area engineer, who in turn will advise the Contractor of forms to be submitted and timeframes.

1.5.1.12 Job Hazard Analysis

When job situations change and it is necessary to alter safety requirements, a Job Hazard Analysis will be accomplished, documented, and added as an addendum to the Accident Prevention Plan. Each Job Hazard Analysis shall include, but not be limited to, a description of the work, probable hazards related to that work and positive precautionary measures to be taken to reduce or eliminate each hazard. An example of changing situations may be new subcontractors performing work such as earth moving, trenching, concrete work, roofing, electrical, masonry etc. The onsite government representative will determine the format and amount of detail required of the written plan.

1.6 EXCAVATION AND TRENCHING

The standards for excavation and trenching are outlined in 29 CFR 1926, Subpart P. These standards shall be followed in addition to those outlined in EM 385-1-1.

PART 2 PRODUCTS (NOT APPLICABLE)

PART 3 EXECUTION (NOT APPLICABLE)

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SECTION 01451

CONTRACTOR QUALITY CONTROL

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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 3740 (2001) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction

ASTM E 329 (2000b) Agencies Engaged in the Testing and/or Inspection of Materials Used in Construction

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program, and all costs associated therewith shall be included in the applicable unit prices or lump-sum prices contained in the Bidding Schedule.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 GENERAL

The Contractor is responsible for quality control and shall establish and maintain an effective quality control system in compliance with the Contract Clause titled "Inspection of Construction." The quality control system shall consist of plans, procedures, and organization necessary to produce an end product which complies with the contract requirements. The system shall cover all construction operations, both onsite and offsite, and shall be keyed to the proposed construction sequence. The site project superintendent will be held responsible for the quality of work on the job and is subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the contract. The site project superintendent in this context shall be the highest level manager responsible for the overall construction activities at the site, including quality and production. The site project superintendent shall maintain a physical presence at the site at all times, except as otherwise acceptable to the Contracting Officer, and shall be responsible for all construction and construction related activities at the site.

3.2 QUALITY CONTROL PLAN

3.2.1 General

The Contractor shall furnish for review by the Government, not later than 10 days after receipt of notice to proceed, the Contractor Quality Control (CQC) Plan proposed to implement the requirements of the Contract Clause titled "Inspection of Construction." The plan shall identify personnel, procedures, control, instructions, test, records, and forms to be used. The Government will consider an interim plan for the first 30 days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the features of work included in an accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional features of work to be started.

3.2.2 Content of the CQC Plan

The CQC Plan shall include, as a minimum, the following to cover all construction operations, both onsite and offsite, including work by subcontractors, fabricators, suppliers, and purchasing agents:

- a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff shall implement the three phase control system for all aspects of the work specified. The staff shall include a CQC System Manager who shall report to the project superintendent.
- b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.
- c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the contract. The CQC System Manager shall issue letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities. Copies of these letters shall also be furnished to the Government.
- d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. These procedures shall be in accordance with Section 01330 SUBMITTAL PROCEDURES.
- e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities will be approved by the Contracting Officer.)
- f. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.
- g. Procedures for tracking construction deficiencies from identification through acceptable corrective action. These procedures shall establish verification that identified deficiencies have been corrected.

h. Reporting procedures, including proposed reporting formats.

i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and may be identified by different trades or disciplines, or it may be work by the same trade in a different environment. Although each section of the specifications may generally be considered as a definable feature of work, there is frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.

3.2.3 Acceptance of Plan

Acceptance of the Contractor's plan is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes in his CQC Plan and operations including removal of personnel, as necessary, to obtain the quality specified.

3.2.4 Notification of Changes

After acceptance of the CQC Plan, the Contractor shall notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

3.3 COORDINATION MEETING

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the CQC Plan, the Contractor shall meet with the Contracting Officer or Authorized Representative and discuss the Contractor's quality control system. The CQC Plan shall be submitted for review a minimum of 10 calendar days prior to the Coordination Meeting.

During the meeting, a mutual understanding of the system details shall be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting shall be prepared by the Government and signed by both the Contractor and the Contracting Officer. The minutes shall become a part of the contract file. There may be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings and/or address deficiencies in the CQC system or procedures which may require corrective action by the Contractor.

3.4 QUALITY CONTROL ORGANIZATION

3.4.1 General

The requirements for the CQC organization are a CQC System Manager and sufficient number of additional qualified personnel to ensure safety and contract compliance. The Safety and Health Manager shall receive direction and authority from the CQC System Manager and shall serve as a member of the CQC staff. Personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly will also be included as part of the CQC organization. The Contractor's CQC staff shall maintain a presence at the site at all times during progress of the work and have complete authority and responsibility

to take any action necessary to ensure contract compliance. The CQC staff shall be subject to acceptance by the Contracting Officer. The Contractor shall provide adequate office space, filing systems and other resources as necessary to maintain an effective and fully functional CQC organization. Complete records of all letters, material submittals, show drawing submittals, schedules and all other project documentation shall be promptly furnished to the CQC organization by the Contractor. The CQC organization shall be responsible to maintain these documents and records at the site at all times, except as otherwise acceptable to the Contracting Officer.

3.4.2 CQC System Manager

The Contractor shall identify as CQC System Manager an individual within the onsite work organization who shall be responsible for overall management of CQC and have the authority to act in all CQC matters for the Contractor. The CQC System Manager shall be a construction person with a minimum of 5 years in related work. This CQC System Manager shall be on the site at all times during construction and shall be employed by the prime Contractor. The CQC System Manager shall be assigned no other duties. An alternate for the CQC System Manager shall be identified in the plan to serve in the event of the System Manager's absence. The requirements for the alternate shall be the same as for the designated CQC System Manager.

3.4.3 CQC Personnel

A staff shall be maintained under the direction of the CQC system manager to perform all QC activities. The staff must be of sufficient size to ensure adequate QC coverage of all work phases, work shifts, and work crews involved in the construction. These personnel may perform other duties, but must be fully qualified by experience and technical training to perform their assigned QC responsibilities and must be allowed sufficient time to carry out these responsibilities. The QC plan will clearly state the duties and responsibilities of each staff member.

3.4.4 Additional Requirement

In addition to the above experience and education requirements the CQC System Manager shall have completed the course entitled "Construction Quality Management For Contractors" within the past five years and be in possession of the resulting certificate of instruction. If the individual designated as CQC System Manager does not currently meet this training requirement, the training must be accomplished within 60 days of the appointment to CQC System Manager. This course is periodically offered at each of the four area offices in the Omaha District according to the following revolving training schedule:

<u>Badger Area</u>	First Session	Between 15 & 25 April
	Second Session	Between 15 & 25 October
Point of Contact	Roy Brewer	(608) 388-4780
<u>Black Hills Area</u>	First Session	Between 1 & 10 March
	Second Session	Between 1 & 10 September
Point of Contact	Dwight Pochant	(605) 923-2983
<u>Fort Crook Area</u>	First Session	Between 15 & 25 January
	Second Session	Between 15 & 25 July
Point of Contact	Al Kreisler	(402) 293-2540
<u>Rocky Mountain</u>	First Session	Between 1 & 10 June

	Second Session	Between 1 & 10 December
Point of Contact	Paul Jendzejec	(719) 556-4184

The exact date and location for the sessions will be determined approximately 30 days in advance of the training. The cost of training is presently established at \$25 to be paid by each student in advance of the training. For information about a particular session, the best source is the point of contact listed above..

3.4.5 Organizational Changes

The Contractor shall maintain the CQC staff at full strength at all times. When it is necessary to make changes to the CQC staff, the Contractor shall revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

3.5 SUBMITTALS AND DELIVERABLES

Submittals shall be made as specified in Section 01330 SUBMITTAL PROCEDURES. The CQC organization shall be responsible for certifying that all submittals and deliverables are in compliance with the contract requirements. When Section 15950A HEATING, VENTILATING AND AIR CONDITIONING (HVAC) CONTROL SYSTEMS; 15951A DIRECT DIGITAL CONTROL FOR HVAC; 15990A TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS; or 15995A COMMISSIONING OF HVAC SYSTEMS are included in the contract, the submittals required by those sections shall be coordinated with Section 01330 SUBMITTAL PROCEDURES to ensure adequate time is allowed for each type of submittal required.

3.6 CONTROL

Contractor Quality Control is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control shall be conducted by the CQC System Manager for each definable feature of work as follows:

3.6.1 Preparatory Phase

This phase shall be performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase shall include:

- a. A review of each paragraph of applicable specifications.
- b. A review of the contract drawings.
- c. A check to assure that all materials and/or equipment have been tested, submitted, and approved.
- d. Review of provisions that have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the contract.
- f. A physical examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop

drawings or submitted data, and are properly stored.

g. A review of the appropriate activity hazard analysis to assure safety requirements are met.

h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.

i. A check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.

j. Discussion of the initial control phase.

k. The Government shall be notified at least 48 hours in advance of beginning the preparatory control phase. This phase shall include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. The results of the preparatory phase actions shall be documented by separate minutes prepared by the CQC System Manager and attached to the daily CQC report. The Contractor shall instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

l. Prior to the preparatory meeting for each definable feature of work, the Contractor shall provide all technical references (i.e. building codes, life safety codes, etc.) referenced in the project specifications for feature(s) of work being addressed at the preparatory meeting. These technical references shall be onsite and available for use by Contractor and Government personnel before the preparatory meeting is held and maintained until the feature(s) of work is/are accepted by the Government.

3.6.2 Initial Phase

This phase shall be accomplished at the beginning of a definable feature of work. The following shall be accomplished:

a. A check of work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.

b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing.

c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

d. Resolve all differences.

e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.

f. The Government shall be notified at least 48 hours in advance of beginning the initial phase. Separate minutes of this phase shall be prepared by the CQC System Manager and attached to the daily CQC report. Exact location of initial phase shall be indicated for future reference and comparison with follow-up phases.

g. The initial phase should be repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

3.6.3 Follow-up Phase

Daily checks shall be performed to assure control activities, including control testing, are providing continued compliance with contract requirements, until completion of the particular feature of work. The checks shall be made a matter of record in the CQC documentation. Final follow-up checks shall be conducted and all deficiencies corrected prior to the start of additional features of work which may be affected by the deficient work. The Contractor shall not build upon nor conceal non-conforming work.

3.6.4 Additional Preparatory and Initial Phases

Additional preparatory and initial phases shall be conducted on the same definable features of work if the quality of on-going work is unacceptable, if there are changes in the applicable CQC staff, onsite production supervision or work crew, if work on a definable feature is resumed after a substantial period of inactivity, or if other problems develop.

3.7 TESTS

3.7.1 Testing Procedure

The Contractor shall perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, the Contractor shall furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and/or acceptance tests when specified. The Contractor shall procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. The Contractor shall perform the following activities and record and provide the following data:

- a. Verify that testing procedures comply with contract requirements.
- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.
- d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Results of all tests taken, both passing and failing tests, shall be recorded on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test shall be given. If approved by the Contracting Officer, actual test reports may be submitted later with a reference to the test number and date taken. An information copy of tests performed by an offsite or commercial test facility shall be provided directly to the Contracting Officer. Failure to submit timely test reports as stated may result in nonpayment for related work performed and disapproval of the test facility for this contract.

3.7.2 Testing Laboratories

3.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel shall meet criteria detailed in ASTM D 3740 and ASTM E 329.

3.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed the actual cost for the recheck to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the contract amount due the Contractor.

3.7.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

3.7.4 Furnishing or Transportation of Samples for Testing

Costs incidental to the transportation of samples or materials shall be borne by the Contractor. Samples of materials for test verification and acceptance testing by the Government shall be delivered to the Corps of Engineers Division Laboratory, f.o.b., at the following address:

For delivery by mail: Commander and Director
U.S. Army Engineer Waterways Experiment Station
Attn: CEWES-GS
3909 Hallsferry Road
Vicksburg, Mississippi 39180-6199

For other deliveries: Commander and Director
U.S. Army Engineer Waterways Experiment Station
Attn: CEWES-GS
3909 Hallsferry Road
Vicksburg, Mississippi 39180-6199

Coordination for each specific test, exact delivery location, and dates will be made through the Area Office.

3.8 COMPLETION INSPECTION

3.8.1 Punch-Out Inspection

Near the completion of all work or any increment thereof established by a completion time stated in the Special Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the CQC System Manager shall conduct an inspection of the

work and develop a punch list of items which do not conform to the approved drawings and specifications. Such a list of deficiencies shall be included in the CQC documentation, as required by paragraph DOCUMENTATION below, and shall include the estimated date by which the deficiencies will be corrected. The CQC System Manager or staff shall make a second inspection to ascertain that all deficiencies have been corrected. Once this is accomplished, the Contractor shall notify the Government that the facility is ready for the Government Pre-Final inspection.

3.8.2 Pre-Final Inspection

The Government will perform this inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. The Contractor's CQC System Manager shall ensure that all items on this list have been corrected before notifying the Government so that a Final inspection with the customer can be scheduled. Any items noted on the Pre-Final inspection shall be corrected in a timely manner. These inspections and any deficiency corrections required by this paragraph shall be accomplished within the time slated for completion of the entire work or any particular increment thereof if the project is divided into increments by separate completion dates.

3.8.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative shall be in attendance at this inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands may also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notice shall be given to the Contracting Officer at least 14 days prior to the final acceptance inspection and shall include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the contract clause titled "Inspection of Construction".

3.9 DOCUMENTATION

The Contractor shall maintain current records providing factual evidence that required quality control activities and/or tests have been performed. These records shall include the work of subcontractors and suppliers and shall be on an acceptable form that includes, as a minimum, the following information:

- a. Contractor/subcontractor and their area of responsibility.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.

d. Test and/or control activities performed with results and references to specifications/drawings requirements. The control phase should be identified (Preparatory, Initial, Follow-up). List deficiencies noted along with corrective action.

e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.

f. Submittals reviewed, with contract reference, by whom, and action taken.

g. Off-site surveillance activities, including actions taken.

h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

i. Instructions given/received and conflicts in plans and/or specifications.

j. Contractor's verification statement.

These records shall indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. These records shall cover both conforming and deficient features and shall include a statement that equipment and materials incorporated in the work and workmanship comply with the contract. The original and one copy of these records in report form shall be furnished to the Contracting Officer's Representative on the first day following the date(s) covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, one report shall be prepared and submitted for every 7 days of no work and on the last day of a no work period. All calendar days shall be accounted for throughout the life of the contract. The first report following a day of no work shall be for that day only. Reports shall be signed and dated by the CQC System Manager. The report from the CQC System Manager shall include copies of test reports and copies of reports prepared by all subordinate quality control personnel.

3.10 SAMPLE FORMS

Sample forms enclosed at the end of this section.

3.11 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. The Contractor shall take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders shall be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

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SECTION 01569

MONTANA MPDES PERMIT REQUIREMENTS FOR STORM WATER DISCHARGES FROM
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04/01

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SECTION 01569

MONTANA MPDES PERMIT REQUIREMENTS FOR STORM WATER DISCHARGES FROM
CONSTRUCTION SITES

04/01

Attachments: General Discharge Permit For Storm Water Associated
With Construction Activities
Discharge Application
Erosion Control Plan
Notice of Termination
Vegetation Stabilization Criteria For Stormwater
Site Inspection Report Form

PART 1 GENERAL

1.1 REFERENCES (Not Applicable)

1.2 SUBMITTALS (Not Applicable)

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 GENERAL

The Contractor shall be responsible for implementing the terms and requirements of the attached General Discharge Permit For Storm Water Associated With Construction Activity (Permit No. MTR100000). The Government and the Contractor shall be considered co-permittees. The Government has operational control over construction plans and specifications, including the ability to make modifications to those plans and specifications. The Contractor shall have day-to-day operational control of those activities which are necessary to ensure compliance with the requirements specified herein. The Contractor shall be responsible for all submissions to the Montana Department of Environmental Quality and shall retain the official copy of all documents pertaining to compliance with the permit during construction. The Contractor shall provide the Government a copy of all submissions to the State. All submissions to the State shall be by certified mail. Copies of the return receipt for each submission shall be included with the submittal to the Contracting Officer's Representative. The Contractor shall be responsible for payment of all fees to the State.

3.2 IMPLEMENTATION

3.2.1 Discharge Application

The Contractor shall complete and sign the MPDES Application For Authorization To Discharge Under The General Permit For Storm Water Associated With Construction Activity in accordance with MPDES Permit No. MTR100000. The Contractor's Discharge Application shall be furnished to the Contracting Officer within 10 calendar days of Notice of Award. The Government shall sign and return the Discharge Application within 14 days after receipt by the Contracting Officer. The Contractor shall submit the

Contractor's and Government's Discharge Application's to the State. The Contractor shall not submit the Discharge Application to the State until the Erosion Control Plan has been accepted and signed by the Government. The Contractor may not begin land disturbance activities until authorized by the State and the Contracting Officer.

3.2.2 Erosion Control Plan

3.2.2.1 General

The Contractor shall review the attached Erosion Control Plan. Within 10 calendar days of Notice of Award, the Contractor shall complete the following portions of the plan:

Contractor Name & Address (A.4.b.)

Timetable for major construction and earth disturbance activities (II.C.2.a.(1))

Waste Management (Part II.C.5)

The updated Erosion Control Plan shall be furnished to the Contracting Officer for review and acceptance. The Government shall sign and return the Erosion Control Plan within 14 days after receipt by the Contracting Officer. The Contractor shall include appropriate controls and measures for any off-site support activities covered under Part I.B.2 of the permit.

The Erosion Control Plan shall describe the nature and location of the activity and a location map and site map shall be included in accordance with the permit. Off-site support activities (concrete or asphalt batch plants, equipment staging yards, material storage areas, borrow and waste areas, etc.) must only be included in the plan if they are not commercial operations serving multiple unrelated construction projects. The Contractor shall be responsible for implementing, maintaining and updating the Erosion Control Plan (including maps or drawings) during construction. Unless otherwise indicated, the Contractor shall be responsible for implementing all measures described in the Erosion Control Plan. The Contractor shall keep the official plan at the site. The Erosion Control Plan shall be signed by the Government and the Contractor. If major changes to the Erosion Control Plan are required during construction, the Erosion Control Plan shall be recertified by the Government and the Contractor.

3.2.2.2 Acceptance of Erosion Control Plan

Acceptance of the Erosion Control Plan is required prior to submission to the State and start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes to the Erosion Control Plan if the Contracting Officer determines that environmental protection requirements are not being met.

3.2.2.3 Notification of Changes

After acceptance of the Erosion Control Plan, the Contractor shall notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

3.2.3 Posting Notice

The Contractor shall post the authorization letter; a local contact

telephone number/address for public access to view the erosion control plan; and a brief description of the project at the construction site in a prominent place for public viewing in accordance with Part I.C.5 of the general permit.

3.2.4 Monitoring, Recording and Reporting

The Contractor shall be responsible for all monitoring, recording and reporting specified in the general permit. Copies of all inspection records shall be furnished to the Contracting Officer no more than 2 days after each inspection. The Contractor shall notify the Contracting Officer within 24 hours if an inspection identifies any incidents of non-compliance with the Erosion Control Plan and the general permit.

3.2.5 Maintenance

The Contractor shall be responsible for maintaining all erosion and sediment control measures and other protective measures identified in the Erosion Control Plan in an effective operating condition. The Government reserves the right to require the Contractor to perform maintenance on erosion and sediment control measures and other protective measures if the Contracting Officer determines that environmental protection requirements are not being met.

3.2.6 Notice of Termination

The Contractor shall notify the Contracting Officer within 24 hours after final stabilization on all portions of the site has been achieved in accordance with Part IV.S. of the permit. The Contractor shall provide supporting documentation that the site has been adequately stabilized in accordance with the attached criteria. The Contractor shall complete and sign a Notice of Termination (NOT) in accordance with MPDES Permit No. MTR100000. The Contractor's NOT shall be furnished to the Contracting Officer within 5 calendar days after final stabilization (as defined in the permit) has been achieved on all portions of the site. The Government shall sign and return the NOT to the Contractor within 14 calendar days. The Contractor shall submit the Contractor's and Government's NOTs to the State.

3.2.7 Records Retention

The Government shall be responsible for retaining copies of all records and information resulting from the monitoring activities in accordance with Part III.D. of MPDES Permit No. MTR100000.

3.2.8 Continuation of Expired Permit

The current MPDES general permit will expire at midnight, August 31, 2002. If a new general permit is issued prior to completion of construction, the Contractor shall comply with the conditions of the new permit.

-- End of Section --

GENERAL DISCHARGE PERMIT FOR
STORM WATER ASSOCIATED WITH CONSTRUCTION ACTIVITY

MONTANA DEPARTMENT OF
ENVIRONMENTAL QUALITY

AUTHORIZATION TO DISCHARGE UNDER THE
MONTANA POLLUTANT DISCHARGE ELIMINATION SYSTEM

In compliance with Section 75-5-101 et seq., MCA, ARM 17.30.1301 et seq., and ARM 17.30.601 et seq., applicants with an authorization letter for this "Storm Water Associated with Construction Activity General Discharge Permit," are permitted to discharge storm water resulting only from construction activities, to state waters in accordance with effluent limitations, monitoring requirements and other conditions set forth in Parts I, II, III and IV hereof.

This permit shall become effective on the date of issuance.

This permit shall expire at midnight, August 31, 2002.

FOR THE MONTANA DEPARTMENT OF
ENVIRONMENTAL QUALITY

Frederick C. Shewman, P.E., Supervisor
Water Permits Section
Water Protection Bureau
Permitting & Compliance Division

Dated this 19th day of May, 1997

PREAMBLE

The purpose of this preamble is to provide the permit holder with some understanding of what is required for compliance under this permit.

The basic principle of the permit is to identify areas or activities which may contribute pollutants to surface waters and consider practical methods to reduce such pollutants from your operation. The degree of pollution control needed will vary depending on the site and the situation. For example, if you are constructing a road on perfectly flat ground in which there are no surface waters in close proximity to your activities, the potential to cause pollution of surface water is minimal and only minimal erosion controls would be expected. On the other hand, if you are constructing a highway and there are several stream drainages, wetlands, etc. in close proximity to your project, very comprehensive and complete pollution controls would be expected.

The major pollutant that will be a problem for construction sites will be sediment discharges from increased erosion. If the project will impact a “live” water body (a stream, lake, reservoir, etc. that has water year round), you **must** insure that sediment does not reach state waters by using appropriate erosion control practices. The discharge of discolored water could cause a violation of this permit. Adequate erosion control practices must also be used to prevent sediment discharges to wetlands, riparian areas, and ephemeral drainages.

Other pollutants most likely to be a problem at construction sites are fuels, lubricating oils, construction materials, fertilizers and pesticides. The bottom line is store these materials properly and well away from surface waters, to prevent spills of these materials, and by not dumping in the first place.

The basic requirements of the “Storm Water Erosion Control Plan” are provided in Part II.

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PART I.

I. COVERAGE UNDER THIS PERMIT

A. Permit Area

The permit applies to all areas of the State of Montana except Indian Reservations.

B. Sources Covered Under This Permit

The permit covers construction activities including clearing, grading, and excavating of a total of five (5) or more acres that is a part of a common plan for development or sale or greater than one acre of contiguous clearing, grading and excavating, any part of which is located within one hundred (100) feet of state waters.

The permit may also authorize storm water discharges from support activities related to a construction site (e.g. concrete or asphalt batch plants, equipment staging yards, material storage areas, etc.) Provided:

1. the support activity is not a commercial operation serving multiple unrelated construction projects, and does not operate beyond the completion of the construction activity; and
2. appropriate controls and measures are identified in the storm water erosion control plan for the discharge from the support activity.

All discharges shall be in accordance with the “approved” erosion control plan and the provisions of this permit. Any other direct waste discharge to public waters is prohibited unless covered by another MPDES permit.

This permit does not relieve the permittee from responsibility for compliance with any other applicable federal, state, or local law, rule, standard, ordinance, order, judgement, or decree.

C. Application Procedures

1. **Application Due Dates:** At least thirty (30) days prior to construction taking place, the permittee (see definitions) of the construction activity shall submit an application as provided by the Department. For the purposes of this permit, both the owner and the contractor(s) are equally responsible for obtaining coverage under the general permit and shall be co-permittees.

2. **Application Form:** The application form requires, at a minimum, the following information:
 - 1) Name and address of contractor;
 - 2) Construction site address and name of owner/operator (for MDT projects, project number and designation);
 - 3) Contact person and telephone number;
 - 4) The nature of the construction activity;
 - 5) Total area of the site and the area that will be disturbed;
 - 6) Site location (County, Township, Range, Section, $\frac{1}{4}$ Section);
 - 7) The best management practices to be used during construction to control sediment and erosion;
 - 8) The best management practices to be used to control pollutants in storm water after construction is completed;
 - 9) Name of receiving water and indicate if discharging to a municipally owned storm sewer;
 - 10) A detailed erosion control plan must be approved prior to construction taking place;
 - 11) A site map and a drainage map (with drainage patterns indicated).

3. **Application Submittal:** A signed state application form shall be submitted to:

Montana Department of Environmental Quality
Water Protection Bureau
1520 East Sixth Avenue
PO Box 200901
Helena, MT 59620-0901

4. **Authorization to Discharge:** Construction activities covered under this permit are authorized to begin construction and implement the “approved” erosion control plan in accordance with the permit upon receipt of an authorization under the general permit issued by the Department. An authorization is generally issued within 30 days of receipt of a complete application and an adequate Storm Water Erosion Control Plan.

5. **Additional Notification:** A copy of the authorization letter; a local contact telephone number/address for public access to view the erosion control plan; and a brief description of the project shall be posted at the construction site in a prominent place for public viewing (alongside the building permit if the building permit is required to be displayed).

PART II.

II. SPECIAL CONDITIONS

A. Prohibitions on Non-storm Water Discharges

All discharges covered by this permit shall be composed entirely of storm water. Discharges of material other than storm water runoff must be in compliance with an MPDES permit (other than this permit) issued for the discharge.

B. Releases in Excess of Reportable Quantities

The discharge of hazardous substances or oil in the storm water discharge(s) from construction activities shall be minimized in accordance with the applicable Erosion Control Plan for the project. Where a release containing a hazardous substance or oil in an amount equal to or in excess of a reportable quantity established under either 40 CFR 110, 40 CFR 117, or 40 CFR 302, occurs during a 24 hour period:

(1) The person in charge of the construction project is required to notify the National Response Center (800-424-8802) and the Water Protection Bureau (444-5338) as soon as he or she has knowledge of the discharge;

(2) The permittee shall submit, within 7 calendar days of knowledge of the release, a report with a description of the release (including the type and estimate of the amount of material released), the circumstances leading to the release, and steps to be taken to remediate environmental contamination associated with the release to the Montana Department of Environmental Quality at the address provided in Part III.C.; and

(3) The Storm Water Erosion Control Plan described below must be modified within 7 calendar days of knowledge of the release to: provide a description of the release and the circumstances leading to the release; and to identify and provide for the implementation of steps to prevent the reoccurrence of such releases and to respond to remediation of such releases.

C. Storm Water Erosion Control Plan

Prior to commencement of construction the permittee shall prepare and implement an **Erosion Control Plan**. The objective of the plan is to minimize the erosion of disturbed land during the construction and post construction activities and to minimize pollutants such as fuels, oil, grease,

fertilizer, pesticides, concrete truck washout, etc. from discharging to surface water.

The plan shall:

- (a) be submitted to the Department 30 days prior to construction for approval;
 - (b) be signed in accordance with the signatory requirement in Part IV.G.;
 - (c) a copy shall also be maintained at the construction site in accordance with Part III.D. of this permit; and
 - (d) provide for compliance with the terms and schedule of the plan once approved by the Department and updated as appropriate.
1.
 - a) The contractor of a construction project covered by this permit shall maintain a copy of the erosion control plan on-site and a copy of the plan shall be made available upon request to the owner, the Montana Department of Fish, Wildlife and Parks, local officials, and a municipal operator if the discharge is through a municipal system.
 - b) The plan must be approved by the Department and implemented at the beginning and throughout the lifespan of the project. The Department may notify the permittee at any time that the plan does not meet one or more of the minimum requirements of this part. After such notification from the Department, the permittee shall make changes to the plan and submit a written certification that the requested changes have been made to the Department. Unless otherwise provided by the Department, the permittee shall have 7 days after such notification to make the required changes.
 - c) The permittee shall amend the plan whenever there is a change in design, construction, operation, or maintenance, which has a significant effect on the potential for the discharge of pollutants to waters of the state or if the erosion control plan proves to be ineffective in achieving the general objectives of controlling pollutants in storm water discharges associated with construction activity.
 2. The plan may include the use of sediment basins, berms, barriers, filter strips, covers, diversion structures, seeding, sodding, and/or other control structures or best management practices (BMPs). Any plan which requires engineered structures, such as detention

ponds or diversion structures, or which is prepared for a construction activity which includes 20 acres or more in total land disturbance, shall be prepared by a registered professional engineer. The erosion control plan shall include at least the following items:

- a. Site Description. Each plan shall, at a minimum, provide a description of the following:
 - (1) The nature of the construction activity, including a proposed timetable for major activities;
 - (2) Estimates of the total area of the site, and all other sites if a phased development project, and the area of the site that is expected to undergo clearing, excavation, and/or grading;
 - (3) A site map indicating areas of total development and, as a minimum, all areas of soil disturbance, areas of cut and fill, drainage patterns and approximate slopes anticipated after major grading activities, areas used for the storage of soils or wastes, location of all erosion control facilities or structures and areas where vegetative practices are to be implemented, the location of impervious structures (including buildings, roads, parking lots, outdoor storage areas, etc.) after construction is completed, springs, wetlands and other surface waters, and the boundary of 100-year flood plain, if determined;
 - (4) The nature of fill material to be used, the existing soils located at the site, and the erodibility of such soils: and
 - (5) The names of the receiving water(s) and the size, type and location of each outfall or, if the discharge is to a municipal separate storm sewer, a letter of approval from the municipality which authorizes use of the storm sewer and the location of any storm sewer discharge to public waters.
- b. Controls

Each operator covered by this permit shall develop, as part of the erosion control plan, a description of controls appropriate for the site and shall implement such controls. The following minimum components shall be addressed along with a schedule for implementation, unless approved otherwise in writing by the permit issuing agency:

- (1) A description, including a schedule of implementation, of stabilization practices designed to preserve existing vegetation where practicable and re-vegetate open areas as soon as practicable after grading or construction. In developing vegetative practices, the operator shall consider: temporary seeding, permanent seeding, mulching, sod stabilization, vegetative buffer/filter strips, grassed waterways, erosion control blankets and tree and shrub planting.
 - (2) A description of structural practices which indicates how, to the degree practicable, the permittee will divert flows from exposed soil, store flows, or otherwise limit runoff from exposed areas of the site. In developing structural practices, the operator shall consider the appropriateness of: straw bale dikes, silt fences, earth dikes, brush barriers, drainage swales, check dams, subsurface drains, pipe slope drains, rock outlet protection, drain inlet and outlet protection, temporary drain diversions, sediment traps, temporary sediment basins, infiltration trenches or basins and retaining walls. None of the temporary control structures, including silt fences and straw bale dikes, shall be removed until permanent vegetation and site stabilization has taken place.
 - (3) For sites in which the tracking of sediment onto public or private roads during the wet season (November – June) will be a problem, the site shall have graveled access entrance and exit drives and parking areas. All unpaved roads on the site carrying more than 25 vehicle trips per day shall be graveled.
 - (4) When trucking saturated soils from the site, either tight trucks shall be used or loads shall be required to drain until drippage has been reduced to less than 1 gallon per hour before leaving the site.
3. Visible or measurable erosion which leaves the construction site or enters state waters is prohibited. Visible or measurable erosion is defined as:
- a. Deposits of mud, dirt, sediment or similar material exceeding $\frac{1}{2}$ cubic foot in volume in any area of 100 square feet or less on public or private streets, adjacent property, or into the storm and surface water system, either by direct deposit, dropping, discharge, or as a result of the action of erosion; or
 - b. Evidence of concentrated flows of water over bare soils; turbid or sediment laden flows; or evidence of on-site erosion such as rivulets on bare soil slopes, where the flow of water is not filtered or captured on the site using the techniques in the approved erosion control plan; or

- c. Earth slides, mud flows, earth sloughing, or other earth movement which leaves the construction site.
4. If any visible or measurable quantities of sediment leave the site because of the failure of the erosion control facilities, the sediment shall be immediately (within 24 hours of discovery) cleaned up and placed back on the site or disposed of in a manner approved by the Department. Under no conditions shall the sediment be washed into the storm sewers, drainageways or state waters.
5. All non-regulated wastes composed of building material must be removed from the site or disposed in approved disposal facility. Building material wastes or unused materials may not be dumped or discharged at the site. The State Solid Waste Program should be contacted concerning proper disposal of waste materials.
6. A description of measures to control pollutants in storm water discharges that will occur after construction operations have been completed shall be addressed in the erosion control plan. Such practices may include: storm water detention structures (including wet ponds), storm water retention structures; flow attenuation by use of open vegetated swales and natural depressions; and infiltration of runoff on site.

PART III.

III. MONITORING, RECORDING AND REPORTING REQUIREMENTS

A. Monitoring Requirements

During the period beginning immediately and lasting through the duration of the permit, the permittee is authorized to discharge from outfall(s) as required within the erosion control plan. Such discharges shall be limited and monitored by the permittee as specified below:

1. All erosion control facilities shall be inspected and maintained by or under the direction of the permittee at least once every seven calendar days and within 24 hours after any storm event.
2. During stormy periods or periods of snow melt when runoff occurs daily, all erosion control facilities shall be inspected and maintained by or under the direction of the permittee daily.
3. Storm water runoff discharges shall be visually monitored at the above frequency to evaluate the effectiveness of the pollution control facilities or practices. If any measurable quantities of sediment are leaving the project or entering state waters, corrective

action shall be taken within 24 hours of discovery to reduce the discharge of sediments.

B. Recording Requirements

The operator shall keep a record of inspections, the date and time inspected, and the name of the person performing the inspection. Uncontrolled releases of mud or muddy water or measurable quantities of sediment found off the site or entering into state waters shall be recorded with a brief explanation as to the measures taken to prevent future releases as well as any measures taken to clean up the sediment that has left the site. This record shall be made available to the Department upon request and shall be signed in accordance with the signatory and certification requirements of Parts IV.G. and IV.H.

C. Reporting Requirements

If the construction activity lasts more than 12 months, an annual inspection of the site shall be conducted to identify areas which may be contributing pollutants to storm water discharges. The annual inspection report shall be submitted to the Department 30 days after the inspection has taken place. Inspection results shall be reported in writing to the Department address below:

Montana Department of Environmental Quality
Water Protection Bureau
1520 East Sixth Avenue
PO Box 200901
Helena, MT 59620-0901
Phone (406) 444-4323

D. Records Retention

All records and information resulting from the monitoring activities required by this permit shall be retained for a minimum of three (3) years, or longer if requested by the Department.

E. Noncompliance Reporting

If, for any reason, the permittee does not comply with or will be unable to comply with any condition specified in this permit, the permittee shall notify as soon as possible by phone and provide the Department with the following information, in writing, within five (5) days of becoming aware of such condition:

- a. A description of the discharge and cause of noncompliance; and

- b. The period of noncompliance, including exact dates and times; or, if not correct, the anticipated time the noncompliance is expected to continue, and steps being taken to reduce, eliminate and prevent recurrences of the non complying discharge.

F. Penalties for Tampering

The Montana Water Quality Act provides that any person who falsifies, tampers with, or knowingly renders inaccurate, any monitoring device, method or practice required to be maintained under this permit shall, upon conviction, be punished by a fine of not more than \$25,000 per day of violation or imprisonment for not more than 1 year, or both.

PART IV.

IV. STANDARD PERMIT CONDITIONS

A. Duty to Comply

The permittee must comply with all conditions of this permit. Any permit noncompliance constitutes a violation of the Act and is grounds for enforcement action; for permit termination, revocation and reissuance, or modification; or for denial of a permit renewal application. The permittee shall give the Department advance notice of any planned changes at the permitted facility or of an activity which may result in permit noncompliance.

The Montana Water Quality Act (MCA 75-5-631) and the Federal Clean Water Act (section 309) provide significant penalties for any person who violates a permit condition. Any person who violates any condition of this permit is subject to a civil penalty not to exceed \$25,000 per day of such violation, as well as any other appropriate sanction provided by section 309 of the Clean Water Act.

B. Continuation of the Expired Permit

An expired general permit continues in full force and effect until a new general permit is issued for all permittees who have current authorization under the expiring general permit.

C. Continuation of Authorization Following Renewal of the Expired General Permit

In order to obtain authorization under the renewed general permit, applicants must submit a new application for coverage in accordance with ARM 17.30.1341.

D. Need to Halt or Reduce Activity not a Defense

It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this permit.

E. Duty to Mitigate

The permittee shall take all reasonable steps to minimize or prevent any discharge in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment.

F. Duty to Provide Information

The permittee shall furnish to the Department, within a reasonable time, any information which the Department may request to determine whether cause exists for modifying, revoking and reissuing, or terminating this permit, or to determine compliance with this permit. The permittee shall also furnish to the Department, upon request, copies of records required to be kept by this permit.

G. Other Information

When the permittee becomes aware that it failed to submit any relevant facts in a permit application, or submitted incorrect information in a permit application or any report to the Department, it shall promptly submit such facts or information.

H. Signatory Requirements

All applications, reports or information submitted to the Department shall be signed and certified.

1. All permit applications shall be signed as follows:
 - a. For a corporation: by a responsible corporate officer;
 - b. For a partnership or sole proprietorship: By a general partner or the proprietor, respectively;
 - c. For a municipality, State, Federal, or other public agency: by either a principal executive officer or ranking elected official.
2. All reports required by the permit and other information requested by the Department shall be signed by a person described above or

by a duly authorized representative of that person. A person is a duly authorized representative only if:

- a. The authorization is made in writing by a person described above and submitted to the Department, and,
 - b. The authorization specified either an individual or a position having responsibility for the over-all operation of the regulated facility or activity, such as the position of plant manager, operator of a well or a well field, superintendent, position of equivalent responsibility, or an individual or position having overall responsibility for environmental matters for the company. (A duly authorized representative may thus be either a named individual or any individual occupying a named position.)
3. Changed to authorization. If an authorization under paragraph IV.G.2. is no longer accurate because a different individual or position has responsibility for the overall operation of the facility, a new authorization satisfying the requirements of paragraph IV.G.1. must be submitted to the Department prior to or together with any reports, information, or applications to be signed by an authorized representative.

I. Certification

Any person signing a document under this section shall make the following certification:

“I certify under penalty of the law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.”

J. Penalties for Falsification of Reports

The Montana Water Quality Act provides that any person who knowingly makes any false statement, representation, or certification in any record or other document submitted or required to be maintained under this permit, including monitoring reports or reports of compliance shall, upon conviction be punished by a fine of not more than \$25,000 per day, or by imprisonment for not more than 1 year per violation, or by both.

K. Oil and Hazardous Substance Liability

Nothing in this permit shall be construed to preclude the institution of any legal action or relieve the permittee from any responsibilities, liabilities, or penalties to which the permittee is or may be subject under Section 311 of the Clean Water Act.

L. Property Rights

The issuance of this permit does not convey any property rights of any sort, nor any exclusive privileges, nor does it authorize any injury to private property nor any invasion of personal rights, nor any infringement of federal, state or local laws or regulations.

M. Severability

The provisions of this permit are severable, and if any provision of this permit, or the application of any provision of this permit to any circumstance, is held invalid, the application of such provision to other circumstances, and the remainder of this permit, shall not be affected thereby.

N. State Laws

Nothing in this permit shall be construed to preclude the institution of any legal action or relieve the permittee from any responsibilities, liabilities, or penalties, established pursuant to any applicable State law or regulation under authority preserved by section 510 of the Act.

O. Proper Operation and Maintenance

The permittee shall at all times properly operate and maintain all facilities and systems of treatment and control (and related appurtenances) which are installed or used by the permittee to achieve compliance with the conditions of this permit and with the requirements of erosion control plans. Proper operation and maintenance also includes adequate laboratory controls and appropriate quality assurance procedures. Proper operation and maintenance requires the operation of backup or auxiliary facilities or similar systems, installed by a permittee only when necessary to achieve compliance with the conditions of the permit.

P. Inspection and Entry

The permittee shall allow the Department or the Regional Administrator, or authorized representative thereof, upon the presentation of credentials and other documents as may be required by law, to:

1. Enter upon the permittee's premises where a regulated facility or activity is located or conducted, or where records must be kept under the conditions of this permit;
2. Have access to and copy, at reasonable times, any records that must be kept under the conditions of this permit;
3. Inspect at reasonable times any facilities, equipment (including monitoring and control equipment), practices, or operations regulated or required under this permit; and,
4. Sample or monitor at reasonable times, for the purpose of assuring permit compliance, any substances or parameters at any location.

Q. Permit Actions

This permit may be modified, revoked and reissued, or terminated for cause. The filing of a request by the permittee for a permit modification, revocation and reissuance, or termination, or notification of planned changes or anticipated noncompliance, does not stay any permit condition.

R. Reopener Provision

If there is evidence indicating potential or realized impact on water quality due to any storm water discharge associated with construction activity covered by this permit, the owner or operator may be required to obtain an individual permit or an alternate general permit or the permit may be modified to include different limitations and/or requirements.

S. Notice of Termination

Where a site has been finally stabilized in accordance with the criteria established by DEQ dated September 22, 1994 or where the operator or contractor at a site changes, the operator or contractor of the construction site shall submit a Notice of Termination that is signed in accordance with Part IV.G. The Notice of Termination shall include the following information:

1. The mailing address of the construction site. Where a mailing address for the site is not available, the location of the site must be described as County, Township, Range, Section, and ¼ Section;

2. The name, address and telephone number of the owner and contractor(s) involved with the site;
3. The MPDES permit number for the construction site;
4. Documentation of whether the site has been permanently stabilized or the contractor(s) of the project has changed; and
5. The Notice of Termination must be certified and signed in accordance with Part IV.G. and IV.H.

The Notice of Termination shall be sent to the following address:

Montana Department of Environmental Quality
Water Protection Bureau
1520 East Sixth Avenue
PO Box 200901
Helena, MT 59620-0901

The Department shall respond in writing to the permittee once the authorization has been terminated.

Failure to submit a Notice of Termination shall result in additional annual permit fee accumulation until notification has been received.

T. Definitions

1. The “Act” means the Federal Clean Water Act.
2. “Best Management Practices” (“BMPs”) means schedule of activities, prohibition of practices, maintenance procedures, and other management practices to prevent or reduce the pollution of state waters. BMPs also include treatment requirements, operating procedures, and practices to control plant site runoff, spillage or leaks, sludge or waste disposal, or drainage from raw material storage.
3. “Bypass” means the intentional diversion of waste streams from any portion of a treatment facility or a BMP.
4. The “Department” means the Montana Department of Environmental Quality.
5. “Permittee” for construction activity means both the owner of the property and the contractor(s) conducting the construction activity.

For the purposes of this permit, the owner and the contractor(s) will be co-permittees under the storm water general permit.

6. The “receiving water” is the river, stream, lake, etc. which receives the discharge from your site.
7. “Severe Property Damage” means substantial physical damage to property, damage to treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economic loss caused by delays in production.
8. “Storm Water” means storm water runoff, snow melt runoff, and surface runoff and drainage.

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DIVISION 02 - SITE WORK

SECTION 02300A

EARTHWORK

12/97

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SECTION 02300A

EARTHWORK
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

- AASHTO T 180 (1997) Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and an 457 mm (18-in) Drop
- AASHTO T 224 (1996) Correction for Coarse Particles in the Soil Compaction Test

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- ASTM C 136 (1996a) Sieve Analysis of Fine and Coarse Aggregates
- ASTM D 422 (1963; R 1998) Particle-Size Analysis of Soils
- ASTM D 1140 (1997) Amount of Material in Soils Finer than the No. 200 (75-micrometer) Sieve
- ASTM D 1556 (1990; R 1996el) Density and Unit Weight of Soil in Place by the Sand-Cone Method
- ASTM D 1557 (1991; R 1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))
- ASTM D 2167 (1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
- ASTM D 2487 (1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
- ASTM D 2922 (1996el) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
- ASTM D 3017 (1988; R 1996el) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow

Depth)

ASTM D 4318

(1998) Liquid Limit, Plastic Limit, and
Plasticity Index of Soils

1.2 DEFINITIONS

1.2.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SC, SW-SC, SP-SM, SP-SC, CL or CH. Satisfactory materials for grading shall be comprised of stones less than 8 inches, except for fill material for pavements which shall be comprised of stones less than 3 inches in any dimension.

1.2.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills; trash; refuse; backfills from previous construction; and material classified as satisfactory which contains root and other organic matter or frozen material. The Contracting Officer shall be notified of any contaminated materials.

1.2.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM will be identified as cohesionless only when the fines are nonplastic. Testing required for classifying materials shall be in accordance with ASTM D 4318, ASTM C 136, ASTM D 422, and ASTM D 1140.

1.2.4 Degree of Compaction

Degree of compaction required, except as noted in the second sentence, is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557 abbreviated as a percent of laboratory maximum density. Since ASTM D 1557 applies only to soils that have 30 percent or less by weight of their particles retained on the 3/4 inch sieve, the degree of compaction for material having more than 30 percent by weight of their particles retained on the 3/4 inch sieve shall be expressed as a percentage of the maximum density in accordance with AASHTO T 180 Method D and corrected with AASHTO T 224. To maintain the same percentage of coarse material, the "remove and replace" procedure as described in the NOTE 8 in Paragraph 7.2 of AASHTO T 180 shall be used.

1.2.5 Topsoil

Material suitable for topsoils obtained from offsite areas is defined as selectively excavated natural, friable soil that is representative of soils in the vicinity that produce heavy growths of crops, grass or other vegetation. Topsoil shall be reasonably free from underlying subsoil, clay lumps, objectionable weeds, litter, brush, matted roots, toxic substances or any material that might be harmful to plant growth or be a hindrance to grading, planting or maintenance operations. Soil from ditch bottoms, drained ponds, eroded areas, or soil which is excessively wet or saturated is not acceptable. Topsoil shall not contain more than five percent by

volume of stones, stumps or other objects larger than 1 inch in any dimension for field seeded areas and 1/2 inch in any dimension for lawn seeded areas. Topsoil shall not be excessively acid or alkaline (pH value 6.0 to 7.5). Topsoil shall contain 4 to 6 percent organic matter as determined by the organic carbon 6A chemical analysis method described in USDA Soil Survey Investigation Report No. 1. Topsoil shall be approved by the Contracting Officer..

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Testing; G-RE.

Within 24 hours of conclusion of physical tests, 2 copies of test results, including calibration curves and results of calibration tests.

SD-07 Certificates

Testing; G-RE.

Qualifications of the commercial testing laboratory or Contractor's testing facilities.

1.4 SUBSURFACE DATA

Subsurface soil boring logs are shown on the drawings. These data represent the best subsurface information available; however, variations may exist in the subsurface between boring locations.

1.5 CLASSIFICATION OF EXCAVATION

No consideration will be given to the nature of the materials, and all excavation will be designated as unclassified excavation.

1.6 UTILIZATION OF EXCAVATED MATERIALS

Unsatisfactory materials removed from excavations shall be disposed of outside the limits of Government-Controlled land. Satisfactory material removed from excavations shall be used, insofar as practicable, in the construction of fills, embankments, subgrades, shoulders, bedding (as backfill), and for similar purposes. No satisfactory excavated material shall be wasted without specific written authorization. Satisfactory material authorized to be wasted shall be disposed of in a designated area approved for surplus material storage. Waste areas on Government-controlled land shall be cleared and grubbed before disposal of waste material thereon. No excavated material shall be disposed of to obstruct the flow of any stream, endanger a partly finished structure, impair the efficiency or appearance of any structure, or be detrimental to the completed work in any way.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 STRIPPING OF TOPSOIL

In areas where excavation and filling will take place, topsoil shall be stripped to a depth of 4 inches. Topsoil shall be spread on areas already graded and prepared for topsoil, or transported and deposited in stockpiles convenient to areas that are to receive application of the topsoil later, or at locations indicated or specified. Topsoil shall be kept separate from other excavated materials, brush, litter, objectionable weeds, roots, stones larger than 2 inches in diameter, and other materials that would interfere with planting and maintenance operations. Any surplus of topsoil from excavations and grading shall be removed from the site.

3.2 GENERAL EXCAVATION

The Contractor shall perform excavation of every type of material encountered within the limits of the project to the lines, grades, and elevations indicated and as specified. Grading shall be in conformity with the typical sections shown and the tolerances specified in paragraph FINISHING. Satisfactory excavated materials shall be transported to and placed in fill or embankment within the limits of the work. Unsatisfactory materials encountered within the limits of the work shall be excavated below grade and replaced with satisfactory materials as directed. Such excavated material and the satisfactory material ordered as replacement shall be included in excavation. Surplus satisfactory excavated material not required for fill or embankment shall be disposed of in the designated waste areas. Unsatisfactory excavated material shall be disposed of outside the limits of Government-Controlled lands. During construction, excavation and fill shall be performed in a manner and sequence that will provide proper drainage at all times. Material required for fill or embankment in excess of that produced by excavation within the grading limits shall be excavated from off-site borrow areas .

3.2.1 Ditches, Gutters, and Channel Changes

Excavation of ditches shall be accomplished by cutting accurately to the cross sections, grades, and elevations shown. Ditches shall not be excavated below grades shown. Excessive open ditch excavation shall be backfilled with satisfactory, thoroughly compacted, material. Material excavated shall be disposed of as shown or as directed, except that in no case shall material be deposited less than 4 feet from the edge of a ditch. The Contractor shall maintain excavations free from detrimental quantities of leaves, brush, sticks, trash, and other debris until final acceptance of the work.

3.2.2 Drainage Structures

Excavations shall be made to the lines, grades, and elevations shown, or as directed. Trenches and foundation pits shall be of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. When concrete is to be placed in an excavated area, the bottom of the excavation shall not be disturbed. Excavation to the final grade level shall not be made until just before the concrete is to be placed.

3.3 SELECTION OF BORROW MATERIAL

Borrow material shall be selected to meet the requirements and conditions of the particular fill or embankment for which it is to be used. Borrow material shall be obtained from approved private sources. Unless otherwise provided in the contract, the Contractor shall obtain from the owners the right to procure material, pay royalties and other charges involved, and bear the expense of developing the sources, including rights-of-way for hauling. No borrow shall be obtained within the limits of the project site.

3.4 BACKFILL

Backfill adjacent to any and all types of structures shall be placed and compacted in accordance with Section 02315A EXCAVATION, FILLING AND BACKFILLING FOR STRUCTURES.

3.5 PREPARATION OF GROUND SURFACE FOR EMBANKMENTS

3.5.1 General Requirements

Ground surface on which fill is to be placed shall be stripped of live, dead, or decayed vegetation, rubbish, debris, and other unsatisfactory material; plowed, disked, or otherwise broken up to a depth of 6 inches; pulverized; moistened or aerated as necessary; thoroughly mixed; and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. The prepared ground surface shall be scarified and moistened or aerated as required just prior to placement of embankment materials to assure adequate bond between embankment material and the prepared ground surface.

3.5.2 Frozen Material

Embankment shall not be placed on a foundation which contains frozen material, or which has been subjected to freeze-thaw action. This prohibition encompasses all foundation types, including the natural ground, all prepared subgrades (whether in an excavation or on an embankment) and all layers of previously placed and compacted earth fill which become the foundations for successive layers of earth fill. All material that freezes or has been subjected to freeze-thaw action during the construction work, or during periods of temporary shutdowns, such as, but not limited to, nights, holidays, weekends, winter shutdowns, or earthwork operations, shall be removed to a depth that is acceptable to the Contracting Officer and replaced with new material. Alternatively, the material will be thawed, dried, reworked, and recompacted to the specified criteria before additional material is placed. The Contracting Officer will determine when placement of fill shall cease due to cold weather. The Contracting Officer may elect to use average daily air temperatures, and/or physical observation of the soils for his determination. Embankment material shall not contain frozen clumps of soil, snow, or ice.

3.6 EMBANKMENTS

3.6.1 Earth Embankments

Earth embankments shall be constructed from satisfactory materials free of organic or frozen material and rocks with any dimension greater than 3

inches. The material shall be placed in successive horizontal layers of loose material not more than 12 inches in depth. Each layer shall be spread uniformly on a soil surface that has been moistened or aerated as necessary, and scarified or otherwise broken up so that the fill will bond with the surface on which it is placed. After spreading, each layer shall be plowed, disked, or otherwise broken up; moistened or aerated as necessary; thoroughly mixed; and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials. Compaction requirements for the upper portion of earth embankments forming subgrade for pavements shall be identical with those requirements specified in paragraph SUBGRADE PREPARATION. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

3.7 SUBGRADE PREPARATION

3.7.1 Construction

Subgrade shall be shaped to line, grade, and cross section, and compacted as specified. This operation shall include plowing, disking, and any moistening or aerating required to obtain specified compaction. Soft or otherwise unsatisfactory material shall be removed and replaced with satisfactory excavated material or other approved material as directed. Low areas resulting from removal of unsatisfactory material or excavation of rock shall be brought up to required grade with satisfactory materials, and the entire subgrade shall be shaped to line, grade, and cross section and compacted as specified. After rolling, the surface of the subgrade for roadways shall not show deviations greater than 3/8 inch when tested with a 12 foot straightedge applied both parallel and at right angles to the centerline of the area. The elevation of the finish subgrade shall not vary more than 0.05 foot from the established grade and cross section.

3.7.2 Compaction

Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Except for paved areas and railroads, each layer of the embankment shall be compacted to at least 90 percent of laboratory maximum density.

3.7.2.1 Subgrade for Pavements

Subgrade for pavements shall be compacted to at least 95 percentage laboratory maximum density for the depth below the surface of the pavement shown.

3.8 FINISHING

The surface of excavations, embankments, and subgrades shall be finished to a smooth and compact surface in accordance with the lines, grades, and cross sections or elevations shown. The degree of finish for graded areas shall be within 0.1 foot of the grades and elevations indicated except that the degree of finish for subgrades shall be specified in paragraph SUBGRADE PREPARATION. Ditches shall be finished in a manner that will result in effective drainage. The surface of areas to be turfed shall be finished to a smoothness suitable for the application of turfing materials.

3.9 PLACING TOPSOIL

On areas to receive topsoil, the compacted subgrade soil shall be scarified to a 2 inch depth for bonding of topsoil with subsoil. Topsoil then shall be spread evenly to a thickness of 6 inches and graded to the elevations and slopes shown. Topsoil shall not be spread when frozen or excessively wet or dry. Material required for topsoil in excess of that produced by excavation within the grading limits shall be obtained from offsite areas.

3.10 TESTING

Testing shall be performed by an approved commercial testing laboratory or by the Contractor subject to approval. If the Contractor elects to establish testing facilities, no work requiring testing will be permitted until the Contractor's facilities have been inspected and approved by the Contracting Officer. Field in-place density shall be determined in accordance with ASTM D 1556, ASTM D 2167 or ASTM D 2922]. When ASTM D 2922 is used, the calibration curves shall be checked and adjusted using only the sand cone method as described in ASTM D 1556. ASTM D 2922 results in a wet unit weight of soil and when using this method ASTM D 3017 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall also be checked along with density calibration checks as described in ASTM D 3017; the calibration checks of both the density and moisture gauges shall be made at the beginning of a job on each different type of material encountered and at intervals as directed by the Contracting Officer. When test results indicate, as determined by the Contracting Officer, that compaction is not as specified, the material shall be removed, replaced and recompacted to meet specification requirements. Tests on recompacted areas shall be performed to determine conformance with specification requirements. Inspections and test results shall be certified by a registered professional civil engineer. These certifications shall state that the tests and observations were performed by or under the direct supervision of the engineer and that the results are representative of the materials or conditions being certified by the tests. The following number of tests, if performed at the appropriate time, will be the minimum acceptable for each type operation.

3.10.1 In-Place Densities

- a. One test per 30,000 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by other than hand-operated machines.
- b. One test per 20,000 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by hand-operated machines.
- c. One test per 10,000 square feet, or fraction thereof, of each lift of embankment or backfill for roads.

3.10.2 Check Tests on In-Place Densities

If ASTM D 2922 is used, in-place densities shall be checked by ASTM D 1556 as follows:

- a. One check test per lift for each 300,000 square feet, or fraction thereof, of each lift of fill or backfill compacted by other than hand-operated machines.

- b. One check test per lift for each 200,000 square feet, of fill or backfill areas compacted by hand-operated machines.
- c. One check test per lift for each 100,000 square feet, or fraction thereof, of embankment or backfill for roads.

3.10.3 Moisture Contents

In the stockpile, excavation, or borrow areas, a minimum of two tests per day per type of material or source of material being placed during stable weather conditions shall be performed. During unstable weather, tests shall be made as dictated by local conditions and approved by the Contracting Officer.

3.10.4 Optimum Moisture and Laboratory Maximum Density

Tests shall be made for each type material or source of material including borrow material to determine the optimum moisture and laboratory maximum density values. One representative test per 2500 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density.

3.10.5 Tolerance Tests for Subgrades

Continuous checks on the degree of finish specified in paragraph SUBGRADE PREPARATION shall be made during construction of the subgrades.

3.11 SUBGRADE AND EMBANKMENT PROTECTION

During construction, embankments and excavations shall be kept shaped and drained. Ditches and along subgrade shall be maintained to drain effectively at all times. The finished subgrade shall not be disturbed by traffic or other operation and shall be protected and maintained by the Contractor in a satisfactory condition until ballast, subbase, base, or pavement is placed. The storage or stockpiling of materials on the finished subgrade will not be permitted. No aggregate surface course shall be laid until the subgrade has been checked and approved, and in no case shall aggregate surface course be placed on a muddy, spongy, or frozen subgrade.

-- End of Section --

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DIVISION 02 - SITE WORK

SECTION 02315A

EXCAVATION, FILLING AND BACKFILLING FOR STRUCTURES

08/98

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SECTION 02315A

EXCAVATION, FILLING AND BACKFILLING FOR STRUCTURES
08/98

PART 1 GENERAL

This specification section contains requirements for excavation, filling and backfilling for the pumphouse and the fresh water intake pipes. For excavation and backfilling of utilities, see specification section 02316a.

Subsurface Investigation Drill Logs are shown on the drawings.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1556	(1990; R 1996el) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1991; R 1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu.m.))
ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2216	(1998) Laboratory Determination of Water (Moisture) Content of Soil and Rock
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996el) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 2937	(1994) Density of Soil in Place by the Drive-Cylinder Method
ASTM D 3017	(1988; R 1996el) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)

1.2 DEGREE OF COMPACTION

Degree of compaction is expressed as a percentage of the maximum density

obtained by the test procedure presented in ASTM D 1557, abbreviated as percent laboratory maximum density.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Dewatering Plan

Excavation Plan; G-RE

Equipment to be used.

Working drawings and written procedure describing in detail the proposed method of installation of the fresh water intake pipes and pumping structure. This will include, but not be limited to, size, capacity and setup requirements of equipment; dewatering if applicable; method of monitoring and controlling line and grade. Method of Compaction.

SD-06 Test Reports

Testing; G-RE

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Satisfactory Materials

Satisfactory materials used above ground water shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SC, SW-SC, SP-SM, SP-SC, CL, ML, and CL-ML, .

Satisfactory materials for placement under groundwater for fresh water intake pipe shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, SW, SP, SM, SW-SM, SP-SM, .

2.1.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills, trash, refuse, or backfills from previous construction. Unsatisfactory material also includes material classified as satisfactory which contains root and other organic matter, frozen material, and stones larger than . The Contracting Officer shall be notified of any contaminated materials.

2.1.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, and CL. Materials classified as GM, GP-GM, GW-GM, SW-SM, SP-SM, and SM shall be identified as cohesionless only when the fines are nonplastic.

2.1.4 Nonfrost Susceptible (NFS) Material

Nonfrost susceptible material shall be a uniformly graded washed sand with a maximum particle size of 1 inch and less than 5 percent passing the No. 200 size sieve, and with not more than 3 percent by weight finer than 0.02 mm grain size.

PART 3 EXECUTION

3.1 CLEARING AND GRUBBING

The areas within lines 5 feet outside of each building and structure line shall be cleared and grubbed of trees, stumps, roots, brush and other vegetation, debris, existing foundations, pavements, utility lines, structures, fences, and other items that would interfere with construction operations. Stumps, logs, roots, and other organic matter shall be completely removed and the resulting depressions shall be filled with satisfactory material, placed and compacted in accordance with paragraph FILLING AND BACKFILLING. Materials removed shall be disposed of outside the limits of Government-controlled property at the Contractor's responsibility.

3.2 TOPSOIL

Topsoil shall be stripped to a depth of 4 inches below existing grade within the designated excavations and grading lines and deposited in storage piles for later use. Excess topsoil shall be disposed as specified for excess excavated material.

3.3 EXCAVATION

Excavation shall conform to the dimensions and elevations indicated for each structure, and footing except as specified, and shall include trenching for Fresh water supply system. Excavation shall extend a sufficient distance from walls and footings to allow for placing and removal of forms. Satisfactory material removed below the depths indicated, without specific direction of the Contracting Officer, shall be replaced, at no additional cost to the Government, with satisfactory materials to the indicated excavation grade. Satisfactory material shall be placed and compacted as specified in paragraph FILLING AND BACKFILLING.

Sideslopes of the excavation shall be sloped back or shored if occupied

3.4 DRAINAGE AND DEWATERING

3.4.1 Drainage

Surface water shall be directed away from excavation and construction sites to prevent erosion and undermining of foundations. Diversion ditches, dikes and grading shall be provided and maintained as necessary during construction. Excavated slopes and backfill surfaces shall be protected to prevent erosion and sloughing. Excavation shall be performed so that the site, the area immediately surrounding the site, and the area affecting operations at the site shall be continually and effectively drained.

3.4.2 Dewatering

Groundwater flowing toward or into excavations shall be controlled to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. The Contractor shall be responsible for evaluating dewatering needs and for the design, installation, and operation of a dewatering system, as necessary, to construct the structures and piping as specified herein and shown on the drawings. The Contractor shall construct and maintain all necessary dewatering wells/well points, cutoffs, sumps, diversion channels, flumes, and/or temporary diversion and protective works necessary to protect and maintain stable excavation areas, shall furnish all material required therefore, and shall furnish, install, maintain, and operate all pumping, and other equipment for dewatering and for maintaining the required excavation areas. French drains, sumps, ditches or trenches will not be permitted within 3 feet of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Control measures shall be taken by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. A discharge point for dewatering operations shall be utilized which does not erode the existing site and cause sedimentation runoff. The Contractor shall be responsible for damage incurred by discharge from dewatering operations.

The Contractor shall submit a Dewatering Plan detailing the design, installation, and operation of the proposed dewatering system. The plan shall include, at a minimum, the following:

- Summary/basis of design.
- Anticipated pumping rates.
- List of applicable Federal, State, Local regulations/permits.
- Installation/construction methods and equipment.
- Site plan showing well/well point locations, header and discharge pipe layout, and any sheet pile/cutoff wall locations and depths (if applicable).
 - Materials (with dimensions) that will be used to construct wells/well points, header and discharge, cutoffs, etc.
 - Description and manufacturer of pumps/pumping equipment.
 - Water disposal and discharge erosion control.

The Contractor shall submit the Dewatering Plan a minimum of 30 days prior to anticipated start of dewatering system installation.

3.5 SHORING

Shoring, including sheet piling, shall be furnished and installed as necessary to protect workmen, banks, adjacent paving, structures, and utilities. Shoring, bracing, and sheeting shall be removed as excavations are backfilled, in a manner to prevent caving.

3.6 CLASSIFICATION OF EXCAVATION

Excavation will be unclassified regardless of the nature of material encountered.

3.7 BLASTING

Blasting will not be permitted.

3.8 UTILITY AND DRAIN TRENCHES

Trenches for underground utilities systems and drain lines shall be excavated to the required alignments and depths. The bottoms of trenches shall be graded to secure the required slope and shall be tamped if dewatered to provide a firm pipe bed. Rock, where encountered, shall be excavated to a depth of at least 6 inches below the bottom of the pipe, and the overdepth shall be backfilled with satisfactory material placed and compacted in conformance with paragraph FILLING AND BACKFILLING.

3.9 BORROW

Where satisfactory materials are not available in sufficient quantity from required excavations, approved materials shall be obtained as specified in Section 02300a EARTHWORK.

3.10 EXCAVATED MATERIALS

Satisfactory excavated material required for fill or backfill shall be placed in the proper section of the permanent work required under this section or shall be separately stockpiled if it cannot be readily placed. Satisfactory material in excess of that required for the permanent work and all unsatisfactory material shall be disposed of as specified in Section 02300a EARTHWORK.

3.11 FINAL GRADE OF SURFACES TO SUPPORT CONCRETE

Excavation to final grade shall not be made until just before concrete is to be placed. Approximately level surfaces shall be roughened, and sloped surfaces shall be cut as indicated into rough steps or benches to provide a satisfactory bond. All surfaces shall be protected from erosion resulting from ponding or flow of water.

3.12 SUBGRADE PREPARATION

Unsatisfactory material in surfaces to receive fill or in excavated areas shall be removed and replaced with satisfactory materials as directed by the Contracting Officer. The surface shall be scarified to a depth of 6 inches before the fill is started. Sloped surfaces steeper than 1 vertical to 4 horizontal shall be plowed, stepped, benched, or broken up so that the fill material will bond with the existing material. When subgrades are less than the specified density, the ground surface shall be broken up to a minimum depth of 6 inches, pulverized, and compacted to the specified density. When the subgrade is part fill and part excavation or natural ground, the excavated or natural ground portion shall be scarified to a depth of 12 inches and compacted as specified for the adjacent fill. Material shall not be placed on surfaces that are muddy, frozen, or contain frost. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, or other approved equipment well suited to the soil being compacted. Material shall be moistened or aerated as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used. Minimum subgrade density shall be as specified in paragraph FILLING AND BACKFILLING. Subgrade preparation for Fresh water intake shall be smooth with deviation of invert elevation from a straight line of no more than 3-inches in 20 feet .

3.13 FILLING AND BACKFILLING

Satisfactory materials shall be used in bringing fills and backfills to the

lines and grades indicated and for replacing unsatisfactory materials. Satisfactory materials above groundwater shall be placed in horizontal layers not exceeding 8 inches in loose thickness, or 6 inches when hand-operated compactors are used. After placing, each layer shall be plowed, disked, or otherwise broken up, moistened or aerated as necessary, thoroughly mixed and compacted as specified. Backfilling shall not begin until construction below finish grade has been approved, underground utilities systems have been inspected, tested and approved, forms removed, and the excavation cleaned of trash and debris. Backfill shall be brought to indicated finish grade. Backfill shall not be placed in frozen areas. If backfill around fresh water intake pipe is performed below water, fill shall be cohesionless material and shall be compacted in 12 inch lifts with a backhoe bucket. If dewatering is accomplished for the fresh water intake pipe, backfill shall be placed in layers not exceeding 6 inches loose thickness for compaction by hand operated machine compactors, and 8 inches loose thickness for other than hand operated machines, unless otherwise specified.

Where pipe is coated or wrapped for protection against corrosion, the backfill material up to an elevation 2 feet above freshwater intake pipe and 1 foot above other utility lines shall be free from stones larger than 1 inch in any dimension. Heavy equipment for spreading and compacting backfill shall not be operated closer to foundation or retaining walls than a distance equal to the height of backfill above the top of footing; the area remaining shall be compacted in layers not more than 4 inches in compacted thickness with power-driven hand tampers suitable for the material being compacted. Backfill shall be placed carefully around pipes or tanks to avoid damage to coatings, wrappings, or tanks. Backfill shall not be placed against foundation walls prior to 7 days after completion of the walls. As far as practicable, backfill shall be brought up evenly on each side of the wall and sloped to drain away from the wall. Each layer of fill and backfill shall be compacted to not less than the percentage of maximum density specified below:

	Percent Laboratory maximum density	
	Cohesive material	Cohesionless material
	-----	-----
<u>Fill, embankment, and backfill</u>		
Under structures, building slabs, steps, paved areas, around footings, and in trenches	90	95
Under sidewalks and grassed areas	85	90
 <u>Subgrade</u>		
Under building slabs, steps, and paved areas, top 12 inches	90	95
Under sidewalks, top 6 inches	85	90

Percent Laboratory
maximum density

Cohesive
material

Cohesionless
material

Approved compacted subgrades that are disturbed by the Contractor's operations or adverse weather shall be scarified and compacted as specified herein before to the required density prior to further construction thereon. Recomaction over underground utilities and heating lines shall be by hand tamping.

3.14 TESTING

Testing shall be the responsibility of the Contractor and shall be performed at no additional cost to the Government. Testing shall be performed by an approved commercial testing laboratory or may be performed by the Contractor subject to approval. Field in-place density shall be determined in accordance with ASTM D 1556, ASTM D 2167, or ASTM D 2922. When ASTM D 2922 is used, the calibration curves shall be checked and adjusted if necessary by the procedure described in ASTM D 2922, paragraph ADJUSTING CALIBRATION CURVE. ASTM D 2922 results in a wet unit weight of soil and when using this method ASTM D 3017 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall also be checked along with density calibration checks as described in ASTM D 3017. The calibration checks of both the density and moisture gauges shall be made at the beginning of a job on each different type of material encountered and at intervals as directed by the Contracting Officer. ASTM D 2937 shall be used only for soft, fine-grained, cohesive soils. The following number of tests, if performed at the appropriate time, shall be the minimum acceptable for each type operation.

3.14.1 In-Place Densities

In-place density and moisture content test results shall be included with the Contractor's daily construction quality control reports.

3.14.1.1 In-Place Density of Subgrades

One test per 1000 square foot or fraction thereof.

3.14.1.2 In-Place Density of Fills and Backfills

One test per 3000 square foot or fraction thereof of each lift for fill or backfill areas compacted by other than hand or hand-operated machines. The density for each lift of fill or backfill materials for trenches, pits, building perimeters or other structures or areas less than 30 feet in width, which are compacted with hand or hand-operated machines shall be tested as follows: One test per each area less than 500 square feet, or one test for each 100 linear foot of long narrow fills. If ASTM D 2922 is used, in-place densities shall be checked by ASTM D 1556 at least twice daily.

3.14.2 Moisture Content

In the stockpile, excavation or borrow areas, a minimum of two tests per day per type of material or source of materials being placed is required during stable weather conditions. During unstable weather, tests shall be made as dictated by local conditions and approved moisture content shall be tested in accordance with ASTM D 2216.

3.14.3 Optimum Moisture and Laboratory Maximum Density

Tests shall be made for each type material or source of material, including borrow material to determine the optimum moisture and laboratory maximum density values. One representative test per 100 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density will be made.

3.15 GRADING

Areas within 5 feet outside of each building and structure line shall be constructed true-to-grade, shaped to drain, and shall be maintained free of trash and debris until final inspection has been completed and the work has been accepted.

3.16 SPREADING TOPSOIL

Areas outside the building lines from which topsoil has been removed shall be topsoiled. The surface shall be free of materials that would hinder planting or maintenance operations. The subgrade shall be pulverized to a depth of 2 inches by disking or plowing for the bonding of topsoil with the subsoil. Topsoil shall then be uniformly spread, graded, and compacted to the thickness, elevations, slopes shown, and left free of surface irregularities. Topsoil shall be compacted by one pass of a cultipacker, roller, or other approved equipment weighing 100 to 160 pounds per linear foot of roller. Topsoil shall not be placed when the subgrade is frozen, excessively wet, extremely dry, or in a condition otherwise detrimental to seeding, planting, or proper grading.

3.17 PROTECTION

Settlement or washing that occurs in graded, topsoiled, or backfilled areas prior to acceptance of the work, shall be repaired and grades reestablished to the required elevations and slopes.

3.18 Protection of Freshwater Intake Pipe

After other required tests have been performed and the trench backfill compacted to 2 feet above the top of the pipe, the pipe shall be inspected to determine whether significant displacement has occurred. This inspection shall be conducted in the presence of the Contracting Officer.

The inspection shall consist of a digital video mounted on a crawler of the intake fresh water pipes. The camera shall record elevation and length of crawl. A diver may be required to facilitate the inspection. Two copies of the digital camera footage shall be provided to the Contracting Officer.

If, in the judgement of the Contracting Officer, the interior of the pipe shows poor alignment or any other defects that would cause improper functioning of the system, the defects shall be remedied as directed at no additional cost to the Government. If the site is dewatered, the digital video may be waived by the contracting officer if the alignment can be physically verified.

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SECTION 02316A

EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS
11/97

PART 1 GENERAL

This specification shall govern the installation of the utilities only. See specification Section 02315a for excavation, filling and backfilling for the pumphouse and water intake pipes.

Subsurface Investigation Drill Logs are shown on the drawings.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1556	(1990; R 1996) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))
ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 3017	(1988; R1996e1) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)

1.2 MEASUREMENT AND PAYMENT

Measurement and payment shall be based on completed work performed in accordance with the drawings and specifications.

1.2.1 Trench Excavation

Trench excavation shall be the number of linear feet measured along the centerline of the trench and excavated to the depths and widths specified for the particular size of pipe. No increase shall be made for the extra width required for various structures. Payment for trench excavation, as so measured, shall constitute full payment for excavation and backfilling,

except in rock or unstable trench bottoms. Unstable trench bottoms shall be replaced by select granular material and paid for as specified below. Trench excavation shall also include the additional width at various structures, the furnishing, placing and removal of sheeting and bracing, pumping and bailing, and all incidentals necessary to complete the work required by this section.

1.2.2 Sheeting and Bracing

Sheeting, piles and bracing, shall be considered incidental component to the Job.

1.2.3 Infiltration Gallery and Raw Water Intake

The infiltration gallery and raw water intake shall be the number of linear feet of infiltration piping and conveyance piping installed. The engineered fill shall be based upon cubic yards, as installed. Costs for ancillary equipment and barges shall be incidental to the installed cost.

1.3 DEGREE OF COMPACTION

Degree of compaction shall be expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Field Density Tests; G-RE,
Testing of Backfill Materials; G-RE

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

SD-08 Manufacturer's Instructions

Installation Workplan; G-ED,

Copies of the Contractor's proposed method of installation shall be submitted for approval.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SC, SW-SC, SP-SM, SP-SC, CL, ML, and CL-ML. Infiltration gallery engineered material shall be as defined in section 02620a "Infiltration Gallery Filter Bed."

2.1.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills, trash, refuse, or backfills from previous construction. Unsatisfactory material also includes material classified as satisfactory which contains root and other organic matter, frozen material, and stones larger than 3 inches. The Contracting Officer shall be notified of any contaminated materials.

2.1.3 Cohesionless and Cohesive Materials

Cohesionless materials shall include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials shall include materials classified as GC, SC, ML, and CL. Materials classified as GM and SM shall be identified as cohesionless only when the fines are nonplastic.

2.1.4 Unyielding Material

Unyielding material shall consist of rock and gravelly soils with stones greater than 3 inches in any dimension or as defined by the pipe manufacturer, whichever is smaller.

2.1.5 Unstable Material

Unstable material shall consist of materials too wet to properly support the utility pipe, conduit, or appurtenant structure.

2.1.6 Select Granular Material

Select granular material shall consist of well-graded sand, gravel, crushed gravel, crushed stone or crushed slag composed of hard, tough and durable particles, and shall contain not more than 10 percent by weight of material passing a No. 200 mesh sieve and no less than 95 percent by weight passing the 1 inch sieve. The maximum allowable aggregate size shall be 1 inch, or the maximum size recommended by the pipe manufacturer, whichever is smaller.

2.1.7 Initial Backfill Material

Initial backfill shall consist of select granular material or satisfactory materials free from rocks 1 inch or larger in any dimension or free from rocks of such size as recommended by the pipe manufacturer, whichever is smaller. When the pipe is coated or wrapped for corrosion protection, the initial backfill material shall be free of stones larger than 0.5 inches in any dimension or as recommended by the pipe manufacturer, whichever is smaller.

2.2 PLASTIC MARKING TAPE

Plastic marking tape shall be acid and alkali-resistant polyethylene film, 6 inches wide with minimum thickness of 0.004 inch. Tape shall have a minimum strength of 1750 psi lengthwise and 1500 psi crosswise. The tape shall be manufactured with integral wires, foil backing or other means to enable detection by a metal detector when the tape is buried up to 3 feet deep. The tape shall be of a type specifically manufactured for marking and locating underground utilities. The metallic core of the tape shall be encased in a protective jacket or provided with other means to protect it from corrosion. Tape color shall be as specified in TABLE 1 and shall bear

a continuous printed inscription describing the specific utility.

TABLE 1. Tape Color

Red:	Electric
Yellow:	Gas, Oil, Dangerous Materials
Orange:	Telephone, Telegraph, Television, Police, and Fire Communications
Blue:	Water Systems
Green:	Sewer Systems

PART 3 EXECUTION

3.1 EXCAVATION

Excavation shall be performed to the lines and grades indicated. Rock excavation shall include removal and disposition of material defined as rock in paragraph MATERIALS. Earth excavation shall include removal and disposal of material not classified as rock excavation. During excavation, material satisfactory for backfilling shall be stockpiled in an orderly manner at a distance from the banks of the trench equal to 1/2 the depth of the excavation, but in no instance closer than 2 feet. Excavated material not required or not satisfactory for backfill shall be removed from the site. Grading shall be done as may be necessary to prevent surface water from flowing into the excavation, and any water accumulating shall be removed to maintain the stability of the bottom and sides of the excavation. Dewatering shall be as required to accomplish the task. If dewatering is required, the Contractor shall submit a dewatering plan as defined in Section 02315A. Unauthorized overexcavation shall be backfilled in accordance with paragraph BACKFILLING AND COMPACTION at no additional cost to the Government.

3.1.1 Trench Excavation Requirements

The trench shall be excavated as recommended by the manufacturer of the pipe to be installed. Trench walls below the top of the pipe shall be sloped, or made vertical, and of such width as recommended in the manufacturer's installation manual. Where no manufacturer's installation manual is available, trench walls shall be made vertical. Trench walls more than 4 feet high shall be shored, cut back to a stable slope, or provided with equivalent means of protection for employees who may be exposed to moving ground or cave in. Vertical trench walls more than 1.25 feet high shall be shored. Trench walls which are cut back shall be excavated to at least the angle of repose of the soil. Special attention shall be given to slopes which may be adversely affected by weather or moisture content. The trench width below the top of pipe shall not exceed 24 inches plus pipe outside diameter (O.D.) for pipes of less than 24 inches inside diameter and shall not exceed 36 inches plus pipe outside diameter for sizes larger than 24 inches inside diameter. Where recommended trench widths are exceeded, redesign, stronger pipe, or special installation procedures shall be utilized by the Contractor. The cost of redesign, stronger pipe, or special installation procedures shall be borne by the Contractor without any additional cost to the Government.

3.1.1.1 Bottom Preparation

The bottoms of trenches shall be accurately graded to provide uniform bearing and support for the bottom quadrant of each section of the pipe. Bell holes shall be excavated to the necessary size at each joint or

coupling to eliminate point bearing. Stones of 3 inches or greater in any dimension, or as recommended by the pipe manufacturer, whichever is smaller, shall be removed to avoid point bearing.

3.1.1.2 Removal of Unyielding Material

Where overdepth is not indicated and unyielding material is encountered in the bottom of the trench, such material shall be removed 4 inches below the required grade and replaced with suitable materials as provided in paragraph BACKFILLING AND COMPACTION.

3.1.1.3 Excavation for Appurtenances

Excavation for structures shall be sufficient to leave at least 12 inches clear between the outer structure surfaces and the face of the excavation or support members of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. Removal of unstable material shall be as specified above. When concrete or masonry is to be placed in an excavated area, special care shall be taken not to disturb the bottom of the excavation. Excavation to the final grade level shall not be made until just before the concrete or masonry is to be placed.

3.1.1.4 Jacking, Boring, and Tunneling

Unless otherwise indicated, excavation shall be by open cut except that sections of a trench may be jacked, bored, or tunneled if, in the opinion of the Contracting Officer, the pipe, cable, or duct can be safely and properly installed and backfill can be properly compacted in such sections.

3.1.2 Stockpiles

Stockpiles of satisfactory and unsatisfactory and wasted materials shall be placed and graded as specified. Stockpiles shall be kept in a neat and well drained condition, giving due consideration to drainage at all times. The ground surface at stockpile locations shall be cleared, grubbed, and sealed by rubber-tired equipment, excavated satisfactory and unsatisfactory materials shall be separately stockpiled. Stockpiles of satisfactory materials shall be protected from contamination which may destroy the quality and fitness of the stockpiled material. If the Contractor fails to protect the stockpiles, and any material becomes unsatisfactory, such material shall be removed and replaced with satisfactory material from approved sources at no additional cost to the Government. Locations of stockpiles of satisfactory materials shall be subject to prior approval of the Contracting Officer.

3.2 BACKFILLING AND COMPACTION

Backfill material shall consist of satisfactory material, select granular material, or initial backfill material as required. Backfill shall be placed in layers not exceeding 6 inches loose thickness for compaction by hand operated machine compactors, and 8 inches loose thickness for other than hand operated machines, unless otherwise specified. Each layer shall be compacted to at least 95 percent maximum density for cohesionless soils and 90 percent maximum density for cohesive soils, unless otherwise specified.

3.2.1 Trench Backfill

Trenches shall be backfilled to the grade shown.

3.2.1.1 Replacement of Unyielding Material

Unyielding material removed from the bottom of the trench shall be replaced with select granular material or initial backfill material.

3.2.1.2 Replacement of Unstable Material

Unstable material removed from the bottom of the trench or excavation shall be replaced with select granular material placed in layers not exceeding 6 inches loose thickness.

3.2.1.3 Bedding and Initial Backfill

Initial backfill material shall be placed and compacted with approved tampers to a height of at least one foot above the utility pipe or conduit if above groundwater. The backfill shall be brought up evenly on both sides of the pipe for the full length of the pipe. If backfill is conducted below groundwater, fill material shall be a cohesionless material and shall be backfilled and compacted with a backhoe until firm. Backfill around pipe shall be compacted in 12 inch lifts with a backhoe bucket if performed in water. If dewatering is accomplished for the fresh water pipe, backfill shall be placed in layers not exceeding 6 inches loose thickness for compaction by hand operated machine compactors, and 8 inches loose thickness for other than hand operated machines, unless otherwise specified. Care shall be taken to ensure thorough compaction of the fill under the haunches of the pipe.

3.2.1.4 Final Backfill

The remainder of the trench, except for special materials for roadways shall be filled with satisfactory material. Backfill material shall be placed and compacted as follows:

- b. Sidewalks, Turfed or Seeded Areas and Miscellaneous Areas:
Backfill shall be deposited in layers of a maximum of 12 inch loose thickness, and compacted to 85 percent maximum density for cohesive soils and 90 percent maximum density for cohesionless soils. This requirement shall also apply to all other areas not specifically designated above.

3.2.2 Backfill for Appurtenances

After the structure has been constructed and the concrete has been allowed to cure for 7 days, backfill shall be placed in such a manner that the structure will not be damaged by the shock of falling earth. The backfill material shall be deposited and compacted as specified for final backfill, and shall be brought up evenly on all sides of the structure to prevent eccentric loading and excessive stress.

3.3 Engineered Fill Placement

The Contractor shall develop a workplan to be submitted for Corps approval no less than three weeks prior to proposed construction activity within the dredge cut area. The Contractor shall outline his proposed installation method for the infiltration gallery and raw water intake piping, all conveyance piping, air backwash and schumasoil (or engineer equal) piping,

as well as the projected transtion element/penetration detail into the pumphouse.

The workplan shall detail all safety precautions to be taken as part of the work effort as well as all special tools and equipment to be required for the construction effort.

If the Contractor requires diving services as part of his quality control or installation process, all diving activities shall conform with section 01080 of this contract.

Piping material shall be as defined in section 15200a.

3.4 SPECIAL REQUIREMENTS

Special requirements for both excavation and backfill relating to the specific utilities are as follows:

3.4.1 Gas Distribution

Trenches shall be excavated to a depth that will provide not less than 18 inches of cover in rock excavation and not less than 24 inches of cover in other excavation. Trenches shall be graded as specified for pipe-laying requirements in Section 02556 GAS DISTRIBUTION SYSTEM.

3.4.2 Water Lines

Trenches shall be of a depth to provide a minimum cover of 7.5 feet from the existing ground surface, or from the indicated finished grade, whichever is lower, to the top of the pipe.

3.4.3 Electrical Distribution System

Direct burial cable and conduit or duct line shall have a minimum cover of 24 inches from the finished grade, unless otherwise indicated. [Special trenching requirements for direct-burial electrical cables and conduits are specified in Section 16375 ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND.]

3.4.4 Plastic Marking Tape

Warning tapes shall be installed directly above the pipe, at a depth of [18] 18 inches below finished grade unless otherwise shown.

3.5 TESTING

Testing shall be the responsibility of the Contractor and shall be performed at no additional cost to the Government.

3.5.1 Testing Facilities

Tests shall be performed by an approved commercial testing laboratory or may be tested by facilities furnished by the Contractor. No work requiring testing will be permitted until the facilities have been inspected and approved by the Contracting Officer.

3.5.2 Testing of Backfill Materials

Classification of backfill materials shall be determined in accordance with

ASTM D 2487 and the moisture-density relations of soils shall be determined in accordance with ASTM D 1557. A minimum of one soil classification and one moisture-density relation test shall be performed on each different type of material used for bedding and backfill.

3.5.3 Field Density Tests

Tests shall be performed in sufficient numbers to ensure that the specified density is being obtained. A minimum of one field density test per lift of backfill for every 100 feet of installation shall be performed. One moisture density relationship shall be determined for every 1500 cubic yards of material used. Field in-place density shall be determined in accordance with ASTM D 1556 ASTM D 2167 ASTM D 2922. When ASTM D 2922 is used, the calibration curves shall be checked and adjusted using the sand cone method as described in paragraph Calibration of the ASTM publication. ASTM D 2922 results in a wet unit weight of soil and when using this method, ASTM D 3017 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall be checked along with density calibration checks as described in ASTM D 3017. The calibration checks of both the density and moisture gauges shall be made at the beginning of a job, on each different type of material encountered, at intervals as directed by the Contracting Officer. Copies of calibration curves, results of calibration tests, and field and laboratory density tests shall be furnished to the Contracting Officer. Trenches improperly compacted shall be reopened to the depth directed, then refilled and compacted to the density specified at no additional cost to the Government.

3.5.4 Displacement of Piping

After other required tests have been performed and the trench backfill compacted to 2 feet above the top of the pipe, the pipe shall be inspected to determine whether significant displacement has occurred. This inspection shall be conducted in the presence of the Contracting Officer.

If, in the judgement of the Contracting Officer, the interior of the pipe shows poor alignment or any other defects that would cause improper functioning of the system, the defects shall be remedied as directed at no additional cost to the Government.

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SECTION 02620A

INFILTRATION GALLERY FILTER BED
09/01

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-09 Reports

Gradation Test Results; G-ED

Initial gradation test results of the medium sand, course sand, and gravel shall be submitted to the Contracting Officer for approval a minimum of ten (10) days prior to placement. Subsequent gradation testing conducted from onsite stockpiles shall be submitted to the Contracting Officer for approval a minimum of two (2) days prior to placement.

PART 2 PRODUCTS

2.1 PIPE FOR THE INFILTRATION GALLERY

Pipe for the infiltration gallery shall be of the types and sizes indicated in Section 15200a. Placement shall be as defined in section 02316a and paragraph 3.2 of this section. Materials of construction for piping shall be as defined in section 15200a.

2.2 GRANULAR FILTER MATERIAL

Granular filter shall be washed sand, sand and gravel, crushed stone, crushed stone screenings, or slag composed of hard, tough, durable particles free from adherent coatings. Filter material shall not contain corrosive agents, organic matter, or soft, friable, thin, or elongated particles and shall be evenly graded between the limits specified in TABLE I. Gradation curves will exhibit no abrupt changes in slope denoting skip or gap grading. Filter materials shall be clean and free from soil and foreign materials. Granular filter material found to be dirty or otherwise contaminated shall be removed and replaced with material meeting the specific requirements, at no additional cost to the Government.

TABLE I. FILTER GRADATION

Medium Sand:

<u>Sieve Designation</u>	<u>Percent by Weight Passing Square-Mesh Sieve</u>
No. 8	100
No. 10	75-95
No. 20	40-60
No. 30	20-40
No. 45	5-20

Coarse Sand:

<u>Sieve Designation</u>	<u>Percent by Weight Passing Square-Mesh Sieve</u>
1/4 Inch	100
No. 4	75-95
No. 6	40-60
No. 8	20-40
No. 10	5-20

Gravel:

<u>Sieve Designation</u>	<u>Percent by Weight Passing Square-Mesh Sieve</u>
1-1/4 Inch	100
1 Inch	75-95
3/4 Inch	40-60
1/2 Inch	20-40
3/8 Inch	5-20

PART 3 EXECUTION

3.1 STOCKPILES

Stockpiles shall be kept in a neat and well drained condition, giving due consideration to drainage at all times. The ground surface at stockpile locations shall be cleared, grubbed, and sealed by rubber-tired equipment. The medium sand, coarse sand, and gravel stockpiles shall be stockpiled separately and precautions taken to avoid mixing of the various materials. Stockpiles shall be protected from contamination which may destroy the quality and fitness of the stockpiled material. If the Contractor fails to protect the stockpiles, and any material becomes unsatisfactory, such material shall be removed and replaced with satisfactory material from approved sources at no additional cost to the Government. Locations of stockpiles shall be subject to prior approval of the Contracting Officer.

3.2 INSTALLATION OF PIPE FOR THE INFILTRATION GALLERY

The installation of the piping for the infiltration gallery is specified in Section 02316a.

3.3 PLACEMENT OF GRANULAR FILTER MATERIAL

Granular filter material shall be placed utilizing a clamshell bucket, tremmying the material into place, or by any other means which will not lead to segregation of the filter material. Under no circumstances shall

any material be dropped from a distance of greater than 3 feet. After the initial 12-inch minimum thickness of base gravel has been placed, the infiltration gallery piping shall be placed, inspected, and approved prior to the placement of additional granular fill. Additional gravel shall then be placed around and over the piping and the subsequent layers of course sand and medium sand shall be placed at the minimum thicknesses shown on the drawings. The initial lift of gravel shall be placed in a manner to provide a relatively smooth surface to place the piping upon. Compaction of the granular fill materials is not required but each layer shall be placed to a reasonably even surface free from depressions or mounds. Care should be exercised at the perimeter of the filtration bed to assure that the minimum thickness of each layer is also present past the edge of piping where the materials toe out to the lake bottom. Extreme care shall be exercised while placing granular filter material around or on top of the piping. Any pipe damaged during the placement of the filter material shall be replaced at the Contractor's expense. If the Contractor utilizes a diver during placement of granular fill installation of piping, quality control, or for any other purposes, all diving operations shall be in accordance with Section 01080.

3.4 GRADATION TESTING

Initial gradation testing of the materials identified in paragraph 2.4, to be used for this project, shall be performed by the material supplier and submitted by the Contractor to the Contracting officer a minimum of (10) days prior to placement. Once these materials are delivered to the site, the Contractor will be responsible for providing gradation testing results to the Contracting Officer for approval, from onsite material stockpiles, a minimum of (2) days prior to placement. One sample shall be randomly obtained and tested for each 1,000 cubic yards of material stockpiled. All gradation testing shall be in accordance with ASTM C 136-.

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SECTION 02630A

STORM-DRAINAGE SYSTEM

03/00

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SECTION 02630A

STORM-DRAINAGE SYSTEM
03/00

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 198 (1998) Joints for Circular Concrete Sewer and Culvert Pipe Using Flexible Watertight Gaskets

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 742/A 742M (1998) Steel Sheet, Metallic Coated and Polymer Precoated for Corrugated Steel Pipe

ASTM A 762/A 762M (1998) Corrugated Steel Pipe, Polymer Precoated for Sewers and Drains

ASTM A 798/A 798M (1997a) Installing Factory-Made Corrugated Steel Pipe for Sewers and Other Applications

ASTM C 76 (1999) Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe

ASTM C 231 (1997e1) Air Content of Freshly Mixed Concrete by the Pressure Method

ASTM C 270 (1997) Mortar for Unit Masonry

ASTM C 443 (1998) Joints for Circular Concrete Sewer and Culvert Pipe, Using Rubber Gaskets

ASTM C 506 (1999) Reinforced Concrete Arch Culvert, Storm Drain, and Sewer Pipe

ASTM D 1056 (1998) Flexible Cellular Materials - Sponge or Expanded Rubber

ASTM D 1171 (1994) Rubber Deterioration - Surface Ozone Cracking Outdoors or Chamber (Triangular Specimens)

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Placing Pipe;

Printed copies of the manufacturer's recommendations for installation procedures of the material being placed, prior to installation.

SD-07 Certificates

Determination of Density; G-RE

Certified copies of test reports demonstrating conformance to applicable pipe specifications, before pipe is installed.

1.3 DELIVERY, STORAGE, AND HANDLING

1.3.1 Delivery and Storage

Materials delivered to site shall be inspected for damage, unloaded, and stored with a minimum of handling. Materials shall not be stored directly on the ground. The inside of pipes and fittings shall be kept free of dirt and debris. The Contractor shall have a copy of the manufacturer's instructions available at the construction site at all times and shall follow these instructions unless directed otherwise by the Contracting Officer.

1.3.2 Handling

Materials shall be handled in a manner that ensures delivery to the trench in sound, undamaged condition. Pipe shall be carried to the trench, not dragged.

PART 2 PRODUCTS

2.1 PIPE FOR CULVERTS AND STORM DRAINS

Pipe for culverts and storm drains shall be of the sizes indicated and shall conform to the requirements specified.

2.1.1 Concrete Pipe

ASTM C 76, Class [I] [II] [III] [IV] [V]

2.1.1.1 Reinforced Arch Culvert and Storm Drainpipe

ASTM C 506, Class [A-II] [A-III] [A-IV].

2.1.2 Corrugated Steel Pipe

2.1.2.1 Polymer Precoated

ASTM A 762/A 762M corrugated steel pipe fabricated from ASTM A 742/A 742M Grade 10/10 polymer precoated sheet of either:

- a. Type I or II pipe with helical 2-2/3 by 1/2 inch corrugations.
- b. Type IR or IIR pipe with helical 3/4 by 3/4 by 7-1/2 inch corrugations.

2.2 DRAINAGE STRUCTURES

2.2.1 Flared End Sections

Sections shall be of a standard design of the manufacturer.

2.3 MISCELLANEOUS MATERIALS

2.3.1 Concrete

Unless otherwise specified, reinforced concrete shall conform to the requirements for 4000 psi concrete under Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. The concrete mixture shall have air content by volume of concrete, based on measurements made immediately after discharge from the mixer, of 5 to 7 percent when maximum size of coarse aggregate exceeds 1-1/2 inches. Air content shall be determined in accordance with ASTM C 231.

The concrete covering over steel reinforcing shall not be less than 1-1/2 inches thick for walls and flooring. Concrete covering deposited directly against the ground shall have a thickness of at least 3 inches between steel and ground.

2.3.2 Mortar

Mortar for pipe joints and connections to other drainage structures shall conform to ASTM C 270, Type M, except that the maximum placement time shall be 1 hour. The quantity of water in the mixture shall be sufficient to produce a stiff workable mortar but in no case shall exceed 5 gallons of water per sack of cement. Water shall be clean and free of harmful acids, alkalies, and organic impurities. The mortar shall be used within 30 minutes after the ingredients are mixed with water.

2.3.3 Joints

2.3.3.1 Flexible Watertight Joints

- a. Materials: Flexible watertight joints shall be made with plastic or rubber-type gaskets for concrete pipe. The design of joints and the physical requirements for plastic gaskets shall conform to AASHTO M 198, and rubber-type gaskets shall conform to ASTM C 443. Gaskets shall have not more than one factory-fabricated splice, except that two factory-fabricated splices of the rubber-type gasket are permitted if the nominal diameter of the pipe being gasketed exceeds 54 inches.

2.3.3.2 Flexible Watertight, Gasketed Joints

a. Gaskets: Couplings shall be required to have gaskets. The closed-cell expanded rubber gaskets shall be a continuous band approximately 7 inches wide and approximately 3/8 inch thick, meeting the requirements of ASTM D 1056, Type 2 A1, and shall have a quality retention rating of not less than 70 percent when tested for weather resistance by ozone chamber exposure, Method B of ASTM D 1171. Rubber O-ring gaskets shall be 13/16 inch in diameter for pipe diameters of 36 inches or smaller and 7/8 inch in diameter for larger pipe having 1/2 inch deep end corrugation. O-rings shall meet the requirements of AASHTO M 198 or ASTM C 443.

b. Connecting Bands: Corrugated steel pipe connecting bands shall conform to ASTM A 742 with the same polymer coating grade as that used for fabrication of the pipe. Pipe with helical corrugations shall have each end factory reformed to annular corrugations of the same dimensions as those in the pipe. Width reformed end shall be equal to at least half the width of the band being used. Rods, lugs, and nuts shall be zinc or cadmium coated.

Rod and Lug: Rods shall be not less than 1/2 inch in diameter

Angle-Bolt: Angles shall be not less than 2 inches by 2 inches by 3/16 inch by the width of the band minus 1 inch, adequately fastened to each end of band and half bands. The angles shall be zinc-coated. The connection bolts shall be either zinc or cadmium coated, not less than 1/2 inch in diameter per connection.

PART 3 EXECUTION

3.1 EXCAVATION FOR PIPE CULVERTS, STORM DRAINS, AND DRAINAGE STRUCTURES

Excavation of trenches, and for appurtenances and backfilling for culverts and storm drains, shall be in accordance with the applicable portions of Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS and the requirements specified below.

3.1.1 Trenching

The width of trenches at any point below the top of the pipe shall be not greater than required permit satisfactory jointing and thorough tamping of the bedding material under and around the pipe. Sheeting and bracing, where required, shall be placed within the trench width as specified. Contractor shall not overexcavate.

3.1.2 Removal of Unstable Material

Where wet or otherwise unstable soil incapable of properly supporting the pipe, as determined by the Contracting Officer, is unexpectedly encountered in the bottom of a trench, such material shall be removed to the depth required and replaced to the proper grade with select granular material, compacted as provided in paragraph BACKFILLING. When removal of unstable material is due to the fault or neglect of the Contractor in his performance of shoring and sheeting, water removal, or other specified requirements, such removal and replacement shall be performed at no additional cost to the government.

3.2 BEDDING

The bedding surface for the pipe shall provide a firm foundation of uniform density throughout the entire length of the pipe.

3.2.1 Concrete Pipe Requirements

Select granular material and initial backfill conforming to Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS shall be compacted to at least 90 percent maximum density and shall be placed in layers not exceeding 6 inch loose thickness for compaction by hand-operated compactors and 8 inches for other than hand-operated machines. After the pipe has been properly bedded, select granular material, at a moisture content that will facilitate compaction, shall be placed evenly along both sides of the pipe and each layer thoroughly compacted with mechanical tampers or rammers to the depth indicated on the drawings. The backfill shall be thoroughly compacted under the haunches of the pipe. Initial backfill material shall be placed above the select granular material to at least 12 inches above the top of the pipe. Bell holes and depressions for joints shall be not more than the length, depth, and width required for properly making the particular type of joint.

3.2.2 Corrugated Metal Pipe

Bedding and structural backfill for corrugated metal pipe and pipe arch shall be in accordance with ASTM A 798/A 798M. Bedding and structural backfill shall consist of select granular material conforming to the requirements in Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. It is not required to shape the bedding to the pipe geometry. However, for pipe arches, the Contractor shall either shape the bedding to the relatively flat bottom arc or fine grade the foundation to a shallow v-shape.

3.3 PLACING PIPE

Each pipe shall be thoroughly examined before being laid; defective or damaged pipe shall not be used. Pipelines shall be laid to the grades and alignment indicated. Proper facilities shall be provided for lowering sections of pipe into trenches. Pipe shall not be laid in water, and pipe shall not be laid when trench conditions or weather are unsuitable for such work. Diversion of drainage or dewatering of trenches during construction shall be provided as necessary. Deflection of installed flexible pipe shall not exceed the following limits:

TYPE OF PIPE	MAXIMUM ALLOWABLE DEFLECTION (%)
Corrugated Steel	5

3.3.1 Concrete Pipe

Laying shall proceed upgrade with spigot ends of bell-and-spigot pipe and tongue ends of tongue-and-groove pipe pointing in the direction of the flow.

3.3.2 Elliptical Reinforced Concrete Pipe

The manufacturer's reference lines, designating the top of the pipe, shall be within 5 degrees of a vertical plane through the longitudinal axis of the pipe, during placement. Damage to or misalignment of the pipe shall be prevented in all backfilling operations.

3.3.3 Corrugated Metal Pipe and Pipe Arch

Laying shall be with the separate sections joined firmly together, with the outside laps of circumferential joints pointing upstream, and with longitudinal laps on the sides. During transportation and installation, pipe or pipe arch and coupling bands shall be handled with care to preclude damage to the coating. Damaged coatings shall be repaired in accordance with the manufacturer's recommendations prior to placing backfill. Pipe on which coating has been damaged to such an extent that satisfactory field repairs cannot be made shall be removed and replaced.

3.3.4 Multiple Culverts

Where multiple lines of pipe are installed, adjacent sides of concrete pipe shall be at least half the nominal pipe diameter or 3 feet apart, whichever is less. Adjacent sides of round corrugated metal pipe up to 24 inches in diameter shall be at least 12 inches apart. Adjacent sides of arch corrugated metal pipe shall be at least one third the pipe span apart.

3.4 JOINTING

3.4.1 Concrete Pipe

3.4.1.1 Cement-Mortar Bell-and-Spigot Joint

The first pipe shall be bedded to the established gradeline, with the bell end placed upstream. The interior surface of the bell shall be thoroughly cleaned with a wet brush and the lower portion of the bell filled with mortar as required to bring inner surfaces of abutting pipes flush and even. The spigot end of each subsequent pipe shall be cleaned with a wet brush and uniformly matched into a bell so that sections are closely fitted. After each section is laid, the remainder of the joint shall be filled with mortar, and a bead shall be formed around the outside of the joint with sufficient additional mortar. If mortar is not sufficiently stiff to prevent appreciable slump before setting, the outside of the joint shall be wrapped or bandaged with cheesecloth to hold mortar in place.

3.4.1.2 Cement-Mortar Oakum Joint for Bell-and-Spigot Pipe

A closely twisted gasket shall be made of jute or oakum of the diameter required to support the spigot end of the pipe at the proper grade and to make the joint concentric. Joint packing shall be in one piece of sufficient length to pass around the pipe and lap at top. This gasket shall be thoroughly saturated with neat cement grout. The bell of the pipe shall be thoroughly cleaned with a wet brush, and the gasket shall be laid in the bell for the lower third of the circumference and covered with mortar. The spigot of the pipe shall be thoroughly cleaned with a wet brush, inserted in the bell, and carefully driven home. A small amount of mortar shall be inserted in the annular space for the upper two-thirds of the circumference. The gasket shall be lapped at the top of the pipe and driven home in the annular space with a caulking tool. The remainder of the annular space shall be filled completely with mortar and beveled at an

angle of approximately 45 degrees with the outside of the bell. If mortar is not sufficiently stiff to prevent appreciable slump before setting, the outside of the joint thus made shall be wrapped with cheesecloth. Placing of this type of joint shall be kept at least five joints behind laying operations.

3.4.1.3 Cement-Mortar Tongue-and-Groove Joint

The first pipe shall be bedded carefully to the established gradeline with the groove upstream. A shallow excavation shall be made underneath the pipe at the joint and filled with mortar to provide a bed for the pipe. The grooved end of the first pipe shall be thoroughly cleaned with a wet brush, and a layer of soft mortar applied to the lower half of the groove. The tongue of the second pipe shall be cleaned with a wet brush; while in horizontal position, a layer of soft mortar shall be applied to the upper half of the tongue. The tongue end of the second pipe shall be inserted in the grooved end of the first pipe until mortar is squeezed out on interior and exterior surfaces. Sufficient mortar shall be used to fill the joint completely and to form a bead on the outside.

3.4.1.4 Plastic Sealing Compound Joints for Tongue-and-Grooved Pipe

Sealing compounds shall follow the recommendation of the particular manufacturer in regard to special installation requirements. Surfaces to receive lubricants, primers, or adhesives shall be dry and clean. Sealing compounds shall be affixed to the pipe not more than 3 hours prior to installation of the pipe, and shall be protected from the sun, blowing dust, and other deleterious agents at all times. Sealing compounds shall be inspected before installation of the pipe, and any loose or improperly affixed sealing compound shall be removed and replaced. The pipe shall be aligned with the previously installed pipe, and the joint pulled together. If, while making the joint with mastic-type sealant, a slight protrusion of the material is not visible along the entire inner and outer circumference of the joint when the joint is pulled up, the pipe shall be removed and the joint remade. After the joint is made, all inner protrusions shall be cut off flush with the inner surface of the pipe. If nonmastic-type sealant material is used, the "Squeeze-Out" requirement above will be waived.

3.4.1.5 Flexible Watertight Joints

Gaskets and jointing materials shall be as recommended by the particular manufacturer in regard to use of lubricants, cements, adhesives, and other special installation requirements. Surfaces to receive lubricants, cements, or adhesives shall be clean and dry. Gaskets and jointing materials shall be affixed to the pipe not more than 24 hours prior to the installation of the pipe, and shall be protected from the sun, blowing dust, and other deleterious agents at all times. Gaskets and jointing materials shall be inspected before installing the pipe; any loose or improperly affixed gaskets and jointing materials shall be removed and replaced. The pipe shall be aligned with the previously installed pipe, and the joint pushed home. If, while the joint is being made the gasket becomes visibly dislocated the pipe shall be removed and the joint remade.

3.4.2 Corrugated Metal Pipe

3.4.2.1 Field Joints

Transverse field joints shall be designed so that the successive connection of pipe sections will form a continuous line free of appreciable

irregularities in the flow line. In addition, the joints shall meet the general performance requirements described in ASTM A 798/A 798M. The space between the pipe and connecting bands shall be kept free from dirt and grit so that corrugations fit snugly. The connecting band, while being tightened, shall be tapped with a soft-head mallet of wood, rubber or plastic, to take up slack and ensure a tight joint. Field joints for each type of corrugated metal pipe shall maintain pipe alignment during construction and prevent infiltration of fill material during the life of the installations.

3.4.2.2 Flexible Watertight, Gasketed Joints

Installation shall be as recommended by the gasket manufacturer for use of lubricants and cements and other special installation requirements. Sleeve type gaskets shall be placed over one end of a section of pipe for half the width of the gasket. The other half shall be doubled over the end of the same pipe. When the adjoining section of pipe is in place, the doubled-over half of the gasket shall then be rolled over the adjoining section. Any unevenness in overlap shall be corrected so that the gasket covers the end of pipe sections equally. Connecting bands shall be centered over adjoining sections of pipe, and rods or bolts placed in position and nuts tightened. Band Tightening: The band shall be tightened evenly, even tension being kept on the rods or bolts, and the gasket; the gasket shall seat properly in the corrugations. Watertight joints shall remain uncovered for a period of time designated, and before being covered, tightness of the nuts shall be measured with a torque wrench. If the nut has tended to loosen its grip on the bolts or rods, the nut shall be retightened with a torque wrench and remain uncovered until a tight, permanent joint is assured.

3.5 DRAINAGE STRUCTURES

3.5.1 Inlets

Construction shall be of reinforced cast-in-place or precast concrete.

3.6 BACKFILLING

3.6.1 Final Backfill

The remainder of the trench above the initial backfill shall be backfilled in accordance with Section 02316a EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITY SYSTEMS.

3.6.2 Movement of Construction Machinery

When compacting by rolling or operating heavy equipment parallel with the pipe, displacement of or injury to the pipe shall be avoided. Movement of construction machinery over a culvert or storm drain at any stage of construction shall be at the Contractor's risk. Any damaged pipe shall be repaired or replaced.

3.6.3 Determination of Density

Except as otherwise specified herein, density testing shall be in accordance with Section 02316a EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITY SYSTEMS.

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SECTION 02731A

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01/98

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SECTION 02731A

AGGREGATE SURFACE COURSE
01/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 117	(1995) Materials Finer Than 75 micrometer (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C 136	(1996a) Sieve Analysis of Fine and Coarse Aggregates
ASTM D 75	(1987; R 1997) Sampling Aggregates
ASTM D 422	(1963; R 1998) Particle-Size Analysis of Soils
ASTM D 1556	(1990; R 1996e1) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1991; R 1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))
ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2922	(1996e1) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 3017	(1988; R 1996e1) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)
ASTM D 3740	(1999c) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM D 4318	(1998) Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM E 11	(1995) Wire-Cloth Sieves for Testing

Purposes

MONTANA DEPARTMENT OF TRANSPORTATION

MDOT (1995) Standard Specifications For Road
and Bridge Construction

1.2 DEGREE OF COMPACTION

Degree of compaction is a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557 abbreviated herein as present laboratory maximum density.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Equipment; G-RE

List of proposed equipment to be used in performance of construction work including descriptive data.

SD-06 Test Reports

Sampling and Testing; -RE
Density Tests; G-RE

Calibration curves and related test results prior to using the device or equipment being calibrated. Copies of field test results within 24 hours after the tests are performed. Test results from samples, not less than 30 days before material is required for the work. Results of laboratory tests for quality control purposes, for approval, prior to using the material.

1.4 EQUIPMENT

All plant, equipment, and tools used in the performance of the work covered by this section will be subject to approval by the Contracting Officer before the work is started and shall be maintained in satisfactory working condition at all times. The equipment shall be adequate and shall have the capability of producing the required compaction, and meeting the grade controls, thickness controls, and smoothness requirements set forth herein.

1.5 SAMPLING AND TESTING

Sampling and testing shall be the responsibility of the Contractor. Sampling and testing shall be performed by an approved commercial testing laboratory or by the Contractor, subject to approval. If the Contractor elects to establish its own testing facilities, approval of such facilities will be based on compliance with ASTM D 3740. No work requiring testing will be permitted until the Contractor's facilities have been inspected and approved.

1.5.1 Sampling

Sampling for material gradation, liquid limit, and plastic limit tests shall be taken in conformance with ASTM D 75. When deemed necessary, the sampling will be observed by the Contracting Officer.

1.5.2 Testing

1.5.2.1 Gradation

Aggregate gradation shall be made in conformance with ASTM C 117, ASTM C 136, and ASTM D 422. Sieves shall conform to ASTM E 11.

1.5.2.2 Liquid Limit and Plasticity Index

Liquid limit and plasticity index shall be determined in accordance with ASTM D 4318.

1.5.3 Approval of Materials

The source of the material to be used for producing aggregates shall be selected 30 days prior to the time the material will be required in the work. Approval of sources not already approved by the Corps of Engineers will be based on an inspection by the Contracting Officer. Tentative approval of materials will be based on appropriate test results on the aggregate source. Final approval of the materials will be based on tests for gradation, liquid limit, and plasticity index performed on samples taken from the completed and compacted surface course.

1.6 WEATHER LIMITATIONS

Aggregate surface courses shall not be constructed when the ambient temperatures is below 35 degrees F and on subgrades that are frozen or contain frost. It shall be the responsibility of the Contractor to protect, by approved method or methods, all areas of surfacing that have not been accepted by the Contracting Officer. Surfaces damaged by freeze, rainfall, or other weather conditions shall be brought to a satisfactory condition by the Contractor.

PART 2 PRODUCTS

2.1 AGGREGATES

Aggregates shall conform to the requirements for Crushed Top Surfacing Type "A" of Section 701.02 AGGREGATE FOR SURFACING of the MDOT. Aggregate gradation shall conform to either Grade 1, 2, 3 or 4 of Table 701-10 of the MDOT. The Contractor shall be responsible for obtaining materials that meet the specification and can be used to meet the grade and smoothness requirements specified herein after all compaction has been completed.

PART 3 EXECUTION

3.1 OPERATION OF AGGREGATE SOURCES

Clearing, stripping, and excavating shall be the responsibility of the Contractor. The aggregate sources shall be operated to produce the quantity and quality of materials meeting these specification requirements in the specified time limit. Aggregate sources on private lands shall be

conditioned in agreement with local laws or authorities.

3.2 STOCKPILING MATERIALS

Prior to stockpiling the material, the storage sites shall be cleared and leveled by the Contractor. All materials, including approved material available from excavation and grading, shall be stockpiled in the manner and at the locations designated. Aggregates shall be stockpiled in such a manner that will prevent segregation. Aggregates and binders obtained from different sources shall be stockpiled separately.

3.3 PREPARATION OF UNDERLYING SUBGRADE

The subgrade shall be cleaned of all foreign substances. At the time of surface course construction, the subgrade shall contain no frozen material.

Ruts or soft yielding spots in the subgrade areas having inadequate compaction and deviations of the surface from the requirements set forth herein shall be corrected by loosening and removing soft or unsatisfactory material and by adding approved material, reshaping to line and grade and recompacting. The completed subgrade shall not be disturbed by traffic or other operations and shall be maintained by the Contractor in a satisfactory condition until the surface course is placed.

3.4 GRADE CONTROL

During construction, the lines and grades including crown and cross slope indicated for the aggregate surface course shall be maintained by means of line and grade stakes placed by the Contractor in accordance with the SPECIAL CONTRACT REQUIREMENTS.

3.5 MIXING AND PLACING MATERIALS

The materials shall be mixed and placed to obtain uniformity of the material and a uniform optimum water content for compaction. The Contractor shall make adjustments in mixing, placing procedures, or in equipment to obtain the true grades, to minimize segregation and degradation, to obtain the desired water content, and to ensure a satisfactory surface course.

3.6 LAYER THICKNESS

The aggregate material shall be placed on the subgrade in layers of uniform thickness. When a compacted layer of 6 inches or less is specified, the material may be placed in a single layer; when a compacted thickness of more than 6 inches is required, no layer shall exceed 6 inches nor be less than 3 inches when compacted.

3.7 COMPACTION

Each layer of the aggregate surface course shall be compacted with approved compaction equipment. The water content during the compaction procedure shall be maintained at optimum or at the percentage specified by the Contracting Officer. In locations not accessible to the rollers, the mixture shall be compacted with mechanical tampers. Compaction shall continue until each layer through the full depth is compacted to at least 100 percent of laboratory maximum density. Any materials that are found to be unsatisfactory shall be removed and replaced with satisfactory material or reworked to produce a satisfactory material.

3.8 SMOOTHNESS TEST

The surface of each layer shall not show any deviations in excess of 3/8 inch when tested with a 10 foot straightedge applied both parallel with and at right angles to the centerline of the area to be paved. Deviations exceeding this amount shall be corrected by the Contractor by removing material, replacing with new material, or reworking existing material and compacting, as directed.

3.9 THICKNESS CONTROL

The completed thickness of the aggregate surface course shall be within 1/2 inch, plus or minus, of the thickness indicated on plans. The thickness of the aggregate surface course shall be measured at intervals in such manner that there will be a thickness measurement for at least each 500 square yards of the aggregate surface course. The thickness measurement shall be made by test holes at least 3 inches in diameter through the aggregate surface course. When the measured thickness of the aggregate surface course is more than 1/2 inch deficient in thickness, the Contractor, at no additional expense to the Government, shall correct such areas by scarifying, adding mixture of proper gradation, reblading, and recompacting, as directed. Where the measured thickness of the aggregate surface course is more than 1/2 inch) thicker than that indicated, it shall be considered as conforming with the specified thickness requirements plus 1/2 inch. The average job thickness shall be the average of the job measurements determined as specified above, but shall be within 1/4 inch of the thickness indicated. When the average job thickness fails to meet this criterion, the Contractor shall, at no additional expense to the Government, make corrections by scarifying, adding or removing mixture of proper gradation, and reblading and recompacting, as directed.

3.10 DENSITY TESTS

Density shall be measured in the field in accordance with ASTM D 1556, ASTM D 2167 or ASTM D 2922. For the method presented in ASTM D 1556 the base plate as shown in the drawing shall be used. For the method presented in ASTM D 2922 the calibration curves shall be checked and adjusted, if necessary, using only the sand cone method as described in paragraph Calibration of the ASTM publication. Tests performed in accordance with ASTM D 2922 result in a wet unit weight of soil and when using this method, ASTM D 3017 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall also be checked along with density calibration checks as described in ASTM D 3017. The calibration checks of both the density and moisture gauges shall be made by the prepared containers of material method, as described in paragraph Calibration of ASTM D 2922, on each different type of material being tested at the beginning of a job and at intervals, as directed.

3.11 MAINTENANCE

The aggregate surface course shall be maintained in a condition that will meet all specification requirements until accepted.

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SECTION 02821A

FENCING
07/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 153/A 153M	(2000) Zinc-Coating (Hot Dip) on Iron and Steel Hardware
ASTM A 392	(1996) Zinc-Coated Steel Chain-Link Fence Fabric
ASTM A 491	(1996) Aluminum-Coated Steel Chain-Link Fence Fabric
ASTM A 780	(2000) Repair of Damaged and Uncoated Areas of Hot-Dipped Galvanized Coatings
ASTM A 824	(1995) Metallic-Coated Steel Marcellled Tension Wire for Use With Chain Link Fence
ASTM C 94/C 94M	(2000) Ready-Mixed Concrete
ASTM F 626	(1996a) Fence Fittings
ASTM F 883	(1997) Padlocks
ASTM F 900	(1994) Industrial and Commercial Swing Gates
ASTM F 1043	(2000) Strength and Protective Coatings on Metal Industrial Chain-Link Fence Framework
ASTM F 1083	(1997) Specification for Pipe, Steel, Hot-Dipped Zinc-Coated (Galvanized) Welded, for Fence Structures
ASTM F 1184	(1994) Industrial and Commercial Horizontal Slide Gates

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be

submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-07 Certificates

Chain Link Fence

Statement, signed by an official authorized to certify on behalf of the manufacturer, attesting that the chain link fence and component materials meet the specified requirements.

PART 2 PRODUCTS

2.1 FENCE FABRIC

Fence fabric shall conform to the following:

2.1.1 Chain Link Fence Fabric

ASTM A 392, Class 1, zinc-coated steel wire with minimum coating weight of 1.2 ounces of zinc per square foot of coated surface, or ASTM A 491, Type I, aluminum-coated steel wire. Fabric shall be fabricated of 9 gauge wire woven in 2 inch mesh. Fabric height shall be as shown. Fabric shall be twisted and barbed on the top selvage and knuckled on the bottom selvage.

2.2 GATES

ASTM F 900 and/or ASTM F 1184. Gate shall be the type and swing shown. Gate frames shall conform to strength and coating requirements of ASTM F 1083 for Group IA, steel pipe, with external coating Type A, nominal pipe size (NPS) 1-1/2. Gate frames shall conform to strength and coating requirements of ASTM F 1043, for Group IC, steel pipe with external coating Type A or Type B, nominal pipe size (NPS) 1-1/2. Gate fabric shall be as specified for chain link fabric. Gate leaves more than 8 feet wide shall have either intermediate members and diagonal truss rods or shall have tubular members as necessary to provide rigid construction, free from sag or twist. Gate leaves less than 8 feet wide shall have truss rods or intermediate braces. Gate fabric shall be attached to the gate frame by method standard with the manufacturer except that welding will not be permitted. Latches, hinges, stops, keepers, and other hardware items shall be furnished as required for the operation of the gate. Latches shall be arranged for padlocking so that the padlock will be accessible from both sides of the gate. Stops shall be provided for holding the gates in the open position.

2.3 POSTS

2.3.1 Metal Posts for Chain Link Fence

ASTM F 1083, zinc-coated. Group IA, with external coating Type A steel pipe. Group IC steel pipe, zinc-coated with external coating Type A or Type B and Group II, roll-formed steel sections, shall meet the strength and coating requirements of ASTM F 1043. Group III, ASTM F 1043 steel H-section may be used for line posts in lieu of line post shapes specified for the other classes. Sizes shall be as shown on the drawings. Line posts and terminal (corner, gate, and pull) posts selected shall be of the same designation throughout the fence. Gate post shall be for the gate type specified subject to the limitation specified in ASTM F 900 and/or

ASTM F 1184.

2.4 BRACES AND RAILS

ASTM F 1083, zinc-coated, Group IA, steel pipe, size NPS 1-1/4. Group IC steel pipe, zinc-coated, shall meet the strength and coating requirements of ASTM F 1043. Group II, formed steel sections, size 1-21/32 inch, conforming to ASTM F 1043, may be used as braces and rails if Group II line posts are furnished.

2.5 WIRE

2.5.1 Tension Wire

Tension wire shall be Type I or Type II, Class 2 coating, in accordance with ASTM A 824.

2.6 ACCESSORIES

ASTM F 626. Ferrous accessories shall be zinc or aluminum coated. Truss rods shall be furnished for each terminal post. Truss rods shall be provided with turnbuckles or other equivalent provisions for adjustment. Tie wire for attaching fabric to rails, braces, and posts shall be 9 gauge steel wire and match the coating of the fence fabric. Miscellaneous hardware coatings shall conform to ASTM A 153/A 153M unless modified.

2.7 CONCRETE

ASTM C 94/C 94M, using 3/4 inch maximum size aggregate, and having minimum compressive strength of 3000 psi at 28 days.

2.8 PADLOCKS

Padlocks shall conform to ASTM F 883, Type P01, Options A, B, and G and Grade 6. , Size 1-3/4 inch. All padlocks shall be keyed alike. All padlocks shall be keyed into master key system as specified in Section 08710 DOOR HARDWARE.

PART 3 EXECUTION

3.1 INSTALLATION

Fence shall be installed to the lines and grades indicated. Line posts shall be spaced equidistant at intervals not exceeding 10 feet. Terminal (corner, gate, and pull) posts shall be set at abrupt changes in vertical and horizontal alignment. Fabric shall be continuous between terminal posts; however, runs between terminal posts shall not exceed 500 feet. Any damage to galvanized surfaces, including welding, shall be repaired with paint containing zinc dust in accordance with ASTM A 780.

3.2 EXCAVATION

Post holes shall be cleared of loose material. Waste material shall be spread where directed. The ground surface irregularities along the fence line shall be eliminated to the extent necessary to maintain a 2 inch clearance between the bottom of the fabric and finish grade.

3.3 POST INSTALLATION

3.3.1 Posts for Chain Link Fence

Posts shall be set plumb and in alignment. Posts shall be set in concrete to the depth indicated on the drawings. Posts shall be set in holes not less than the diameter shown on the drawings. Concrete shall be thoroughly consolidated around each post, shall be free of voids and finished to form a dome. Concrete shall be allowed to cure for 72 hours prior to attachment of any item to the posts.

3.4 RAILS

3.4.1 Top Rail

Top rail shall be supported at each post to form a continuous brace between terminal posts. Where required, sections of top rail shall be joined using sleeves or couplings that will allow expansion or contraction of the rail. Top rail shall be installed as indicated on the drawings.

3.5 BRACES AND TRUSS RODS

Braces and truss rods shall be installed as indicated and in conformance with the standard practice for the fence furnished. Horizontal (compression) braces and diagonal truss (tension) rods shall be installed on fences over 6 feet in height. Braces and truss rods shall extend from terminal posts to line posts. Diagonal braces shall form an angle of approximately 40 to 50 degrees with the horizontal.

3.6 TENSION WIRES

Tension wires shall be installed along the bottom of the fence line and attached to the terminal posts of each stretch of the fence. Bottom tension wire shall be installed within the bottom 6 inches of the installed fabric. Tension wire shall be pulled taut and shall be free of sag.

3.7 CHAIN LINK FABRIC

Chain link fabric shall be installed on the side of the post indicated. Fabric shall be attached to terminal posts with stretcher bars and tension bands. Bands shall be spaced at approximately 15 inch intervals. The fabric shall be installed and pulled taut to provide a smooth and uniform appearance free from sag, without permanently distorting the fabric diamond or reducing the fabric height. Fabric shall be fastened to line posts at approximately 15 inch intervals and fastened to all rails and tension wires at approximately 24 inch intervals. Fabric shall be cut by untwisting and removing pickets. Splicing shall be accomplished by weaving a single picket into the ends of the rolls to be joined. The bottom of the installed fabric shall be 2 plus or minus 1/2 inch above the ground.

3.8 GATE INSTALLATION

Gates shall be installed at the locations shown. Hinged gates shall be mounted to swing as indicated. Latches, stops, and keepers shall be installed as required. Padlocks shall be attached to gates or gate posts with chains. Hinge pins, and hardware shall be welded or otherwise secured to prevent removal.

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SECTION 02921

SEEDING
06/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AGRICULTURAL MARKETING SERVICE (AMS)

AMS-01 (Aug 95) Federal Seed Act Regulations Part 201

1.2 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-01 Data

Equipment; .
Chemical Treatment Material;G-RE .

Manufacturer's literature including physical characteristics, application and installation instructions for equipment, and chemical treatment material.

SD-07 Schedules

Equipment; .

A listing of equipment to be used for the seeding operation.

SD-08 Statements

Delivery; .

Delivery schedule.

Finished Grade and Topsoil; .

Finished grade status.

Topsoil; .

Availability of topsoil from the stripping and stock piling operation.

SD-09 Reports

Equipment Calibration; .

Certification of calibration tests conducted on the equipment used in the seeding operation.

SD-13 Certificates

Seed;G-RE.
Topsoil; .
Fertilizer; .
Organic Material; .
Soil Conditioner; .
Mulch; .
Pesticide;G-RE .

Prior to purchasing of seed a complete listing of seed varieties (common name and botanical name) available for use. Prior to the delivery of materials, certificates of compliance attesting that materials meet the specified requirements. Certified copies of the material certificates shall include the following:

- a. Seed. Classification, botanical name, common name, percent pure live seed, minimum percent germination and hard seed, maximum percent weed seed content, and date tested. Signed statement certifying that seed mixtures have been tested within the last 6 months prior to the date of delivery for seed type, and weed content.
- b. Topsoil. Particle size, pH, organic matter content, textural class, soluble salts, chemical and mechanical analyses.
- c. Fertilizer. Chemical analysis and composition percent.
- d. Organic Material: Composition and source.
- e. Soil Conditioner: Composition and source.
- f. Mulch: Composition and source.
- g. Pesticide. EPA registration number and registered uses.

SD-14 Samples

Delivered Topsoil; .

Samples taken from several locations at the source.

Soil Amendments; .

A 10 pound sample.

Mulch; .

A 10 pound sample.

SD-18 Records

Quantity Check; .

Bag count or bulk weight measurements of material used compared with area covered to determine the application rate and quantity installed.

Seed Establishment Period; .

Calendar time period for the seed establishment period. When there is more than one seed establishment period, the boundaries of the seeded area covered for each period shall be described.

Maintenance Record; .

Maintenance work performed, area repaired or reinstalled, diagnosis for unsatisfactory stand of grass plants.

Application of Pesticide;G-RE .

Pesticide treatment plan with sequence of treatment work with dates and times. The pesticide trade name, EPA registration number, chemical composition, formulation, concentration of original and diluted material, application rate of active ingredients, method of application, area treated, amount applied; and the name and state license number of the state certified applicator shall be included.

1.3 SOURCE INSPECTION

The source of delivered topsoil shall be subject to inspection.

1.4 DELIVERY, INSPECTION, STORAGE, AND HANDLING

1.4.1 Delivery

A delivery schedule shall be provided at least 10 calendar days prior to the first day of delivery.

1.4.1.1 Delivered Topsoil

Prior to the delivery of any topsoil, its availability shall be verified in paragraph TOPSOIL.

1.4.1.2 Soil Amendments

Soil amendments shall be delivered to the site in the original, unopened containers bearing the manufacturer's chemical analysis. In lieu of containers, soil amendments may be furnished in bulk. A chemical analysis shall be provided for bulk deliveries.

1.4.1.3 Pesticides

Pesticide material shall be delivered to the site in the original, unopened containers bearing legible labels indicating the EPA registration number and the manufacturer's registered uses.

1.4.2 Inspection

Seed shall be inspected upon arrival at the job site for conformity to species and quality. Seed that is wet, moldy, or bears a test date five months or older, shall be rejected. Other materials shall be inspected for compliance with specified requirements. The following shall be rejected: open soil amendment containers or wet soil amendments; topsoil that contains slag, cinders, stones, lumps of soil, sticks, roots, trash or other material over a minimum 1-1/2 inch diameter; and topsoil that contains viable plants and plant parts. Unacceptable materials shall be removed from the job site.

1.4.3 Storage

Materials shall be stored in designated areas. Seed fertilizer shall be stored in cool, dry locations away from contaminants. Chemical treatment material shall be stored according to manufacturer's instructions and not with seeding operation materials.

1.4.4 Handling

Except for bulk deliveries, materials shall not be dropped or dumped from vehicles.

1.4.5 Time Limitation

Hydroseeding time limitation for holding seed in the slurry shall be a maximum 24 hours.

PART 2 PRODUCTS

2.1 SEED

2.1.1 Seed Classification

State-certified seed of the latest season's crop shall be provided in original sealed packages bearing the producer's guaranteed analysis for percentages of mixture, purity, germination, hard seed, weed seed content, and inert material. Labels shall be in conformance with AMS-01 and applicable state seed laws. Seed mixtures shall be free from any State listed noxious weeds including but not limited to Russian or Canadian Thistle, European Bindweed, Johnson Grass, and Leafy Spurge.

2.1.2 Permanent Seed Species and Mixtures

Permanent seed species and mixtures shall be proportioned by weight: Computation of quantity of seed required is based on the percent of purity and percent of germination.

Pounds of pure live seed (PLS) = pounds of seed x germination x purity.

	% of Mixture	Pounds PLS/Acre
Grasses		
Canada Wildrye (Elymus canadensis)	15	1.5
Side Oats Grama - Vaughn (Bouteloua curtipendula)	20	2.0
Blue Grama (Bouteloua gracilis)	10	2.0
Sand Dropseed (Sporobolus cryptandrus)	20	2.0
Slender Wheatgrass - Primar (Elymus trachycaulus)	20	2.0
Western Wheatgrass - Arriba (Pascopyron smithii)	15	1.5
Annual Ryegrass (Nurse Grass)		2.0
	Total	13.0 lbs/acre

2.1.3 Temporary Seed Species

Temporary seed species for surface erosion control or overseeding shall be Annual Ryegrass seeded at a rate of 2 lbs/acre drilled or 4 lbs/acre broadcast.

2.1.4 Quality

Weed seed shall be a maximum 1 percent by weight of the total mixture.

2.1.5 Seed Mixing

The mixing of seed may be done by the seed supplier prior to delivery, or on site as directed.

2.1.6 Substitutions

Substitutions will not be allowed without written request and approval from the Contracting Officer.

2.2 TOPSOIL

Topsoil shall be as defined in specification Section 02300, EARTHWORK. When available, the topsoil shall be the existing surface soil stripped and stockpiled onsite. When additional topsoil is required beyond the available topsoil from the stripping operation, topsoil shall be delivered and amended as needed. Topsoil shall be free from slag, cinders, stones, lumps of soil, sticks, roots, trash or other material over a minimum 1-1/2 inch diameter. Topsoil shall be free from viable plants and plant parts.

2.3 SOIL AMENDMENTS

Soil amendments shall consist of fertilizer and organic material meeting the following requirements. Vermiculite shall not be used.

2.3.1 Fertilizer

The nutrient ratio shall be 18% Nitrogen, 46% Phosphorous, 0% Potassium. Fertilizer shall be controlled release commercial grade, free flowing, uniform in composition, and consist of a nitrogen-phosphorus-potassium ratio. The fertilizer shall be derived from sulphur coated urea, urea formaldehyde, plastic or polymer coated pills, or isobutylenediurea (IBDU). Fertilizer shall be balanced with the inclusion of trace minerals and micro-nutrients.

2.3.2 Organic Material

Organic material shall consist of either decomposed wood derivatives or recycled compost.

2.3.2.1 Decomposed Wood Derivatives

Decomposed wood derivatives shall be ground bark, sawdust, yard trimmings, or other wood waste material that is free of stones, sticks, soil, and toxic substances harmful to plants, and is fully composted or stabilized with nitrogen.

2.3.2.2 Recycled Compost

Compost shall be a well decomposed, stable, weed free organic matter source. Compost shall be derived from food; agricultural or industrial residuals; yard trimmings. The compost shall possess no objectionable odors and shall not resemble the raw material from which it was derived. The material shall not contain substances toxic to plants. Gradation: The compost material shall pass through a 3/8 inch screen, possess a pH of 5.5 to 8.0, and have a moisture content between 35-55 percent by weight. The material shall not contain more than 1 percent by weight of man-made foreign matter. Compost shall be cleaned of plastic materials larger than 2 inches in length.

2.4 MULCH

Mulch shall be free from weeds, mold, and other deleterious materials. Mulch materials shall be native to the region.

2.4.1 Wood Cellulose Fiber

Wood cellulose fiber shall not contain any growth or germination-inhibiting factors and shall be dyed an appropriate color to facilitate placement during application. Composition on air-dry weight basis: 9 to 15 percent moisture, pH range from 4.5 to 6.0.

2.4.2 Tackifier

Tackifier shall be Terratack III or approved equal.

2.5 WATER

Water shall be the responsibility of the Contractor, unless otherwise noted. Water shall not contain elements toxic to plant life.

2.6 PESTICIDE

Pesticide shall be insecticide, herbicide, fungicide, nematocide, rodenticide or miticide. For the purpose of this specification, a soil fumigant shall have the same requirements as a pesticide. The pesticide material shall be EPA registered and approved.

PART 3 EXECUTION

3.1 INSTALLING SEED TIME AND CONDITIONS

3.1.1 Seeding Time

Seed shall be installed from March 1 to May 15 for spring establishment; and from August 15 to November 15 for fall establishment.

3.1.2 Seeding Conditions

Seeding operations shall be performed only during periods when beneficial results can be obtained. When drought, excessive moisture, or other unsatisfactory conditions prevail, the work shall be stopped when directed.

When special conditions warrant a variance to the seeding operations, proposed alternate times shall be submitted for approval.

3.1.3 Equipment Calibration

Immediately prior to the commencement of seeding operations, calibration tests shall be conducted on the equipment to be used. These tests shall confirm that the equipment is operating within the manufacturer's specifications and will meet the specified criteria. The equipment shall be calibrated a minimum of once every day during the operation. The calibration test results shall be provided within 1 week of testing.

3.2 SITE PREPARATION

3.2.1 Finished Grade and Topsoil

The Contractor shall verify that finished grades are as indicated on drawings, and the placing of topsoil, smooth grading, and compaction requirements have been completed prior to the commencement of the seeding operation. Prior to seeding operations the soil shall be tilled to a depth of no less than 12 inches to allow for root penetration in all areas that have been compacted by construction equipment.

3.2.2 Application of Soil Amendments

3.2.2.1 Applying Fertilizer

Fertilizer shall be applied at the rate of no less than 200 pounds per acre. Fertilizer shall be incorporated into the soil to a maximum 4 inches depth or may be incorporated as part of the tillage operation.

3.2.2.2 Applying Organic Materials

The organic material shall be spread uniformly over the soil a minimum 1 inch depth and thoroughly incorporated by tillage into the soil to a maximum 4 inch depth.

3.2.3 Tillage

Soil on slopes up to a maximum 3-horizontal-to-1-vertical shall be tilled to a minimum 4 inch depth. On slopes between 3-horizontal-to-1-vertical and 1-horizontal-to-1 vertical, the soil shall be tilled to a minimum 2 inch depth by scarifying with heavy rakes, or other method. Rototillers shall be used where soil conditions and length of slope permit. On slopes 1-horizontal-to-1 vertical and steeper, no tillage is required. Drainage patterns shall be maintained as indicated on drawings. Areas compacted by construction operations shall be completely pulverized by tillage. Soil used for repair of surface erosion or grade deficiencies shall conform to topsoil requirements. The fertilizer, and soil conditioner may be applied during this procedure.

3.2.4 Prepared Surface

3.2.4.1 Preparation

The prepared surface shall be a maximum 1 inch below the adjoining grade of any surfaced area. New surfaces shall be blended to existing areas. The prepared surface shall be completed with a light raking to remove debris.

3.2.4.2 Area Debris

Debris and stones over a minimum 2 inch in any dimension shall be removed

from the surface.

3.2.4.3 Protection

Areas with the prepared surface shall be protected from compaction or damage by vehicular or pedestrian traffic and surface erosion.

3.3 INSTALLATION

Grass and wildflower seeds shall be installed at the same time. Seeding shall occur as specified herein as soon as the soil has been prepared and the environmental conditions are favorable for installation. Prior to installing seed, any previously prepared surface compacted or damaged shall be reworked to meet the requirements of paragraph SITE PREPARATION. Seeding operations shall not take place when the wind velocity will prevent uniform seed distribution.

3.3.1 Installing Seed

All areas to be seeded shall be drill seeded except for those areas which are only accessible to broadcast seeding. Seeding procedure shall ensure even coverage.

3.3.1.1 Broadcast Seeding

Seed shall be uniformly broadcast using broadcast seeders at twice the recommended rate as specified. Half the total rate of seed application shall be broadcast in one direction, with the remainder of the seed rate broadcast at 90 degrees from the first direction. Seed shall be covered a maximum 1/2 inch depth by light raking or other approved device.

3.3.1.2 Drill Seeding

Seed shall be uniformly drilled to a maximum 1/2 inch depth using seeders appropriate for the type of seeds spread. Row markers shall be used with the drill seeder. Seed shall be uniformly sown in two passes, each at right angles to the other. Final pass shall be parallel to the finished contours.

3.3.1.3 Rolling

The entire area shall be firmed with a roller not exceeding 90 pounds per foot roller width. Slopes over a maximum 3-horizontal-to-1 vertical shall not be rolled. Areas seeded with seed drills equipped with rollers shall not be rolled.

3.3.2 Mulching

Mulching shall be completed within 24 hours of having the surfaces seeded.

3.3.2.1 Hydromulching

Wood cellulose fiber mulch shall be applied to the seeded surfaces at the rate of no less than 2500 lbs per acre. Tackifier shall be applied at the rate of 120 pounds per acre. Mulch, tackifier and water shall be thoroughly mixed to produce a homogeneous slurry. Slurry shall be uniformly applied under pressure over the entire area. The hydromulched area shall not be rolled. Hydromulching is not required in areas to receive erosion control blankets.

3.3.3 Watering Seed

Watering shall not be required.

3.4 SURFACE EROSION CONTROL

3.4.1 Temporary Seeding

When directed during contract delays affecting the seeding operation or when a quick cover is required to prevent surface erosion, the areas designated shall be seeded in accordance with temporary seed species listed under Paragraph SEED.

3.4.1.1 Soil Amendments

When soil amendments have not been applied to the area, the quantity of 1/2 of the required soil amendments shall be applied and the area tilled in accordance with paragraph SITE PREPARATION.

3.4.1.2 Remaining Soil Amendments

The remaining soil amendments shall be applied in accordance with the paragraph Tillage when the surface is prepared for installing seed.

3.5 QUANTITY CHECK

For materials provided in bags, the empty bags shall be retained for recording the amount used. For materials provided in bulk, the weight certificates shall be retained as a record of the amount used. The amount of material used shall be compared with the total area covered to determine the rate of application used. Differences between the quantity applied and the quantity specified shall be adjusted as directed.

3.6 APPLICATION OF PESTICIDE

When application of a pesticide becomes necessary to remove a pest or disease, when weeds comprise more than 5% of the seeded area, a pesticide treatment plan shall be submitted and coordinated with the installation pest management program.

3.6.1 Technical Representative

The certified installation pest management coordinator shall be the technical representative, and shall be present at all meetings concerning treatment measures for pest or disease control. They may be present during treatment application.

3.6.2 Application

A state certified applicator shall apply required pesticides in accordance with EPA label restrictions and recommendations. Clothing and personal protective equipment shall be used as specified on the pesticide label. A closed system is recommended as it prevents the pesticide from coming into contact with the applicator or other persons. Water for formulating shall only come from designated locations. Filling hoses shall be fitted with a backflow preventer meeting local plumbing codes or standards. Overflow shall be prevented during the filling operation. Prior to each day of use, the equipment used for applying pesticide shall be inspected for leaks, clogging, wear, or damage. Any repairs are to be performed immediately. A

pesticide plan shall be submitted.

3.7 RESTORATION AND CLEAN UP

3.7.1 Restoration

Existing turf areas, pavements, and facilities that have been damaged from the seeding operation shall be restored to original condition at Contractor's expense.

3.7.2 Clean Up

Excess and waste material shall be removed from the seeded areas and shall be disposed offsite. Adjacent paved areas shall be cleaned.

3.8 PROTECTION OF INSTALLED AREAS

Immediately upon completion of the seeding operation in an area, the area shall be protected against traffic or other use by erecting barricades and providing signage as required, or as directed.

3.9 SEED ESTABLISHMENT PERIOD

3.9.1 Commencement

The seed establishment period to obtain a healthy stand of grass plants shall begin on the first day of work under this contract and shall end 3 months after the last day of the seeding operation. Written calendar time period shall be furnished for the seed establishment period. When there is more than 1 seed establishment period, the boundaries of the seeded area covered for each period shall be described. The seed establishment period shall be modified for inclement weather, shut down periods, or for separate completion dates of areas.

3.9.2 Satisfactory Stand of Grass Plants

Grass plants shall be evaluated for species and health when the grass plants are a minimum 6 inches high.

3.9.2.1 Seeded Areas

A satisfactory stand of grass plants from the seeding operation shall be defined as consisting of 40 grass plants per square foot. Bare spots shall be a maximum 6 inches square. The total bare spots shall be a maximum 5 percent of the total seeded area.

3.9.3 Maintenance During Establishment Period

Maintenance of the seeded areas shall include mowing, eradicating weeds, insects and diseases; protecting embankments and ditches from surface erosion; maintaining erosion control materials and mulch; protecting installed areas from traffic; and post-fertilization.

3.9.3.1 Mowing and Weed Control

Mowing is an integral portion of the establishment of seeded areas. Of particular importance is the control of weeds during the first year. Grass shall be mown to a minimum height of 5"- 6" when the height of the grass or weeds obtain a height of 10"-12".

3.9.3.2 Post-Fertilization

The application rate shall be at the rate of no less than 1 lb of actual nitrogen per 1,000 square feet. The application shall be timed prior to the advent of winter dormancy and shall be made without burning the installed grass plants.

3.9.3.3 Pesticide Treatment

Treatment for disease or pest shall be in accordance with paragraph APPLICATION OF PESTICIDE.

3.9.3.4 Repair or Reinstall

Unsatisfactory stand of grass plants and mulch shall be repaired or reseeded, and eroded areas shall be repaired in accordance with paragraph SITE PREPARATION within 30 days of notification from the Contracting Officer.

3.9.3.5 Maintenance Record

A record of each site visit shall be furnished, describing the maintenance work performed; areas repaired or reinstalled; and diagnosis for unsatisfactory stand of grass plants.

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SECTION 03100A

STRUCTURAL CONCRETE FORMWORK

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SECTION 03100A

STRUCTURAL CONCRETE FORMWORK
05/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 347R (1994) Guide to Formwork for Concrete

U.S. DEPARTMENT OF COMMERCE (DOC)

PS-1 (1996) Voluntary Product Standard -
Construction and Industrial Plywood

1.2 DESIGN

Formwork shall be designed in accordance with methodology of ACI 347R for anticipated loads, lateral pressures, and stresses. Forms shall be capable of producing a surface which meets the requirements of the class of finish specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Forms shall be capable of withstanding the pressures resulting from placement and vibration of concrete.

PART 2 PRODUCTS

2.1 FORM MATERIALS

2.1.1 Forms Class B Finish

Forms for Class B finished surfaces shall be plywood panels conforming to PS-1, Grade B-B concrete form panels, Class I or II. Other form materials or liners may be used provided the smoothness and appearance of concrete produced will be equivalent to that produced by the plywood concrete form panels.

2.1.2 Forms For Class D Finish

Forms for Class D finished surfaces, except where concrete is placed against earth, shall be wood or steel or other approved concrete form material.

2.1.3 Form Ties

Form ties shall be factory-fabricated metal ties, shall be of the removable or internal disconnecting or snap-off type, and shall be of a design that will not permit form deflection and will not spall concrete upon removal.

Solid backing shall be provided for each tie. Except where removable tie rods are used, ties shall not leave holes in the concrete surface less than 1/4 inch nor more than 1 inch deep and not more than 1 inch in diameter. Removable tie rods shall be not more than 1-1/2 inches in diameter.

2.1.4 Form Releasing Agents

Form releasing agents shall be commercial formulations that will not bond with, stain or adversely affect concrete surfaces. Agents shall not impair subsequent treatment of concrete surfaces depending upon bond or adhesion nor impede the wetting of surfaces to be cured with water or curing compounds.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 Formwork

Forms shall be mortar tight, properly aligned and adequately supported to produce concrete surfaces meeting the surface requirements specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE and conforming to construction tolerance given in TABLE 1. Where concrete surfaces are to have a Class A or Class B finish, joints in form panels shall be arranged as approved. Where forms for continuous surfaces are placed in successive units, the forms shall fit over the completed surface to obtain accurate alignment of the surface and to prevent leakage of mortar. Forms shall not be reused if there is any evidence of surface wear and tear or defects which would impair the quality of the surface. Surfaces of forms to be reused shall be cleaned of mortar from previous concreting and of all other foreign material before reuse. Form ties that are to be completely withdrawn shall be coated with a nonstaining bond breaker.

3.2 CHAMFERING

Except as otherwise shown, external corners that will be exposed shall be chamfered, beveled, or rounded by moldings placed in the forms.

3.3 COATING

Forms for Class A and Class B finished surfaces shall be coated with a form releasing agent before the form or reinforcement is placed in final position. The coating shall be used as recommended in the manufacturer's printed or written instructions. Forms for Class C and D finished surfaces may be wet with water in lieu of coating immediately before placing concrete, except that in cold weather with probable freezing temperatures, coating shall be mandatory. Surplus coating on form surfaces and coating on reinforcing steel and construction joints shall be removed before placing concrete.

3.4 REMOVAL OF FORMS

Forms shall be removed preventing injury to the concrete and ensuring the complete safety of the structure. Formwork for columns, walls, side of beams and other parts not supporting the weight of concrete may be removed when the concrete has attained sufficient strength to resist damage from the removal operation but not before at least 24 hours has elapsed since concrete placement. Supporting forms and shores shall not be removed from beams, floors and walls until the structural units are strong enough to

carry their own weight and any other construction or natural loads. Supporting forms or shores shall not be removed before the concrete strength has reached 70 percent of design strength, as determined by field cured cylinders or other approved methods. This strength shall be demonstrated by job-cured test specimens, and by a structural analysis considering the proposed loads in relation to these test strengths and the strength of forming and shoring system. The job-cured test specimens for form removal purposes shall be provided in numbers as directed and shall be in addition to those required for concrete quality control. The specimens shall be removed from molds at the age of 24 hours and shall receive, insofar as possible, the same curing and protection as the structures they represent.

TABLE 1

TOLERANCES FOR FORMED SURFACES

1. Variations from the plumb:	In any 10 feet of length ----- 1/4 inch
a. In the lines and surfaces of columns, piers, walls and in arises	Maximum for entire length ----- 1 inch
b. For exposed corner columns, control-joint grooves, and other conspicuous lines	In any 20 feet of length ----- 1/4 inch Maximum for entire length----- 1/2 inch
2. Variation from the level or from the grades indicated on the drawings:	In any 10 feet of length -----1/4 inch In any bay or in any 20 feet of length----- 3/8 inch
a. In slab soffits, ceilings, beam soffits, and in arises, measured before removal of supporting shores	Maximum for entire length ----- 3/4 inch
b. In exposed lintels, sills, parapets, horizontal grooves, and other conspicuous lines	In any bay or in any 20 feet of length ----- 1/4 inch Maximum for entire length----- 1/2 inch
3. Variation of the linear building lines from established position in plan	In any 20 feet ----- 1/2 inch Maximum -----1 inch
4. Variation of distance between walls, columns, partitions	1/4 inch per 10 feet of distance, but not more than 1/2 inch in any one bay, and not more than 1 inch total variation
5. Variation in the	Minus ----- 1/4 inch

TABLE 1

TOLERANCES FOR FORMED SURFACES

sizes and locations of sleeves, floor openings, and wall opening	Plus -----	1/2 inch
6. Variation in cross-sectional dimensions of columns and beams and in the thickness of slabs and walls	Minus ----- Plus -----	1/4 inch 1/2 inch
7. Footings:		
a. Variation of dimensions in plan	Minus ----- Plus -----	1/2 inch 2 inches when formed or plus 3 inches when placed against unformed excavation
b. Misplacement of eccentricity		2 percent of the footing width in the direction of misplacement but not more than 2 inches
c. Reduction in thickness of specified thickness	Minus -----	5 percent
8. Variation in steps:	Riser -----	1/8 inch
a. In a flight of stairs	Tread -----	1/4 inch
b. In consecutive steps	Riser ----- Tread -----	1/16 inch 1/8 inch

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SECTION 03150A

WATERSTOPS

05/98

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SECTION 03150A

WATERSTOPS
05/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 471 (1998e1) Rubber Property - Effect of Liquids

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 513 (1974) Corps of Engineers Specifications for Rubber Waterstops

COE CRD-C 572 (1974) Corps of Engineers Specifications for Polyvinylchloride Waterstop

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Waterstops; G-ED

Shop drawings and fabrication drawings provided by the manufacturer or prepared by the Contractor.

SD-03 Product Data

Waterstops; G-ED

Manufacturer's literature for waterstops.

SD-07 Certificates

Waterstops; G-RE

Certificates of compliance stating that the waterstops conform to the requirements specified.

1.3 DELIVERY AND STORAGE

Material delivered and placed in storage shall be stored off the ground and protected from moisture, dirt, and other contaminants.

PART 2 PRODUCTS

2.1 WATERSTOPS

Intersection and change of direction waterstops shall be shop fabricated.

2.1.1 Non-Metallic Materials`

Non-metallic waterstops shall be manufactured from a prime virgin resin; reclaimed material is not acceptable. The compound shall contain plasticizers, stabilizers, and other additives to meet specified requirements. Rubber waterstops shall conform to COE CRD-C 513. Polyvinylchloride waterstops shall conform to COE CRD-C 572. Thermoplastic elastomeric rubber waterstops shall conform to ASTM D 471.

PART 3 EXECUTION

3.1 JOINTS

Joints shall be installed at locations indicated and as authorized.

3.2 WATERSTOPS, INSTALLATION AND SPLICES

Waterstops shall be installed at the locations shown to form a continuous water-tight diaphragm. Adequate provision shall be made to support and completely protect the waterstops during the progress of the work. Any waterstop punctured or damaged shall be repaired or replaced. Exposed waterstops shall be protected during application of form release agents to avoid being coated. Suitable guards shall be provided to protect exposed projecting edges and ends of partially embedded waterstops from damage when concrete placement has been discontinued. Splices shall be made by certified trained personnel using approved equipment and procedures.

3.2.1 Non-Metallic

Fittings shall be shop made using a machine specifically designed to mechanically weld the waterstop. A miter guide, proper fixturing (profile dependant), and portable power saw shall be used to miter cut the ends to be joined to ensure good alignment and contact between joined surfaces. The splicing of straight lengths shall be done by squaring the ends to be joined. Continuity of the characteristic features of the cross section of the waterstop (ribs, tabular center axis, protrusions, etc.) shall be maintained across the splice.

3.2.1.1 Rubber Waterstop

Splices shall be vulcanized or shall be made using cold bond adhesive as recommended by the manufacturer. Splices for TPE-R shall be as specified

for PVC.

3.2.1.2 Polyvinyl Chloride Waterstop

Splices shall be made by heat sealing the adjacent waterstop edges together using a thermoplastic splicing iron utilizing a non-stick surface specifically designed for waterstop welding. The correct temperature shall be used to sufficiently melt without charring the plastic. The spliced area, when cooled, shall show no signs of separation, holes, or other imperfections when bent by hand in as sharp an angle as possible.

3.2.1.3 Quality Assurance

Edge welding will not be permitted. Centerbulbs shall be compressed or closed when welding to non-centerbulb type. Waterstop splicing defects which are unacceptable include, but are not limited to the following: 1) Tensile strength less than 80 percent of parent section. 2) Free lap joints. 3) Misalignment of centerbulb, ribs, and end bulbs greater than 1/16 inch. 4) Misalignment which reduces waterstop cross section more than 15 percent. 5) Bond failure at joint deeper than 1/16 inch or 15 percent of material thickness. 6) Misalignment of waterstop splice resulting in misalignment of waterstop in excess of 1/2 inch in 10 feet. 7) Visible porosity in the weld area, including pin holes. 8) Charred or burnt material. 9) Bubbles or inadequate bonding. 10) Visible signs of splice separation when cooled splice is bent by hand at a sharp angle.

3.3 CONSTRUCTION JOINTS

Construction joints are specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE.

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09/97

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SECTION 03200A

CONCRETE REINFORCEMENT
09/97

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 318/318R (1995) Building Code Requirements for Structural Concrete and Commentary

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 53 (1999) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

ASTM A 615/A 615M (1996a) Deformed and Plain Billet-Steel Bars for Concrete Reinforcement

ASTM A 675/A 675M (1990a; R 1995e1) Steel Bars, Carbon, Hot-Wrought, Special Quality, Mechanical Properties

ASTM A 706/A 706M (1998) Low-Alloy Steel Deformed and Plain Bars for Concrete Reinforcement

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)

CRSI MSP-1 (1996) Manual of Standard Practice

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Reinforcement; G-ED

Detail drawings showing reinforcing steel placement, schedules, sizes, grades, and splicing and bending details. Drawings shall show support details including types, sizes and spacing.

SD-07 Certificates

Reinforcing Steel;

Certified copies of mill reports attesting that the reinforcing steel furnished contains no less than 25 percent recycled scrap steel and meets the requirements specified herein, prior to the installation of reinforcing steel.

1.3 DELIVERY AND STORAGE

Reinforcement and accessories shall be stored off the ground on platforms, skids, or other supports.

PART 2 PRODUCTS

2.1 DOWELS

Dowels shall conform to ASTM A 675/A 675M, Grade 80. Steel pipe conforming to ASTM A 53, Schedule 80, may be used as dowels provided the ends are closed with metal or plastic inserts or with mortar.

2.2 REINFORCING STEEL

Reinforcing steel shall be deformed bars conforming to ASTM A 615/A 615M or ASTM A 706/A 706M, grades and sizes as indicated.

2.3 WIRE TIES

Wire ties shall be 16 gauge or heavier black annealed steel wire.

2.4 SUPPORTS

Bar supports for formed surfaces shall be designed and fabricated in accordance with CRSI MSP-1 and shall be steel or precast concrete blocks. Precast concrete blocks shall have wire ties and shall be not less than 4 inches square when supporting reinforcement on ground. Precast concrete block shall have compressive strength equal to that of the surrounding concrete. Where concrete formed surfaces will be exposed to weather or where surfaces are to be painted, steel supports within 1/2 inch of concrete surface shall be galvanized, plastic protected or of stainless steel. Concrete supports used in concrete exposed to view shall have the same color and texture as the finish surface. For slabs on grade, supports shall be precast concrete blocks, plastic coated steel fabricated with bearing plates, or specifically designed wire-fabric supports fabricated of plastic.

PART 3 EXECUTION

3.1 REINFORCEMENT

Reinforcement shall be fabricated to shapes and dimensions shown and shall conform to the requirements of ACI 318/318R. Reinforcement shall be cold

bent unless otherwise authorized. Bending may be accomplished in the field or at the mill. Bars shall not be bent after embedment in concrete. Safety caps shall be placed on all exposed ends of vertical concrete reinforcement bars that pose a danger to life safety. Wire tie ends shall face away from the forms.

3.1.1 Placement

Reinforcement shall be free from loose rust and scale, dirt, oil, or other deleterious coating that could reduce bond with the concrete. Reinforcement shall be placed in accordance with ACI 318/318R at locations shown plus or minus one bar diameter. Reinforcement shall not be continuous through expansion joints and shall be as indicated through construction or contraction joints. Concrete coverage shall be as indicated or as required by ACI 318/318R. If bars are moved more than one bar diameter to avoid interference with other reinforcement, conduits or embedded items, the resulting arrangement of bars, including additional bars required to meet structural requirements, shall be approved before concrete is placed.

3.1.2 Splicing

Splices of reinforcement shall conform to ACI 318/318R and shall be made only as required or indicated. Splicing shall be by lapping or by mechanical connection; except that lap splices shall not be used for bars larger than No. 11 unless otherwise indicated. Lapped bars shall not be spaced farther apart than one-fifth the required length of lap or 6 inches. Mechanical butt splices shall be in accordance with the recommendation of the manufacturer of the mechanical splicing device. Butt splices shall develop 125 percent of the specified minimum yield tensile strength of the spliced bars or of the smaller bar in transition splices.

3.2 DOWEL INSTALLATION

Dowels shall be installed in slabs on grade at locations indicated and at right angles to joint being doweled. Dowels shall be accurately positioned and aligned parallel to the finished concrete surface before concrete placement. Dowels shall be rigidly supported during concrete placement. One end of dowels shall be coated with a bond breaker.

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SECTION 03300

CAST-IN-PLACE STRUCTURAL CONCRETE
11/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 117/117R	(1990; Errata) Standard Tolerances for Concrete Construction and Materials
ACI 211.1	(1991) Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete
ACI 214.3R	(1988; R 1997) Simplified Version of the Recommended Practice for Evaluation of Strength Test Results of Concrete
ACI 301	(1999) Standard Specifications for Structural Concrete
ACI 305R	(1999) Hot Weather Concreting
ACI 318/318R	(1999) Building Code Requirements for Structural Concrete and Commentary

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 182	(1991; R 1996) Burlap Cloth Made from Jute or Kenaf
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AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 1017/C 1017M	(1998) Chemical Admixtures for Use in Producing Flowing Concrete
ASTM C 1059	(1999) Latex Agents for Bonding Fresh to Hardened Concrete
ASTM C 1064/C 1064M	(1999) Temperature of Freshly Mixed Portland Cement Concrete
ASTM C 1077	(1998) Laboratories Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Laboratory Evaluation

ASTM C 143/C 143M	(2000) Slump of Hydraulic Cement Concrete
ASTM C 150	(1999a) Portland Cement
ASTM C 171	(1997a) Sheet Materials for Curing Concrete
ASTM C 172	(1999) Sampling Freshly Mixed Concrete
ASTM C 192/C 192M	(2000) Making and Curing Concrete Test Specimens in the Laboratory
ASTM C 231	(1997e1) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 260	(2000) Air-Entraining Admixtures for Concrete
ASTM C 309	(1998a) Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C 31/C 31M	(2000e1) Making and Curing Concrete Test Specimens in the Field
ASTM C 33	(1999ae1) Concrete Aggregates
ASTM C 39/C 39M	(2001) Compressive Strength of Cylindrical Concrete Specimens
ASTM C 42/C 42M	(1999) Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
ASTM C 494/C 494M	(1999ae1) Chemical Admixtures for Concrete
ASTM C 618	(2000) Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Concrete
ASTM C 685	(2000) Concrete Made by Volumetric Batching and Continuous Mixing
ASTM C 881	(1999) Epoxy-Resin-Base Bonding Systems for Concrete
ASTM C 94/C 94M	(2000e2) Ready-Mixed Concrete
ASTM C 940	(1998a) Expansion and Bleeding of Freshly Mixed Grouts for Preplaced-Aggregate Concrete in the Laboratory
ASTM D 75	(1987; R 1997) Sampling Aggregates
NATIONAL READY-MIXED CONCRETE ASSOCIATION (NRMCA)	
NRMCA CPMB 100	(1996) Concrete Plant Standards \n/c\$\X
NRMCA QC 3	(1984) Quality Control Manual: Section 3, Plant Certifications Checklist: Certification of Ready Mixed Concrete Production Facilities

NRMCA TMMB 100 (1994) Truck Mixer Agitator and Front Discharge Concrete Carrier Standards

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 400 (1963) Requirements for Water for Use in Mixing or Curing Concrete

COE CRD-C 521 (1981) Standard Test Method for Frequency and Amplitude of Vibrators for Concrete

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Mixture Proportions; G-RE

The results of trial mixture design studies along with a statement giving the maximum nominal coarse aggregate size and the proportions of ingredients that will be used in the manufacture of each strength or class of concrete, at least 14 days prior to commencing concrete placing operations. Aggregate weights shall be based on the saturated surface dry condition. The statement shall be accompanied by test results from an approved independent commercial testing laboratory, showing that mixture design studies have been made with materials proposed for the project and that the proportions selected will produce concrete of the qualities indicated. No substitutions shall be made in the materials used in the mixture design studies without additional tests to show that the quality of the concrete is satisfactory.

SD-06 Test Reports

Testing and Inspection for Contractor Quality Control; G-RE

Certified copies of laboratory test reports, including mill tests and all other test data, for portland cement, blended cement, pozzolan, aggregate, admixtures, and curing compound proposed for use on this project.

SD-07 Certificates

Qualifications;

Written documentation for Contractor Quality Control personnel.

1.3 QUALIFICATIONS

Contractor Quality Control personnel assigned to concrete construction shall be American Concrete Institute (ACI) Certified Workmen in one of the following grades or shall have written evidence of having completed similar qualification programs:

- Concrete Field Testing Technician, Grade I
- Concrete Laboratory Testing Technician, Grade I or II
- Concrete Construction Inspector, Level II

Concrete Transportation Construction Inspector or Reinforced Concrete Special Inspector, Jointly certified by American Concrete Institute (ACI), Building Official and Code Administrators International (BOCA), International Conference of Building Officials (ICBO), and Southern Building Code Congress International (SBCCI).

The foreman or lead journeyman of the flatwork finishing crew shall have similar qualification for ACI Concrete Flatwork Technician/Finisher or equal, with written documentation.

1.4 GENERAL REQUIREMENTS

1.4.1 Tolerances

Except as otherwise specified herein, tolerances for concrete batching, mixture properties, and construction as well as definition of terms and application practices shall be in accordance with ACI 117/117R. Level and grade tolerance measurements of slabs shall be made as soon as possible after finishing; when forms or shoring are used, the measurements shall be made prior to removal.

1.4.1.1 Floors

For the purpose of this Section the following terminology correlation between ACI 117/117R and this Section shall apply:

Floor Profile Quality Classification From ACI 117/117R	This Section
-----	-----
Conventional Bullfloated	Same
Conventional Straightedged	Same
Flat	Float Finish or Trowel Finish

Levelness tolerance shall not apply where design requires floors to be sloped to drains or sloped for other reasons.

1.4.1.2 Floors by the Straightedge System

The flatness of the floors shall be carefully controlled and the tolerances shall be measured by the straightedge system as specified in paragraph 4.5.7 of ACI 117/117R, using a 10 foot straightedge, within 72 hours after floor slab installation and before shores and/or forms are removed. The listed tolerances shall be met at any and every location at which the straightedge can be placed.

Bullfloated 1/2-inch
Straightedged 5/16-inch
Float Finish 3/16-inch
Trowel Finish 3/16-inch

1.4.2 Strength Requirements and w/c Ratio

1.4.2.1 Strength Requirements

Specified compressive strength (f'c) shall be 4000 psi. Concrete made with high-early strength cement shall have a 7-day strength equal to the specified 28-day strength for concrete made with Type I or II portland cement. Compressive strength shall be determined in accordance with ASTM C 39/C 39M.

- a. Evaluation of Concrete Compressive Strength. Compressive strength specimens (6 by 12 inch cylinders) shall be fabricated by the Contractor and laboratory cured in accordance with ASTM C 31/C 31M and tested in accordance with ASTM C 39/C 39M. The strength of the concrete will be considered satisfactory so long as the average of all sets of three consecutive test results equals or exceeds the specified compressive strength f'c and no individual test result falls below the specified strength f'c by more than 500 psi. A "test" is defined as the average of two companion cylinders, or if only one cylinder is tested, the results of the single cylinder test. Additional analysis or testing, including taking cores and/or load tests may be required at the Contractor's expense when the strength of the concrete in the structure is considered potentially deficient.
- b. Investigation of Low-Strength Compressive Test Results. When any strength test of standard-cured test cylinders falls below the specified strength requirement by more than 500 psi or if tests of field-cured cylinders indicate deficiencies in protection and curing, steps shall be taken to assure that the load-carrying capacity of the structure is not jeopardized. When the strength of concrete in place is considered potentially deficient, cores shall be obtained and tested in accordance with ASTM C 42/C 42M. At least three representative cores shall be taken from each member or area of concrete in place that is considered potentially deficient. The location of cores will be determined by the Contracting Officer to least impair the strength of the structure. Concrete in the area represented by the core testing will be considered adequate if the average strength of the cores is equal to at least 85 percent of the specified strength requirement and if no single core is less than 75 percent of the specified strength requirement. Non-destructive tests (tests other than test cylinders or cores) shall not be used as a basis for acceptance or rejection. The Contractor shall perform the coring and repair the holes. Cores will be tested by the Government.
- c. Load Tests. If the core tests are inconclusive or impractical to obtain or if structural analysis does not confirm the safety of the structure, load tests may be directed by the Contracting Officer in accordance with the requirements of ACI 318/318R. Concrete work evaluated by structural analysis or by results of a load test as being understrength shall be corrected in a manner

satisfactory to the Contracting Officer. All investigations, testing, load tests, and correction of deficiencies shall be performed by and at the expense of the Contractor and must be approved by the Contracting Officer, except that if all concrete is found to be in compliance with the drawings and specifications, the cost of investigations, testing, and load tests will be at the expense of the Government.

1.4.2.2 Water-Cement Ratio

Maximum water-cement ratio (w/c) for normal weight concrete shall be 0.45 by weight. This w/c ratio may cause higher strengths than that required above for compressive or flexural strength. The maximum w/c required will be the equivalent w/c as determined by conversion from the weight ratio of water to cement plus pozzolan.

1.4.3 Air Entrainment

Concrete shall be air entrained to contain between 4 and 7 percent total air, except that when the nominal maximum size coarse aggregate is 3/4 inch or smaller it shall be between 4.5 and 7.5 percent. Concrete with specified strength over 5000 psi may have 1.0 percent less air than specified above. Specified air content shall be attained at point of placement into the forms. Air content for normal weight concrete shall be determined in accordance with ASTM C 231.

1.4.4 Slump

Slump of the concrete, as delivered to the point of placement into the forms, shall be within the following limits. Slump shall be determined in accordance with ASTM C 143/C 143M.

Structural Element	Slump	
	Minimum	Maximum
Walls, columns and beams	2 in.	4 in.
Foundation walls, substructure walls, footings, slabs	1 in.	3 in.
Any structural concrete approved for placement by pumping:		
At pump	2 in.	6 in.
At discharge of line	1 in.	4 in.

When use of a plasticizing admixture conforming to ASTM C 1017/C 1017M or when a Type F or G high range water reducing admixture conforming to ASTM C 494/C 494M is permitted to increase the slump of concrete, concrete shall have a slump of 2 to 4 inches before the admixture is added and a maximum slump of 8 inches at the point of delivery after the admixture is added.

1.4.5 Concrete Temperature

The temperature of the concrete as delivered shall not exceed 90 degrees F.

When the ambient temperature during placing is 40 degrees F or less, or is expected to be at any time within 6 hours after placing, the temperature of the concrete as delivered shall be between 55 and 75 degrees F.

1.4.6 Size of Coarse Aggregate

The largest feasible nominal maximum size aggregate (NMSA) specified in paragraph AGGREGATES shall be used in each placement. However, nominal maximum size of aggregate shall not exceed any of the following: three-fourths of the minimum cover for reinforcing bars, three-fourths of the minimum clear spacing between reinforcing bars, one-fifth of the narrowest dimension between sides of forms, or one-third of the thickness of slabs or toppings.

1.4.7 Special Properties and Products

Concrete may contain admixtures other than air entraining agents, such as water reducers, superplasticizers, or set retarding agents to provide special properties to the concrete, if specified or approved. Any of these materials to be used on the project shall be used in the mix design studies.

1.5 MIXTURE PROPORTIONS

Concrete shall be composed of portland cement, other cementitious and pozzolanic materials as specified, aggregates, water and admixtures as specified.

1.5.1 Proportioning Studies for Normal Weight Concrete

Trial design batches, mixture proportioning studies, and testing requirements for various classes and types of concrete specified shall be the responsibility of the Contractor. Mixture proportions shall be based on compressive strength as determined by test specimens fabricated in accordance with ASTM C 192/C 192M and tested in accordance with ASTM C 39/C 39M. Samples of all materials used in mixture proportioning studies shall be representative of those proposed for use in the project and shall be accompanied by the manufacturer's or producer's test reports indicating compliance with these specifications. Trial mixtures having proportions, consistencies, and air content suitable for the work shall be made based on methodology described in ACI 211.1, using at least three different water-cement ratios for each type of mixture, which will produce a range of strength encompassing those required for each class and type of concrete required on the project. If pozzolan is used in the concrete mixture, the minimum pozzolan content shall be 15 percent by weight of the total cementitious material, and the maximum shall be 35 percent. Laboratory trial mixtures shall be designed for maximum permitted slump and air content. Separate sets of trial mixture studies shall be made for each combination of cementitious materials and each combination of admixtures proposed for use. No combination of either shall be used until proven by such studies, except that, if approved in writing and otherwise permitted by these specifications, an accelerator or a retarder may be used without separate trial mixture study. Separate trial mixture studies shall also be made for concrete for any conveying or placing method proposed which requires special properties and for concrete to be placed in unusually difficult placing locations. The temperature of concrete in each trial batch shall be reported. For each water-cement ratio, at least three test cylinders for each test age shall be made and cured in accordance with ASTM C 192/C 192M. They shall be tested at 7 and 28 days in accordance with ASTM C 39/C 39M. From these test results, a curve shall be plotted showing

the relationship between water-cement ratio and strength for each set of trial mix studies. In addition, a curve shall be plotted showing the relationship between 7 day and 28 day strengths. Each mixture shall be designed to promote easy and suitable concrete placement, consolidation and finishing, and to prevent segregation and excessive bleeding.

1.5.2 Average Compressive Strength Required for Mixtures

The mixture proportions selected during mixture design studies shall produce a required average compressive strength (f'_{cr}) exceeding the specified compressive strength (f'_c) by the amount indicated below. This required average compressive strength, f'_{cr} , will not be a required acceptance criteria during concrete production. However, whenever the daily average compressive strength at 28 days drops below f'_{cr} during concrete production, or daily average 7-day strength drops below a strength correlated with the 28-day f'_{cr} , the mixture shall be adjusted, as approved, to bring the daily average back up to f'_{cr} . During production, the required f'_{cr} shall be adjusted, as appropriate, based on the standard deviation being attained on the job.

1.5.2.1 Computations from Test Records

Where a concrete production facility has test records, a standard deviation shall be established in accordance with the applicable provisions of ACI 214.3R. Test records from which a standard deviation is calculated shall represent materials, quality control procedures, and conditions similar to those expected; shall represent concrete produced to meet a specified strength or strengths (f'_c) within 1,000 psi of that specified for proposed work; and shall consist of at least 30 consecutive tests. A strength test shall be the average of the strengths of two cylinders made from the same sample of concrete and tested at 28 days. Required average compressive strength f'_{cr} used as the basis for selection of concrete proportions shall be the larger of the equations that follow using the standard deviation as determined above:

$$f'_{cr} = f'_c + 1.34S \text{ where units are in psi}$$

$$f'_{cr} = f'_c + 2.33S - 500 \text{ where units are in psi}$$

Where S = standard deviation

Where a concrete production facility does not have test records meeting the requirements above but does have a record based on 15 to 29 consecutive tests, a standard deviation shall be established as the product of the calculated standard deviation and a modification factor from the following table:

NUMBER OF TESTS	MODIFICATION FACTOR FOR STANDARD DEVIATION
15	1.16
20	1.08
25	1.03
30 or more	1.00

1.5.2.2 Computations without Previous Test Records

When a concrete production facility does not have sufficient field strength test records for calculation of the standard deviation, the required average strength f'_{cr} shall be determined as follows:

- a. If the specified compressive strength f'_c is less than 3,000 psi,

$$f'_{cr} = f'_c + 1000 \text{ psi}$$

- b. If the specified compressive strength f'_c is 3,000 to 5,000 psi,

$$f'_{cr} = f'_c + 1,200 \text{ psi}$$

- c. If the specified compressive strength f'_c is over 5,000 psi,

$$f'_{cr} = f'_c + 1,400 \text{ psi}$$

1.6 STORAGE OF MATERIALS

Cement and other cementitious materials shall be stored in weathertight buildings, bins, or silos which will exclude moisture and contaminants and keep each material completely separated. Aggregate stockpiles shall be arranged and used in a manner to avoid excessive segregation and to prevent contamination with other materials or with other sizes of aggregates. Aggregate shall not be stored directly on ground unless a sacrificial layer is left undisturbed. Reinforcing bars and accessories shall be stored above the ground on platforms, skids or other supports. Other materials shall be stored in such a manner as to avoid contamination and deterioration. Admixtures which have been in storage at the project site for longer than 6 months or which have been subjected to freezing shall not be used unless retested and proven to meet the specified requirements. Materials shall be capable of being accurately identified after bundles or containers are opened.

1.7 GOVERNMENT ASSURANCE INSPECTION AND TESTING

Day-to day inspection and testing shall be the responsibility of the Contractor Quality Control (CQC) staff. However, representatives of the Contracting Officer can and will inspect construction as considered appropriate and will monitor operations of the Contractor's CQC staff. Government inspection or testing will not relieve the Contractor of any of his CQC responsibilities.

1.7.1 Materials

The Government will sample and test aggregates, cementitious materials, other materials, and concrete to determine compliance with the specifications as considered appropriate. The Contractor shall provide facilities and labor as may be necessary for procurement of representative test samples. Samples of aggregates will be obtained at the point of batching in accordance with ASTM D 75. Other materials will be sampled from storage at the jobsite or from other locations as considered appropriate. Samples may be placed in storage for later testing when appropriate.

1.7.2 Fresh Concrete

Fresh concrete will be sampled as delivered in accordance with ASTM C 172

and tested in accordance with these specifications, as considered necessary.

1.7.3 Hardened Concrete

Tests on hardened concrete will be performed by the Government when such tests are considered necessary.

1.7.4 Inspection

Concrete operations may be tested and inspected by the Government as the project progresses. Failure to detect defective work or material will not prevent rejection later when a defect is discovered nor will it obligate the Government for final acceptance.

PART 2 PRODUCTS

2.1 CEMENTITIOUS MATERIALS

Cementitious Materials shall be portland cement, or portland cement in combination with pozzolan and shall conform to appropriate specifications listed below. Use of cementitious materials in concrete which will have surfaces exposed in the completed structure shall be restricted so there is no change in color, source, or type of cementitious material.

2.1.1 Portland Cement

Portland cement shall conform to ASTM C 150 of one of the types listed below. All cement shall meet the optional chemical requirements for low alkali cement in Table 2 of ASTM C 150.,

Type II blended with pozzolan meeting the requirements of ASTM C 618, Type F, or

Type II having tricalcium aluminate content of less than 5 percent, or meeting the optional physical requirement for sulfate expansion for Type V cement in Table 4 of ASTM C 150, or

Type V.

2.1.2 Pozzolan (Fly Ash)

ASTM C 618, Class C or F with the optional requirements for multiple factor, drying shrinkage, and uniformity from Table 2A of ASTM C 618. . If pozzolan is used, it shall never be less than 15 percent nor more than 35 percent by weight of the total cementitious material. The Contractor shall comply with EPA requirements in accordance with Section 01670 RECYCLED / RECOVERED MATERIALS.

2.2 AGGREGATES

Aggregates shall conform to the following.

2.2.1 Fine Aggregate

Fine aggregate shall conform to the quality and gradation requirements of ASTM C 33.

2.2.2 Coarse Aggregate

Coarse aggregate shall conform to ASTM C 33, Class 5S.

2.3 CHEMICAL ADMIXTURES

Chemical admixtures, when required or permitted, shall conform to the appropriate specification listed. Admixtures shall be furnished in liquid form and of suitable concentration for easy, accurate control of dispensing.

2.3.1 Air-Entraining Admixture

ASTM C 260 and shall consistently entrain the air content in the specified ranges under field conditions.

2.3.2 Accelerating Admixture

ASTM C 494/C 494M, Type C or E, except that calcium chloride or admixtures containing calcium chloride shall not be used.

2.3.3 Water-Reducing or Retarding Admixture

ASTM C 494/C 494M, Type A, B, or D, except that the 6-month and 1-year compressive and flexural strength tests are waived.

2.4 CURING MATERIALS

2.4.1 Impervious-Sheet

Impervious-sheet materials shall conform to ASTM C 171, type optional, except, that polyethylene sheet shall not be used.

2.4.2 Membrane-Forming Compound

Membrane-Forming curing compound shall conform to ASTM C 309, Type 1-D or 2, except that only a styrene acrylate or chlorinated rubber compound meeting Class B requirements shall be used for surfaces that are to be painted or are to receive bituminous roofing, or waterproofing, or floors that are to receive adhesive applications of resilient flooring. The curing compound selected shall be compatible with any subsequent paint, roofing, waterproofing, or flooring specified. Nonpigmented compound shall contain a fugitive dye, and shall have the reflective requirements in ASTM C 309 waived.

2.4.3 Burlap and Cotton Mat

Burlap and cotton mat used for curing shall conform to AASHTO M 182.

2.5 WATER

Water for mixing and curing shall be fresh, clean, potable, and free of injurious amounts of oil, acid, salt, or alkali, except that non-potable water may be used if it meets the requirements of COE CRD-C 400.

2.6 LATEX BONDING AGENT

Latex agents for bonding fresh to hardened concrete shall conform to ASTM C 1059.

2.7 EPOXY RESIN

Epoxy resins for use in repairs shall conform to ASTM C 881, Type V, Grade 2. Class as appropriate to the existing ambient and surface temperatures.

2.8 EMBEDDED ITEMS

Embedded items shall be of the size and type indicated or as needed for the application. Dovetail slots shall be galvanized steel.

2.9 JOINT MATERIALS

Joint materials are specified in Section 03150a WATERSTOPS.

PART 3 EXECUTION

3.1 PREPARATION FOR PLACING

Before commencing concrete placement, the following shall be performed. Surfaces to receive concrete shall be clean and free from frost, ice, mud, and water. Forms shall be in place, cleaned, coated, and adequately supported, in accordance with Section 03100a STRUCTURAL CONCRETE FORMWORK. Reinforcing steel shall be in place, cleaned, tied, and adequately supported, in accordance with Section 03200a CONCRETE REINFORCEMENT. Transporting and conveying equipment shall be in-place, ready for use, clean, and free of hardened concrete and foreign material. Equipment for consolidating concrete shall be at the placing site and in proper working order. Equipment and material for curing and for protecting concrete from weather or mechanical damage shall be at the placing site, in proper working condition and in sufficient amount for the entire placement. When hot, windy conditions during concreting appear probable, equipment and material shall be at the placing site to provide windbreaks, shading, fogging, or other action to prevent plastic shrinkage cracking or other damaging drying of the concrete.

3.1.1 Foundations

3.1.1.1 Concrete on Earth Foundations

Earth (subgrade, base, or subbase courses) surfaces upon which concrete is to be placed shall be clean, damp, and free from debris, frost, ice, and standing or running water. Prior to placement of concrete, the foundation shall be well drained and shall be satisfactorily graded and uniformly compacted.

3.1.2 Previously Placed Concrete

3.1.2.1 Preparation of Previously Placed Concrete

Concrete surfaces to which other concrete is to be bonded shall be abraded in an approved manner that will expose sound aggregate uniformly without damaging the concrete. Laitance and loose particles shall be removed. Surfaces shall be thoroughly washed and shall be moist but without free water when concrete is placed.

3.1.3 Embedded Items

Before placement of concrete, care shall be taken to determine that all embedded items are firmly and securely fastened in place as indicated on

the drawings, or required. Conduit and other embedded items shall be clean and free of oil and other foreign matter such as loose coatings or rust, paint, and scale. The embedding of wood in concrete will be permitted only when specifically authorized or directed. Voids in sleeves, inserts, and anchor slots shall be filled temporarily with readily removable materials to prevent the entry of concrete into voids. Welding shall not be performed on embedded metals within 1 foot of the surface of the concrete. Tack welding shall not be performed on or to embedded items.

3.2 CONCRETE PRODUCTION

Batch-type equipment shall be used for producing concrete. Ready-mixed concrete shall be batched, mixed, and transported in accordance with ASTM C 94/C 94M, except as otherwise specified. Truck mixers, agitators, and nonagitating transporting units shall comply with NRMCA TMMB 100. Ready-mix plant equipment and facilities shall be certified in accordance with NRMCA QC 3. Approved batch tickets shall be furnished for each load of ready-mixed concrete. Site-mixed concrete shall be produced in accordance with ACI 301, and plant shall conform to NRMCA CPMB 100. In lieu of batch-type equipment, concrete may be produced by volumetric batching and continuous mixing, which shall conform to ASTM C 685.

3.3 TRANSPORTING CONCRETE TO PROJECT SITE

Concrete shall be transported to the placing site in truck mixers, agitators, or nonagitating transporting equipment conforming to NRMCA TMMB 100.

3.4 CONVEYING CONCRETE ON SITE

Concrete shall be conveyed from mixer or transporting unit to forms as rapidly as possible and within the time interval specified by methods which will prevent segregation or loss of ingredients using following equipment. Conveying equipment shall be cleaned before each placement.

3.4.1 Buckets

The interior hopper slope shall be not less than 58 degrees from the horizontal, the minimum dimension of the clear gate opening shall be at least 5 times the nominal maximum-size aggregate, and the area of the gate opening shall not be less than 2 square feet. The maximum dimension of the gate opening shall not be greater than twice the minimum dimension. The bucket gates shall be essentially grout tight when closed and may be manually, pneumatically, or hydraulically operated except that buckets larger than 2 cubic yard shall not be manually operated. The design of the bucket shall provide means for positive regulation of the amount and rate of deposit of concrete in each dumping position.

3.4.2 Trucks

Truck mixers operating at agitating speed or truck agitators used for transporting plant-mixed concrete shall conform to the requirements of ASTM C 94/C 94M. Nonagitating equipment shall be used only for transporting plant-mixed concrete over a smooth road and when the hauling time is less than 15 minutes. Bodies of nonagitating equipment shall be smooth, watertight, metal containers specifically designed to transport concrete, shaped with rounded corners to minimize segregation, and equipped with gates that will permit positive control of the discharge of the concrete.

3.4.3 Chutes

When concrete can be placed directly from a truck mixer, agitator, or nonagitating equipment, the chutes normally attached to this equipment by the manufacturer may be used. A discharge deflector shall be used when required by the Contracting Officer. Separate chutes and other similar equipment will not be permitted for conveying concrete.

3.4.4 Concrete Pumps

Concrete may be conveyed by positive displacement pump when approved. The pumping equipment shall be piston or squeeze pressure type; pneumatic placing equipment shall not be used. The pipeline shall be rigid steel pipe or heavy-duty flexible hose. The inside diameter of the pipe shall be at least 3 times the nominal maximum-size coarse aggregate in the concrete mixture to be pumped but not less than 4 inches. Aluminum pipe shall not be used.

3.5 PLACING CONCRETE

Mixed concrete shall be discharged within 1-1/2 hours or before the mixer drum has revolved 300 revolutions, whichever comes first after the introduction of the mixing water to the cement and aggregates. When the concrete temperature exceeds 85 degrees F, the time shall be reduced to 45 minutes. Concrete shall be placed within 15 minutes after it has been discharged from the transporting unit. Concrete shall be handled from mixer or transporting unit to forms in a continuous manner until the approved unit of operation is completed. Adequate scaffolding, ramps and walkways shall be provided so that personnel and equipment are not supported by in-place reinforcement. Placing will not be permitted when the sun, heat, wind, or limitations of facilities furnished by the Contractor prevent proper consolidation, finishing and curing. Sufficient placing capacity shall be provided so that concrete can be kept free of cold joints.

3.5.1 Depositing Concrete

Concrete shall be deposited as close as possible to its final position in the forms, and there shall be no vertical drop greater than 5 feet except where suitable equipment is provided to prevent segregation and where specifically authorized. Depositing of the concrete shall be so regulated that it will be effectively consolidated in horizontal layers not more than 12 inches thick, except that all slabs shall be placed in a single layer. Concrete to receive other construction shall be screeded to the proper level. Concrete shall be deposited continuously in one layer or in layers so that fresh concrete is deposited on in-place concrete that is still plastic. Fresh concrete shall not be deposited on concrete that has hardened sufficiently to cause formation of seams or planes of weakness within the section. Concrete that has surface dried, partially hardened, or contains foreign material shall not be used. When temporary spreaders are used in the forms, the spreaders shall be removed as their service becomes unnecessary. Concrete shall not be placed in slabs over columns and walls until concrete in columns and walls has been in-place at least two hours or until the concrete begins to lose its plasticity. Concrete for beams, girders, brackets, column capitals, haunches, and drop panels shall be placed at the same time as concrete for adjoining slabs.

3.5.2 Consolidation

Immediately after placing, each layer of concrete shall be consolidated by internal vibrators, except for slabs 4 inches thick or less. The vibrators shall at all times be adequate in effectiveness and number to properly consolidate the concrete; a spare vibrator shall be kept at the jobsite during all concrete placing operations. The vibrators shall have a frequency of not less than 10,000 vibrations per minute, an amplitude of at least 0.025 inch, and the head diameter shall be appropriate for the structural member and the concrete mixture being placed. Vibrators shall be inserted vertically at uniform spacing over the area of placement. The distance between insertions shall be approximately 1-1/2 times the radius of action of the vibrator so that the area being vibrated will overlap the adjacent just-vibrated area by a reasonable amount. The vibrator shall penetrate rapidly to the bottom of the layer and at least 6 inches into the preceding layer if there is such. Vibrator shall be held stationary until the concrete is consolidated and then vertically withdrawn slowly while operating. Form vibrators shall not be used unless specifically approved and unless forms are constructed to withstand their use. Vibrators shall not be used to move concrete within the forms. Slabs 4 inches and less in thickness shall be consolidated by properly designed vibrating screeds or other approved technique. Excessive vibration of lightweight concrete resulting in segregation or flotation of coarse aggregate shall be prevented. Frequency and amplitude of vibrators shall be determined in accordance with COE CRD-C 521. Grate tampers ("jitterbugs") shall not be used.

3.5.3 Cold Weather Requirements

Special protection measures, approved by the Contracting Officer, shall be used if freezing temperatures are anticipated before the expiration of the specified curing period. The ambient temperature of the air where concrete is to be placed and the temperature of surfaces to receive concrete shall be not less than 40 degrees F. The temperature of the concrete when placed shall be not less than 50 degrees F nor more than 75 degrees F. Heating of the mixing water or aggregates will be required to regulate the concrete placing temperature. Materials entering the mixer shall be free from ice, snow, or frozen lumps. Salt, chemicals or other materials shall not be incorporated in the concrete to prevent freezing. Upon written approval, an accelerating admixture conforming to ASTM C 494/C 494M, Type C or E may be used, provided it contains no calcium chloride. Calcium chloride shall not be used.

3.5.4 Hot Weather Requirements

When the ambient temperature during concrete placing is expected to exceed 85 degrees F, the concrete shall be placed and finished with procedures previously submitted and as specified herein. The concrete temperature at time of delivery to the forms shall not exceed the temperature shown in the table below when measured in accordance with ASTM C 1064/C 1064M. Cooling of the mixing water or aggregates or placing concrete in the cooler part of the day may be required to obtain an adequate placing temperature. A retarder may be used, as approved, to facilitate placing and finishing. Steel forms and reinforcements shall be cooled as approved prior to concrete placement when steel temperatures are greater than 120 degrees F. Conveying and placing equipment shall be cooled if necessary to maintain proper concrete-placing temperature.

Maximum Allowable Concrete Placing Temperature

Relative Humidity, Percent, During Time of Concrete Placement	Maximum Allowable Concrete Temperature Degrees
Greater than 60	90 F
40-60	85 F
Less than 40	80 F

3.5.5 Prevention of Plastic Shrinkage Cracking

During hot weather with low humidity, and particularly with appreciable wind, as well as interior placements when space heaters produce low humidity, the Contractor shall be alert to the tendency for plastic shrinkage cracks to develop and shall institute measures to prevent this. Particular care shall be taken if plastic shrinkage cracking is potentially imminent and especially if it has developed during a previous placement. Periods of high potential for plastic shrinkage cracking can be anticipated by use of Fig. 2.1.5 of ACI 305R. In addition the concrete placement shall be further protected by erecting shades and windbreaks and by applying fog sprays of water, sprinkling, ponding or wet covering. Plastic shrinkage cracks that occur shall be filled by injection of epoxy resin as directed, after the concrete hardens. Plastic shrinkage cracks shall never be troweled over or filled with slurry.

3.5.6 Placing Concrete in Congested Areas

Special care shall be used to ensure complete filling of the forms, elimination of all voids, and complete consolidation of the concrete when placing concrete in areas congested with reinforcing bars, embedded items, waterstops and other tight spacing. An appropriate concrete mixture shall be used, and the nominal maximum size of aggregate (NMSA) shall meet the specified criteria when evaluated for the congested area. Vibrators with heads of a size appropriate for the clearances available shall be used, and the consolidation operation shall be closely supervised to ensure complete and thorough consolidation at all points. Where necessary, splices of reinforcing bars shall be alternated to reduce congestion. Where two mats of closely spaced reinforcing are required, the bars in each mat shall be placed in matching alignment to reduce congestion. Reinforcing bars may be temporarily crowded to one side during concrete placement provided they are returned to exact required location before concrete placement and consolidation are completed.

3.6 JOINTS

Joints shall be located and constructed as indicated or approved. Joints not indicated on the drawings shall be located and constructed to minimize the impact on the strength of the structure. In general, such joints shall be located near the middle of the spans of supported slabs, beams, and girders unless a beam intersects a girder at this point, in which case the joint in the girder shall be offset a distance equal to twice the width of the beam. Joints in walls and columns shall be at the underside of floors, slabs, beams, or girders and at the tops of footings or floor slabs, unless otherwise approved. Joints shall be perpendicular to the main reinforcement. All reinforcement shall be continued across joints; except

that reinforcement or other fixed metal items shall not be continuous through expansion joints, or through construction or contraction joints in slabs on grade. Reinforcement shall be 2 inches clear from each joint. Except where otherwise indicated, construction joints between interior slabs on grade and vertical surfaces shall consist of 30 pound asphalt-saturated felt, extending for the full depth of the slab. The perimeters of the slabs shall be free of fins, rough edges, spalling, or other unsightly appearance. Reservoir for sealant for construction and contraction joints in slabs shall be formed to the dimensions shown on the drawings by removing snap-out joint-forming inserts, by sawing sawable inserts, or by sawing to widen the top portion of sawed joints. Joints to be sealed shall be cleaned and sealed as indicated and in accordance with Section 03150a WATERSTOPS.

3.6.1 Construction Joints

For concrete other than slabs on grade, construction joints shall be located so that the unit of operation does not exceed 60 feet. Concrete shall be placed continuously so that each unit is monolithic in construction. Fresh concrete shall not be placed against hardened concrete until it is at least 24 hours old. Construction joints shall be located as indicated or approved. Where concrete work is interrupted by weather, end of work shift or other similar type of delay, location and type of construction joint shall be subject to approval of the Contracting Officer. Unless otherwise indicated and except for slabs on grade, reinforcing steel shall extend through construction joints. Construction joints in slabs on grade shall be keyed or doweled as shown. Concrete columns, walls, or piers shall be in place at least 2 hours, or until the concrete begins to lose its plasticity, before placing concrete for beams, girders, or slabs thereon. In walls having door or window openings, lifts shall terminate at the top and bottom of the opening. Other lifts shall terminate at such levels as to conform to structural requirements or architectural details. Where horizontal construction joints in walls or columns are required, a strip of 1 inch-square-edge lumber, bevelled and oiled to facilitate removal, shall be tacked to the inside of the forms at the construction joint. Concrete shall be placed to a point 1 inch above the underside of the strip. The strip shall be removed 1 hour after the concrete has been placed, and any irregularities in the joint line shall be leveled off with a wood float, and all laitance shall be removed. Prior to placing additional concrete, horizontal construction joints shall be prepared as specified in paragraph Previously Placed Concrete.

3.6.2 Waterstops

Waterstops shall be installed in conformance with the locations and details shown on the drawings using materials and procedures specified in Section 03150a WATERSTOPS.

3.6.3 Dowels

Dowels shall be installed at the locations shown on the drawings and to the details shown, using materials and procedures specified in Section 03200a CONCRETE REINFORCEMENT and herein. Conventional smooth "paving" dowels shall be installed in slabs using approved methods to hold the dowel in place during concreting within a maximum alignment tolerance of 1/8 inch in 12 inches. "Structural" type deformed bar dowels, or tie bars, shall be installed to meet the specified tolerances. Care shall be taken during placing adjacent to and around dowels and tie bars to ensure there is no displacement of the dowel or tie bar and that the concrete completely

embeds the dowel or tie bar and is thoroughly consolidated.

3.7 FINISHING FORMED SURFACES

Forms, form materials, and form construction are specified in Section 03100a STRUCTURAL CONCRETE FORMWORK. Finishing of formed surfaces shall be as specified herein. Unless another type of architectural or special finish is specified, surfaces shall be left with the texture imparted by the forms except that defective surfaces shall be repaired. Unless painting of surfaces is required, uniform color of the concrete shall be maintained by use of only one mixture without changes in materials or proportions for any structure or portion of structure that requires a Class A or B finish. Except for major defects, as defined hereinafter, surface defects shall be repaired as specified herein within 24 hours after forms are removed. Repairs of the so-called "plaster-type" will not be permitted in any location. Tolerances of formed surfaces shall conform to the requirements of ACI 117/117R. These tolerances apply to the finished concrete surface, not to the forms themselves; forms shall be set true to line and grade. Form tie holes requiring repair and other defects whose depth is at least as great as their surface diameter shall be repaired as specified in paragraph Damp-Pack Mortar Repair. Defects whose surface diameter is greater than their depth shall be repaired as specified in paragraph Repair of Major Defects. Repairs shall be finished flush with adjacent surfaces and with the same surface texture. The cement used for all repairs shall be a blend of job cement with white cement proportioned so that the final color after curing and aging will be the same as the adjacent concrete. Concrete with excessive honeycomb, or other defects which affect the strength of the member, will be rejected. Repairs shall be demonstrated to be acceptable and free from cracks or loose or drummy areas at the completion of the contract and, for Class A and B Finishes, shall be inconspicuous. Repairs not meeting these requirements will be rejected and shall be replaced.

3.7.1 Class B Finish

Class B finish is required on all surfaces exposed to view. Fins, ravelings, and loose material shall be removed, all surface defects over 1/2 inch in diameter or more than 1/2 inch deep, shall be repaired and, except as otherwise indicated or as specified in Section 03100a STRUCTURAL CONCRETE FORMWORK, holes left by removal of form ties shall be reamed and filled. Defects more than 1/2 inch in diameter shall be cut back to sound concrete, but in all cases at least 1 inch deep. The Contractor shall prepare a sample panel for approval (as specified in PART 1) before commencing repair, showing that the surface texture and color match will be attained. Metal tools shall not be used to finish repairs in Class A surfaces.

3.7.2 Class D Finish

Class D finish is required on all surfaces which will not be exposed to view in the finished structure. Fins, ravelings, and loose material shall be removed, and, except as otherwise indicated or as specified in Section 03100a STRUCTURAL CONCRETE FORMWORK, holes left by removal of form ties shall be reamed and filled. Honeycomb and other defects more than 1/2 inch deep or more than 2 inches in diameter shall be repaired. Defects more than 2 inches in diameter shall be cut back to sound concrete, but in all cases at least 1 inch deep.

3.8 REPAIRS

3.8.1 Damp-Pack Mortar Repair

Form tie holes requiring repair and other defects whose depth is at least as great as their surface diameter but not over 4 inches shall be repaired by the damp-pack mortar method. Form tie holes shall be reamed and other similar defects shall be cut out to sound concrete. The void shall then be thoroughly cleaned, thoroughly wetted, brush-coated with a thin coat of neat cement grout and filled with mortar. Mortar shall be a stiff mix of 1 part portland cement to 2 parts fine aggregate passing the No. 16 mesh sieve, and minimum amount of water. Only sufficient water shall be used to produce a mortar which, when used, will stick together on being molded into a ball by a slight pressure of the hands and will not exude water but will leave the hands damp. Mortar shall be mixed and allowed to stand for 30 to 45 minutes before use with remixing performed immediately prior to use. Mortar shall be thoroughly tamped in place in thin layers using a hammer and hardwood block. Holes passing entirely through walls shall be completely filled from the inside face by forcing mortar through to the outside face. All holes shall be packed full. Damp-pack repairs shall be moist cured for at least 48 hours.

3.8.2 Repair of Major Defects

Major defects will be considered to be those more than 1/2 inch deep or, for Class A and B finishes, more than 1/2 inch in diameter and, for Class C and D finishes, more than 2 inches in diameter. Also included are any defects of any kind whose depth is over 4 inches or whose surface diameter is greater than their depth. Major defects shall be repaired as specified below.

3.8.2.1 Surface Application of Mortar Repair

Defective concrete shall be removed, and removal shall extend into completely sound concrete. Approved equipment and procedures which will not cause cracking or microcracking of the sound concrete shall be used. If reinforcement is encountered, concrete shall be removed so as to expose the reinforcement for at least 2 inches on all sides. All such defective areas greater than 12 square inches shall be outlined by saw cuts at least 1 inch deep. Defective areas less than 12 square inches shall be outlined by a 1 inch deep cut with a core drill in lieu of sawing. All saw cuts shall be straight lines in a rectangular pattern in line with the formwork panels. After concrete removal, the surface shall be thoroughly cleaned by high pressure washing to remove all loose material. Surfaces shall be kept continually saturated for the first 12 of the 24 hours immediately before placing mortar and shall be damp but not wet at the time of commencing mortar placement. The Contractor, at his option, may use either hand-placed mortar or mortar placed with a mortar gun. If hand-placed mortar is used, the edges of the cut shall be perpendicular to the surface of the concrete. The prepared area shall be brush-coated with a thin coat of neat cement grout. The repair shall then be made using a stiff mortar, preshrunk by allowing the mixed mortar to stand for 30 to 45 minutes and then remixed, thoroughly tamped into place in thin layers. If hand-placed mortar is used, the Contractor shall test each repair area for drumminess by firm tapping with a hammer and shall inspect for cracks, both in the presence of the Contracting Officer's representative, immediately before completion of the contract, and shall replace any showing drumminess or cracking. If mortar placed with a mortar gun is used, the gun shall be a small compressed air-operated gun to which the mortar is slowly hand fed and which applies the mortar to the surface as a high-pressure stream, as

approved. Repairs made using shotcrete equipment will not be accepted. The mortar used shall be the same mortar as specified for damp-pack mortar repair. If gun-placed mortar is used, the edges of the cut shall be beveled toward the center at a slope of 1:1. All surface applied mortar repairs shall be continuously moist cured for at least 7 days. Moist curing shall consist of several layers of saturated burlap applied to the surface immediately after placement is complete and covered with polyethylene sheeting, all held closely in place by a sheet of plywood or similar material rigidly braced against it. Burlap shall be kept continually wet.

3.8.2.2 Repair of Deep and Large Defects

Deep and large defects will be those that are more than 6 inches deep and also have an average diameter at the surface more than 18 inches or that are otherwise so identified by the Project Office. Such defects shall be repaired as specified herein or directed, except that defects which affect the strength of the structure shall not be repaired and that portion of the structure shall be completely removed and replaced. Deep and large defects shall be repaired by procedures approved in advance including forming and placing special concrete using applied pressure during hardening. Preparation of the repair area shall be as specified for surface application of mortar. In addition, the top edge (surface) of the repair area shall be sloped at approximately 20 degrees from the horizontal, upward toward the side from which concrete will be placed. The special concrete shall be a concrete mixture with low water content and low slump, and shall be allowed to age 30 to 60 minutes before use. Concrete containing a specified expanding admixture may be used in lieu of the above mixture; the paste portion of such concrete mixture shall be designed to have an expansion between 2.0 and 4.0 percent when tested in accordance with ASTM C 940. A full width "chimney" shall be provided at the top of the form on the placing side to ensure filling to the top of the opening. A pressure cap shall be used on the concrete in the chimney with simultaneous tightening and revibrating the form during hardening to ensure a tight fit for the repair. The form shall be removed after 24 hours and immediately the chimney shall be carefully chipped away to avoid breaking concrete out of the repair; the surface of the repair concrete shall be dressed as required.

3.9 FINISHING UNFORMED SURFACES

The finish of all unformed surfaces shall meet the requirements of paragraph Tolerances in PART 1, when tested as specified herein.

3.9.1 General

The ambient temperature of spaces adjacent to unformed surfaces being finished and of the base on which concrete will be placed shall be not less than 50 degrees F. In hot weather all requirements of paragraphs Hot Weather Requirements and Prevention of Plastic Shrinkage Cracking shall be met. Unformed surfaces that are not to be covered by additional concrete or backfill shall have a float finish, with additional finishing as specified below, and shall be true to the elevation shown on the drawings. Surfaces to receive additional concrete or backfill shall be brought to the elevation shown on the drawings, properly consolidated, and left true and regular. Unless otherwise shown on the drawings, exterior surfaces shall be sloped for drainage, as directed. Where drains are provided, interior floors shall be evenly sloped to the drains. Joints shall be carefully made with a jointing or edging tool. The finished surfaces shall be

protected from stains or abrasions. Grate tampers or "jitterbugs" shall not be used for any surfaces. The dusting of surfaces with dry cement or other materials or the addition of any water during finishing shall not be permitted. If bleedwater is present prior to finishing, the excess water shall be carefully dragged off or removed by absorption with porous materials such as burlap. During finishing operations, extreme care shall be taken to prevent over finishing or working water into the surface; this can cause "crazing" (surface shrinkage cracks which appear after hardening) of the surface. Any slabs with surfaces which exhibit significant crazing shall be removed and replaced. During finishing operations, surfaces shall be checked with a 10 foot straightedge, applied in both directions at regular intervals while the concrete is still plastic, to detect high or low areas.

3.9.2 Rough Slab Finish

As a first finishing operation for unformed surfaces, the surface shall receive a rough slab finish prepared as follows. The concrete shall be uniformly placed across the slab area, consolidated as previously specified, and then screeded with straightedge strikeoffs immediately after consolidation to bring the surface to the required finish level with no coarse aggregate visible. Side forms and screed rails shall be provided, rigidly supported, and set to exact line and grade. Allowable tolerances for finished surfaces apply only to the hardened concrete, not to forms or screed rails. Forms and screed rails shall be set true to line and grade. "Wet screeds" shall not be used.

3.9.3 Floated Finish

All slabs shall next be given a wood float finish. The screeding shall be followed immediately by darbying or bull floating before bleeding water is present, to bring the surface to a true, even plane. Then, after the concrete has stiffened so that it will withstand a man's weight without imprint of more than 1/4 inch and the water sheen has disappeared, it shall be floated to a true and even plane free of ridges. Floating shall be performed by use of suitable hand floats or power driven equipment. Sufficient pressure shall be used on the floats to bring a film of moisture to the surface. Hand floats shall be made of wood, magnesium, or aluminum. Lightweight concrete or concrete that exhibits stickiness shall be floated with a magnesium float. Care shall be taken to prevent over-finishing or incorporating water into the surface.

3.9.4 Troweled Finish

All slabs shall be given a trowel finish. After floating is complete and after the surface moisture has disappeared, unformed surfaces shall be steel-troweled to a smooth, even, dense finish, free from blemishes including trowel marks. In lieu of hand finishing, an approved power finishing machine may be used in accordance with the directions of the machine manufacturer. Additional trowelings shall be performed, either by hand or machine until the surface has been troweled 2 times, with waiting period between each. Care shall be taken to prevent blistering and if such occurs, troweling shall immediately be stopped and operations and surfaces corrected. A final hard steel troweling shall be done by hand, with the trowel tipped, and using hard pressure, when the surface is at a point that the trowel will produce a ringing sound. The finished surface shall be thoroughly consolidated and shall be essentially free of trowel marks and be uniform in texture and appearance. The concrete mixture used for troweled finished areas shall be adjusted, if necessary, in order to

provide sufficient fines (cementitious material and fine sand) to finish properly.

3.9.5 Non-Slip Finish

Non-slip floors shall be constructed in accordance with the following subparagraphs.

3.9.5.1 Broomed

Areas as indicated on the drawings shall be given a broomed finish. After floating, the surface shall be lightly steel troweled, and then carefully scored by pulling a coarse fiber push-type broom across the surface. Brooming shall be transverse to traffic or at right angles to the slope of the slab. After the end of the curing period, the surface shall be vigorously broomed with a coarse fiber broom to remove all loose or semi-detached particles.

3.10 CURING AND PROTECTION

3.10.1 General

Concrete shall be cured by an approved method for 7 days.

Immediately after placement, concrete shall be protected from premature drying, extremes in temperatures, rapid temperature change, mechanical injury and damage from rain and flowing water for the duration of the curing period. Air and forms in contact with concrete shall be maintained at a temperature above 50 degrees F for the first 3 days and at a temperature above 32 degrees F for the remainder of the specified curing period. Exhaust fumes from combustion heating units shall be vented to the outside of the enclosure, and heaters and ducts shall be placed and directed so as not to cause areas of overheating and drying of concrete surfaces or to create fire hazards. Materials and equipment needed for adequate curing and protection shall be available and at the site prior to placing concrete. No fire or excessive heat, including welding, shall be permitted near or in direct contact with the concrete at any time. Except as otherwise permitted by paragraph Membrane Forming Curing Compounds, moist curing shall be provided for any areas to receive floor hardener, any paint or other applied coating, or to which other concrete is to be bonded.

Concrete containing silica fume shall be initially cured by fog misting during finishing, followed immediately by continuous moist curing. Except for plastic coated burlap, impervious sheeting alone shall not be used for curing.

3.10.2 Moist Curing

Concrete to be moist-cured shall be maintained continuously wet for the entire curing period, commencing immediately after finishing. If water or curing materials used stain or discolor concrete surfaces which are to be permanently exposed, the concrete surfaces shall be cleaned as approved. When wooden forms are left in place during curing, they shall be kept wet at all times. If steel forms are used in hot weather, nonsupporting vertical forms shall be broken loose from the concrete soon after the concrete hardens and curing water continually applied in this void. If the forms are removed before the end of the curing period, curing shall be carried out as on unformed surfaces, using suitable materials. Surfaces shall be cured by ponding, by continuous sprinkling, by continuously

saturated burlap or cotton mats, or by continuously saturated plastic coated burlap. Burlap and mats shall be clean and free from any contamination and shall be completely saturated before being placed on the concrete. The Contractor shall have an approved work system to ensure that moist curing is continuous 24 hours per day.

3.10.3 Membrane Forming Curing Compounds

Membrane curing shall not be used on surfaces that are to receive any subsequent treatment depending on adhesion or bonding to the concrete, including surfaces to which a smooth finish is to be applied or other concrete to be bonded. However, a styrene acrylate or chlorinated rubber compound meeting ASTM C 309, Class B requirements, may be used for surfaces which are to be painted or are to receive bituminous roofing or waterproofing, or floors that are to receive adhesive applications of resilient flooring. The curing compound selected shall be compatible with any subsequent paint, roofing, waterproofing or flooring specified. Membrane curing compound shall not be used on surfaces that are maintained at curing temperatures with free steam. Curing compound shall be applied to formed surfaces immediately after the forms are removed and prior to any patching or other surface treatment except the cleaning of loose sand, mortar, and debris from the surface. All surfaces shall be thoroughly moistened with water. Curing compound shall be applied to slab surfaces as soon as the bleeding water has disappeared, with the tops of joints being temporarily sealed to prevent entry of the compound and to prevent moisture loss during the curing period. The curing compound shall be applied in a two-coat continuous operation by approved motorized power-spraying equipment operating at a minimum pressure of 75 psi, at a uniform coverage of not more than 400 square feet per gallon for each coat, and the second coat shall be applied perpendicular to the first coat. Concrete surfaces which have been subjected to rainfall within 3 hours after curing compound has been applied shall be resprayed by the method and at the coverage specified. Surfaces on which clear compound is used shall be shaded from direct rays of the sun for the first 3 days. Surfaces coated with curing compound shall be kept free of foot and vehicular traffic, and from other sources of abrasion and contamination during the curing period.

3.10.4 Impervious Sheeting

Impervious-sheet curing shall only be used on horizontal or nearly horizontal surfaces. Surfaces shall be thoroughly wetted and be completely covered with the sheeting. Sheeting shall be at least 18 inches wider than the concrete surface to be covered. Covering shall be laid with light-colored side up. Covering shall be lapped not less than 12 inches and securely weighted down or shall be lapped not less than 4 inches and taped to form a continuous cover with completely closed joints. The sheet shall be weighted to prevent displacement so that it remains in contact with the concrete during the specified length of curing. Coverings shall be folded down over exposed edges of slabs and secured by approved means. Sheets shall be immediately repaired or replaced if tears or holes appear during the curing period.

3.10.5 Ponding or Immersion

Concrete shall be continually immersed throughout the curing period. Water shall not be more than 20 degrees F less than the temperature of the concrete.

3.10.6 Cold Weather Curing and Protection

When the daily ambient low temperature is less than 32 degrees F the temperature of the concrete shall be maintained above 40 degrees F for the first seven days after placing. During the period of protection removal, the air temperature adjacent to the concrete surfaces shall be controlled so that concrete near the surface will not be subjected to a temperature differential of more than 25 degrees F as determined by suitable temperature measuring devices furnished by the Contractor, as required, and installed adjacent to the concrete surface and 2 inches inside the surface of the concrete. The installation of the thermometers shall be made by the Contractor as directed.

3.11 SETTING BASE PLATES AND BEARING PLATES

After being properly positioned, column base plates, bearing plates for beams and similar structural members, and machinery and equipment base plates shall be set to the proper line and elevation with damp-pack bedding mortar. The thickness of the mortar or grout shall be approximately 1/4 the width of the plate, but not less than 3/4 inch. Concrete and metal surfaces in contact with grout shall be clean and free of oil and grease, and concrete surfaces in contact with grout shall be damp and free of laitance when grout is placed.

3.11.1 Damp-Pack Bedding Mortar

Damp-pack bedding mortar shall consist of 1 part cement and 2-1/2 parts fine aggregate having water content such that a mass of mortar tightly squeezed in the hand will retain its shape but will crumble when disturbed. The space between the top of the concrete and bottom of the bearing plate or base shall be packed with the bedding mortar by tamping or ramming with a bar or rod until it is completely filled.

3.12 TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL

The Contractor shall perform the inspection and tests described below and, based upon the results of these inspections and tests, shall take the action required and shall submit specified reports. When, in the opinion of the Contracting Officer, the concreting operation is out of control, concrete placement shall cease and the operation shall be corrected. The laboratory performing the tests shall be onsite and shall conform with ASTM C 1077. Materials may be subjected to check testing by the Government from samples obtained at the manufacturer, at transfer points, or at the project site.

3.12.1 Concrete Mixture

- a. Air Content Testing. Air content tests shall be made when test specimens are fabricated. In addition, at least two tests for air content shall be made on randomly selected batches of each separate concrete mixture produced during each 8-hour period of concrete production. Additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Tests shall be made in accordance with ASTM C 231. Test results shall be plotted on control charts which shall at all times be readily available to the Government and shall be submitted weekly. Copies of the current control charts shall be kept in the field by testing crews and results plotted as tests are made. When a single test result reaches either the upper or lower action limit, a second test

shall immediately be made. The results of the two tests shall be averaged and this average used as the air content of the batch to plot on both the air content and the control chart for range, and for determining need for any remedial action. The result of each test, or average as noted in the previous sentence, shall be plotted on a separate control chart for each mixture on which an "average line" is set at the midpoint of the specified air content range from paragraph Air Entrainment. An upper warning limit and a lower warning limit line shall be set 1.0 percentage point above and below the average line, respectively. An upper action limit and a lower action limit line shall be set 1.5 percentage points above and below the average line, respectively. The range between each two consecutive tests shall be plotted on a secondary control chart for range where an upper warning limit is set at 2.0 percentage points and an upper action limit is set at 3.0 percentage points. Samples for air content may be taken at the mixer, however, the Contractor is responsible for delivering the concrete to the placement site at the stipulated air content. If the Contractor's materials or transportation methods cause air content loss between the mixer and the placement, correlation samples shall be taken at the placement site as required by the Contracting Officer, and the air content at the mixer controlled as directed.

- b. Air Content Corrective Action. Whenever points on the control chart for percent air reach either warning limit, an adjustment shall immediately be made in the amount of air-entraining admixture batched. As soon as practical after each adjustment, another test shall be made to verify the result of the adjustment. Whenever a point on the secondary control chart for range reaches the warning limit, the admixture dispenser shall be recalibrated to ensure that it is operating accurately and with good reproducibility. Whenever a point on either control chart reaches an action limit line, the air content shall be considered out of control and the concreting operation shall immediately be halted until the air content is under control. Additional air content tests shall be made when concreting is restarted.
- c. Slump Testing. In addition to slump tests which shall be made when test specimens are fabricated, at least four slump tests shall be made on randomly selected batches in accordance with ASTM C 143/C 143M for each separate concrete mixture produced during each 8-hour or less period of concrete production each day. Also, additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Test results shall be plotted on control charts which shall at all times be readily available to the Government and shall be submitted weekly. Copies of the current control charts shall be kept in the field by testing crews and results plotted as tests are made. When a single slump test reaches or goes beyond either the upper or lower action limit, a second test shall immediately be made. The results of the two tests shall be averaged and this average used as the slump of the batch to plot on both the control charts for slump and the chart for range, and for determining need for any remedial action. Limits shall be set on separate control charts for slump for each type of mixture. The upper warning limit shall be set at 1/2 inch below the maximum allowable slump specified in paragraph Slump in PART 1 for each type of concrete and an upper action limit line and lower action

limit line shall be set at the maximum and minimum allowable slumps, respectively, as specified in the same paragraph. The range between each consecutive slump test for each type of mixture shall be plotted on a single control chart for range on which an upper action limit is set at 2 inches. Samples for slump shall be taken at the mixer. However, the Contractor is responsible for delivering the concrete to the placement site at the stipulated slump. If the Contractor's materials or transportation methods cause slump loss between the mixer and the placement, correlation samples shall be taken at the placement site as required by the Contracting Officer, and the slump at the mixer controlled as directed.

- d. Slump Corrective Action. Whenever points on the control charts for slump reach the upper warning limit, an adjustment shall immediately be made in the batch weights of water and fine aggregate. The adjustments are to be made so that the total water content does not exceed that amount allowed by the maximum w/c ratio specified, based on aggregates which are in a saturated surface dry condition. When a single slump reaches the upper or lower action limit, no further concrete shall be delivered to the placing site until proper adjustments have been made. Immediately after each adjustment, another test shall be made to verify the correctness of the adjustment. Whenever two consecutive individual slump tests, made during a period when there was no adjustment of batch weights, produce a point on the control chart for range at or above the upper action limit, the concreting operation shall immediately be halted, and the Contractor shall take appropriate steps to bring the slump under control. Additional slump tests shall be made as directed.
- e. Temperature. The temperature of the concrete shall be measured when compressive strength specimens are fabricated. Measurement shall be in accordance with ASTM C 1064/C 1064M. The temperature shall be reported along with the compressive strength data.
- f. Strength Specimens. At least one set of test specimens shall be made, for compressive or flexural strength as appropriate, on each different concrete mixture placed during the day for each 500 cubic yards or portion thereof of that concrete mixture placed each day. Additional sets of test specimens shall be made, as directed by the Contracting Officer, when the mixture proportions are changed or when low strengths have been detected. A truly random (not haphazard) sampling plan shall be developed by the Contractor and approved by the Contracting Officer prior to the start of construction. The plan shall assure that sampling is done in a completely random and unbiased manner. A set of test specimens for concrete with a 28-day specified strength per paragraph Strength Requirements in PART 1 shall consist of four specimens, two to be tested at 7 days and two at 28 days. A set of test specimens for concrete with a 90-day strength per the same paragraph shall consist of six specimens, two tested at 7 days, two at 28 days, and two at 90 days. Test specimens shall be molded and cured in accordance with ASTM C 31/C 31M and tested in accordance with ASTM C 39/C 39M for test cylinders. Results of all strength tests shall be reported immediately to the Contracting Officer. Quality control charts shall be kept for individual strength "tests", ("test" as defined in paragraph Strength Requirements in PART 1) moving average of last 3 "tests"

for strength, and moving average for range for the last 3 "tests" for each mixture. The charts shall be similar to those found in ACI 214.3R.

3.12.2 Inspection Before Placing

Foundations, construction joints, forms, and embedded items shall be inspected by the Contractor in sufficient time prior to each concrete placement in order to certify to the Contracting Officer that they are ready to receive concrete. The results of each inspection shall be reported in writing.

3.12.3 Placing

The placing foreman shall supervise placing operations, shall determine that the correct quality of concrete or grout is placed in each location as specified and as directed by the Contracting Officer, and shall be responsible for measuring and recording concrete temperatures and ambient temperature hourly during placing operations, weather conditions, time of placement, volume placed, and method of placement. The placing foreman shall not permit batching and placing to begin until it has been verified that an adequate number of vibrators in working order and with competent operators are available. Placing shall not be continued if any pile of concrete is inadequately consolidated. If any batch of concrete fails to meet the temperature requirements, immediate steps shall be taken to improve temperature controls.

3.12.4 Curing Inspection

- a. Moist Curing Inspections. At least once each shift, and not less than twice per day on both work and non-work days, an inspection shall be made of all areas subject to moist curing. The surface moisture condition shall be noted and recorded.
- b. Moist Curing Corrective Action. When a daily inspection report lists an area of inadequate curing, immediate corrective action shall be taken, and the required curing period for those areas shall be extended by 1 day.
- c. Membrane Curing Inspection. No curing compound shall be applied until the Contractor has verified that the compound is properly mixed and ready for spraying. At the end of each operation, the Contractor shall estimate the quantity of compound used by measurement of the container and the area of concrete surface covered, shall compute the rate of coverage in square feet per gallon, and shall note whether or not coverage is uniform.
- d. Membrane Curing Corrective Action. When the coverage rate of the curing compound is less than that specified or when the coverage is not uniform, the entire surface shall be sprayed again.
- e. Sheet Curing Inspection. At least once each shift and once per day on non-work days, an inspection shall be made of all areas being cured using impervious sheets. The condition of the covering and the tightness of the laps and tapes shall be noted and recorded.
- f. Sheet Curing Corrective Action. When a daily inspection report lists any tears, holes, or laps or joints that are not completely

closed, the tears and holes shall promptly be repaired or the sheets replaced, the joints closed, and the required curing period for those areas shall be extended by 1 day.

3.12.5 Cold-Weather Protection

At least once each shift and once per day on non-work days, an inspection shall be made of all areas subject to cold-weather protection. Any deficiencies shall be noted, corrected, and reported.

3.12.6 Reports

All results of tests or inspections conducted shall be reported informally as they are completed and in writing daily. A weekly report shall be prepared for the updating of control charts covering the entire period from the start of the construction season through the current week. During periods of cold-weather protection, reports of pertinent temperatures shall be made daily. These requirements do not relieve the Contractor of the obligation to report certain failures immediately as required in preceding paragraphs. Such reports of failures and the action taken shall be confirmed in writing in the routine reports. The Contracting Officer has the right to examine all contractor quality control records.

-- End of Section --

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SECTION 05093A

WELDING PRESSURE PIPING
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)

- | | |
|------------------------|--|
| ASNT RP SNT-TC-1A | (1996) Recommended Practice SNT-TC-1A |
| ASNT Q&A Bk A | (1996) Question and Answer Book A:
Radiographic Test Method; Levels I, II,
III (Supplement to Recommended Practice
SNT-TC-1A) |
| ASNT RP SNT-TC-1A Bk B | (1994) Question and Answers Levels I, II,
and III Magnetic Particle Method Book B
(Supplement to RP SNT-TC-1A) |
| ASNT Q&A Bk C | (1994) Question and Answer Book C:
Ultrasonic Testing Method; Levels I, II,
III (Supplement to RP SNT-TC-1A) |
| ASNT Q&A Bk D | (1996) Question and Answer Book D: Liquid
Penetrant Testing Method; Levels I, II,
III (Supplement to RP SNT-TC-1A) |

ASME INTERNATIONAL (ASME)

- | | |
|--------------------|--|
| ASME B31.3 | (1999) Process Piping |
| ASME BPVC SEC I | (1998) Boiler and Pressure Vessel Code;
Section I, Power Boilers |
| ASME BPVC SEC II-C | (1998) Boiler and Pressure Vessel Code;
Section II, Materials, Part C -
Specifications for Welding Rods,
Electrodes and Filler Metals |
| ASME BPVC SEC V | (1998) Boiler and Pressure Vessel Code;
Section V, Nondestructive Examination |
| ASME BPVC SEC IX | (1998) Boiler and Pressure Vessel Code;
Section IX, Welding and Brazing
Qualifications |

AMERICAN WELDING SOCIETY (AWS)

AWS A2.4	(1998) Standard Symbols for Welding, Brazing and Nondestructive Examination
AWS A3.0	(1994) Standard Welding Terms and Definitions
AWS B2.1	(1998) Welding Procedure and Performance Qualification
AWS QC1	(1996) AWS Certification of Welding Inspectors
AWS Z49.1	(1999) Safety in Welding and Cutting and Allied Processes

1.2 DEFINITIONS

Definitions shall be in accordance with AWS A3.0.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Pressure Piping; G-ED

Detail drawings showing location, length, and type of welds; and indicating postweld heat treatment and NDE as required.

SD-03 Product Data

Qualifications; G-RE

Welding procedure qualification.

Welding Operations; G-RE

Detailed procedures which define methods of compliance to contract drawings and specifications. Inspection and material procurement records. System and material testing and certification records. Written records and drawings indicating location of welds made by each welder or welding operator.

SD-07 Certificates

Qualifications; G-RE

Welder and welding operator performance qualification certificates. Welding inspectors and NDE personnel certificates. Qualifications of testing laboratory or the Contractor's quality assurance organization.

1.4 GENERAL REQUIREMENTS

This section covers the welding of pressure piping systems. Deviations from applicable codes, approved procedures, and approved detail drawings will not be permitted without prior written approval. Materials or components with welds made offsite will not be accepted if the welding does not conform to the requirements of this specification, unless otherwise specified. Procedures shall be developed by the Contractor for welding all metals included in the work. Welding shall not be started until welding procedures, welders, and welding operators have been qualified. Qualification testing shall be performed by an approved testing laboratory, or by the Contractor if approved by the Contracting Officer. Costs of such testing shall be borne by the Contractor. The Contracting Officer shall be notified at least 24 hours in advance of the time and place of the tests. When practicable, the qualification tests shall be performed at or near the worksite. The Contractor shall maintain current records of the test results obtained in the welding procedure, welding operator, welder performance qualifications, and nondestructive examination (NDE) procedures readily available at the site for examination by the Contracting Officer. The procedures for making transition welds between different materials or between plates or pipes of different wall thicknesses shall be qualified. ASME B31.3 requirements for branch connections may be used in lieu of detailed designs. Unless otherwise specified, the choice of welding process shall be the responsibility of the Contractor.

1.5 PERFORMANCE

The Contractor shall be responsible for the quality of all joint preparation, welding, and examination. All materials used in the welding operations shall be clearly identified and recorded. The inspection and testing defined in this specification are minimum requirements. Additional inspection and testing shall be the responsibility of the Contractor when he deems it necessary to achieve the quality required.

1.6 QUALIFICATIONS

Welding procedures, welders, and welding operators previously qualified by test may be accepted for the work without requalification, provided that all of the following conditions are fulfilled:

- a. Copies of the welding procedures, the procedure qualification test records, and the welder and welding operator performance qualification test records are submitted and approved in accordance with paragraph SUBMITTALS.
- b. Testing was performed by an approved testing laboratory or technical consultant or by the Contractor's approved quality assurance organization.
- c. The welding procedures, welders, and welding operators were qualified in accordance with ASME BPVC SEC IX, or AWS B2.1, AR-2 level; and base materials, filler materials, electrodes, equipment, and processes conformed to the applicable requirements of this specification.
- d. The requirements of paragraph "Renewal of Qualification" below are met and records showing name of employer and period of employment using the process for which qualified are submitted as evidence of conformance.

1.6.1 Welding Procedures Qualification

The Contractor shall record in detail and shall qualify the Welding Procedure Specifications for every proposed welding procedure. Qualification for each welding procedure shall conform to the requirements of ASME B31.3 and to this specification. The welding procedures shall specify end preparation for butt welds including cleaning, alignment, and root openings. Preheat, interpass temperature control, and postheat treatment of welds shall be as required by approved welding procedures, unless otherwise indicated or specified. The type of backing rings or consumable inserts, if used, shall be described and if they are to be removed, the removal process shall be described. Copies of the welding procedure specifications and procedure qualification test results for each type of welding required shall be submitted in accordance with paragraph SUBMITTALS. Approval of any procedure does not relieve the Contractor of the sole responsibility for producing acceptable welds. Welding procedures shall be identified individually and shall be referenced on the detail drawings or keyed to the contract drawings.

1.6.2 Welder and Welding Operator Performance

Each welder and welding operator assigned to work shall be qualified in accordance with ASME B31.3.

1.6.2.1 Certification

Before assigning welders or welding operators to the work, the Contractor shall provide the Contracting Officer with their names together with certification that each individual is performance-qualified as specified. The certification shall state the type of welding and positions for which each is qualified, the code and procedure under which each is qualified, date qualified, and the firm and individual certifying the qualification tests.

1.6.2.2 Identification

Each particular weld shall be identified with the personal number, letter, or symbol assigned to each welder or welding operator. To identify welds, written records indicating the location of welds made by each welder or welding operator shall be submitted, and each welder or welding operator shall apply the personal mark adjacent to the welds using a rubber stamp or felt-tipped marker with permanent, weatherproof ink or other methods approved by the Contracting Officer that do not deform the metal. For seam welds, identification marks shall be placed adjacent to the welds at 3 foot intervals. Identification by die stamps or electric etchers will not be allowed.

1.6.2.3 Renewal of Qualification

Requalification of a welder or welding operator shall be required under any of the following conditions:

- a. When a welder or welding operator has not used the specific welding process for a period of 3 months; the period may be extended to 6 months if the welder or welding operator has been employed on some other welding process.
- b. When a welder or welding operator has not welded with any process

during a period of 3 months, all the personal qualifications shall be considered expired, including any extended by virtue of a., above.

- c. There is specific reason to question the person's ability to make welds that will meet the requirements of the specifications.
- d. The welder or welding operator was qualified by an employer, other than those firms performing work under this contract, and a qualification test has not been taken within the preceding 12 months.
- e. Renewal of qualification for a specific welding process under conditions a., b., and d., above, needs to be made on only a single test joint or pipe of any thickness, position, or material to reestablish the welder's or welding operator's qualification for any thickness, position, or material covered under previous qualification.

1.6.3 Inspection and NDE Personnel

All inspection and NDE personnel shall be qualified in accordance with the following requirements.

1.6.3.1 Inspector Certification

Welding inspectors shall be qualified in accordance with AWS QC1.

1.6.3.2 NDE Personnel

NDE personnel shall be certified, and a written procedure for the control and administration of NDE personnel training, examination, and certification shall be established. The procedures shall be based on appropriate specific and general guidelines of training and experience recommended by ASNT RP SNT-TC-1A, ASNT Q&A Bk A, ASNT RP SNT-TC-1A Bk B, ASNT Q&A Bk C, or ASNT Q&A Bk D, as applicable.

1.7 DELIVERY, STORAGE, AND HANDLING

All filler metals, electrodes, fluxes, and other welding materials shall be delivered to the site in manufacturers' original packages and stored in a dry space until used. Packages shall be properly labeled and designed to give maximum protection from moisture and to insure safe handling.

1.7.1 Material Control

Materials shall be stored in a controlled access and clean, dry area that is weathertight and is maintained at a temperature recommended by the manufacturer. The materials shall not be in contact with the floor and shall be stored on wooden pallets or cribbing.

1.7.1.1 Damaged Containers

Low-hydrogen steel electrodes shall be stored in their sealed shipping container. If the seal is damaged during shipment or storage, and the damage is not immediately detected, the covered electrodes in that container shall be rebaked in accordance with the manufacturer's instructions prior to issuance or shall be discarded. If a container is damaged in storage and the damage is witnessed, the electrodes from that

container shall be immediately placed in a storage oven. The storage oven temperature shall be as recommended by the manufacturer or the welding material specification.

1.7.1.2 Partial Issues

When a container of covered electrodes is opened and only a portion of the content is issued, the remaining portion shall, within 1/2 hour, be placed in a storage oven.

1.7.2 Damaged Materials

Materials which are damaged shall be discarded. Covered electrodes which are oil or water-soaked, dirty, or on which the flux has separated from the wire shall be discarded.

1.8 SYMBOLS

Symbols shall be in accordance with AWS A2.4.

1.9 SAFETY

Safety precautions shall conform to AWS Z49.1.

PART 2 PRODUCTS

2.1 WELDING MATERIALS

Welding materials shall comply with ASME BPVC SEC II-C. Welding equipment, electrodes, welding wire, and fluxes shall be capable of producing satisfactory welds when used by a qualified welder or welding operator using qualified welding procedures.

PART 3 EXECUTION

3.1 WELDING OPERATIONS

Welding shall be performed in accordance with qualified procedures using qualified welders and welding operators. Welding shall not be done when the quality of the completed weld could be impaired by the prevailing working or weather conditions. The Contracting Officer shall determine when weather or working conditions are unsuitable for welding. Welding of hangers, supports, and plates to structural members shall conform to AWS D1.1.

3.1.1 Base Metal Preparation

Oxy-fuel cutting shall not be used on austenitic stainless steel or nonferrous materials.

3.1.2 Weld Joint Fit-Up

Parts that are to be joined by welding shall be fitted, aligned, and retained in position during the welding operation by the use of bars, jacks, clamps, or other mechanical fixtures. Welded temporary attachments shall not be used except when it is impractical to use mechanical fixtures.

When temporary attachments are used, they shall be the same material as the base metal, and shall be completely removed by grinding or thermal cutting after the welding operation is completed. If thermal cutting is

used, the attachment shall be cut to not less than 1/4 inch from the member and the balance removed by grinding. After the temporary attachment has been removed, the area shall be visually examined.

3.1.3 Preheat and Interpass Temperatures

Preheat temperatures shall meet the requirements specified by ASME B31.3. However, in no case shall the preheat be below 50 degrees F for ferritic steel or austenitic stainless steel, or 32 degrees F for nonferrous alloys.

The maximum interpass temperatures shall not exceed 300 degrees F for austenitic stainless steels, nickel alloys, and copper alloys; and 500 degrees F for carbon steels. Preheat techniques shall be such as to ensure that the full thickness of the weld joint preparation and/or adjacent base material, at least 3 inches in all directions, is at the specified temperature. Preheating by induction or resistance methods is preferred. When flame heating is used, only a neutral flame shall be employed. Oxy-fuel heating shall not be used on austenitic stainless steel or nickel-alloy materials; however, air-fuel heating is acceptable if controlled to insure that the surface temperature does not exceed 150 degrees F. Interpass temperatures shall be checked on the surface of the component within 1 inch of the weld groove and at the starting location of the next weld pass, and for a distance of about 6 inches ahead of the weld, but not on the area to be welded.

3.1.4 Production Welding Instructions

- a. Welding shall not be done when the ambient temperature is lower than 0 degree F.
- b. Welding is not permitted on surfaces that are wet or covered with ice, when snow or rain is falling on the surfaces to be welded, or during periods of high winds, unless the welders and the work are properly protected.
- c. Gases for purging and shielding shall be welding grade and shall have a dew point of minus 40 degrees F or lower.
- d. Back purges are required for austenitic stainless steels and nonferrous alloys welded from one side and shall be set up such that the flow of gas from the inlet to the outlet orifice passes across the area to be welded. The oxygen content of the gas exiting from the purge vent shall be less than 2 percent prior to welding.
- e. The purge on groove welds shall be maintained for at least three layers or 3/16 inch.
- f. Removable purge dam materials shall be made of expandable or flexible plugs, such as plexiglass, plywood (which shall be dry when used), etc. Wood dams shall be kiln-dried quality. Nonremovable purge dams and purge dam adhesives shall be made of water soluble materials. Purge dams shall not be made of polyvinyl alcohol.
- g. Any welding process which requires the use of external gas shielding shall not be done in a draft or wind unless the weld area is protected by a shelter. This shelter shall be of material and shape appropriate to reduce wind velocity in the vicinity of the weld to a maximum of 5 mph (440 fpm).

- h. Welding of low-alloy and hardenable high-alloy steels may be interrupted provided a minimum of at least 3/8 inch thickness of weld deposit or 25 percent of the weld groove is filled, whichever is greater, and the preheat temperature is maintained during the time that welding is interrupted. If the temperature falls below the minimum preheat temperature before all welding has been completed on a joint, or, where required, before post weld heat treatment, a liquid penetrant or magnetic particle examination shall be performed to insure sound deposited metal before reheating. Welding of other materials may be interrupted without restriction provided a visual inspection is performed before welding is resumed.
- i. Tack welds to be incorporated in the final welds shall have their ends tapered by grinding or welding technique. Tack welds that are cracked or defective shall be removed and the groove shall be retacked prior to welding. Temporary tack welds shall be removed, the surface ground smooth, and visually inspected. For low-alloy and hardenable high-alloy steels, the area shall be magnetic particle examination inspected.
- j. When joining ferritic steel pressure piping components to austenitic stainless steel pressure piping components and postweld heat treatment is required, the following requirements apply:
- (1) The weld-end preps of ferritic steel components, which are to be welded to austenitic stainless steel, shall be buttered with one of the following weld filler metals and shall conform to the specified requirements:
- ASME BPVC SEC II-C, SFA 5.14, Classification ERNiCr-3.
- ASME BPVC SEC II-C, SFA 5.11, Classification ENiCrFe-2.
- (2) The ferritic steel weld-end prep shall be buttered, receive a postweld heat treatment as required by ASME B31.3, and then be machined with the applicable weld-end preparation. After machining, the buttered layer shall be a minimum of 1/4 inch thick.
- (3) Pressure piping transition joints shall be completed using ERNiCr-3 or ENiCrFe-2 weld filler metals. No further postweld heat treatment shall be performed.
- k. When joining ferritic steel pressure piping components to austenitic stainless steel pressure piping components and postweld heat treatment is not required, prepare and weld the joint using either ERNiCr-3 or ENiCrFe-2 filler metals. For service temperatures of 200 degrees F or less, stainless filler metal 309 ASME BPVC SEC II-C, SFA 5.4 or 5.9 is permissible in lieu of the nickel-based alloys.
- l. Grinding of completed welds is to be performed only to the extent required for NDE, including any inservice examination, and to provide weld reinforcement within the requirements of ASME B31.3. If the surface of the weld requires grinding, reducing the weld or base material below the minimum required thickness shall be avoided. Minimum weld external reinforcement shall be flush between external surfaces.

3.1.5 Postweld Heat Treatment

Postweld heat treatment shall be performed in accordance with ASME B31.3. Temperatures for local postweld heat treatment shall be measured continuously by thermocouples in contact with the weldment.

Postweld heat treatment of low-alloy steels, when required, shall be performed immediately upon completion of welding and prior to the temperature of the weld falling below the preheat temperature. However, postweld heat treatment may be postponed after the completion of the weld, if, immediately after the weld is completed, it is maintained at a minimum temperature of 300 degrees F or the preheat temperature, whichever is greater, for 2 hours per inch of weld thickness.

For low-alloy steels, the cooling rates shall be such that temper embrittlement is avoided.

3.2 EXAMINATIONS, INSPECTIONS, AND TESTS

Visual and NDE shall be performed by the Contractor to detect surface and internal discontinuities in completed welds. The services of a qualified commercial inspection or testing laboratory or technical consultant, approved by the Contracting Officer, shall be employed by the Contractor. All tack welds, weld passes, and completed welds shall be visually inspected. In addition, magnetic particle or liquid penetrant examination shall be performed on root passes. Liquid penetrant or magnetic particle examination shall be required on a random percentage of welds as described below. When inspection and testing indicates defects in a weld joint, the weld shall be repaired by a qualified welder in accordance with paragraph CORRECTIONS AND REPAIRS.

3.2.1 Random NDE Testing

The Contractor shall test a minimum of 10 percent of the total length or number of piping welds. The welds inspected shall be selected randomly, but the selection shall include an examination of welds made by each welding operator or welder. If the random testing reveals that any welds fail to meet minimum quality requirements, an additional 10 percent of the welds in that same group shall be inspected. If all of the additional welds inspected meet the quality requirements, the entire group of welds represented shall be accepted and the defective welds shall be repaired. If any of the additional welds inspected also fail to meet the quality requirements, that entire group of welds shall be rejected. The rejected welds shall be removed and rewelded, or the rejected welds shall be 100 percent inspected and all defective weld areas removed and rewelded.

3.2.2 Visual Inspection

Weld joints shall be inspected visually as follows:

- a. Before welding - for compliance with requirements for joint preparation, placement of backing rings or consumable inserts, alignment and fit-up, and cleanliness.
- b. During welding - for cracks and conformance to the qualified welding procedure.

- c. After welding - for cracks, contour and finish, bead reinforcement, undercutting, overlap, and size of fillet welds.

3.2.3 NDE Testing

NDE shall be in accordance with written procedures. Procedures for liquid penetrant or magnetic particle, tests and methods shall conform to ASME BPVC SEC V. The approved procedure shall be demonstrated to the satisfaction of the Contracting Officer. In addition to the information required in ASME BPVC SEC V, the written procedures shall include the timing of the NDE in relation to the welding operations and safety precautions.

3.2.4 Inspection and Tests by the Government

The Government will perform inspection and supplemental nondestructive or destructive tests as deemed necessary. The cost of supplemental NDE will be borne by the Government. The correction and repair of defects and the reexamination of weld repairs shall be performed by the Contractor at no additional cost to the Government. Inspection and tests will be performed as required for visual inspection and NDE, except that destructive tests may be required also. When destructive tests are ordered by the Contracting Officer and performed by the Contractor and the specimens or other supplemental examinations indicate that the materials and workmanship do not conform to the contract requirements, the cost of the tests, corrections, and repairs shall be borne by the Contractor. When the specimens or other supplemental examinations of destructive tests indicate that materials or workmanship do conform to the specification requirements, the cost of the tests and repairs will be borne by the Government. When destructive tests are made, repairs shall be made by qualified welders or welding operators using welding procedures which will develop the full strength of the members cut. Welding shall be subject to inspection and tests in the mill, shop, and field. When materials or workmanship do not conform to the specification requirements, the work may be rejected at any time before final acceptance of the system containing the weldment.

3.3 ACCEPTANCE STANDARDS

3.3.1 Visual

The following indications are unacceptable:

- a. Cracks.
- b. Undercut on surface which is greater than 1/32 inch deep.
- c. Weld reinforcement greater than 3/16 inch.
- d. Lack of fusion on surface.
- e. Incomplete penetration (applies only when inside surface is readily accessible).
- f. Convexity of fillet weld surface greater than 10 percent of longest leg plus 0.03 inch.
- g. Concavity in groove welds.
- h. Concavity in fillet welds greater than 1/16 inch.

- i. Fillet weld size less than indicated or greater than 1-1/4 times the minimum indicated fillet leg length.

3.3.2 Magnetic Particle Examination

The following relevant indications are unacceptable:

- a. Any cracks and linear indications.
- b. Rounded indications with dimensions greater than 3/16 inch.
- c. Four or more rounded indications in a line separated by 1/16 inch or less edge-to-edge.
- d. Ten or more rounded indications in any 6 square inches of surface with the major dimension of this area not to exceed 6 inches with the area taken in the most unfavorable location relative to the indications being evaluated.

3.3.3 Liquid Penetrant Examination

Indications with major dimensions greater than 1/16 of an inch shall be considered relevant. The following relevant indications are unacceptable:

- a. Any cracks or linear indications.
- b. Rounded indications with dimensions greater than 3/16 inch.
- c. Four or more rounded indications in a line separated by 1/16 inch or less edge-to-edge.
- d. Ten or more rounded indications in any 6 square inches of surface with the major dimension of this area not to exceed 6 inches with the area taken in the most unfavorable location relative to the indications being evaluated.

3.3.4 Radiography

Welds that are shown by radiography to have any of the following discontinuities are unacceptable:

- a. Porosity in excess of that shown as acceptable in ASME BPVC SEC I, Appendix A-250.
- b. Any type of crack or zone of incomplete fusion or penetration.
- c. Any other elongated indication which has a length greater than:
 - (1) 1/4 inch for t up to 3/4 inch inclusive, where t is the thickness of the thinner portion of the weld.
 - (2) 1/3 t for t from 3/4 inch to 2-1/4 inch, inclusive.
 - (3) 3/4 inch for t over 2-1/4 inch.
- d. Any group of indications in line that have an aggregate length greater than t in a length of 12t, except where the distance between the successive indications exceeds 6L where L is the

longest indication in the group.

Where t pertains to the thickness of the weld being examined; if a weld joins two members having different thickness at the weld, t is the thinner of these two thicknesses.

3.3.5 Ultrasonic Examination

Linear-type discontinuities are unacceptable if the amplitude exceeds the reference level and discontinuities have lengths which exceed the following:

- a. 1/4 inch for t up to 3/4 inch.
- b. 1/3 inch for t from 3/4 to 2-1/4 inch.
- c. 3/4 inch for t over 2-1/4 inch.

Where t is the thickness of the weld being examined; if the weld joins two members having different thicknesses at the weld, t is the thinner of these two thicknesses. Where discontinuities are interpreted to be cracks, lack of fusion, and incomplete penetration, they are unacceptable regardless of length.

3.4 CORRECTIONS AND REPAIRS

Defects shall be removed and repaired as specified in ASME B31.3 unless otherwise specified. Disqualifying defects discovered between weld passes shall be repaired before additional weld material is deposited. Wherever a defect is removed, and repair by welding is not required, the affected area shall be blended into the surrounding surface eliminating sharp notches, crevices, or corners. After defect removal is complete and before rewelding, the area shall be examined by the same test method which first revealed the defect to ensure that the defect has been eliminated. After rewelding, the repaired area shall be reexamined by the same test method originally used for that area. Any indication of a defect shall be regarded as a defect unless reevaluation by NDE or by surface conditioning shows that no disqualifying defects are present. The use of any foreign material to mask, fill in, seal, or disguise welding defects will not be permitted.

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SECTION 05500A

MISCELLANEOUS METAL

01/02

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SECTION 05500A

MISCELLANEOUS METAL
01/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ALUMINUM ASSOCIATION (AA)

AA DAF-45 (1997) Designation System for Aluminum Finishes

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A14.3 (1992) Ladders - Fixed - Safety Requirements

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 123/A 123M (2001) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM A 467/A 467M (1998) Machine and Coil Chain

ASTM A 53/A 53M (2001) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

ASTM A 653/A 653M (2000) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process

ASTM A 924/A 924M (1999) General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1 (2000) Structural Welding Code - Steel

NATIONAL ASSOCIATION OF ARCHITECTURAL METAL MANUFACTURERS (NAAMM)

NAAMM MBG 531 (1994) Metal Bar Grating Manual

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10 (1998; Errata 10-98-1) Portable Fire Extinguishers

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

CID A-A-344

(Rev B) Lacquer, Clear Gloss, Exterior,
Interior

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Miscellaneous Metal Items; G-ED.

Detail drawings indicating material thickness, type, grade, and class; dimensions; and construction details. Drawings shall include catalog cuts, erection details, manufacturer's descriptive data and installation instructions, and templates. Detail drawings for the following items: floor gratings and frames, valve pit access frames and covers, ladder and cage, and fire extinguisher cabinet.

SD-04 Samples

Miscellaneous Metal Items; G-ED .

Samples of the following items: floor gratings and frames. Samples shall be full size, taken from manufacturer's stock, and shall be complete as required for installation in the structure. Samples may be installed in the work, provided each sample is clearly identified and its location recorded.

1.3 GENERAL REQUIREMENTS

The Contractor shall verify all measurements and shall take all field measurements necessary before fabrication. Welding to or on structural steel shall be in accordance with AWS D1.1. Items specified to be galvanized, when practicable and not indicated otherwise, shall be hot-dip galvanized after fabrication. Galvanizing shall be in accordance with ASTM A 123/A 123M, ASTM A 653/A 653M, or ASTM A 924/A 924M, as applicable. Exposed fastenings shall be compatible materials, shall generally match in color and finish, and shall harmonize with the material to which fastenings are applied. Materials and parts necessary to complete each item, even though such work is not definitely shown or specified, shall be included. Poor matching of holes for fasteners shall be cause for rejection. Fastenings shall be concealed where practicable. Thickness of metal and details of assembly and supports shall provide strength and stiffness. Joints exposed to the weather shall be formed to exclude water.

1.4 DISSIMILAR MATERIALS

Where dissimilar metals are in contact, or where aluminum is in contact with concrete, mortar, masonry, wet or pressure-treated wood, or absorptive materials subject to wetting, the surfaces shall be protected with a coat of bituminous paint or asphalt varnish.

1.5 WORKMANSHIP

Miscellaneous metalwork shall be well formed to shape and size, with sharp lines and angles and true curves. Drilling and punching shall produce clean true lines and surfaces. Welding shall be continuous along the entire area of contact except where tack welding is permitted. Exposed connections of work in place shall not be tack welded. Exposed welds shall be ground smooth. Exposed surfaces of work in place shall have a smooth finish, and unless otherwise approved, exposed riveting shall be flush. Where tight fits are required, joints shall be milled. Corner joints shall be coped or mitered, well formed, and in true alignment. Work shall be accurately set to established lines and elevations and securely fastened in place. Installation shall be in accordance with manufacturer's installation instructions and approved drawings, cuts, and details.

1.6 ANCHORAGE

Anchorage shall be provided where necessary for fastening miscellaneous metal items securely in place. Anchorage not otherwise specified or indicated shall include slotted inserts made to engage with the anchors, expansion shields, and power-driven fasteners when approved for concrete; toggle bolts and through bolts for masonry; machine and carriage bolts for steel; and lag bolts and screws for wood.

1.7 ALUMINUM FINISHES

Unless otherwise specified, aluminum items shall have standard mill finish. The thickness of the coating shall be not less than that specified for protective and decorative type finishes for items used in interior locations or architectural Class I type finish for items used in exterior locations in AA DAF-45. Items to be anodized shall receive a polished satin finish. Aluminum surfaces to be in contact with concrete during construction shall be protected with a field coat conforming to CID A-A-344.

1.8 SHOP PAINTING

Surfaces of ferrous metal except galvanized surfaces, shall be cleaned and shop coated with the manufacturer's standard protective coating unless otherwise specified. Surfaces of items to be embedded in concrete shall not be painted. Items to be finish painted shall be prepared according to manufacturer's recommendations or as specified.

PART 2 PRODUCTS

2.1 PIPE GUARDS

Pipe guards shall be heavy duty steel pipe conforming to ASTM A 53/A 53M, Type E or S, weight STD.

2.2 FLOOR GRATINGS AND FRAMES

Carbon steel grating shall have bearing bars of the dimensions and spacings as shown on the drawings and shall be detailed in accordance with NAAMM MBG 531. Edges shall be banded with bars 1/4 inch less in height than bearing bars for grating sizes above 3/4 inch. Banding bars shall be flush with the top of bearing grating. Frames shall be of welded steel construction finished to match the grating. Floor gratings and frames shall be galvanized after fabrication.

2.3 POSTS (For Safety Chains)

Posts shall be designed to resist a concentrated load of 200 pounds in any direction at any point of the top of the post.

2.3.1 Steel Posts, Including Carbon Steel Inserts

Steel posts, including inserts in concrete, shall be steel pipe conforming to ASTM A 53/A 53M. Steel posts shall be 1-1/2 inch nominal size. Posts and inserts shall be hot-dip galvanized.

2.4 LADDERS

Ladders shall be galvanized steel, fixed rail type in accordance with ANSI A14.3. A steel or safety cage shall be included with the ladder assembly as shown on the drawings.

2.5 MISCELLANEOUS

Miscellaneous plates and shapes for items that do not form a part of the structural steel framework, such as lintels, sill angles, miscellaneous mountings, and frames, shall be provided to complete the work.

2.6 SAFETY CHAINS

Safety chains shall be galvanized welded steel, proof coil chain tested in accordance with ASTM A 467/A 467M, Class CS. Safety chains shall be straight link style, 3/16 inch diameter, minimum 12 links per foot and with bolt type snap hooks on each end. Eye bolts for attachment of chains shall be galvanized 3/8 inch bolt with 3/4 inch eye, anchored as indicated. Two chains shall be furnished for each guarded opening.

2.7 VALVE PIT COVERS AND FRAMES

Valve pit covers shall be cast iron conforming to ASTM A 48, of the types and sizes indicated on the drawings, equal to the manufacturer's model number indicated. Covers shall be designed for light duty. Cover shall have waterproof lift handle, countersunk flathead cap screws, and flat neoprene gasket. Hinges are not required. Frame shall be cast into the concrete pit walls.

2.8 FIRE EXTINGUISHER CABINETS

Cabinets shall be a surface mounted type suitable for a 20 pound extinguisher. Box and trim shall be of heavy gage rolled steel. Door shall be a rigid frame with full length piano type hinge and double strength (DSA) glass panel. Door and panel shall have the manufacturer's standard white baked enamel finish inside and out.

2.9 FIRE EXTINGUISHERS

Multi-Purpose Type: 20 pound capacity, UL rated, conforming to NFPA 10.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

All items shall be installed at the locations shown and according to the manufacturer's recommendations. Items listed below require additional

procedures as specified.

3.2 FLOOR GRATINGS AND FRAMES

Floor grating and frames shall finish flush with the finished concrete floor.

3.3 INSTALLATION OF FIRE EXTINGUISHER CABINETS

Metal fire extinguisher cabinets shall be furnished and installed in accordance with NFPA 10 where shown on the drawings or specified.

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DIVISION 06 - WOODS & PLASTICS

SECTION 06100A

ROUGH CARPENTRY

10/01

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SECTION 06100A

ROUGH CARPENTRY
10/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN FOREST & PAPER ASSOCIATION (AF&PA)

AF&PA T11 (1988) Manual for Wood Frame Construction

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 307 (2000) Carbon Steel Bolts and Studs, 60
000 PSI Tensile Strength

ASTM F 547 (1977; R 1995) Definitions of Terms
Relating to Nails for Use with Wood and
Wood-Base Materials

AMERICAN WOOD-PRESERVERS' ASSOCIATION (AWPA)

AWPA C2 (2000) Lumber, Timber, Bridge Ties and
Mine Ties - Preservative Treatment by
Pressure Processes

AWPA M4 (1999) Standard for the Care of
Preservative-Treated Wood Products

AWPA P5 (2000) Standards for Waterborne
Preservatives

FACTORY MUTUAL ENGINEERING AND RESEARCH (FM)

FM LPD 1-49 (1995) Loss Prevention Data Sheet -
Perimeter Flashing

NORTHEASTERN LUMBER MANUFACTURERS ASSOCIATION (NELMA)

NELMA Grading Rules (1997) Standard Grading Rules for
Northeastern Lumber

SOUTHERN PINE INSPECTION BUREAU (SPIB)

SPIB Rules (1994; Supple 8 thru 11) Standard Grading
Rules for Southern Pine Lumber

WEST COAST LUMBER INSPECTION BUREAU (WCLIB)

WCLIB 17 (1996; Supples VII(A-E), VIII(A-C))

Grading Rules for West Coast Lumber

WESTERN WOOD PRODUCTS ASSOCIATION (WWPA)

WWPA Grading Rules

(1999) Western Lumber Grading Rules 95

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Nailing Strips; G-RE.

Drawings of field erection details, including materials and methods of fastening nailing strips in conformance with Factory Mutual wind uplift rated systems specified in other Sections of these specifications.

SD-07 Certificates

Grading and Marking;

Manufacturer's certificates (approved by an American Lumber Standards approved agency) attesting that lumber and material not normally grade marked meet the specified requirements. Certificate of Inspection for grade marked material by an American Lumber Standards Committee (ALSC) recognized inspection agency prior to shipment.

1.3 DELIVERY AND STORAGE

Materials shall be delivered to the site in undamaged condition, stored off ground in fully covered, well ventilated areas, and protected from extreme changes in temperature and humidity.

PART 2 PRODUCTS

2.1 LUMBER

2.1.1 Grading and Marking

2.1.1.1 Lumber Products

Solid sawn and finger-jointed lumber shall bear an authorized gradestamp or grademark recognized by ALSC, or an ALSC recognized certification stamp, mark, or hammerbrand.

2.1.2 Sizes

Lumber and material sizes shall conform to requirements of the rules or standards under which produced. Unless otherwise specified, lumber shall be surfaced on four sides. Unless otherwise specified, sizes indicated are nominal sizes, and actual sizes shall be within manufacturing tolerances

allowed by the standard under which the product is produced.

2.1.3 Treatment

Exposed areas of treated wood that are cut or drilled after treatment shall receive a field treatment in accordance with AWPA M4. The following items shall be treated:

- a. All wood members used in the roofing system.

2.1.3.1 Lumber

Lumber shall be treated in accordance with AWPA C2 with waterborne preservatives listed in AWPA P5 to a retention level as follows:

- a. 0.25 pcf intended for above ground use.

2.1.4 Moisture Content

At the time lumber and other materials are delivered and when installed in the work their moisture content shall be as follows:

- a. Treated Lumber: 4 inches or less, nominal thickness, 19 percent maximum.

2.1.5 Miscellaneous Wood Members

2.1.5.1 Nonstress Graded Members

Members shall include nailing strips. Members shall be in accordance with TABLE I for the species used. Sizes shall be as follows unless otherwise shown:

Member	Size (inch)
Nailing strips	2 inch stock.

2.2 ACCESSORIES AND NAILS

Markings shall identify both the strength grade and the manufacturer. Accessories and nails shall conform to the following:

2.2.1 Anchor Bolts

ASTM A 307, size as indicated, complete with nuts and washers.

2.2.2 Bolts: Lag, Toggle, and Miscellaneous Bolts and Screws

Type, size, and finish best suited for intended use. Finish options include zinc compounds, cadmium, and aluminum paint impregnated finishes.

2.2.3 Clip Angles

Steel, 3/16 inch thick, size best suited for intended use; or zinc-coated steel or iron commercial clips designed for connecting wood members.

2.2.4 Nails and Screws

Nails and screws shall be in compliance with ASTM F 547, size and type best suited for purpose and as recommended by the manufacturer of the materials to be joined. Nails and screws used with treated lumber and sheathing shall be galvanized. Nailing and screwing shall be in accordance with the recommended nailing schedule contained in AF&PA T11.

PART 3 EXECUTION

3.1 INSTALLATION OF MISCELLANEOUS WOOD MEMBERS

3.1.1 Nailing Strips

Nailing strips shall be provided as necessary for the attachment of materials. Nailing strips used in conjunction with roof deck installation shall be installed flush with the roof deck system. Anchors shall extend through the entire thickness of the nailing strip. Strips shall be run in lengths as long as practicable, butt jointed, cut into wood framing members when necessary, and rigidly secured in place. Nailing strips shall comply with Factory Mutual wind uplift rated roof systems specified in other Sections of these specifications and shall conform to the recommendations contained in FM LPD 1-49.

3.2 TABLES

TABLE I. SPECIES AND GRADE

Grading Rules	Species	Const Standard	No. 2 Comm	No. 2 Board Comm	No. 3 Comm
NELMA Grading Rules					
	Northern White Cedar				X
	Eastern White Pine	X			
	Northern Pine	X			
	Balsam Fir				X
	Eastern Hemlock- Tamarack				X
SPIB Rules					
	Southern Pine		X		
WCLIB 17					
	Douglas Fir-Larch	X			
	Hem-Fir	X			
	Sitka Spruce	X			
	Mountain Hemlock	X			
	Western Cedar	X			
WWPA Grading Rules					
	Douglas Fir-Larch	X			
	Hem-Fir	X			
	Idaho White Pine	X			
	Lodgepole Pine			X	
	Ponderosa Pine			X	
	Sugar Pine			X	
	Englemann Spruce			X	
	Douglas Fir South			X	
	Mountain Hemlock			X	
	Subalpine Fir			X	
	Western Cedar			X	

-- End of Section --

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DIVISION 07 - THERMAL & MOISTURE PROTECTION

SECTION 07131A

ELASTOMERIC MEMBRANE WATERPROOFING

09/98

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SECTION 07131A

ELASTOMERIC MEMBRANE WATERPROOFING
09/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM E 96	(1995) Water Vapor Transmission of Materials
ASTM E 154	(1988; R 1999) Water Vapor Retarders Used in Contact with Earth Under Concrete Slabs, on Walls, or as Ground Cover
ASTM G 21	(1996) Determining Resistance of Synthetic Polymeric Materials to Fungi

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Waterproofing; G-RE.

Detail drawings showing size of sheets, position of sheets and splices, flashing and termination details, and expansion joint details.

SD-03 Product Data

Installation;

Manufacturer's instructions for installation of the elastomeric membrane, including procedures for preparing the membrane for use, flashing, and splicing. Instructions shall include recommended or required protective covering and procedures for safe handling and use of cleaners, adhesives, and sealants.

SD-07 Certificates

Materials;

Certificates of compliance attesting that the materials meet specification requirements. Certificates may show qualification of the identical compound in the specified test.

1.3 DELIVERY, STORAGE, AND HANDLING

Materials shall be delivered to the job site in unopened containers bearing the manufacturer's name, brand name, and description of contents. Membrane, flashing, and adhesives shall be stored in clean, dry areas. Storage temperature for adhesives shall be between 60 and 80 degrees F. Protection board shall be stored flat and off the ground.

PART 2 PRODUCTS

2.1 MATERIALS

Adhesives, mastics, cements, tapes, and primers shall be as recommended by the membrane manufacturer and shall be compatible with the material to which they are to be bonded.

2.1.1 Performance Requirements

All membranes shall meet the following requirements when tested by the referenced ASTM standards:

ASTM E 154 Puncture Resistance	40 pounds, (min.)
ASTM E 96, Procedure B Water Vapor Transmission at 80 degrees F Permeance	0.25 perms (max.)
ASTM G 21 or ASTM E 154 Resistance to Soil Bacteria or Fungi	No sustained growth or discoloration after 21 days

2.1.1.1 Composite Self-Adhering Membrane

Membrane shall be a polymeric sheeting integrally bonded to rubberized asphalt with a minimum thickness of 60 mils.

2.1.2 Protection Board

Protection board for waterproofing membrane shall be 1/2 inch fiberboard.

2.2 ACCESSORIES

Flashing, counterflashing, expansion joint covers and corner fillets shall be as recommended by the membrane manufacturer.

PART 3 EXECUTION

3.1 PREPARATION

Surfaces to which waterproofing is to be applied shall be clean, smooth, and free from deleterious materials and projections. Holes, honeycomb, cracks, or cavities shall be pointed or filled and finished flush with Portland cement mortar. Top surfaces of projecting concrete ledges below grade, except footings, shall be beveled. Before waterproofing is applied,

the surfaces to be covered shall be swept to remove all dust and foreign matter. Concrete surfaces shall be cured 30 days prior to receiving elastomeric waterproofing and shall not be cured with compounds containing wax or oil.

3.2 APPLICATION

Waterproofing shall not be applied to wet surfaces. The ambient and surface temperatures shall be above 40 degrees F during application. Membrane under slabs shall be carried up abutting vertical surfaces to the level of finish of floor or to within 1/2 inch of the top edge of base where base is shown and cemented solid to the substrate. Concrete surfaces shall be primed to receive the membrane. Membranes shall be handled and installed in accordance with the approved installation instructions. Primers, adhesives, and mastics shall be applied in accordance with the membrane manufacturer's printed instructions. Laps shall be oriented so that water will flow over the lap, and not into them. As soon as the mastic is fully set and dry, joints shall be checked. Where any openings or fishmouths appear, joints shall be resealed and rerolled. Wrinkles and buckles shall be avoided in applying membrane and joint reinforcement. Membranes shall be drawn tight during installation without stretching. Self-adhering membrane shall be installed by removing the release sheets on the back of the membrane and applying the tacky surface onto the primed surface. Laps and splices shall be sealed prior to completion of a day's work. Waterproofing membrane around cylindrical shapes shall be prefabricated to fit covered areas, prior to installation. All seam shall be waterproofed in accordance with manufacturer's recommendations.

3.2.1 Composite Self-Adhering Membrane Installation

On vertical surfaces, membrane shall be applied in lengths up to 8 feet starting at the bottom. Each sheet shall be lapped at edges and ends a minimum of 2-1/2 inches over the preceding sheets. The membrane shall be rolled to adhere with the substrate. Corners and joints shall be double-covered by first applying a 12 inch width of membrane centered along the corner or joint. Inside and outside corners shall then be covered with membrane. Exposed termination edges of membrane on horizontal or vertical surfaces shall be finished with a troweled bead of mastic. Mastic shall be applied around termination edges of membrane and around drains and projections. Mastic shall be applied at the termination of each day's work.

3.3 TESTS

When required, and after the system is cured, the membranes on horizontal surfaces shall be tested by flooding the entire waterproofed area with a minimum of 2 inches head of water for a period of 24 hours. There shall be no water added after the start of the period. Water level shall be measured at the beginning and at the end of the 24 hour period. If the water level falls, remove the water and inspect the waterproofing membrane. Leak sites shall be marked, dried and repaired, and the test shall be repeated.

3.4 PROTECTION

Horizontal applications of membrane shall be protected from traffic during installation. No equipment shall be allowed directly on the membrane. Plywood, or similar material, overlayment shall be provided for wheel-ways. Walkways shall be provided where heavy traffic from other trades is

expected. Materials shall not be stored on the membrane. A protective covering shall be installed over the membrane immediately after installation or testing. If membrane is to be exposed, a temporary covering shall be applied to protect the membrane until the protection board is installed.

3.4.1 Projections

Projections passing through membrane shall be flashed as recommended by the manufacturer of the waterproofing membrane.

3.4.2 Counterflashing

Waterproofing connecting with work exposed to the weather shall be counterflashed to form a watertight connection. Upper edge of membrane waterproofing and protective covering shall be counterflashed.

3.4.3 Expansion Joints and Fillets

Expansion joints and corner fillets shall be installed as recommended by the manufacturer of the waterproofing membrane.

3.4.4 Vertical and Horizontal Membrane Waterproofing

All exposed areas of the waterproofing shall be protected with a 1/2 inch minimum fiberboard where shown on the drawings.. Edges of protection shall be butted, and exposed surfaces shall be covered by a coating of bitumen.

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DIVISION 07 - THERMAL & MOISTURE PROTECTION

SECTION 07416A

STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM

11/01

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PART 2 PRODUCTS

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- 2.2 CONCEALED ANCHOR CLIPS
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- 2.4 FASTENERS
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SECTION 07416A

STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM
11/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ALUMINUM ASSOCIATION (AA)

AA Design Manual (2000) Aluminum Design Manual:
Specification & Guidelines for Aluminum
Structures

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC ASD Spec S335 (1989) Specification for Structural Steel
Buildings - Allowable Stress Design,
Plastic Design

AMERICAN IRON AND STEEL INSTITUTE (AISI)

AISI Cold-Formed Mnl (1996) Cold-Formed Steel Design Manual

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 463/A 463M (2000) Steel Sheet, Aluminum-Coated, by
the Hot-Dip Process

ASTM A 653/A 653M (2000) Steel Sheet, Zinc-Coated
(Galvanized) or Zinc-Iron Alloy-Coated
(Galvannealed) by the Hot-Dip Process

ASTM A 792/A 792M (1999) Steel Sheet, 55% Aluminum-Zinc
Alloy-Coated by the Hot-Dip Process

ASTM B 209 (2000) Aluminum and Aluminum-Alloy Sheet
and Plate

ASTM E 1592 (1998) Structural Performance of Sheet
Metal Roof and Siding Systems by Uniform
Static Air Pressure Difference

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7 (1998) Minimum Design Loads for Buildings
and Other Structures

1.2 GENERAL REQUIREMENTS

The Contractor shall furnish a commercially available roofing system which satisfies all requirements contained herein and has been verified by load testing and independent design analyses to meet the specified design requirements.

1.2.1 Structural Standing Seam Metal Roof (SSSMR) System

The SSSMR system covered under this specification shall include the entire roofing system; the standing seam metal roof panels, fasteners, connectors, roof securement components, and assemblies tested and approved in accordance with ASTM E 1592. In addition, the system shall consist of panel finishes, slip sheet, insulation, vapor retarder, all accessories, components, and trim and all connections with roof panels. This includes roof penetration items such as vents, curbs; gutters and downspouts; eaves, ridge, hip, rake, gable, wall, or other roof system flashings installed and any other components specified within this contract to provide a weathertight roof system.

1.2.2 Manufacturer

The SSSMR system shall be the product of a manufacturer who has been in the practice of manufacturing and designing SSSMR systems for a period of not less than 3 years and has been involved in at least five projects similar in size and complexity to this project.

1.2.3 Installer

The installer shall be certified by the SSSMR system manufacturer to have experience in installing at least three projects that are of comparable size, scope and complexity as this project for the particular roof system furnished. The installer may be either employed by the manufacturer or be an independent installer.

1.3 DESIGN REQUIREMENTS

The design of the SSSMR system shall be provided by the Contractor as a complete system. Members and connections not indicated on the drawings shall be designed by the Contractor. Roof panels, components, transitions, accessories, and assemblies shall be supplied by the same roofing system manufacturer.

1.3.1 Design Criteria

Design criteria shall be in accordance with ASCE 7.

1.3.2 Dead Loads

The dead load shall be the weight of the SSSMR system. Collateral loads such as sprinklers, mechanical and electrical systems, and ceilings shall not be attached to the panels.

1.3.3 Live Loads

1.3.3.1 Concentrated Loads

The panels and anchor clips shall be capable of supporting a 300 pound concentrated load. The concentrated load shall be applied at the panel midspan and will be resisted by a single standing seam metal roof panel assumed to be acting as a beam. The undeformed shape of the panel shall be

used to determine the section properties.

1.3.3.2 Uniform Loads

The panels and concealed anchor clips shall be capable of supporting a minimum uniform live load of 20 psf.

1.3.4 Roof Snow Loads

The design roof snow loads shall be as shown on the contract drawings.

1.3.5 Wind Loads

The design wind uplift pressure for the roof system shall be as shown on the contract drawings. The design uplift force for each connection assembly shall be that pressure given for the area under consideration, multiplied by the tributary load area of the connection assembly. The safety factor listed below shall be applied to the design force and compared against the ultimate capacity. Prying shall be considered when figuring fastener design loads.

- a. Single fastener in each connection.....3.0
- b. Two or more fasteners in each connection...2.25

1.3.6 Thermal Loads

Roof panels shall be free to move in response to the expansion and contraction forces resulting from a total temperature range of 220 degrees F during the life of the structure.

1.3.7 Framing Members Supporting the SSSMR System

Any additions/revisions to framing members supporting the SSSMR system to accommodate the manufacturer/fabricator's design shall be the Contractor's responsibility and shall be submitted for review and approval. New or revised framing members and their connections shall be designed in accordance with AISC ASD Spec S335 or AISI Cold-Formed Mnl. Maximum deflection under applied live load, snow, or wind load shall not exceed 1/180 of the span length.

1.3.8 Roof Panels Design

Steel panels shall be designed in accordance with AISI Cold-Formed Mnl. Aluminum panels shall be designed in accordance with AA Design Manual. The structural section properties used in the design of the panels shall be determined using the unloaded shape of the roof panels. The calculated panel deflection from concentrated loads shall not exceed 1/180 of the span length. The calculated panel deflection under applied live load, snow, or wind load shall not exceed 1/180 times the span length. Deflections shall be based on panels being continuous across three or more supports. Deflection shall be calculated and measured along the major ribs of the panels.

1.3.9 Accessories and Their Fasteners

Accessories and their fasteners shall be capable of resisting the specified design wind uplift forces and shall allow for thermal movement of the roof system. Fasteners shall not restrict free movement of the roof panel

system resulting from thermal forces. There shall be a minimum of two fasteners per clip. Single fasteners with a minimum diameter of 3/8 inch will be allowed when the supporting structural members are prepunched or predrilled.

1.4 PERFORMANCE REQUIREMENTS

The SSSMR shall be tested for wind uplift resistance in accordance with ASTM E 1592; SSSMR systems previously tested and approved by the Corps of Engineers' STANDARD TEST METHOD FOR STRUCTURAL PERFORMANCE OF SSMRS BY UNIFORM STATIC AIR PRESSURE DIFFERENCE may be acceptable. Two tests shall be performed. Test 1 shall simulate the edge condition with one end having crosswise restraint and other end free of crosswise restraint. The maximum span length for the edge condition shall be 30 inches. Test 2 shall simulate the interior condition with both ends free of crosswise restraint. The maximum span length for the interior condition shall be 5.0 feet. External reinforcement, such as clamps on the ribs, shall not be installed to improve uplift resistance. Bolts through seams shall not be installed.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Drawings

Structural Standing Seam Metal Roof System; G-ED.

Metal roofing drawings and specifications and erection drawings; shop coating and finishing specifications; and other data as necessary to clearly describe design, materials, sizes, layouts, standing seam configuration, construction details, provisions for thermal movement, line of panel fixity, fastener sizes and spacings, sealants and erection procedures. Drawings shall reflect the intent of the architectural detailing using the manufacturer's proprietary products and fabricated items as required. The SSSMR system shop drawings shall be provided by the metal roofing manufacturer.

SD-03 Product Data

Design Analysis; G-ED.

Design analysis signed by a Registered Professional Engineer employed by the SSSMR manufacturer. The design analysis shall include a list of the design loads, and complete calculations for the support system (when provided by the Contractor), roofing system and its components; gutter/downspout calculations, screw pullout test results, and shall indicate how expected thermal movements are accommodated.

Qualifications;

Qualifications of the manufacturer and installer.

SD-04 Samples

Accessories; G-ED.

One sample of each type of flashing, trim, closure, thermal spacer block, cap and similar items. Size shall be sufficient to show construction and configuration.

Roof Panels; G-ED

One piece of each type to be used, 9 inches long, full width.

Factory Color Finish; G-ED.

Three 3 by 5 inches samples of each type and color.

Fasteners;

Two samples of each type to be used, with statement regarding intended use. If so requested, random samples of bolts, nuts, and washers as delivered to the job site shall be taken in the presence of the Contracting Officer and provided to the Contracting Officer for testing to establish compliance with specified requirements.

Gaskets and Insulating Compounds;

Two samples of each type to be used and descriptive data.

Sealant;

One sample, approximately 1 pound, and descriptive data.

Concealed Anchor Clips; G-ED.

Two samples of each type used.

Subpurlins; G-ED.

One piece, 9 inches long.

EPDM Rubber Boots;

One piece of each type.

SD-06 Test Reports

Test Report for Uplift Resistance of the SSSMR; G-ED.

The report shall include the following information:

- a. Details of the SSSMR system showing the roof cross-section with dimensions and thickness.
- b. Details of the anchor clip, dimensions, and thickness.
- c. Type of fasteners, size, and the number required for each connection.
- d. Purlins/subpurlins size and spacing used in the test.

- e. Description of the seaming operation including equipment used.
- f. Maximum allowable uplift pressures. These pressures are determined from the ultimate load divided by a factor of safety equal to 1.65.
- g. Any additional information required to identify the SSSMR system tested.
- h. Signature and seal of an independent registered engineer who witnessed the test.

SD-07 Certificates

Structural Standing Seam Metal Roof System;

- a. Certification that the actual thickness of uncoated sheets used in SSSMRS components including roofing panels, subpurlins, and concealed anchor clips complies with specified requirements.
- b. Certification that materials used in the installation are mill certified.
- c. Previous certification of SSSMR system tested under the Corps of Engineers' Standard Test Method in lieu of ASTM E 1592 testing.
- d. Certification that the sheets to be furnished are produced under a continuing quality control program and that a representative sample consisting of not less than three pieces has been tested and has met the quality standards specified for factory color finish.
- e. Certification of installer. Installer certification shall be furnished.

1.6 DELIVERY AND STORAGE

Materials shall be delivered to the site in a dry and undamaged condition and stored out of contact with the ground. Materials shall be covered with weathertight coverings and kept dry. Storage conditions shall provide good air circulation and protection from surface staining.

1.7 WARRANTIES

Warranty provisions are contained in Section 13121A METAL BUILDING SYSTEMS.

PART 2 PRODUCTS

2.1 ROOF PANELS

Panels shall be steel or aluminum and shall have a factory color finish. Length of sheets shall be sufficient to cover the entire length of any unbroken roof slope for slope lengths that do not exceed 30 feet. When length of run exceeds 30 feet and panel laps are provided, each sheet in

the run shall extend over three or more supports. Sheets longer than 100 feet may be furnished if approved by the Contracting Officer. Width of sheets shall provide not more than 24 inches of coverage in place. SSSMR system with roofing panels greater than 12 inches in width shall have standing seams rolled during installation by an electrically driven seaming machine. Height of standing seams shall be not less than 2 inches.

2.1.1 Steel Panels

Steel panels shall be zinc-coated steel conforming to ASTM A 653/A 653M; aluminum-zinc alloy coated steel conforming to ASTM A 792/A 792M, AZ 50 coating; or aluminum-coated steel conforming to ASTM A 463/A 463M, Type 2, coating designation T2 65. Zinc, zinc-aluminum alloy or aluminum coated panels shall be 0.023 inch thick minimum. Panels shall be within 95 percent of reported tested thickness as noted in wind uplift resistance testing required in paragraph PERFORMANCE REQUIREMENTS. Prior to shipment, mill finish panels shall be treated with a passivating chemical to inhibit the formation of oxide corrosion products. Panels that have become wet during shipment and have started to oxidize shall be rejected.

2.1.2 Aluminum Panels

Alloy conforming to ASTM B 209, temper as required for the forming operation, minimum 0.032 inch thick.

2.2 CONCEALED ANCHOR CLIPS

Concealed anchor clips shall be the same as the tested roofing system. Clip bases shall have factory punched or drilled holes for attachment. Clips shall be made from multiple pieces with the allowance for the total thermal movement required to take place within the clip. Single piece clips may be acceptable when the manufacturer can substantiate that the system can accommodate the thermal cyclic movement under sustained live or snow loads.

2.3 ACCESSORIES

Flashing, trim, metal closure strips, caps and similar metal accessories shall be the manufacturer's standard products. Exposed metal accessories shall be finished to match the panels furnished. Molded closure strips shall be bituminous-saturated fiber, closed-cell or solid-cell synthetic rubber or neoprene, or polyvinyl chloride premolded to match configuration of the panels and shall not absorb or retain water. The use of a continuous angle butted to the panel ends to form a closure will not be allowed.

2.4 FASTENERS

Fasteners for steel roof panels shall be zinc-coated steel, aluminum, corrosion resisting steel, or nylon capped steel, type and size specified below or as otherwise approved for the applicable requirements. Fasteners for aluminum roof panels shall be aluminum or corrosion resisting steel. Fasteners for structural connections shall provide both tensile and shear ultimate strengths of not less than 750 pounds per fastener. Fasteners for accessories shall be the manufacturer's standard. Exposed roof fasteners shall be sealed or have sealed washers on the exterior side of the roof to waterproof the fastener penetration. Washer material shall be compatible with the roofing; have a minimum diameter of 3/8 inch for structural connections; and gasketed portion of fasteners or washers shall be neoprene or other equally durable elastomeric material approximately 1/8 inch thick.

Exposed fasteners for factory color finished panels shall be factory finished to match the color of the panels.

2.4.1 Screws

Screws for attaching anchor devices shall be not less than No. 14. Actual screw pull out test results shall be performed for the actual material gage and yield strength of the structural purlins or subpurlins to which the clip is to be anchored/attached. Other screws shall be as recommended by the manufacturer to meet the strength design requirements of the panels.

2.4.2 Bolts

Bolts shall be not less than 1/4 inch diameter, shouldered or plain shank as required, with locking washers and nuts.

2.4.3 Structural Blind Fasteners

Blind screw-type expandable fasteners shall be not less than 1/4 inch diameter. Blind (pop) rivets shall be not less than 9/32 inch minimum diameter.

2.5 SUBPURLINS

Cold formed supporting structural members/subpurlins shall have a minimum thickness of 0.059 inches and a minimum tensile yield strength of 50000 psi. Hot rolled structural members shall have a minimum thickness of 0.25 inches and a minimum tensile yield strength of 36000 psi. Subpurlins shall be shop painted.

2.6 FACTORY COLOR FINISH

Factory color finish is specified in Section 13121A METAL BUILDING SYSTEMS.

2.7 INSULATION

Insulation is specified in Section 13121A METAL BUILDING SYSTEMS.

2.8 SEALANT

Sealants shall be elastomeric type containing no oil or asphalt. Exposed sealant shall be colored to match the applicable building color and shall cure to a rubberlike consistency. Sealant placed in the roof panel standing seam ribs shall be provided in accordance with the manufacturer's recommendations.

2.9 GASKETS AND INSULATING COMPOUNDS

Gaskets and insulating compounds shall be nonabsorptive and suitable for insulating contact points of incompatible materials. Insulating compounds shall be nonrunning after drying.

2.10 EPDM RUBBER BOOTS

Flashing devices around pipe penetrations shall be flexible, one-piece devices molded from weather-resistant EPDM rubber. Rubber boot material shall be as recommended by the manufacturer. The boots shall have base rings made of aluminum or corrosion resisting steel that conform to the contours of the roof panel to form a weather-tight seal.

2.11 PREFABRICATED CURBS AND EQUIPMENT SUPPORTS

Prefabricated curbs and equipment supports shall be of structural quality, hot-dipped galvanized or galvanized sheet steel, factory primed and prepared for painting with mitered and welded joints. Integral base plates and water diverter crickets shall be provided. Minimum height of curb shall be 8 inches above finish roof. Curbs shall be constructed to match roof slope and to provide a level top surface for mounting of equipment. Curb flange shall be constructed to match configuration of roof panels. Curb size shall be coordinated, prior to curb fabrication, with the mechanical equipment to be supported. Strength requirements for equipment supports shall be coordinated to include all anticipated loads. Flashings shall not be rigidly attached to underline structure.

PART 3 EXECUTION

3.1 INSTALLATION

Installation shall be in accordance with the manufacturer's erection instructions and drawings. Dissimilar materials which are not compatible when contacting each other shall be insulated by means of gaskets or insulating compounds. Molded closure strips shall be installed wherever roofing sheets terminate in open-end configurations, exclusive of flashings. The closure strip installation shall be weather-tight and sealed. Screws shall be installed with a clutching screw gun, to assure screws are not stripped. Field test shall be conducted on each gun prior to starting installation and periodically thereafter to assure it is adjusted properly to install particular type and size of screw as recommended by manufacturer's literature. Improper or mislocated drill holes shall be plugged with an oversize screw fastener and gasketed washer; however, sheets with an excess of such holes or with such holes in critical locations shall not be used. Exposed surfaces and edges shall be kept clean and free from sealant, metal cuttings, hazardous burrs, and other foreign material. Stained, discolored, or damaged sheets shall be removed from the site.

3.1.1 Field Forming of Panels for Unique Area

When roofing panels are formed from factory-color-finished steel coils at the project site, the same care and quality control measures that are taken in shop forming of roofing panels shall be observed. Rollformer shall be operated by the metal roofing manufacturer's representative. In cold weather conditions, preheating of the steel coils to be field formed shall be performed as necessary just prior to the rolling operations.

3.1.2 Subpurlins

Unless otherwise shown, subpurlins shall be anchored to the purlins or other structural framing members with bolts or screws. Attachment to the substrate (when provided) or to the panels is not permitted. The subpurlin spacing shall not exceed 30 inches on centers at the corner, edge and ridge zones, and 5 foot maximum on centers for the remainder of the roof. Corner, edge, and ridge zones are as defined in ASCE 7.

3.1.3 Roof Panel Installation

Roof panels shall be installed with the standing seams in the direction of the roof slope. The side seam connections for installed panels shall be

completed at the end of each day's work. Method of applying joint sealant shall conform to the manufacturer's recommendation to achieve a complete weather-tight installation. End laps of panels shall be provided in accordance with the manufacturer's instructions. Closures, flashings, EPDM rubber boots, roof curbs, and related accessories shall be installed according to the manufacturer's drawings. Fasteners shall not puncture roofing sheets except as provided for in the manufacturer's instructions for erection and installation. Expansion joints for the standing seam roof system shall be installed at locations indicated on the contract drawings and other locations indicated on the manufacturer's drawings.

3.1.4 Concealed Anchor Clips

Concealed anchor clips shall be fastened directly to the structural framing members. Attachment to the substrate (when provided) is not permitted. The maximum distance, parallel to the seams, between clips shall be 30 inches on center at the corner, edge, and ridge zones, and 5 feet maximum on centers for the remainder of the roof.

3.2 INSULATION INSTALLATION

Insulation shall be continuous over entire roof surface. Where expansion joints, terminations, and other connections are made, the cavity shall be filled with batt insulation with vapor retarder providing equivalent R-value and perm rating as remaining insulation. Insulation shall be installed as indicated and in accordance with manufacturer's instructions.

3.2.1 Blanket Insulation

Blanket insulation shall be installed between and parallel to the purlins with tabs of a facer lapping on the top face of the purlins. Thermal blocks shall be provided over purlins, between clips. A second layer of unfaced insulation shall be added between purlins to provide full R-value. Blanket insulation shall be supported by an integral facing or other commercially available support system.

3.3 VAPOR RETARDER INSTALLATION

3.3.1 Polyethylene Vapor Retarder

The polyethylene vapor retarder membrane shall be installed over the entire surface. A fully compatible polyethylene tape shall be used to seal the edges of the sheets to provide a vapor tight membrane. Sheet edges shall be lapped not less than 6 inches. Sufficient material shall be provided to avoid inducing stresses in the sheets due to stretching or binding. All tears or punctures that are visible in the finished surface at any time during the construction process shall be sealed with polyethylene tape.

3.4 CLEANING AND TOUCH-UP

Exposed SSSMR systems shall be cleaned at completion of installation. Debris that could cause discoloration and harm to the panels, flashings, closures and other accessories shall be removed. Grease and oil films, excess sealants, and handling marks shall be removed and the work shall be scrubbed clean. Exposed metal surfaces shall be free of dents, creases, waves, scratch marks, and solder or weld marks. Immediately upon detection, abraded or corroded spots on shop-painted surfaces shall be wire brushed and touched up with the same material used for the shop coat. Factory color finished surfaces shall be touched up with the manufacturer's

recommended touch up paint.

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SECTION 07600A

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11/01

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SECTION 07600A

SHEET METALWORK, GENERAL
11/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 167	(1999) Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM B 209	(2000) Aluminum and Aluminum-Alloy Sheet and Plate
ASTM B 221	(2000) Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes
ASTM B 32	(1996) Solder Metal

INSECT SCREENING WEAVERS ASSOCIATION (ISWA)

ISWA IWS 089	(1990) Recommended Standards and Specifications for Insect Wire Screening (Wire Fabric)
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SHEET METAL & AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA Arch. Manual	(1993; Errata; Addenda Oct 1997) Architectural Sheet Metal Manual
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1.2 GENERAL REQUIREMENTS

Sheet metalwork shall be accomplished to form weathertight construction without waves, warps, buckles, fastening stresses or distortion, and shall allow for expansion and contraction. Cutting, fitting, drilling, and other operations in connection with sheet metal required to accommodate the work of other trades shall be performed by sheet metal mechanics. Installation of sheet metal items used in conjunction with roofing shall be coordinated with roofing work to permit continuous roofing operations. Sheet metalwork pertaining to heating, ventilating, and air conditioning is specified in Section 15895A AIR SUPPLY, DISTRIBUTION, VENTILATION, AND EXHAUST SYSTEM.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When

used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Materials; G-ED.

Drawings of sheet metal items showing weights, gauges or thicknesses; types of materials; expansion-joint spacing; fabrication details; and installation procedures.

1.4 DELIVERY, STORAGE, AND HANDLING

Materials shall be adequately packaged and protected during shipment and shall be inspected for damage, dampness, and wet-storage stains upon delivery to the jobsite. Materials shall be clearly labeled as to type and manufacturer. Sheet metal items shall be carefully handled to avoid damage. Materials shall be stored in dry, ventilated areas until immediately before installation.

PART 2 PRODUCTS

2.1 MATERIALS

Lead, lead-coated metal, and galvanized steel shall not be used. Any metal listed by SMACNA Arch. Manual for a particular item may be used, unless otherwise specified or indicated. Materials shall conform to the requirements specified below and to the thicknesses and configurations established in SMACNA Arch. Manual. Different items need not be of the same metal, except that if copper is selected for any exposed item, all exposed items shall be copper.

2.1.1 Accessories

Accessories and other items essential to complete the sheet metal installation, though not specifically indicated or specified, shall be provided.

2.1.2 Aluminum Extrusions

ASTM B 221, Alloy 6063, Temper T5.

2.1.3 Sealant

Unless otherwise specified, sealant shall be an elastomeric weather resistant sealant as specified in Section 07900 JOINT SEALING.

2.1.4 Fasteners

Fasteners shall be compatible with the fastened material and shall be the type best suited for the application.

2.1.5 Aluminum Alloy Sheet and Plate

ASTM B 209, color shall match adjacent materials, form, alloy, and temper appropriate for use.

2.1.6 Stainless Steel

ASTM A 167, Type 302 or 304; fully annealed, dead soft temper.

2.1.7 Solder

ASTM B 32, 95-5 tin-antimony.

2.1.8 Louvers and Louver Screens

Louvers shall be drainable blade type. Drainable blade louver blades shall be fabricated from aluminum or galvanized steel sheets, and frames shall be fabricated from galvanized steel sheets or aluminum structural shapes. Sheet metal thickness and fabrication shall conform to SMACNA HAVAC Duct Construction Standards- Metal and Flexible. Blades shall be accurately fitted and secured to frames. Edges of drainable blades shall be folded or beaded for rigidity and baffled to exclude driving rain. Bird screen shall be provided on the inside face of the louver. Drainable blade louvers shall bear the AMCA Certified Ratings Seal for air performance and water penetration ratings as described in AMCA 500. Louvers and frames shall be primes at the factory and shall be painted in accordance with the painting schedule in Section 09900. Type III aluminum alloy bird screening conforming to ISWA IWS 089.

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Gutters and downspouts shall be designed and fabricated in conformance with SMACNA Arch. Manual; louvers shall be fabricated in conformance with SMACNA Arch. Manual and as indicated. Unless otherwise specified or indicated, exposed edges shall be folded back to form a 1/2 inch hem on the concealed side, and bottom edges of exposed vertical surfaces shall be angled to form drips. Bituminous cement shall not be placed in contact with roofing membranes .

3.2 PROTECTION OF ALUMINUM

Aluminum shall not be used where it will be in contact with copper or where it will contact water which flows over copper surfaces. Aluminum that will be in contact with mortar, concrete, or ferrous metals shall be protected against galvanic or corrosive action by one of the following methods:

3.2.1 Paint

Aluminum surfaces shall be solvent cleaned and given one coat of zinc-molybdate primer and one coat of aluminum paint as specified in Section 09900 PAINTING, GENERAL.

3.2.2 Nonabsorptive Tape or Gasket

Nonabsorptive tape or gasket shall be placed between the adjoining surfaces and cemented to the aluminum surface using a cement compatible with aluminum.

3.3 CONNECTIONS AND JOINTING

3.3.1 Soldering

Soldering shall apply to copper, and stainless steel items. Edges of sheet

metal shall be pretinned before soldering is begun. Soldering shall be done slowly with well heated soldering irons so as to thoroughly heat the seams and completely sweat the solder through the full width of the seam. Edges of stainless steel to be pretinned shall be treated with soldering acid flux. Soldering shall follow immediately after application of the flux. Upon completion of soldering, the acid flux residue shall be thoroughly cleaned from the sheet metal with a water solution of washing soda and rinsed with clean water.

3.3.2 Riveting

Joints in aluminum sheets 0.040 inch or less in thickness shall be mechanically made.

3.3.3 Seaming

Flat-lock and soldered-lap seams shall finish not less than 1 inch wide. Unsoldered plain-lap seams shall lap not less than 3 inches unless otherwise specified. Flat seams shall be made in the direction of the flow.

3.4 CLEATS

A continuous cleat shall be provided where indicated or specified to secure loose edges of the sheet metalwork. Butt joints of cleats shall be spaced approximately 1/8 inch apart. The cleat shall be fastened to supporting wood construction with nails evenly spaced not over 12 inches on centers. Where the fastening is to be made to concrete screws shall be used and shall be driven in expansion shields set in concrete.

3.5 GUTTERS AND DOWNSPOUTS

Gutters and downspouts shall be installed as indicated. Downspouts shall be rigidly attached to the building. Supports for downspouts shall be spaced according to manufacturer's recommendations.

3.6 FLASHINGS

Flashings shall be installed at locations indicated and as specified below. Sealing shall be according to the flashing manufacturer's recommendations. Flashings shall be installed at projections through roof, except that flashing for heating and plumbing, including piping, roof, and for electrical conduit projections through roof or walls are specified in other sections. Flashing shall be formed to direct water to the outside of the system.

3.7 INSTALLATION OF LOUVERS

Louvers shall be rigidly attached to the supporting construction. The installation shall be rain-tight. Louver screen shall be installed as indicated.

3.8 CONTRACTOR QUALITY CONTROL

The Contractor shall establish and maintain a quality control procedure for sheet metal used in conjunction with roofing to assure compliance of the installed sheet metalwork with the contract requirements. Any work found not to be in compliance with the contract shall be promptly removed and replaced or corrected in an approved manner. Quality control shall include, but not be limited to, the following:

- a. Observation of environmental conditions; number and skill level of sheet metal workers; condition of substrate.
- b. Verification of compliance of materials before, during, and after installation.
- c. Inspection of sheet metalwork for proper size and thickness, fastening and joining, and proper installation.

The actual quality control observations and inspections shall be documented and a copy of the documentation furnished to the Contracting Officer at the end of each day.

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SECTION 07900A

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06/97

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SECTION 07900A

JOINT SEALING
06/97

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 509	(1994) Elastomeric Cellular Preformed Gasket and Sealing Material
ASTM C 570	(1995) Oil- and Resin-Base Caulking Compound for Building Construction
ASTM C 920	(1998) Elastomeric Joint Sealants
ASTM C 1085	(1991) Butyl Rubber-Based Solvent-Release Sealants
ASTM D 1056	(1998) Flexible Cellular Materials - Sponge or Expanded Rubber
ASTM D 1565	(1999) Flexible Cellular Materials - Vinyl Chloride Polymers and Copolymers (Open-Cell Foam)

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Backing; .

Bond-Breaker; .

Sealant; .

Manufacturer's descriptive data including storage requirements, shelf life, curing time, instructions for mixing and application, and primer data (if required). A copy of the Material Safety Data Sheet shall be provided for each solvent, primer or sealant material.

SD-07 Certificates

Sealant; .

Certificates of compliance stating that the materials conform to the specified requirements.

1.3 ENVIRONMENTAL REQUIREMENTS

The ambient temperature shall be within the limits of 40 to 90 degrees F when the sealants are applied.

1.4 DELIVERY AND STORAGE

Materials shall be delivered to the job in the manufacturer's original unopened containers. The container label or accompanying data sheet shall include the following information as applicable: manufacturer, name of material, formula or specification number, lot number, color, date of manufacture, mixing instructions, shelf life, and curing time at the standard conditions for laboratory tests. Materials shall be handled and stored to prevent inclusion of foreign materials. Materials shall be stored at temperatures between 40 and 90 degrees F unless otherwise specified by the manufacturer.

PART 2 PRODUCTS

2.1 BACKING

Backing shall be 25 to 33 percent oversize for closed cell and 40 to 50 percent oversize for open cell material, unless otherwise indicated.

2.1.1 Rubber

Cellular rubber sponge backing shall be ASTM D 1056, Type 1, open cell, Class A, Grade A, round cross section.

2.1.2 PVC

Polyvinyl chloride (PVC) backing shall be ASTM D 1565, Grade VO 12, open-cell foam, round cross section.

2.1.3 Synthetic Rubber

Synthetic rubber backing shall be ASTM C 509, Option II, Type II preformed rods or tubes.

2.1.4 Neoprene

Neoprene backing shall be ASTM D 1056, closed cell expanded neoprene cord Type 2, Class C, Grade 2C2.

2.2 BOND-BREAKER

Bond-breaker shall be as recommended by the sealant manufacturer to prevent adhesion of the sealant to backing or to bottom of the joint.

2.3 PRIMER

Primer shall be non-staining type as recommended by sealant manufacturer

for the application.

2.4 CAULKING

Oil- and resin-based caulking shall be ASTM C 570.

2.5 SEALANT

2.5.1 ELASTOMERIC

Elastomeric sealants shall conform to ASTM C 920 and the following:

Polyurethane sealant: Grade NS, Class 25, Use NTMAO.

Silicone sealant: Type S, Grade NS, Class 25, Use NT, MAO.

2.5.2 BUTYL

Butyl sealant shall be ASTM C 1085.

2.5.3 PREFORMED

Preformed sealant shall be polybutylene or isoprene-butylene based pressure sensitive weather resistant tape or bead sealant capable of sealing out moisture, air and dust when installed as recommended by the manufacturer. At temperatures from minus 30 to plus 160 degrees F, the sealant shall be non-bleeding and shall have no loss of adhesion.

2.5.3.1 Tape

Tape sealant: cross-section dimensions shall be as required.

2.5.3.2 Bead

Bead sealant: cross-section dimensions shall be as required.

2.5.3.3 Foam Strip

Foam strip shall be polyurethane foam; cross-section dimensions shall be as required. Foam strip shall be capable of sealing out moisture, air, and dust when installed and compressed as recommended by the manufacturer. Service temperature shall be minus 40 to plus 275 degrees F. Untreated strips shall be furnished with adhesive to hold them in place. Adhesive shall not stain or bleed into adjacent finishes. Treated strips shall be saturated with butylene waterproofing or impregnated with asphalt.

2.6 SOLVENTS AND CLEANING AGENTS

Solvents, cleaning agents, and accessory materials shall be provided as recommended by the manufacturer.

PART 3 EXECUTION

3.1 GENERAL

3.1.1 Surface Preparation

The surfaces of joints to receive sealant or caulk shall be free of all frost, condensation and moisture. Oil, grease, dirt, chalk, particles of mortar, dust, loose rust, loose mill scale, and other foreign substances shall be removed from surfaces of joints to be in contact with the sealant.

Oil and grease shall be removed with solvent and surfaces shall be wiped dry with clean cloths. For surface types not listed below, the sealant manufacturer shall be contacted for specific recommendations.

3.1.2 Concrete Surfaces

Where surfaces have been treated with curing compounds, oil, or other such materials, the materials shall be removed by sandblasting or wire brushing. Laitance and efflorescence shall be removed.

3.1.3 Steel Surfaces

Steel surfaces to be in contact with sealant shall be sandblasted or, if sandblasting would not be practical or would damage adjacent finish work, the metal shall be scraped and wire brushed to remove loose mill scale. Protective coatings on steel surfaces shall be removed by sandblasting or by a solvent that leaves no residue.

3.1.4 Aluminum Surfaces

Aluminum surfaces to be in contact with sealants shall be cleaned of temporary protective coatings. When masking tape is used for a protective cover, the tape and any residual adhesive shall be removed just prior to applying the sealant. Solvents used to remove protective coating shall be as recommended by the manufacturer of the aluminum work and shall be non-staining.

3.2 APPLICATION

3.2.1 Masking Tape

Masking tape shall be placed on the finish surface on one or both sides of a joint cavity to protect adjacent finish surfaces from primer or sealant smears. Masking tape shall be removed within 10 minutes after joint has been filled and tooled.

3.2.2 Backing

Backing shall be installed to provide the indicated sealant depth. The installation tool shall be shaped to avoid puncturing the backing.

3.2.3 Bond-Breaker

Bond-breaker shall be applied to fully cover the bottom of the joint without contaminating the sides where sealant adhesion is required.

3.2.4 Primer

Primer shall be used on concrete masonry units, wood, or other porous surfaces in accordance with instructions furnished with the sealant. Primer shall be applied to the joint surfaces to be sealed. Surfaces adjacent to joints shall not be primed.

3.2.5 Sealant

Sealant shall be used before expiration of shelf life. Multi-component sealants shall be mixed according to manufacturer's printed instructions. Sealant in guns shall be applied with a nozzle of proper size to fit the width of joint. Joints shall be sealed as detailed in the drawings.

Sealant shall be forced into joints with sufficient pressure to expel air and fill the groove solidly. Sealant shall be installed to the indicated depth without displacing the backing. Unless otherwise indicated, specified, or recommended by the manufacturer, the installed sealant shall be dry tooled to produce a uniformly smooth surface free of wrinkles and to ensure full adhesion to the sides of the joint; the use of solvents, soapy water, etc., will not be allowed. Sealants shall be installed free of air pockets, foreign embedded matter, ridges and sags. Sealer shall be applied over the sealant when and as specified by the sealant manufacturer.

3.3 CLEANING

The surfaces adjoining the sealed joints shall be cleaned of smears and other soiling resulting from the sealant application as work progresses.

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SECTION 08110

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SECTION 08110

STEEL DOORS AND FRAMES

05/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

- | | |
|-------------|--|
| ANSI A250.4 | (1994) Test Procedure and Acceptance Criteria for Physical Endurance for Steel Doors and Hardware Reinforcings |
| ANSI A250.6 | (1997) Hardware on Standard Steel Doors (Reinforcement - Application) |
| ANSI A250.8 | (1998) SDI-100 Recommended Specifications for Standard Steel Doors and Frames |

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- | | |
|-------------------|--|
| ASTM A 653/A 653M | (2000) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process |
| ASTM A 924/A 924M | (1999) General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process |
| ASTM C 578 | (1995) Rigid, Cellular Polystyrene Thermal Insulation |
| ASTM C 591 | (1994) Unfaced Preformed Rigid Cellular Polyisocyanurate Thermal Insulation |
| ASTM C 612 | (1993) Mineral Fiber Block and Board Thermal Insulation |
| ASTM D 2863 | (1997) Measuring the Minimum Oxygen Concentration to Support Candle-Like Combustion of Plastics (Oxygen Index) |
| ASTM E 283 | (1991) Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors Under Specified Pressure Differences Across the Specimen |

DOOR AND HARDWARE INSTITUTE (DHI)

DHI A115 (1991) Steel Door Preparation Standards
(Consisting of A115.1 through A115.6 and
A115.12 through A115.18)

STEEL DOOR INSTITUTE (SDOI)

SDI 105 (1998) Recommended Erection Instructions
for Steel Frames

SDI 113 (1979) Apparent Thermal Performance of
STEEL DOOR and FRAME ASSEMBLIES

1.2 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures."

SD-02 Shop Drawings

Doors; G-RE

Frames; G-RE

Accessories

Weatherstripping

Show elevations, construction details, metal gages, hardware provisions, and installation details.

Schedule of doors; G-RE

Schedule of frames; G-RE

Submit door and frame locations.

SD-03 Product Data

Doors; G-RE

Frames; G-RE

Accessories

Weatherstripping

Submit manufacturer's descriptive literature for doors, frames, and accessories. Include data and details on door construction, panel (internal) reinforcement, insulation, and door edge construction.

SD-04 Samples

Factory-applied enamel finish; G-ED

Where colors are not indicated, submit manufacturer's standard colors and patterns for selection.

1.3 DELIVERY, STORAGE, AND HANDLING

Deliver doors, frames, and accessories undamaged and with protective wrappings or packaging. Provide temporary steel spreaders securely fastened to the bottom of each welded frame. Store doors and frames on platforms under cover in clean, dry, ventilated, and accessible locations, with 1/4 inch airspace between doors. Remove damp or wet packaging immediately and wipe affected surfaces dry. Replace damaged materials with new.

PART 2 PRODUCTS

2.1 STANDARD STEEL DOORS

ANSI A250.8, except as specified otherwise. Prepare doors to receive hardware specified in Section 08710, "Door Hardware." Exterior doors shall have top edge closed flush and sealed to prevent water intrusion. Doors shall be 1 3/4 inches thick, unless otherwise indicated.

2.1.1 Classification - Level, Performance, Model

2.1.1.1 Heavy Duty Doors

ANSI A250.8, Level 2, physical performance Level B, Model 1, with core construction as required by the manufacturer for exterior doors, of size(s) and design(s) indicated. Where vertical stiffener cores are required, the space between the stiffeners shall be filled with insulation.

2.2 INSULATED STEEL DOOR SYSTEMS

Door size(s), design, and material shall be as specified for standard steel doors. Insulated steel doors shall have a core of polyurethane foam and an R factor of 10.0 or more (based on a k value of 0.16); face sheets, edges, and frames of galvanized steel not lighter than 23 gage, 16 gage, and 16 gage respectively; magnetic weatherstripping; nonremovable-pin hinges; thermal-break aluminum threshold; and vinyl door bottom. Doors and frames shall receive phosphate treatment, rust-inhibitive primer, and baked acrylic enamel finish. Doors shall have been tested in accordance with ANSI A250.4 and shall have met the requirements for Level C. Prepare doors to receive hardware specified in Section 08710, "Door Hardware." Doors shall be 1 3/4 inches thick. 2.3 INSULATION CORES

Insulated cores shall be of type specified, and provide an apparent U-factor of .48 in accordance with SDI 113 and shall conform to:

- a. Rigid Polyurethane Foam: ASTM C 591, Type 1 or 2, foamed-in-place or in board form, with oxygen index of not less than 22 percent when tested in accordance with ASTM D 2863; or
- b. Rigid Polystyrene Foam Board: ASTM C 578, Type I or II; or
- c. Mineral board: ASTM C 612, Type I.

2.4 STANDARD STEEL FRAMES

ANSI A250.8, except as otherwise specified. Form frames to sizes and shapes indicated, with welded corners. Provide steel frames for doors unless otherwise indicated.

2.4.1 Welded Frames

Continuously weld frame faces at corner joints. Mechanically interlock or continuously weld stops and rabbets. Grind welds smooth.

2.4.2 Anchors

Provide anchors to secure the frame to adjoining construction. Provide steel anchors, zinc-coated or painted with rust-inhibitive paint, not lighter than 18 gage.

2.4.2.1 Wall Anchors

Provide at least three anchors for each jamb.

Weld or otherwise securely fasten anchors to backs of frames. Design anchors to be fastened with sheet metal screws or welding.

2.4.2.2 Floor Anchors

Provide floor anchors drilled for 3/8 inch anchor bolts at bottom of each jamb member.

2.5 WEATHERSTRIPPING

As specified in Section 08710, "Door Hardware."

2.5.1 Integral Gasket

Black synthetic rubber gasket with tabs for factory fitting into factory slotted frames, or extruded neoprene foam gasket made to fit into a continuous groove formed in the frame, may be provided in lieu of head and jamb seals specified in Section 08710, "Door Hardware." Insert gasket in groove after frame is finish painted. Air leakage of weatherstripped doors shall not exceed 0.5 cubic feet per minute of air per square foot of door area when tested in accordance with ASTM E 283.

2.6 HARDWARE PREPARATION

Provide minimum hardware reinforcing gages as specified in ANSI A250.6. Drill and tap doors and frames to receive finish hardware. Prepare doors and frames for hardware in accordance with the applicable requirements of ANSI A250.8 and ANSI A250.6. For additional requirements refer to DHI A115.

Drill and tap for surface-applied hardware at the project site. Build additional reinforcing for surface-applied hardware into the door at the factory. Locate hardware in accordance with the requirements of ANSI A250.8, as applicable.

2.7 FINISHES

2.7.1 Hot-Dip Zinc-Coated and Factory-Primed Finish

Fabricate exterior doors and frames from hot dipped zinc coated steel, alloyed type, that complies with ASTM A 924/A 924M and ASTM A 653/A 653M. The Coating weight shall meet or exceed the minimum requirements for coatings having 0.4 ounces per square foot, total both sides, i.e., A40. Repair damaged zinc-coated surfaces by the application of zinc dust paint. Thoroughly clean and chemically treat to insure maximum paint adhesion. Factory prime as specified in ANSI A250.8.

2.8 FABRICATION AND WORKMANSHIP

Finished doors and frames shall be strong and rigid, neat in appearance, and free from defects, waves, scratches, cuts, dents, ridges, holes, warp, and buckle. Molded members shall be clean cut, straight, and true, with joints coped or mitered, well formed, and in true alignment. Dress exposed welded and soldered joints smooth. Design door frame sections for use with the wall construction indicated. Corner joints shall be well formed and in true alignment. Conceal fastenings where practicable.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 Frames

Set frames in accordance with SDI 105. Plumb, align, and brace securely until permanent anchors are set. Anchor bottoms of frames with expansion bolts or powder-actuated fasteners. Build in or secure wall anchors to adjoining construction. For frames in exterior walls, ensure that frame is filled with batt insulation.

3.1.2 Doors

Hang doors in accordance with clearances specified in ANSI A250.8. After erection and glazing, clean and adjust hardware.

3.2 PROTECTION

Protect doors and frames from damage. Repair damaged doors and frames prior to completion and acceptance of the project or replace with new, as directed. Wire brush rusted frames until rust is removed. Clean thoroughly. Apply an all-over coat of rust-inhibitive paint of the same type used for shop coat.

3.3 CLEANING

Upon completion, clean exposed surfaces of doors and frames thoroughly. Remove mastic smears and other unsightly marks.

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SECTION 08361

SECTIONAL OVERHEAD DOORS

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SECTION 08361

SECTIONAL OVERHEAD DOORS
08/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 36/A 36M	(1997; Rev. A) Carbon Structural Steel
ASTM A 123/A 123M	(1997; Rev. A) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 227/A 227M	(1993) Steel Wire, Cold-Drawn for Mechanical Springs
ASTM A 229/A 229M	(1993) Steel Wire, Oil-Tempered for Mechanical Springs
ASTM A 653/A 653M	(1998) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM B 209	(1996) Aluminum and Aluminum-Alloy Sheet and Plate
ASTM B 221	(1996) Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes
ASTM C 236	(1989; R 1993) Steady-State Thermal Performance of Building Assemblies by Means of a Guarded Hot Box
ASTM E 330	(1997) Structural Performance of Exterior Windows, Curtain Walls, and Doors by Uniform Static Air Pressure Difference

DOOR AND ACCESS SYSTEMS MANUFACTURERS ASSOCIATION (DASMA)

ANSI/NAGDM 102	(1988) Sectional Overhead Type Doors
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1.2 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures."

SD-02 Shop Drawings

Doors; G-RE

Show types, sizes, locations, metal gages including minimum metal decimal thickness, hardware provisions, installation details, and other details of construction.

SD-03 Product Data

Doors; G-RE

SD-08 Manufacturer's Instructions

Doors

SD-10 Operation and Maintenance Data

Doors; G-RE

Submit Data Package 2 in accordance with Section 01781, "Operation and Maintenance Data."

1.3 DELIVERY, STORAGE, AND HANDLING

Protect doors and accessories from damage during delivery, storage, and handling. Clearly mark manufacturer's brand name. Store doors in dry locations with adequate ventilation, free from dust and water. Storage shall permit easy access for inspection and handling. Remove damaged items and provide new.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Hard-Drawn Springwire

ASTM A 227/A 227M.

2.1.2 Oil-Tempered Springwire

ASTM A 229/A 229M.

2.1.3 Steel Sheet

ASTM A 653/A 653M.

2.1.4 Steel Shapes

ASTM A 36/A 36M.

2.1.5 Aluminum Extrusions

ASTM B 221, Alloy 6063-T5.

2.1.6 Aluminum Sheets and Strips

ASTM B 209, alloy and temper best suited for the purpose.

2.2 DOORS

ANSI/NAGDM 102. Commercial doors. Metal doors shall be horizontal

sections hinged together which operate in a system of tracks to completely close the door opening in the closed position and make the full width and height of the door opening available for use in the open position. Provide a permanent label on the door indicating the name and address of the manufacturer. Doors shall be of the standard lift type designed to slide up and back into a horizontal overhead position and requiring a maximum of 16 inches of headroom for 2 inch tracks and 21 inches of headroom for 3 inch tracks. Doors shall be operated by hand chain with gear or sprocket reduction.

2.3 DESIGN REQUIREMENTS

ANSI/NAGDM 102 design wind load shall be as indicated for the building. Doors shall remain operable and undamaged after conclusion of tests conducted in accordance with ASTM E 330 using the design wind load.

2.4 FABRICATION

2.4.1 Steel Overhead Doors

Form door sections of hot-dipped galvanized steel not lighter than 16 gage with flush surface without ribs or grooves. Sections shall be not less than 2 inches in thickness. Meeting rails shall have interlocking joints to ensure a weathertight closure and alignment for full width of the door. Sections shall be of the height indicated or the manufacturer's standard, except the height of an intermediate section shall not exceed 24 inches. Bottom sections may be varied to suit door height, but shall not exceed 30 inches in height.

2.4.1.1 Insulated Sections

Insulate door sections with fibrous glass or plastic foam to provide a "U" factor of 0.14 or less when tested in accordance with ASTM C 236. Cover interior of door sections with steel sheets of not lighter than 24 gage to completely enclose the insulating material.

2.4.1.2 Aluminum Sections

At the Contractor's option, door sections may be constructed of aluminum in lieu of steel. Aluminum sections shall, as a minimum, provide the same structural and thermal properties as specified for steel sections.

2.4.2 Aluminum Panel Overhead Doors

Door sections shall be of panel construction with extruded aluminum stiles and rails with aluminum panels. Stiles and rails shall have a minimum wall thickness of 0.060 inch. Meeting rails shall have interlocking joints to ensure a weathertight closure and alignment for full width of door. Sections shall be of the height indicated or the manufacturer's standard, but the height of an intermediate section shall not exceed 24 inches. Bottom sections may be varied to suit door height, but shall not exceed 30 inches in height. Aluminum panels shall be not less than 0.040 inch in thickness. Install panel using a continuous vinyl gasket and snap-in type of aluminum or vinyl glazing bead.

2.4.3 Tracks

Provide galvanized steel tracks not lighter than 14 gage for 2 inch tracks and not lighter than 12 gage for 3 inch tracks. Provide vertical tracks

with continuous steel angle not lighter than 13 gage for installation to walls. Incline vertical track through use of adjustable brackets to obtain a weathertight closure at jambs. Reinforce horizontal track with galvanized steel angle; support from track ceiling construction with galvanized steel angle and cross bracing to provide a rigid installation.

2.4.4 Hardware

Provide hinges, brackets, rollers, locking devices, and other hardware required for complete installation. Roller brackets and hinges shall be 14 gage galvanized steel. Rollers shall have ball bearings and case-hardened races. Provide reinforcing on doors where roller hinges are connected. Provide a positive locking device and cylinder lock with two keys on manually operated doors. Door locks shall have removable cores. Keying shall match other door. In addition to the door locks, the overhead door shall have provisions for padlocking capabilities. See SECTION 08710 Door Hardware for additional information.

2.4.5 Counterbalancing

Counterbalance doors with an oil-tempered, helical-wound torsional spring mounted on a steel shaft. Spring tension shall be adjustable; connect spring to doors with cable through cable drums. Cable safety factor shall be at least 7 to 1.

2.5 [Enter Appropriate Subpart Title Here] 2.5.1 Chain Hoist Operators

Provide a galvanized, endless chain operating over a sprocket. Extend chain to within 4 feet of the floor and mount on inside of building. Obtain reduction by use of roller chain and sprocket drive or gearing. Provide chain cleat and pin for securing operator chain. Hoist shall allow for future installation of power operators. The force required to operate the door shall not exceed 35 pounds.

2.6 WEATHER SEALS

Provide exterior doors with weatherproof joints between sections by means of tongue-and-groove joints, rabbeted joints, shiplap joints, or wool pile, vinyl or rubber weatherstripping; a rubber, wool pile, or vinyl, adjustable weatherstrip at the top and jambs; and a compressible neoprene, rubber, wool pile, or vinyl weather seal attached to the bottom of the door.

2.7 FINISHES

Concealed ferrous metal surfaces and tracks shall be hot-dip galvanized. Other ferrous metal surfaces, except rollers and lock components, shall be hot-dip galvanized and shop primed.

2.7.1 Galvanized and Shop Primed

Surfaces specified shall have a zinc coating, a phosphate treatment, and a shop prime coat of rust-inhibitive paint. The galvanized coating shall conform to ASTM A 653/A 653M, coating designation G60, for steel sheets, and ASTM A 123/A 123M for assembled steel products. The weight of coatings for assembled products shall be as designated in Table I of ASTM A 123/A 123M for the class of material to be coated. The prime coat shall be a type especially developed for materials treated by phosphates and adapted to application by dipping or spraying. Repair damaged zinc-coated surfaces

with galvanizing repair paint and spot prime.

2.7.2 Aluminum

Exposed surfaces shall receive a paint finish primer and a field applied finish paintcoat, color as indicated in SECTION 09915 Color Schedule.

PART 3 EXECUTION

3.1 INSTALLATION

Install doors in accordance with approved shop drawings and manufacturer's instructions. Upon completion, doors shall be weathertight and free from warp, twist, or distortion. Lubricate and adjust doors to operate freely.

3.2 TESTING

After installation is complete, operate doors to demonstrate installation and function of operators, safety features, and controls. Correct deficiencies.

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SECTION 08710

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SECTION 08710

DOOR HARDWARE

08/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM E 283 (1991) Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors Under Specified Pressure Differences Across the Specimen

ASTM F 883 (1990) Padlocks

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)

BHMA A156.1 (1997) Butts and Hinges (BHMA 101)

BHMA A156.3 (1994) Exit Devices (BHMA 701)

BHMA A156.4 (1992) Door Controls - Closers (BHMA 301)

BHMA A156.6 (1994) Architectural Door Trim (BHMA 1001)

BHMA A156.7 (1988) Template Hinge Dimensions

BHMA A156.13 (1994) Mortise Locks & Latches (BHMA 621)

BHMA A156.16 (1997) Auxiliary Hardware

BHMA A156.18 (1993) Materials and Finishes (BHMA 1301)

BHMA A156.21 (1996) Thresholds

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 101 (1997) Life Safety Code

STEEL DOOR INSTITUTE (SDOI)

SDOI SDI-100 (1991) Standard Steel Doors and Frames

1.2 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures."

SD-02 Shop Drawings

Hardware schedule; G-RE

Keying system

SD-03 Product Data

Hardware items; G-RE

SD-08 Manufacturer's Instructions

Installation

SD-11 Closeout Submittals

Key bitting

1.3 HARDWARE SCHEDULE

Prepare and submit hardware schedule in the following form:

Hard- ware Item	Quan- tity	Size	Reference		Mfr. Name and Catalog No.	Key Con- trol Symbols	BHMA Finish Designa- tion
			Type	Finish			
-----	-----	-----	-----	-----	-----	-----	-----

1.4 KEY BITTING CHART REQUIREMENTS

Submit key bitting charts to the Contracting Officer prior to completion of the work. Include:

- a. Complete listing of all keys (AA1, AA2, etc.).
- b. Complete listing of all key cuts (AA1-123456, AA2-123458).
- c. Tabulation showing which key fits which door.

1.5 DELIVERY, STORAGE, AND HANDLING

Deliver hardware in original individual containers, complete with necessary appurtenances including fasteners and instructions. Mark each individual container with item number as shown in hardware schedule. Deliver (hand-carry) keys to the Contracting Officer.]

PART 2 PRODUCTS

2.1 TEMPLATE HARDWARE

Hardware to be applied to metal shall be made to template. Promptly furnish template information or templates to door and frame manufacturers. Template hinges shall conform to BHMA A156.7. Coordinate hardware items to prevent interference with other hardware.

2.2 HARDWARE FOR EXIT DOORS

Provide all hardware necessary to meet the requirements of NFPA 101 for exit doors, as well as to other requirements specified, even if such hardware is not specifically mentioned under paragraph entitled "Hardware Schedule."

2.3 HARDWARE ITEMS

Hinges, locks, latches, exit devices, bolts, and closers shall be clearly and permanently marked with the manufacturer's name or trademark where it will be visible after the item is installed. For closers with covers, the name or trademark may be beneath the cover.

2.3.1 Hinges

BHMA A156.1, 4 1/2 by 4 1/2 inches unless otherwise specified. Construct loose pin hinges for exterior doors so that pins will be nonremovable when door is closed. Other antifriction bearing hinges may be provided in lieu of ball-bearing hinges.

2.3.2 [Enter Appropriate Subpart Title Here] 2.3.3 Exit Devices

BHMA A156.3, Grade 1. Provide open back strikes for pairs of doors with mortise and vertical rod devices. Touch bars shall be provided in lieu of conventional crossbars and arms. Provide escutcheons, not less than 7 by 2 1/4 inches.

2.3.4 Cylinders and Cores

Cylinders and cores shall have seven pin tumblers. Cylinders shall be products of one manufacturer, and cores shall be the products of one manufacturer.

Cylinders shall be interchangeable and fully compatible with products from Best Lock Corp., Arrow Lock Corp., or Falcon Lock which are removable by special control keys.

2.3.5 Keying System

The Government will provide permanent cylinders with cores and keys for exit device at a latter date. Contractor is responsible to provide temporary construction keys with removable cores in accordance with these specifications. Cylinders shall be as manufactured by Best Lock Corp., Arrow Lock Corp., or Falcon Lock. The Contractor shall give written notice 90 days prior to the required delivery of the cylinders. Temporary cores and keys for the Contractor's use during construction, and for testing the locksets, shall be provided by the Contractor.

2.3.6 Lock Trim

Cast, forged, or heavy wrought construction and commercial plain design.

2.3.6.1 Lever Handles

Provide lever handles in lieu of knobs. Lever handles for exit devices shall meet the test requirements of BHMA A156.13 for mortise locks. Lever handle locks shall have a breakaway feature (such as a weakened spindle or a shear key) to prevent irreparable damage to the lock when a force in excess of that specified in BHMA A156.13 is applied to the lever handle. Lever handles shall return to within 1/2 inch of the door face.

2.3.7 Keys

Furnish one duplicate key and one working key for each key change. Furnish one construction master key and one control key for the removable cores.

Stamp each key with appropriate key control symbol and "U.S. property - Do not duplicate." Do not place room number on keys.

2.3.8 Door Bolts

BHMA A156.16. Provide dustproof strikes for bottom bolts, except for doors having metal thresholds. Automatic latching flush bolts: BHMA A156.3, Type 25.

2.3.9 Closers

BHMA A156.4, Series C02000, Grade 1, with PT 4C. Provide with brackets, arms, mounting devices, fasteners, full size covers and other features necessary for the particular application. Size closers in accordance with manufacturer's recommendations, or provide multi-size closers, Sizes 1 through 6, and list sizes in the Hardware Schedule. Provide manufacturer's 10 year warranty.

2.3.9.1 Identification Marking

Engrave each closer with manufacturer's name or trademark, date of manufacture, and manufacturer's size designation located to be visible after installation.

2.3.10 Door Protection Plates

BHMA A156.6.

2.3.10.1 Sizes of Armor Plates

Width for single doors shall be 2 inches less than door width; width for pairs of doors shall be one inch less than door width. Height of armor plates shall be not less than 36 inches for flush doors

2.3.11 Padlocks

ASTM F 883.

2.3.12 Thresholds

BHMA A156.21. Use J35100, with vinyl or silicone rubber insert in face of stop, for exterior doors opening out, unless specified otherwise.

2.3.13 Weather Stripping

A set shall include head and jamb seals, sweep strips, and, for pairs of doors, astragals. Air leakage of weather stripped doors shall not exceed 0.5 cubic feet per minute of air per square foot of door area when tested in accordance with ASTM E 283. Weather stripping shall be one of the following:

2.3.13.1 Extruded Aluminum Retainers

Extruded aluminum retainers not less than 0.050 inch wall thickness with vinyl, neoprene, silicone rubber, or polyurethane inserts. Aluminum shall be clear (natural) anodized.

2.3.14 Rain Drips

Extruded aluminum, not less than 0.08 inch thick, clearanodized. Set drips in sealant conforming to Section 07920N, "Joint Sealants," and fasten with stainless steel screws.

2.3.14.1 Door Rain Drips

Approximately 1 1/2 inches high by 5/8 inch projection. Align bottom with bottom edge of door.

2.3.14.2 Overhead Rain Drips

Approximately 1 1/2 inches high by 2 1/2 inches projection, with length equal to overall width of door frame. Align bottom with door frame rabbet.

2.3.15 Special Tools

Provide special tools, such as spanner and socket wrenches and dogging keys, required to service and adjust hardware items.

2.4 FASTENERS

Provide fasteners of proper type, quality, size, quantity, and finish with hardware. Fasteners exposed to weather shall be of nonferrous metal or stainless steel. Provide fasteners of type necessary to accomplish a permanent installation.

2.5 FINISHES

BHMA A156.18. Hardware shall have BHMA 630 finish (satin stainless steel), unless specified otherwise. Provide items not manufactured in stainless steel in BHMA 626 finish (satin chromium plated) over brass or bronze, except surface door closers which shall have aluminum paint finish. Hinges for exterior doors shall be stainless steel with BHMA 630 finish. Exit devices may be provided in BHMA 626 finish in lieu of BHMA 630 finish.

PART 3 EXECUTION

3.1 INSTALLATION

Install hardware in accordance with manufacturers' printed instructions. Fasten hardware to wood surfaces with full-threaded wood screws or sheet metal screws. Provide machine screws set in expansion shields for fastening hardware to solid concrete and masonry surfaces. Provide toggle bolts where required for fastening to hollow core construction. Provide through bolts where necessary for satisfactory installation.

3.1.1 Weather Stripping Installation

Handle and install weather stripping so as to prevent damage. Provide full contact, weather-tight seals. Doors shall operate without binding.

3.1.1.1 Stop-Applied Weather Stripping

Fasten in place with color-matched sheet metal screws not more than 9 inches o.c. after doors and frames have been finish painted.

3.1.2 Threshold Installation

Extend thresholds the full width of the opening and notch end for jamb

stops. Set thresholds in a full bed of sealant and anchor to floor with cadmium-plated, countersunk, steel screws in expansion sleeves.

3.2 EXIT DOORS

Install hardware in accordance with NFPA 101 for exit doors .

3.3 HARDWARE LOCATIONS

SDOI SDI-100, unless indicated or specified otherwise.

- a. Armor Plates: Both sides of double-acting doors.

3.4 KEY CONTROL SYSTEM

Tag one set of file keys and one set of duplicate keys. Place other keys in appropriately marked envelopes, or tag each key. Furnish complete instructions for setup and use of key control system. On tags and envelopes, indicate doors.

3.5 FIELD QUALITY CONTROL

After installation, protect hardware from paint, stains, blemishes, and other damage until acceptance of work. Submit notice of testing 15 days before scheduled, so that testing can be witnessed by the Contracting Officer. Adjust hinges, locks, latches, bolts, holders, closers, and other items to operate properly. Demonstrate that permanent keys operate respective locks, and give keys to the Contracting Officer. Correct, repair, and finish, as directed, errors in cutting and fitting and damage to adjoining work.

3.6 HARDWARE SETS

HW-1

- 6 ea. Hinges, A5111,
- 1 ea. Exit Device, Type 10, Function 03 (lever handle), (active leaf),
- 2 ea. Lever Extension Flush Bolts L04091 (inactive leaf),
- 1 ea. Closer, C02021 (active leaf),
- 1 ea. Dust Proof Strike L04021- coordinate with threshold (active leaf),
- 1 ea. Overhead Rain Drip, (continuous over both doors),
- 2 ea. Door Rain Drips, (bottom of each door),
- 1 ea. Threshold, J12120,
- 2 ea. Stop, L01371 (stop with holder device),
- 2 ea. Armor Plate,
- 1 ea. Weatherstripping (continuous both doors)
- 1 ea. Astragal

HW-2

- 1 ea. Padlock

-- End of Section --

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DIVISION 09 - FINISHES

SECTION 09900

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SECTION 09900

PAINTS AND COATINGS

09/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH Limit Values (1991-1992) Threshold Limit Values (TLVs) for Chemical Substances and Physical Agents and Biological Exposure Indices (BEIs)

ACGIH TLV-DOC Documentation of Threshold Limit Values and Biological Exposure Indices

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A13.1 Scheme for Identification of Piping Systems

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 235 Standard Specification for Mineral Spirits (Petroleum Spirits) (Hydrocarbon Dry Cleaning Solvent)

ASTM D 523 (1999) Standard Test Method for Specular Gloss

ASTM D 2092 (1995) Preparation of Zinc-Coated (Galvanized) Steel Surfaces for Painting

CODE OF FEDERAL REGULATIONS (CFR)

29 CFR 1910.1000 Air Contaminants

FEDERAL STANDARDS (FED-STD)

FED-STD-313 (Rev. C) Material Safety Data, Transportation Data and Disposal Data for Hazardous Materials Furnished to Government Activities

FED-STD-595 (1989 Rev B) Color

MASTER PAINTERS INSTITUTE (MPI)

MPI 21 (2001) Heat Resistant Enamel, Gloss, (Up to 205 C or 400 F)

MPI 23	(2001) Surface Tolerant Metal Primer
MPI 79	(2001) Marine Alkyd Metal Primer
MPI 94	(2001) Exterior Alkyd, Semi-Gloss
MPI 95	(2001) Fast Drying Metal Primer
MPI 101	(2001) Cold Curing Epoxy Primer
MPI 107	(2001) Rust Inhibitive Primer (Water-Based)
MPI 108	(2001) High Build Epoxy Marine Coating
MPI 110	(2001) Interior/Exterior High Performance Acrylic
MPI 134	(2001) Waterborne Galvanized Primer

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-101	(Rev. B) Color Code for Pipelines and for Compressed Gas Cylinders
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SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS-EPP-SP01-01	(2001) Environmentally Preferable Product Specification for Architectural and Anti-Corrosive Paints
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STEEL STRUCTURES PAINTING COUNCIL (SSPC)

SSPC QP 1	(1989) Evaluating Qualifications of Painting Contractors (Field Application to Complex Structures)
SSPC PA 1	(2000) Shop, Field, and Maintenance Painting
SSPC PA 3	(1995) Safety in Paint Application
SSPC VIS 3	(1993) Visual Standard for Power- and Hand-Tool Cleaned Steel (Standard Reference Photographs)
SSPC SP 1	(1982) Solvent Cleaning
SSPC SP 2	(1995) Hand Tool Cleaning
SSPC SP 3	(1995) Power Tool Cleaning
SSPC SP 6	(1994) Commercial Blast Cleaning
SSPC SP 12	(1995) Surface Preparation and Cleaning of Steel and Other Hard Materials by High-and Ultra high-Pressure Water Jetting Prior to Recoating

1.2 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures."

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire contract and each coating system is to be from a single manufacturer. All coats on a particular substrate must be from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

Samples of specified materials may be taken and tested for compliance with specification requirements.

In keeping with the intent of Executive Order 13101, "Greening the Government through Waste Prevention, Recycling, and Federal Acquisition", products certified by SCS as meeting SCS-EPP-SP01-01 shall be given preferential consideration over registered products. Products that are registered shall be given preferential consideration over products not carrying any EPP designation.

SD-02 Shop Drawings

Piping identification; G-ED,

Submit color stencil codes

SD-03 Product Data

Coating; G-ED,

Manufacturer's Technical Data Sheets

SD-04 Samples

Color; G-ED,

Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated.

SD-07 Certificates

Applicator's qualifications

Qualification Testing laboratory for coatings G-RE,

SD-08 Manufacturer's Instructions

Application instructions

Mixing

Detailed mixing instructions, minimum and maximum application temperature and humidity, potlife, and curing and drying times between coats.

Manufacturer's Material Safety Data Sheets

Submit manufacturer's Material Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in FED-STD-313.

SD-10 Operation and Maintenance Data

Coatings: G-RE,

Preprinted cleaning and maintenance instructions for all coating systems shall be provided.

1.3 APPLICATOR'S QUALIFICATIONS

1.3.1 SSPC QP 1 Certification

All contractors and subcontractors that perform surface preparation or coating application shall be certified by the Society for Protective Coatings (formerly Steel Structures Painting Council) (SSPC) to the requirements of SSPC QP 1 prior to contract award, and shall remain certified while accomplishing any surface preparation or coating application. The painting contractors and painting subcontractors must remain so certified for the duration of the project. If a contractor's or subcontractor's certification expires, the firm will not be allowed to perform any work until the certification is reissued. Requests for extension of time for any delay to the completion of the project due to an inactive certification will not be considered and liquidated damages will apply. Notify the Contracting Officer of any change in contractor certification status.

1.4 QUALITY ASSURANCE

1.4.1 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been delivered to the site to be tested at no cost to the Government. Take samples of each chosen product as specified in the paragraph "Sampling Procedures." Test each chosen product as specified in the paragraph "Testing Procedure." Products which do not conform, shall be removed from the job site and replaced with new products that conform to the referenced specification. Testing of replacement products that failed initial testing shall be at no cost to the Government.

1.4.1.1 Sampling Procedure

The Contracting Officer will select paint at random from the products that have been delivered to the job site for sample testing. The Contractor shall provide one quart samples of the selected paint materials. The samples shall be taken in the presence of the Contracting Officer, and labeled, identifying each sample. Provide labels in accordance with the paragraph "Packaging, Labeling, and Storage" of this specification.

1.4.1.2 Testing Procedure

Provide Batch Quality Conformance Testing for specified products, as defined by and performed by MPI. As an alternative to Batch Quality Conformance testing, the Contractor may provide Qualification Testing for specified products above to the appropriate MPI product specification, using the third-party laboratory approved under the paragraph "Qualification Testing" laboratory for coatings. The qualification testing lab report shall include the backup data and summary of the test results.

The summary shall list all of the reference specification requirements and the result of each test. The summary shall clearly indicate whether the tested paint meets each test requirement. Note that Qualification Testing may take 4 to 6 weeks to perform, due to the extent of testing required.

Submit name, address, telephone number, FAX number, and e-mail address of the independent third party laboratory selected to perform testing of coating samples for compliance with specification requirements. Submit documentation that laboratory is regularly engaged in testing of paint samples for conformance with specifications, and that employees performing testing are qualified. If the Contractor chooses MPI to perform the Batch Quality Conformance testing, the above submittal information is not required, only a letter is required from the Contractor stating that MPI will perform the testing.

1.5 REGULATORY REQUIREMENTS

1.5.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.5.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

1.5.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.5.4 Asbestos Content

Materials shall not contain asbestos.

1.5.5 Mercury Content

Materials shall not contain mercury or mercury compounds.

1.5.6 Silica

Abrasive blast media shall not contain free crystalline silica.

1.5.7 Human Carcinogens

Materials shall not contain ACGIH Limit Values and ACGIH TLV-DOC confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.6 PACKAGING, LABELING, AND STORAGE

Paints shall be in sealed containers that legibly show the contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Pigmented paints shall be furnished in containers not larger than 5 gallons. Paints

and thinners shall be stored in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F.

1.7 SAFETY AND HEALTH

Apply coating materials using safety methods and equipment in accordance with the following:

Work shall comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section 01525, "Safety Requirements" and in Appendix A of EM-385-1-1. The Activity Hazard Analysis shall include analyses of the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

1.7.1 Safety Methods Used During Coating Application

Comply with the requirements of SSPC PA 3.

1.7.2 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

- a. The applicable manufacturer's Material Safety Data Sheets (MSDS) or local regulation.
- b. 29 CFR 1910.1000.
- c. ACGIH Limit Values, threshold limit values.

1.8 ENVIRONMENTAL CONDITIONS

1.8.1 Coatings

Do not apply coating when air or substrate conditions are:

- a. Less than 5 degrees F above dew point;
- b. Below 50 degrees F or over 95 degrees F, unless specifically pre-approved by the Contracting Officer and the product manufacturer. Under no circumstances shall application conditions exceed manufacturer recommendations.

1.9 COLOR SELECTION

Colors of finish coats shall be as indicated or specified. Where not indicated or specified, colors shall be selected by the Contracting Officer. Manufacturers' names and color identification are used for the purpose of color identification only. Named products are acceptable for use only if they conform to specified requirements. Products of other manufacturers are acceptable if the colors approximate colors indicated and the product conforms to specified requirements.

Tint each coat progressively darker to enable confirmation of the number of coats.

Color of wall coating systems shall be as indicated

1.10 LOCATION AND SURFACE TYPE TO BE PAINTED

1.10.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

- a. Exterior utilities such as; piping and conduit mounted onto the building.
- b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.
- c. Existing coated surfaces that are damaged during performance of the work.
- d. Water piping and valves located inside the intake structure.

1.10.1.1 Exterior Painting

Includes new surfaces, of the building and appurtenances as indicated. Also included are existing coated surfaces made bare by cleaning operations.

1.10.2 Painting Excluded

Do not paint the following unless indicated otherwise.

- a. Surfaces concealed.
- b. Interior surfaces of the building..
- c. Steel to be embedded in concrete.
- d. Hardware, fittings, and other factory finished items.
- e. Exposed electrical conduit located inside the building.

1.10.3 Definitions and Abbreviations

1.10.3.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.10.3.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing shall only be accomplished by MPI testing lab.

1.10.3.3 Coating

A film or thin layer applied to a base material called a substrate. A coating may be a metal, alloy, paint, or solid/liquid suspensions on various substrates (metals, plastics, wood, paper, leather, cloth, etc.). They may be applied by electrolysis, vapor deposition, vacuum, or mechanical means such as brushing, spraying, calendaring, and roller coating. A coating may be applied for aesthetic or protective purposes or both. The term "coating" as used herein includes emulsions, enamels, stains, varnishes, sealers, epoxies, and other coatings, whether used as primer, intermediate, or finish coat. The terms paint and coating are used interchangeably.

1.10.3.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.10.3.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five (5) levels are generically defined under the Assessment sections in the MPI Maintenance Repainting Manual.

1.10.3.6 EPP

Environmentally Preferred Products, a standard for determining environmental preferability in support of Executive Order 13101.

1.10.3.7 EXT

MPI short term designation for an exterior coating system.

1.10.3.8 INT

MPI short term designation for an interior coating system.

1.10.3.9 micron / microns

The metric measurement for 0.001 mm or one/one-thousandth of a millimeter.

1.10.3.10 mil / mils

The English measurement for 0.001 in or one/one-thousandth of an inch, equal to 25.4 microns or 0.0254 mm.

1.10.3.11 mm

The metric measurement for millimeter, 0.001 meter or one/one-thousandth of a meter.

1.10.3.12 MPI Gloss Levels

MPI system of defining gloss. Seven (7) gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss	Description	Units	Units
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Level		@ 60 degrees	@ 85 degrees
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D 523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.10.3.13 MPI System Number

The MPI coating system number in each Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN). The Division number follows the CSI Master Format.

1.10.3.14 Paint

See Coating definition.

1.10.3.15 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

1.10.3.16 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

PART 2 PRODUCTS

2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit manufacturer's technical data sheets for specified coatings and solvents.

PART 3 EXECUTION

3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect, hardware, hardware accessories, machined surfaces, plates, lighting fixtures, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, workmen skilled in the trades involved shall reinstall removed items. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

3.2 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, and other foreign matter and substances deleterious to coating performance as specified for

each substrate before application of paint or surface treatments. Oil and grease shall be removed prior to mechanical cleaning. Cleaning shall be programmed so that dust and other contaminants will not fall on wet, newly painted surfaces. Exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, shall be spot-primed with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas.

3.3 PREPARATION OF METAL SURFACES

3.3.1 New Ferrous Surfaces

- a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances: Solvent clean or detergent wash in accordance with SSPC SP 1 to remove oil and grease. Where shop coat is missing or damaged, clean according to SSPC SP 2, or SSPC SP 3 Shop-coated ferrous surfaces shall be protected from corrosion by treating and touching up corroded areas immediately upon detection.
- b. Surfaces With More Than 20 Percent Rust, Mill Scale, and Other Foreign Substances: Clean entire surface in accordance with SSPC SP 6/SSPC SP 12 WJ-3.

3.3.2 Final Ferrous Surface Condition:

For tool cleaned surfaces, the requirements are stated in SSPC SP 2 and SSPC SP 3. As a visual reference, cleaned surfaces shall be similar to photographs in SSPC VIS 3.

3.3.3 Galvanized Surfaces

- a. New Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with solvent, steam, or non-alkaline detergent solution in accordance with SSPC SP 1. If the galvanized metal has been passivated or stabilized, the coating shall be completely removed by brush-off abrasive blast. New galvanized steel to be coated shall not be "passivated" or "stabilized" If the absence of hexavalent stain inhibitors is not documented, test as described in ASTM D 2092, Appendix X2, and remove by one of the methods described therein.
- b. Galvanized with Slight Coating Deterioration or with Little or No Rusting: Water jetting to SSPC SP 12 WJ3 to remove loose coating from surfaces with less than 20 percent coating deterioration and no blistering, peeling, or cracking. Use inhibitor as recommended by the coating manufacturer to prevent rusting.

3.3.4 Non-Ferrous Metallic Surfaces

Aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces.

- a. Surface Cleaning: Solvent clean in accordance with SSPC SP 1 and wash with mild non-alkaline detergent to remove dirt and water soluble contaminants.

3.3.5 Terne-Coated Metal Surfaces

Solvent clean surfaces with mineral spirits, ASTM D 235. Wipe dry with clean, dry cloths.

3.4 APPLICATION

3.4.1 Coating Application

Painting practices shall comply with applicable federal, state and local laws enacted to insure compliance with Federal Clean Air Standards. Apply coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.

At the time of application, paint shall show no signs of deterioration. Uniform suspension of pigments shall be maintained during application.

Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Rollers for applying paints and enamels shall be of a type designed for the coating to be applied and the surface to be coated.

Paints, except water-thinned types, shall be applied only to surfaces that are completely free of moisture as determined by sight or touch.

Thoroughly work coating materials into joints, crevices, and open spaces. Special attention shall be given to insure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

Each coat of paint shall be applied so dry film shall be of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Hiding shall be complete.

Touch up damaged coatings before applying subsequent coats.

- a. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.
- b. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Each coat shall cover surface of preceding coat or surface completely, and there shall be a visually perceptible difference in shades of successive coats.
- c. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.

3.4.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written

permission from the Contracting Officer to use thinners. The written permission shall include quantities and types of thinners to use.

When thinning is allowed, paints shall be thinned immediately prior to application with not more than 1 pint of suitable thinner per gallon. The use of thinner shall not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning shall not cause the paint to exceed limits on volatile organic compounds. Paints of different manufacturers shall not be mixed.

3.4.3 Two-Component Systems

Two-component systems shall be mixed in accordance with manufacturer's instructions. Any thinning of the first coat to ensure proper penetration and sealing shall be as recommended by the manufacturer for each type of substrate.

3.4.4 Coating Systems

- a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table

Division 5. Exterior Metal, Ferrous and Non-Ferrous Paint Table

- b. Minimum Dry Film Thickness (DFT): Apply paints, primers, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness where specified, refers to the minimum dry film thickness.
- c. Coatings for Surfaces Not Specified Otherwise: Coat surfaces which have not been specified, the same as surfaces having similar conditions of exposure.
- d. Existing Surfaces Damaged During Performance of the Work, Including New Patches In Existing Surfaces: Coat surfaces with the following:
 - (1) One coat of primer.
 - (2) One coat of undercoat or intermediate coat.
 - (3) One topcoat to match adjacent surfaces.
- e. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

3.5 COATING SYSTEMS FOR METAL

Apply coatings of Tables in Division 5 for Exterior.

- a. Apply specified ferrous metal primer on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.

- b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.
- c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.
- d. Surface Previously Coated with Epoxy or Urethane: Apply MPI 101, 1.5 mils DFT immediately prior to application of epoxy or urethane coatings.
- e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat, but shall be overcoated with the specified ferrous-metal primer prior to application of finish coats.
- f. Exposed Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer MPI 107.

3.6 PIPING IDENTIFICATION

Piping Identification, Including Surfaces In Concealed Spaces: Provide in accordance with MIL-STD-101 or ANSI A13.1. Place stenciling in clearly visible locations. On piping not covered by MIL-STD-101 or ANSI A13.1, stencil approved names or code letters, in letters a minimum of 1/2 inch high for piping and a minimum of 2 inches high elsewhere. Stencil arrow-shaped markings on piping to indicate direction of flow using black stencil paint.

3.7 INSPECTION AND ACCEPTANCE

In addition to meeting previously specified requirements, demonstrate mobility of moving components, including swinging and sliding doors for inspection by the Contracting Officer. Perform this demonstration after appropriate curing and drying times of coatings have elapsed and prior to invoicing for final payment.

3.8 PAINT TABLES

All DFT's are minimum values.

3.8.1 EXTERIOR PAINT TABLES

DIVISION 5: EXTERIOR METAL, FERROUS AND NON-FERROUS PAINT TABLE

STEEL / FERROUS SURFACES

- A. New Steel that has been hand or power tool cleaned to SSPC SP 2 or SSPC SP 3

- 1. Alkyd

New; MPI EXT 5.1Q-G5 (Semigloss) Existing; MPI REX 5.1D-G5

Primer:	Intermediate:	Topcoat:
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MPI 23	MPI 94	MPI 94
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System DFT: 5.25 mils

- B. New Steel that has been blast-cleaned to SSPC SP 6:

STEEL / FERROUS SURFACES

1. Alkyd

New; MPI EXT 5.1D-G5 (Semigloss) / Existing; MPI REX 5.1D-G5

Primer: Intermediate: Topcoat:

MPI 79 MPI 94 MPI 94

System DFT: 5.25 mils

C. Steel piping and valves located inside the intake structure that have been spot-blasted to SSPC SP 6:

MPI REX 5.1C-G6 (Gloss)

Spot Primer: Intermediate: Topcoat:

MPI 79 MPI 110-G6 MPI 110-G6

System DFT: 5 mils

EXTERIOR GALVANIZED SURFACES

C. New Galvanized surfaces:

1. Epoxy Primer / Waterborne Light Industrial Coating

[MPI EXT 5.3K-G5 (Semigloss)]

Primer: Intermediate: Topcoat:

MPI 101 MPI 110-G5 MPI 110-G5

System DFT: 5 mils

D. Galvanized surfaces with slight coating deterioration; little or no rusting:

1. Waterborne Light Industrial Coating

MPI REX 5.3J-G5 (Semigloss)

Primer: Intermediate: Topcoat:

MPI 134 N/A MPI 110-G5

System DFT: 4.5 mils

E. Galvanized surfaces with severely deteriorated coating or rusting:

1. Waterborne Light Industrial Coating

MPI REX 5.3L-G5 (Semigloss)

Primer: Intermediate: Topcoat:

MPI 101 MPI 108 MPI 110-G5

System DFT: 8.5 mils

EXTERIOR SURFACES, OTHER METALS (NON-FERROUS)

F. Aluminum, aluminum alloy and other miscellaneous non-ferrous metal items not otherwise specified except hot metal surfaces, roof surfaces, and new prefinished equipment. Match surrounding finish:

1. MPI EXT 5.4F-G5 (Semigloss)

Primer: Intermediate: Topcoat:

MPI 95 MPI 94 MPI 94

System DFT: 5 mils

G. Surfaces adjacent to painted surfaces; Mechanical, Electrical, exposed copper piping, and miscellaneous metal items not otherwise specified. Match surrounding finish:

1. MPI EXT 5.1D-G5 (Semigloss)

Primer: Intermediate: Topcoat:

MPI 79 MPI 94 MPI 94

EXTERIOR SURFACES, OTHER METALS (NON-FERROUS)

System DFT: 5.25 mils

H. Hot metal surfaces including smokestacks subject to temperatures up to 205 degrees C (400 degrees F):

1. Heat Resistant Enamel

MPI EXT 5.2A

Primer: Intermediate: Topcoat:

MPI 21 Surface preparation and number of coats per manufacturer's instructions.

System DFT: Per Manufacturer

-- End of Section --

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DIVISION 11 - EQUIPMENT

SECTION 11212A

PUMPS: WATER, VERTICAL TURBINE

03/89

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SECTION 11212A

PUMPS: WATER, VERTICAL TURBINE
03/89

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 123/A 123M	(1997a) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 153/A 153M	(1998) Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A 307	(1997) Carbon Steel Bolts and Studs, 60 000 PSI Tensile Strength

ASME INTERNATIONAL (ASME)

ASME B1.1	(1989) Unified Inch Screw Threads (UN and UNR Thread Form)
ASME B16.1	(1989) Cast Iron Pipe Flanges and Flanged Fittings
ASME B16.5	(1996; B16.5a) Pipe Flanges and Flanged Fittings NPS 1/2 thru NPS 24
ASME B40.1	(1991) Gauges - Pressure Indicating Dial Type - Elastic Element

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA E101	(1988) Vertical Turbine Pumps - Line Shaft and Submersible Types
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NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1	(1993; Rev 1; Rev 2; Rev 3; Rev 4) Motors and Generators
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1.2 GENERAL REQUIREMENTS

1.2.1 Standard Products

Material and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of the products and shall essentially duplicate items that have been in satisfactory use for at least 2 years

prior to bid opening. Equipment shall be supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site. Pumps of the same type shall be the product of one manufacturer.

1.2.2 Nameplates

Each major item of equipment shall have the manufacturer's name, address, type or style, model, serial number, and catalog number on a plate secured to the item of equipment. In addition, the nameplate for each pump shall show the capacity in gallons per minute at rated head in feet and speed in revolutions per minute. Nameplate for each electric motor shall show the horsepower, speed in revolutions per minute, full load current, voltage, frequency, phases, time rating, maximum ambient temperature, insulation class code letter, and service factor.

1.2.3 Verification of Dimensions

The Contractor shall become familiar with all details of the work, verify all dimensions in the fields and shall advise the Contracting Officer of any discrepancy before performing the work.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Installation; G-ED

Detail drawings consisting of a complete list of equipment and materials. Detail drawings containing complete wiring and schematic diagrams and any other details required to demonstrate that the system has been coordinated and will properly function as a unit. Drawings shall show proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearances for maintenance and operation. The submittal shall also detail the installation method for the five pump can's.

SD-03 Product Data

Vertical Turbine Pumping Units; GED

Manufacturer's descriptive data and technical literature, performance charts and curves, catalog cuts, and installation instructions.

Spare Parts; G-RE

Spare parts data for each different item of material and equipment specified, after approval of the detail drawings and not later than 3 months prior to the date of project completion. Data shall include a complete list of parts and supplies, with current unit prices and source of supply, and a list of the parts

recommended by the manufacturer to be replaced after 1 and 3 year(s) of service.

Vertical Turbine Pump System; G-ED

Proposed diagrams, instructions, and other sheets, prior to posting.

SD-06 Test Reports

Testing; G-RE

Test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report shall indicate the final position of controls. In addition, the Contractor shall submit the proposed method of handling and disposal of pump testing water, as defined in paragraph 3.3 "Testing."

SD-10 Operation and Maintenance Data

Vertical Turbine Pumping Units; G-ED

Six complete copies of operating manual outlining the step-by-step procedures required for system startup, operation and shutdown. The manual shall include the manufacturer's name, model number, service manual, parts list, and brief description of all equipment and their basic operating features. Six complete copies of maintenance manual listing routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guide. The manuals shall include simplified wiring, layout, and control diagrams of the system as installed.

1.4 DELIVERY AND STORAGE

All equipment delivered and placed in storage shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

PART 2 PRODUCTS

2.1 PUMP AND DRIVER REQUIREMENTS

2.1.1 Type of Installation

The work shall include furnishing, installing, and testing line shaft vertical turbine pumping units and their appurtenances as indicated. Pumps shall be installed in a can. Line shaft lubrication shall be water.

2.1.2 Pump Drivers

Pumps shall have the type of drive units indicated.

2.2 PUMP PERFORMANCE

Pumps shall be capable of discharging quantities of water at maximum pump speed and total pump head with the minimum efficiency indicated. Total

pump head in feet shall consist of the pumping level below datum and the static and friction head above datum at design capacity.

2.2.1 Pump characteristics

Pump number 1 shall have the following operating characteristics:

- a. Pump Service: Filling of rearing ponds.
- b. Design Operating Point: 5500 gpm flow, 67 feethead, 85.9 percent efficiency.
- c. Maximum Operating Point: 6400 gpm flow, 50 feethead, 83 percent efficiency.
- d. Minimum Operating Point: 3300 gpm flow, 88 feethead, 73 percent efficiency.
- e. Maximum NPSH Required at Maximum Operating Point: 25 ft.
- f. Constant speed drive.

Pump number 2 shall have the following operating characteristics:

- a. Pump Service: Filling of rearing ponds.
- b. Design Operating Point: 800 gpm flow, 27 feethead, 81.5 percent efficiency.
- c. Maximum Operating Point: 1100 gpm flow, 18 feethead, 79 percent efficiency.
- d. Minimum Operating Point: 480 gpm flow, 32.9 feethead, 69 percent efficiency.
- e. Maximum NPSH Required at Maximum Operating Point: 13 ft.
- f. Variable speed drive.

Pumps number 3 and 5 shall have the following operating characteristics:

- a. Pump Service: Water to hatchery complex.
- b. Design Operating Point: 5500 gpm flow, 87 feethead, 85 percent efficiency.
- c. Maximum Operating Point: 6400 gpm flow, 60 feethead, 74 percent efficiency.
- d. Minimum Operating Point: 2200 gpm flow, 135 feethead, 56 percent efficiency.
- e. Maximum NPSH Required at Maximum Operating Point: 32 ft.
- f. Variable speed drives.

Pump number 4 shall have the following operating characteristics:

- a. Pump Service: Water to hatchery complex.

b. Design Operating Point: 800 gpm flow, 57 feethead, 80.5 percent efficiency.

c. Maximum Operating Point: 1100 gpm flow, 39 feethead, 70 percent efficiency.

d. Minimum Operating Point: 480 gpm flow, 68 feethead, 70 percent efficiency.

e. Maximum NPSH Required at Maximum Operating Point: 17 ft.

f. Variable speed drive.

2.3 LINE SHAFT VERTICAL TURBINE PUMPS

Line shaft vertical turbine pumps shall be constructed in accordance with AWWA E101 except as modified. Pumps shall be designed for flanged discharge and the pump setting or location of the pump suction shall be as indicated. The vertical turbine pump can shall be constructed of carbon steel, and shall be capable of withstanding a 150 psi operating pressure.

2.3.1 Pump Head Assembly

Pump head assembly shall consist of the pump baseplate, the discharge head, and the driver. Head assemblies shall be of low, rigid construction arranged for bolting to concrete foundations and shall be provided with at least two eyebolts, cast lugs or other means of securing slings to facilitate setting and lifting. Pump discharge head and baseplate shall be capable of withstanding all end and side thrusts imposed by the pump during operation and have adequate strength to resist vibration at any operating speed.

2.3.1.1 Pump Baseplate

Pump head baseplate shall be cast-iron or steel and shall serve as a sole plate for mounting the discharge head assembly.

2.3.1.2 Discharge Head

Discharge head flanges shall be designed for standard pipe connections conforming to ASME B16.1 and ASME B16.5. Surface-type discharge heads shall be designed for mounting on the baseplate or cast integrally with the baseplate. Discharge head shall be provided with a packed stuffing box with bronze lantern ring, a split gland follower, bronze follower nuts, and a grease lubricator. A lip to collect leakage from the stuffing box shall be provided with drilled and tapped connection for drainage pipe. Discharge head shall be designed to prevent contamination of the can from the surface, and shall accommodate the required driver assembly. Space shall be provided for access to the coupling between the pump shaft and drive shaft. Pipe taps shall be provided on the discharge head as required for prelubrication and discharge gauge connections.

2.3.1.3 Pump Driver

a. Vertical hollow shaft electric motor drivers shall be provided with ball or roller bearings of adequate strength to carry the hydraulic thrust of the pump impellers and the weight of all

rotating parts. The bearings shall have a minimum calculated L-10 rating life of 100,000 hours in accordance with ABMA 9. If there is a potential for pump upthrust during any operating condition, the drive shall be designed for this upthrust. The vertical hollow shaft motor shall be sized to transmit the maximum horsepower required by the pump over the entire operating range of the pump. Motor shall be provided with a nonreversible ratchet device to prevent reverse rotation of the pump and line shafts of pumps with settings of 50 feet or more. Provisions shall be made for vertical impeller adjustment at the top of the motor.

2.3.2 Water-Lubricated Column and Shaft Assembly

Each section of the discharge column shall consist of a column pipe, line shaft with hardened journal surfaces, bearing spiders with bearings spaced not more than 10 feet on centers, and line shaft and discharge column pipe couplings. Stainless steel shaft sleeves shall be furnished at each bearing location. Line shaft bearings shall be fluted rubber or bronze and shall be held in place by bronze or other noncorrodible metal bearing retainers.

2.3.2.1 Lubrication

Lubrication of line shaft pumps shall be furnished by the water being pumped. Prelubrication shall be furnished for all pumps with settings over 50 feet. Prelubrication water shall be furnished from the discharge main.

2.3.2.2 Lubricating Device

Pumps with automatically controlled drivers shall have a solenoid operated valve for prelubrication operation. A time-delay mechanism shall be provided to prevent the pump from starting until all line shaft bearings above the water level have been supplied with prelubrication water.

2.3.3 Pump Bowl Assembly

Pump bowl assembly shall include the pump bowl, pump impeller, and the pump shaft and bearings and may be of single stage or multistage configuration.

2.3.3.1 Pump Bowls

Bowls shall be of close-grained cast-iron and shall have integrally-cast vanes with smooth, streamlined water passageways. Suction bowl shall contain a bronze bearing permanently packed with nonsoluble grease and fitted with a sand collar to serve as the bottom bearing of the pump shaft. Casing wearing rings of bronze or stainless steel shall be installed when recommended by the manufacturers for pumps with enclosed impellers.

2.3.3.2 Impellers

Impellers shall be carefully finished with smooth water passageways and shall not load the prime mover beyond the nameplate rating over the entire performance range of the pump. Impellers shall be of the enclosed type and shall be constructed of bronze or cast-iron. Cast-iron impellers shall be coated with porcelain enamel. Bronze or stainless steel wear rings shall be installed on enclosed impellers. Impellers shall be statically and dynamically balanced.

2.3.3.3 Pump Shafts

Shafts shall be of stainless steel capable of transmitting the required thrust in either direction and the total torque of the unit.

2.3.3.4 Bearings

Intermediate bowl bearings shall be water lubricated bronze or fluted rubber. Top bowl bearings and suction case bearings shall be grease packed bronze, water-lubricated bronze, or fluted rubber.

2.3.4 Suction and Discharge Piping

Suction and discharge pipe lengths shall be as required by the pump manufacturer.

2.4 PUMP ACCESSORIES

2.4.1 Pressure Gauge

A pressure gauge of the direct-reading type, equipped with a shut-off cock and snubber, shall be provided on the discharge from each pump. Pressure gauge shall conform to ASME B40.1 and shall be calibrated in pounds per square inch and feet of water in not more than 2 psi increments from zero to a minimum of 5 psi above the shut-off head of the pump. Rating point shall be at approximately the mid-point of the scale.

2.4.2 Air-Vent Valve

Air-vent valve with the necessary pipe connections shall be provided to permit the automatic escape of air from the discharge column when the pump is started. Size of the air-vent valve and piping shall suit the actual requirements of the individual installation and the recommendations of the pump manufacturer.

2.5 ELECTRICAL EQUIPMENT

2.5.1 General

Electrical motor-driven equipment specified shall be provided complete with motors, motor starters, and controls. Motor controls, equipment and wiring shall be as specified in Section 16415 ELECTRICAL WORK, INTERIOR.

2.5.2 Line shaft Vertical Turbine Pumps

2.5.2.1 Electric Motors

Each electric motor-driven pump shall be driven by a vertical continuous-duty electric motor conforming to NEMA MG 1. Motors shall be squirrel-cage induction synchronous motors having normal-starting-torque and low-starting-current characteristics, and shall be of sufficient size so that the nameplate horsepower rating will not be exceeded throughout the entire published pump characteristic curve. Motor bearings shall provide smooth operations under the conditions encountered for the life of the motor. Adequate thrust bearing shall be provided in the motor to carry the weight of all rotating parts plus the hydraulic thrust and shall be capable of withstanding upthrust imposed during pump starting and under variable pumping head conditions specified. Motors shall be rated 460 volts, 3 phase, 60 Hz and such rating stamped on the nameplate.

2.5.2.2 Control Equipment

Automatically controlled pumps shall have three-position MANUAL-OFF-AUTOMATIC selector switch in cover. Additional controls or protective devices shall be as indicated. A pump low-water cutoff shall be installed on the suction pipe and shall shut the pump off when the water level in the can reaches the level below the minimum water level required by the pump manufacturer.

2.6 EQUIPMENT APPURTENANCES

2.6.1 Attachments

All necessary bolts, nuts, washers, bolt sleeves, and other types of attachments for the installation of the equipment shall be furnished with the equipment. Bolts shall conform to the requirements of ASTM A 307 and nuts shall be hexagonal of the same quality as the bolts used. Threads shall be clean-cut and shall conform to ASME B1.1. Bolts, nuts, and washers specified to be galvanized or not otherwise indicated or specified, shall be zinc coated after being threaded, by the hot-dip process conforming to ASTM A 123/A 123M or ASTM A 153/A 153M as appropriate. Bolts, nuts, and washers specified or indicated to be stainless steel shall be Type 316.

2.6.2 Equipment Guards

Equipment driven by open shafts, belts, chains, or gears shall be provided with all-metal guards enclosing the drive mechanism. Guards shall be constructed of galvanized sheet steel or galvanized woven wire or expanded metal set in a frame of galvanized steel members. Guards shall be secured in position by steel braces or straps which will permit easy removal for servicing the equipment. The guards shall conform in all respects to all applicable safety codes and regulations.

2.6.3 Special Tools

A complete set of all special tools which may be necessary for the adjustment, operation, maintenance, and disassembly of all equipment shall be furnished. Special tools are considered to be those tools which because of their limited use are not normally available, but which are necessary for the particular equipment. Tools shall be high-grade, smooth, forged, alloy, tool steel. Special tools shall be delivered at the same time as the equipment to which they pertain. The Contractor shall properly store and safeguard such special tools until completion of the work, at which time they shall be delivered to the Contracting Officer.

2.6.4 Shop Painting

All motors, pump casings, and similar parts of equipment customarily finished in the shop shall be given coats of paint filler and enamel, or other acceptable treatment customary with the manufacturer and suitable for the intended service. Ferrous surfaces obviously not to be painted shall be given a shop coat of grease or other suitable rust-resistant coating.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 General

Each pump shall be installed in accordance with the written instruction of the manufacturer and under the direct supervision of the manufacturer's representative and the impellers shall be set by the manufacturer's representative.

3.1.2 Foundations

Foundations shall be as specified in Section 03300 CAST-IN PLACE STRUCTURAL CONCRETE. Anchor bolts and expansion bolts shall be set accurately. Where indicated, specified, or required, anchor bolts shall be provided with square plates at least 4 inches by 4 inches by 3/8 inch or shall have square heads and washers and be set in the concrete forms with suitable pipe sleeves, or both. Any templates necessary and all dimensions for setting the anchor bolts shall be furnished at the proper time. Top of the foundation shall be carefully leveled to permit the pump to hang free.

3.1.3 Vertical Turbine Can Installation

The Contractor shall submit a workplan defining the proposed installation method for the vertical turbine pump and can. The Contractor shall comply with all manufacturer recommendations related to installation tolerances and overall plumbness of the can, pump intake and motor. If the Contractor chooses to drive carbon steel casings below the pumphouse floor grade, excavate within the casing pipe, and place the pump can within the carbon steel casing, the casing pipe shall be sized to provide a minimum two inch annular space between the exterior of the pump can and the interior surface of the casing pipe. Following leveling of the pumping unit, the annular space between the casing and the pump can shall be filled with a free flowing grout. The overall installation method for the five vertical turbine can pumps shall be defined within the Contractor's submittal. If required, the can shall be provided with corrosion protection as defined within section 13112a "Cathodic Protection System (Impressed Current)."

3.2 PAINTING AND FINISHING

Unless otherwise specified all exposed ferrous metal not factory finished shall be painted as specified in Section 09900 PAINTING, GENERAL. No factory finished equipment or appurtenances shall be painted except that damaged factory finishes shall be retouched in an acceptable manner with paint obtained from the manufacturer. Nameplates shall not be covered with paint but shall be cleaned and legible at completion of the work.

3.3 TESTING

3.3.1 Factory Pump Test

Factory pump performance test shall be made in conformance with AWWA E101 for the following:

- a. Running test.
- b. Witnessed running test.
- c. Sample calculation from test readings.
- d. Shop inspection.

- e. Hydrostatic test of bowl assembly.
- f. Hydrostatic test of discharge head.

3.3.2 Field Equipment Test

After installation of the pumping units and appurtenances is complete, operating tests shall be carried out to assure that the pumping system operates within the limits defined in paragraph 2.2 of this section. Before testing begins, the Contractor shall make arrangements to have the manufacturer's representatives present when field equipment tests are made.

The Contractor shall also be required to develop and present a submittal for approval outlining the handling of the the pump test discharge water to the Contracting Officer. Each pumping unit shall be field tested based upon the head and flow requirements defined in paragraph 2.2 "Pump Performance," in the presence of the Contracting Officer. The test period shall be for a minimum of 2 hours. Each pumping unit shall be operated at its rated capacity or such other point on its head-capacity curve selected by the Contracting Officer. The Contractor shall provide an accurate and acceptable method of measuring the discharge flow. The testing results shall be documented and certified by both Manufacture's presentatives and Contracting Officer. This performance test is designed to verify the capabilities of the variable frequency drive pumping units (numbered 1,3,4,and 5), as well as the constant speed drive pump, number 2. For pump number 2, flow conditions shall be induced through varying the total dynamic head on the discharge line (valve modulation), while the variable frequency drive pump discharges (numbered 1,3,4, and 5) shall be tested through variation of pump motor speed.

3.3.2.1 Correct Installation of Appurtenances

Tests shall assure that the units and appurtenances have been installed correctly, that there is no objectionable heating, vibration, or noise from any parts, and that all manual and automatic controls function properly.

3.3.2.2 Deficiencies

If any deficiencies are revealed during any tests, such deficiencies shall be corrected and the tests shall be reconducted.

3.4 MANUFACTURER'S FIELD SERVICES

The Contractor shall obtain the services of a manufacturer's representative experienced in the installation, adjustment, and operation of the equipment specified. The representative shall supervise the installing, adjusting, and testing of the equipment.

3.5 FIELD TRAINING

Contractor shall conduct a training course for the maintenance and operating staff. The training period of 8 hours normal working time shall start after the system is functionally complete but before the final acceptance tests. The training shall include all of the items contained in the operating and maintenance instructions as well as demonstrations of routine maintenance operations. Contracting Officer shall be given at least two weeks advance notice of such training.

3.6 POSTED INSTRUCTIONS

Framed instructions under glass or in laminated plastic, including wiring and control diagrams showing the complete layout of the entire system, shall be posted where directed. Condensed operating instructions explaining preventive maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system shall be prepared in typed form, framed as specified above for the wiring and control diagrams, and posted beside the diagrams. The framed instructions shall be posted before acceptance testing of the systems.

3.7 Sump Pumps

Sump pumps shall be a duplex arrangement of capacities indicated on mechanical equipment schedule sheet M3.01. The pumps shall be of the automatic, electric motor-driven, submerged type, complete with mercury float switches for level control and with a split or solid cast-iron or steel cover plate. The pumps shall be direct-connected by an approved flexible coupling to a vertical electric motor having a continuous oiling device or packed bearings sealed against dirt and moisture. Motors shall be totally enclosed, fan-cooled of sizes as indicated and shall be equipped with an across-the-line magnetic controller with enclosure as specified in Section 16415A ELECTRICAL WORK, INTERIOR. Each pump shall be fitted with a high-grade thrust bearing mounted above the floor. Each shaft shall have an alignment bearing at each end, and the suction inlet shall be between 3 and 6 inches above the sump bottom. The suction side of each pump shall have a strainer of ample capacity. A float switch assembly, with the switch completely enclosed in a NEMA 250, Type 4 enclosure, shall start and stop each motor at predetermined water levels. The pumps shall be equipped with an automatic alternator to change the lead operation from one pump to the other, and for starting the second pump if the flow exceeds the capacity of the first pump. The discharge line from each pump shall be 2 inch and provided with a union or flange, a nonclog swing check valve, and a stop valve in an accessible location near the pump. The duplex pump set shall include a sump basin with one drainage inlet opening. Depth of the sump basin shall be 36 inch minimum.

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SECTION 13112A

CATHODIC PROTECTION SYSTEM (IMPRESSED CURRENT)
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only. Contractor shall use the latest published editions.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C80.1 (1995) Rigid Steel Conduit - Zinc Coated

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 53/A 53M (1999b) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated Welded and Seamless

ASTM B 843 (1993; R 1998) Magnesium Alloy Anodes for Cathodic Protection

ASTM D 1248 (1998) Polyethylene Plastics Molding and Extrusion Materials

NACE INTERNATIONAL (NACE)

NACE RP0169 (1996) Control of External Corrosion on Underground or Submerged Metallic Piping Systems

NACE RP0188 (1999) Discontinuity (Holiday) Testing of Protective Coatings

NACE RP0193 (1993) External Cathodic Protection of On-Grade Metallic Storage Tank Bottoms

NACE RP0285 (1995) Corrosion Control of Underground Storage Tank Systems by Cathodic Protection

NACE RP0572 (1995) Design, Installation, Operation, and Maintenance of Impressed Current Deep Groundbeds

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA TC 2 (1998) Electrical Polyvinyl Chloride (PVC) Tubing (EPT) and Conduit (EPC-40 and EPC-80)

NEMA WC 5	(1992; Rev 2 1996) Thermoplastic-Insulated Wire and Cable for the Transmission and Distribution of Electrical Energy
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)	
NFPA 70	(2002) National Electrical Code
UNDERWRITERS LABORATORIES (UL)	
UL 6	(1997) Rigid Metal Conduit
UL 467	(1993; Rev thru Apr 1999) Grounding and Bonding Equipment
UL 506	(1994; R Oct 1997) Specialty Transformers
UL 510	(1994; Rev thru Apr 1998) Polyvinyl Chloride, Polyethylene, and Rubber Insulating Tape
UL 514A	(1996; Rev Dec 1999) Metallic Outlet Boxes

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Drawings; G-ED

Six copies of detail drawings consisting of a complete list of equipment and material including manufacturer's descriptive and technical literature, catalog cuts, results of system design calculations including soil resistivity, installation instructions and certified test data stating the maximum recommended anode current output density and the rate of gaseous production, if any, at that current density. Detail drawings shall contain complete wiring and schematic diagrams and any other details required to demonstrate that the system has been coordinated and will function properly as a unit.

Contractor's Modifications; G-ED

Six copies of detail drawings showing proposed changes in location, scope or performance indicating any variations from, additions to, or clarifications of contract drawings. The drawings shall show proposed changes in anode arrangement, anode size and number, anode materials and layout details, conduit size, wire size, mounting details, wiring diagram, method for electrically isolating each pipe, and any other pertinent information to the proper installation and performance of the system.

SD-03 Product Data

Miscellaneous Materials; G-ED]
Equipment; G-ED]

Within 45 days after receipt of notice to proceed, an itemized list of equipment and materials including item number, quantity, and manufacturer of each item. The list shall be accompanied by a description of procedures for each type of testing and adjustment, including testing of coating for thickness and holidays. Installation of materials and equipment shall not commence until this submittal is approved.

Spare Parts; G-RE

Spare parts data for each different item of material and equipment specified, after approval of detail drawings and not later than 2 months prior to the date of beneficial occupancy. The data shall include a complete list of parts, special tools, and supplies, with current unit prices and source of supply. One spare anode of each type shall be furnished.

SD-06 Test Reports

Tests and Measurements; G-RE

Test reports in booklet form tabulating field tests and measurements performed, upon completion and testing of the installed system and including close interval potential survey, casing and interference tests, final system test verifying protection, insulated joint and bond tests, and holiday coating test. Each test report shall indicate the final position of controls. A certified test report showing that the connecting method has passed a 120-day laboratory test without failure at the place of connection, wherein the anode is subjected to maximum recommended current output while immersed in a 3 percent sodium chloride solution.

Contractor's Modifications; G-ED

Final report regarding supplemental magnesium anode installation. The report shall include pipe-to-soil measurements throughout the affected area, indicating that the additions corrected the conditions which made the additional anodes necessary, and current measurements for the additional anodes. The following special materials and information are required: Calculations on current and voltage for rectifier plus rectifier and meter specifications; taping materials and conductors; zinc grounding cell, installation and testing procedures, and equipment; coating material; system design calculations for rectifier, anode number, life, and parameters to achieve protective potential; backfill shield material and installation details showing waterproofing; bonding and waterproofing details; insulated resistance wire; exothermic weld equipment and material.

SD-07 Certificates

Cathodic Protection System; G-ED

Proof that the materials and equipment furnished under this

section conform to the specified requirements contained in the referenced standards or publications. The label or listing by the specified agency will be acceptable evidence of such compliance.

Services of "Corrosion Expert"; G-ED]

Evidence of qualifications of the "corrosion expert".

(a) The "corrosion expert's" name and qualifications shall be certified in writing to the Contracting Officer prior to the start of construction.

(b) Certification shall be submitted giving the name of the firm, the number of years of experience, and a list of not less than five (5) of the firm's installations three (3) or more years old that have been tested and found satisfactory.

SD-10 Operation and Maintenance Data

Cathodic Protection System; G-RE]

Six copies of operating manual outlining the step-by-step procedures required for system startup, operation, adjustment of current flow, and shutdown. The manuals shall include the manufacturer's name, model number, service manual, parts list, and brief description of all equipment and their basic operating features. Six copies of maintenance manual listing routine maintenance procedures, recommendation for maintenance testing, possible breakdowns and repairs, and troubleshooting guides. The manuals shall include single line diagrams for the system as installed; instructions in making [pipe-] [tank-] to-reference cell potential measurements and frequency of monitoring; instructions for dielectric connections, interference and sacrificial anode bonds; instructions shall include precautions to ensure safe conditions during repair of pipe system.

Training Course; G-RE]

The proposed Training Course Curriculum (including topics and dates of discussion) indicating that all of the items contained in the operating and maintenance instructions, as well as demonstrations of routine maintenance operations, including testing procedures included in the maintenance instructions, are to be covered.

1.3 GENERAL REQUIREMENTS

A complete, operating impressed current cathodic protection system in accordance with NFPA 70, the applicable federal, state and local regulations, and the requirements of this contract shall be provided. The system shall include planning, inspecting the installation, adjusting and testing cathodic protection and test system using rectifiers and impressed current anodes, supplemented with sacrificial anodes as needed, for utilities and equipment shown. The cathodic protection system shall also include cables, connectors, splices, corrosion protection test stations, ace power panels, and any other equipment required for a complete operating system providing the specified protection. The cathodic protection system shall include (a) calculations for rectifier, anodes, and any recommendations for supplementing or changing the minimum design criteria

to provide the specified potentials and (b) equipment, wiring, and wiring devices necessary to produce a continuous flow of direct current from anodes in the soil electrolyte to the pipe surfaces. The installation shall meet the specified protection criteria for a 25 year life.

1.3.1 Contractor's Modifications

The specified system is based on an impressed current system supplemented with magnesium anodes. The Contractor may modify the cathodic protection system after review of the project, site verification and analysis if the proposed modifications include the impressed current anodes and rectifiers and will provide better overall system performance. The modifications shall be fully described, shall be approved by the Contracting Officer and shall meet the following criteria. The proposed system shall achieve a minimum pipe-to-soil "Instant Off" potential of minus 850 millivolts with reference to a saturated copper-copper sulfate reference cell on the underground metallic components of the piping. The Contractor shall take resistivity measurements of the soil in the vicinity of the pipes and ground bed sites; based upon the measurements taken, the current and voltage of the rectifier shall be adjusted as required to produce a minimum of minus 850 millivolts "Instant Off" potential between the structure being tested and the reference cell. This potential shall be obtained over 95 percent of the metallic area without the "Instant Off" potential exceeding 1200 millivolts.

1.3.2 Isolators

Isolators are required to isolate the indicated pipes from any other structure.

1.3.3 Anodes and Bond Wires

Anodes shall be installed in sufficient number and of the required type, size and spacing to obtain a uniform current distribution of 2.5 milliamperes per square foot minimum to underground metal surfaces. For each cathodic protection system, the metallic components and structures to be protected shall be made electrically continuous. This shall be accomplished by installing bond wires between the various structures. Bonding of existing buried structures may also be required to preclude detrimental stray current effects and safety hazards. Provisions shall be included to return stray current to its source without damaging structures intercepting the stray current. The electrical isolation of underground facilities in accordance with acceptable industry practice shall be included under this section.

1.3.4 Sacrificial Anodes

Sacrificial high potential magnesium anodes shall be located as required to provide localized cathodic protection or supplemental cathodic protection for the impressed current system. Each sacrificial magnesium anode shall be routed through a test station. The magnesium anode shall not be connected to the pipe.

1.3.5 Nonmetallic Pipe Systems

When nonmetallic pipe is approved, direct buried or submerged metallic components of the pipe system shall have cathodic protection. Metallic components are connectors, tees, fire hydrants, valves, short pipes, elbows, tie rods, or other metallic equipment. As a minimum, each metallic

component shall be protected with a 9 lb magnesium anode connected through a test station. The use of nonmetallic pipe does not change other requirements of the specifications such as submittals, testing, or design calculations for each metallic component. Deviations due to the use of nonmetallic pipe shall be approved by the Contracting Officer.

1.3.5.1 Coatings

Coatings for metallic components shall be as required for metallic fittings. Protective covering (coating and taping) shall be completed and tested on each metallic component and shall be as required for underground metallic pipe.

1.3.5.2 Tracer Wire

When a nonmetallic pipe line is used to extend or add to an existing metallic line, an insulated No. 8 AWG copper wire shall be connected to a terminal in a test station located at each point of transition from metallic pipe to nonmetallic pipe. At each of these test stations, the tracer wire terminal shall be strapped or bonded to the terminal for the negative connection wire to the existing metallic line. The tracer wire shall be run the length of the new nonmetallic line. This wire shall be used as a locator tracer wire and to maintain continuity to any future extension of the pipe line.

1.3.6 Services of "Corrosion Expert"

The Contractor shall obtain the services of a "corrosion expert" to design, supervise, inspect, and test the installation and performance of the cathodic protection system. "Corrosion expert" refers to a person, who, by reason of thorough knowledge of the physical sciences and the principles of engineering and mathematics, acquired by professional education and related practical experience, is qualified to engage in the practice of corrosion control of buried metallic piping and tank systems. Such a person must be accredited or certified by the National Association of Corrosion Engineers (NACE) as a NACE Accredited Corrosion Specialist or a NACE certified Cathodic Protection (CP) Specialist or be a registered professional engineer who has certification or licensing that includes education and experience in corrosion control of buried or submerged metallic piping and tank systems, if such certification or licensing includes 5 years experience in corrosion control on underground metallic surfaces of the type under this contract. The "corrosion expert" shall make at least 3 visits to the project site. The first of these visits shall include obtaining soil resistivity data, acknowledging the type of pipeline coatings to be used and reporting to the Contractor the type of cathodic protection required. Once the submittals are approved and the materials delivered, the "corrosion expert" shall revisit the site to ensure the Contractor understands installation practices and laying out the components. The third visit shall involve testing the installed cathodic protection systems and training applicable personnel on proper maintenance techniques. The "corrosion expert" shall supervise installation and testing of all cathodic protection.

PART 2 PRODUCTS

2.1 IMPRESSED CURRENT ANODES

2.1.1 Bare High Silicon Cast-Iron Anodes

Cast-iron anodes shall be of the size required and shall conform to the following requirements:

2.1.1.1 Chemical Composition (Nominal)

Element	Percent by Weight Grade 2
Silicon	14.20-14.75
Manganese	1.50 Max.
Carbon	0.75-1.15
Chromium	3.25-5.00
Iron	Balance

2.1.1.2 Electrical Resistivity

Seventy-two microhm-centimeter at 20 degrees F.

2.1.1.3 Physical Properties (Nominal)

Tensile strength	15,000 psi
Compressive strength	100,000 psi
Brinell hardness	520
Density	7.0 grams per cubic centimeter
Melting point	2300 degrees F
Coefficient of expansion from 32 to 212 degrees F	0.00000733 centimeter per degree F

2.1.2 Bare Graphite Anodes

Bare graphite anodes shall have a maximum electrical resistivity of 0.0011 ohm-centimeter.

2.1.3 Canister Contained Anodes

Canister contained anodes shall be packed at the factory in sheet metal canisters with calcined petroleum coke breeze. The coke shall have a resistivity of 0.1 ohm-cm tested at 150 psi. The coke shall be 70 lbs/cubic foot or greater. The maximum particle size shall be 0.039 inches and the coke shall be dust-free. The canisters shall be capped with tight fitting end caps secured to the body of the canister. The canister shall provide a minimum annular space of 3 inches all around the anode. The connecting cable shall pass through a hole in an end cap designed to be tight fitting with the cable and protected from sharp edges with a plastic or rubber grommet. The anodes shall be centered in the canisters and the annular space filled with coke breeze compacted in place.

2.1.4 Anode Connecting Cables

Anodes shall have connecting cables installed at the factory. For deep ground beds, each anode located in the borehole shall be accompanied by a reel of continuous cable having the length indicated. No spliced connections will be permitted in deep well cables.

2.1.5 Mixed Metal Oxide Anodes

Mixed metal oxide anodes shall be of the size required and shall conform to the following requirements.

2.1.5.1 Conductive Material

The electrically conductive coating shall contain a mixture consisting primarily of iridium, tantalum, and titanium oxides. The average composition is generally a 50/50 atomic percent mixture of iridium and titanium oxides, with a small amount of tantalum. The resistivity, as tested by the manufacturer, shall be no more than 0.002 ohm-centimeter, and the bond strength shall be greater than 7.25 ksi to guarantee the current capacity life and the quality of the conductive ceramic coating. The adhesion or bond strength shall be determined by epoxy bonding a 0.1 inch diameter stud to the ceramic coating and measuring the load to failure (about 10.15 ksi) of either the epoxy or the interface between the coating and the substrate. The anode must be inert and the electrically conductive ceramic coating dimensionally stable. The ceramic coated anode shall be capable of sustaining a current density of 100 ampere per 10.764 square feet in an oxygen generating electrolyte at 150 degrees F for 20 years, to ensure the current capacity life. An accelerated current capacity life test shall be performed by the manufacturer on every lot of anode wire used to construct the anode as described. The mixed metal oxide coating shall be applied to the wire anode by a firm that is regularly engaged in and has a minimum 5 years experience in manufacturing and applying mixed metal oxide coatings to titanium anode substrates. The mixed metal oxide must be sintered to the titanium surface as to remain tightly bound to the surface when bent 180 degrees onto itself.

2.1.5.2 Anode Life Test

The anode wire material shall sustain current densities of 100 ampere per 10.764 square feet in an oxygen generating electrolyte for 20 years. The manufacturer shall certify that a representative sample taken from the same lot used to construct the anode, has been tested and meets the following criteria. The test cell sustains a current density of 10,000 ampere per 10.764 square feet in a 15 weight percent sulfuric acid electrolyte at 150 degrees F without an increase in anode to cathode potential of more than 1 volt. The cell containing the anode shall be powered with a constant current power supply for the 30 day test period. The representative sample shall be 5 inch in length taken from the lot of wire that is to be used for the anode.

2.1.5.3 Canister Contained Mixed Metal Oxide Anodes

Canister contained mixed metal oxide anodes shall be packed at the factory in light weight, light gauge steel uni-body TIG welded canisters with calcinated petroleum coke breeze. The canisters shall be capped with TIG welded steel and caps providing a totally encapsulated construction. The connecting cable shall pass through a hole in an end cap designed to be tight fitting with a heavy duty strain relief allowing for handling of the canister by the cable. The anode shall be centered in the canister by centralizers to maintain rod position.

2.1.5.4 Anode Connecting Cables

Anodes shall have connecting cables installed at the factory. The connection between the anode rod or ribbon and the lead wire shall be made with a solid crimp couple with solder. The connection shall be sealed in cast epoxy.

2.1.5.5 Canister Connection Cables

Canister connecting cables shall consist of an ultra low resistance solder connection which is a minimum of three times stronger than the cable. For ceramic coated canister anodes, the cable connection shall consist of two molded dielectric layers (pressure seals), a flexible backfill resin encapsulant stabilizer, a schedule 40 PVC pipe Type 1 seal, and Type 1 PVC pipe end plugs. The seals and end plugs shall resist chlorine gas and acid.

2.1.5.6 Deep Anode Connection Cables

For deep anode beds, each anode located in the borehole shall be accompanied by a reel of continuous cable having the length indicated. For deep ceramic coated anode beds, anode connecting cables shall have molded multiseal solder connections; splices will not be permitted. Chlorine gas resistant cable and shield shall be used for chlorine environments.

2.2 RECTIFIERS AND ASSOCIATED EQUIPMENT

2.2.1 Rectifier Unit

Rectifier unit shall consist of a transformer, rectifying elements, transformer tap adjuster, terminal block, one dc output voltmeter, one dc output ammeter, one toggle switch for each meter, fuse holders with fuses for each dc circuit, variable resistors, an ac power-supply circuit breaker, lightning arresters for both input and output, all wired and assembled in a weatherproof cabinet. The overall efficiency of the rectifier shall be not less than 65 percent when operated at nameplate rating and shall be capable of supplying continuous full rated output at an ambient temperature of 112 degrees F in full sunlight with expected life in excess of 10 years.

2.2.1.1 Transformer

Transformer shall conform to UL 506.

2.2.1.2 Rectifiers

Rectifying elements shall be silicon diodes connected to provide full-wave rectification. Silicon diodes shall be protected by selenium surge cells or varistors against over-voltage surges and by current-limiting devices against over-current surges.

2.2.1.3 Meters

Meters shall be accurate to within plus or minus 2 percent of full scale at 80 degrees F, and shall possess temperature stability above and below 80 degrees F and shall possess temperature stability above and below 80 degrees F of at least 1 percent per 10 degrees F. Separate meters shall be 2-1/2 inch nominal size or larger.

2.2.1.4 Circuit Breaker

A double-pole, flush-mounted, fully magnetic, properly rated non-terminal type circuit breaker shall be installed in the primary circuit of the rectifier supply transformer.

2.2.1.5 Fuses

Cartridge-type fuses with suitable fuse holders shall be provided in each

leg of the dc circuit.

2.2.2 Cabinet Construction

Cabinet shall be constructed of not lighter than No. 16 gauge hot dipped galvanized steel, factory painted, and shall be provided with a full door. The enclosure shall have oil-resistant gasket. The door shall be hinged and have a hasp that will permit the use of a padlock. The cabinet shall be fitted with screened openings of the proper size to provide for adequate cooling. Holes, conduit knockouts, or threaded hubs of sufficient size and number shall be conveniently located.

2.2.2.1 Wiring Diagram

A complete wiring diagram of the power unit showing both the ac supply and the dc connections to anodes shall be on the inside of the cabinet door. All components shall be shown and labeled.

2.2.2.2 Grounding Provisions

Grounding provisions shall comply with NFPA 70 and UL 467 including a ground terminal in the cabinet. The grounding conductor from the terminal to the earth grounding system shall be solid or stranded copper not smaller than No. 6 AWG. The earth grounding system shall consist of one or more ground rods. Ground rods shall be of copper-clad steel conforming to UL 467 not less than 3/4 inch in diameter by 10 feet in length. Rods shall be driven full length into the earth. Sectional type rods may be used.

2.2.2.3 Cabinet Paint System

The cabinet and mounting support shall be hot dipped galvanized with the manufacturer's standard painting system.

2.2.3 Wiring

Wiring shall be installed in accordance with NFPA 70 utilizing type TW or RHW or polyethylene insulation. Fittings for conduit and cable work shall conform to UL 514A. Outlets shall be of the threaded hub type with gasketed covers. Conduit shall be hub type with gasketed covers. Conduit shall be securely fastened at 8 foot intervals or less. Splices shall be made in outlet fittings only. Conductors shall be color coded for identification. Cable for anode header and distribution shall be No. 2 AWG stranded copper wire with type cathodic protection high molecular weight polyethylene insulation.

2.3 COKE BREEZE

2.3.1 Calcined Petroleum Coke Breeze (Dry)

Breeze shall conform to the following requirements:

2.3.1.1 Electrical Resistivity

Resistivity shall not exceed 1 milliohm-meter (0.1 ohm-cm) Great Lake Carbon C 12 A Test Method.

2.3.1.2 General Backfill Specifications

Bulk Density - 65 to 75 lbs/cubic foot
Fixed Carbon - 99.0% or greater
Volatiles - 0.2% or less

Sizing - 100% less than 1/2 inch

2.3.2 Metallurgical Coke Breeze (Processed)

Breeze shall conform to the following requirements:

2.3.2.1 Electrical Resistivity (Nominal)

Nominal electrical resistivity shall be:

- a. 100 milliohm-meter (10 ohm-centimeter) Max., tightly compacted.
- b. 100 milliohm-meter to 150 milliohm-meter, (10 to 15 ohm-centimeter,) lightly compacted.
- c. 150 to 200 milliohm-meter, (15 to 20 ohm-centimeter,) loose.

2.3.2.2 General Backfill Specifications

Bulk density - 38 to 42 pounds per cubic foot
Fixed Carbon - 80% or greater
Sizing - 100% less than 3/8 inch

2.4 MISCELLANEOUS MATERIALS

2.4.1 Electrical Wire

2.4.1.1 Anode Connecting Wire

Anode connecting wire shall be No. 8 AWG stranded copper wire with type CP high molecular weight polyethylene insulation, 7/64 inch thick, 600 volt rating, in accordance with NEMA WC 5. Cable-to-anode contact resistance shall be 0.003 ohms maximum. Deep anode ground bed connecting wire shall be No. 8 AWG, stranded copper wire with an inner jacket of 40 mils of Halar insulation covered by an outer jacket of 65 mils CP high molecular weight polyethylene insulation, 600 volt rating, in accordance with NEMA WC 5. Cable-to-anode contact resistance shall be 0.02 ohms maximum.

2.4.1.2 Anode Header Cable

Cable for anode header and distribution shall be No. 2 AWG stranded copper wire with type CP high molecular weight polyethylene, 7/64 inch thick insulation, 600-volt rating, in accordance with NEMA WC 5.

2.4.1.3 Test Wires

Test wires shall be No. 12 AWG stranded copper wire with NFPA 70 Type TW or RHW or polyethylene insulation.

2.4.1.4 Resistance Wire

Resistance wire shall be AWG No. 16 or No. 22 nickel-chromium wire.

2.4.2 Deep Anode Ground Bed Casing

The top casing shall be 8 1/4 inch outside diameter, 1/8 inch minimum wall thickness black steel pipe, conforming to ASTM A 53/A 53M, Type E or S, Grade B. The metal casing shall extend no more than 5 feet below the top of a well cap.

2.4.3 Anode Centering Device for Deep Anode Ground Beds

Anode centering device shall be nonmetallic and capable of maintaining centering in the hole without interfering with other anode lead wiring, until coke breeze is packed in place.

2.4.4 Conduit

Nonmetallic conduit shall conform to NEMA TC 2.

2.4.5 Test Boxes and Junction Boxes

Boxes shall be outdoor type conforming to UL 514A.

2.4.6 Vent Pipes

All deep wells shall be vented in anode zones. Openings in the vent shall not be larger than .006 inches.

2.4.7 Polyethylene Insulation

Polyethylene insulation shall comply with the requirements of ASTM D 1248 and of the following types, classes, and grades:

2.4.7.1 High Molecular Weight Polyethylene

High molecular weight polyethylene shall be Type I, Class C, Grade E5.

2.4.7.2 High Density Polyethylene

High density polyethylene shall be Type III, Class C, Grade E3.

2.4.8 Test Stations

Test stations shall be complete with an insulated terminal block having the indicated number of terminals and shall be provided with a lockable cover and have a cast-in legend, "C.P. Test". Test stations shall be complete with an insulated terminal block having the required number of terminals. (One terminal required for each conductor). Sufficient test stations to monitor underground isolation points shall be provided. Test-bond stations (potential measurement and stray current control) shall be provided to monitor pipe to soil potential of proposed underground pipes or existing underground metallic structures which may conduct stray current from the new cathodic protection system. The location of the test-bond stations shall ensure that the pipe to soil potential of metallic pipe not designated to be protected is not made less negative by the energization of the cathodic protection system. Test station terminal connections and the terminal conductor shall be permanently tagged to identify each termination of the conductors (e.g. identify the conductors connected to the protected structures). Conductors shall be permanently identified in the station by means of plastic or metal tags, or plastic sleeves to indicate termination. Each conductor shall be color coded in accordance with the drawings. The station test facility, including permanent Cu-Cu S04 reference cells and test returns shall be installed as indicated. Pavement inserts shall be nonmetallic and shall allow Cu-Cu S04 reference electrode to contact the electrolyte beneath the pavement surface. Abbreviations shall not be used. Welding of electrical connections shall be as follows: Exothermic welds shall be "CADweld", "Thermo-weld", or approved equal. Use and selection of

these materials and welding equipment shall be in accordance with the manufacturer's recommendations.

2.4.9 Calibrated Shunts

Shunts calibrated in current per potential (e.g. mA/V) shall be installed between the lead or header wire connected to the anode and the current collector lead connected to the structure. The calibration of the shunt shall be clearly marked and installed to be visible.

2.4.10 Sealing and Dielectric Compound

Sealing and dielectric compound shall be a black, rubber based compound that is soft, permanently pliable, tacky, moldable, and unbacked. Compound shall be applied as recommended by the manufacturer, but not less than 1/8 inch thick.

2.4.11 Protective Covering

Except as otherwise specified, protective covering for underground metallic components including pipe and fittings shall be applied mechanically in a factory or field plant specially equipped for the purpose. Valves and fittings that cannot be coated and wrapped mechanically shall have the protective covering applied by hand, preferably at the plant applying the covering to the pipe. Joints shall be coated and wrapped by hand. Hand coating and wrapping shall produce a covering equal in thickness to the covering applied mechanically. Piping and components installed in valve boxes or manholes shall also receive the specified protective coating.

2.4.11.1 Pipeline Metallic Components

Underground metallic pipelines and structures shall have a good quality factory applied coating. This includes carbon steel, cast iron and ductile iron pipelines or vessels. If nonmetallic pipelines are installed, metallic fittings or pipe sections shall be coated as follows.

- a. The nominal thickness of the metallic pipe joint or other component coating shall be 24 mils, plus or minus 5 percent.
- b. Pipe and joint coating for factory applied or field repair material shall be applied as recommended by the manufacturer and shall be one of the following:
 - (1) Continuously extruded polyethylene and adhesive coating system.
 - (2) Polyvinyl chloride pressure-sensitive adhesive tape.
 - (3) High density polyethylene/bituminous rubber compound tape.
 - (4) Butyl rubber tape.
 - (5) Coal tar epoxy.

2.4.11.2 Field Joints

Field joints shall be coated with material compatible with the pipeline coating compound. The joint coating material shall be applied to an equal thickness as the pipeline coating. Unbonded coatings shall not be used on buried metallic piping. This prohibition includes unbonded polymer wraps

or tubes.

2.4.11.3 Inspection of Pipe Coatings

Once the pipeline or vessel is set in the trench, an inspection of the coating shall be conducted. This inspection shall include electrical holiday detection as described in paragraph TESTS AND MEASUREMENTS.

2.4.11.4 Above Ground Piping System

Above ground piping shall be given two coats of exterior oil paint. Surface preparation shall be as recommended by paint manufacturer, except as follows: ferrous, shop primed surfaces shall be touched up with ferrous metal primer; surfaces that have not been shop primed shall be solvent cleaned; surfaces that contain loose rust, mil scale, or other foreign substances shall be mechanically cleaned by power wire brushing and primed with ferrous metal primer; and primed surfaces shall be finished with two coats of exterior oil paint or vinyl paint.

2.4.12 Preformed Sheaths

Preformed sheaths for encapsulating electrical wire splices to be buried underground shall fit the insulated wires entering the spliced joint.

2.4.13 Epoxy Potting Compound

Epoxy potting compound for encapsulating electrical wire splices to be buried underground shall be a two package system made for the purpose.

2.4.14 Backfill Shields

Backfill shields shall consist of approved pipeline wrapping or fiberglass reinforced, coal-tar impregnated tape, or plastic weld caps, specifically made for the purpose.

2.4.15 Electrical Tape

Pressure-sensitive vinyl plastic electrical tape shall conform to UL 510.

2.4.16 Cable Marker Tape

Traceable marker tape shall be manufactured for the purpose and clearly labeled "Cathodic Protection Cable Buried Below".

2.4.17 Electrically Isolating Pipe Joints

Electrically isolating pipe joints for above or below ground use shall be flexible, mechanical pipe couplings of an electrically isolating type consisting of bolted or compression design provided with electrically isolating joint harness if required to provide pull-out strength.

2.4.17.1 Threaded Fittings

Threaded type electrically isolating pipe joints shall have molded plastic screw threads and be used above ground only. Machined plastic screw threads shall not be used.

2.4.17.2 Electrically Isolating Pipe Joints

Electrically isolating pipe joints shall be of a type that is in regular factory production.

2.4.18 Electrically Conductive Couplings

Electrically conductive couplings shall be of a type that has a published maximum electrical resistance rating given in the manufacturer's literature. Cradles and seals shall be of a type that is in regular factory production made for the purpose of electrically isolating the carrier pipe from the casing and preventing the incursion of water into the annular space.

2.4.19 Joint and Continuity Bonds

Bonds shall be provided across joints or any electrically discontinuous connections in the piping, and other pipes and structures with other than welded or threaded joints included in this cathodic protection system. Unless otherwise specified, bonds between structures and across joints in pipe with other than welded or threaded joints shall be with No. 4 AWG stranded copper cable with polyethylene insulation. Bonds between structures shall contain sufficient slack for any anticipated movement between structures. Bonds across pipe joints shall contain a minimum of 4 inches of slack to allow for pipe movement and soil stress. Bonds shall be attached by exothermic welding. Exothermic weld areas shall be insulated with coating compound and approved by the Contracting Officer. Continuity bonds shall be installed as necessary to reduce stray current interference.

Additional joint bonding shall be done where determined during construction or testing or as directed. Joint bonding shall include excavation and backfilling. There shall be a minimum of 2 continuity bonds between each structure and other than welded or threaded joints. Electrical continuity shall be tested across joints with other than welded or threaded joints and across metallic portions of sewage lift stations and water booster stations.

2.4.19.1 Resistance Bonds

Resistance bonds shall be adjusted for minimum interference while achieving the criteria of protection. Alternate methods may be used when approved.

2.4.19.2 Stray Current Measurements

Stray current measurements shall be performed as indicated. Alternate methods may be used when approved. The stray current test report shall indicate location of test, type of pipes tested, and method of testing .

2.4.20 Electrical Isolation of Structures

Isolating fittings, including isolating flanges and couplings, shall be installed above ground or in a concrete hand hole. As a minimum, isolating flanges or unions shall be provided at the following locations:

- a. Connection of new piping to existing pipes.
- b. Pressure piping under floor slab to a building.

Additionally, isolation shall be provided between new pipe lines and foreign pipes that cross the new lines within 10 feet.

2.5 MAGNESIUM ANODES

Weights and dimensions of magnesium anodes shall be approximately as follows:

TYPICAL MAGNESIUM ANODE SIZES
(Cross sections may be round, square, or D shaped)

NOMINAL WT. LBS.	APPROX. SIZE (IN)	NOMINAL GROSS WT LBS PACKAGED IN BACKFILL	NOMINAL PACKAGE DIMENSIONS (IN)
3	3 X 3 X 5	8	5 1/4 X 5 1/4 X 8
5	3 X 3 X 8	13	5 1/4 X 5 1/4 X 11 1/4
9	3 X 3 X 14	27	5 1/4 X 20
12	4 X 4 X 12	32	7 1/2 X 18
17	4 X 4 X 17	45	7 1/2 X 24
32	5 X 5 X 20 1/2	68	8 1/2 X 28
50	7 X 7 X 16	100	10 X 24

2.5.1 Composition

Anode shall be of high potential magnesium alloy, made of primary magnesium obtained from sea water or brine, and not from scrap metal. Magnesium anodes shall conform to ASTM B 843 and to the following analysis unless otherwise indicated:

Element	Percent by Weight
Aluminum	0.02 maximum
Manganese	1.50 maximum
Zinc	0.05
Silicon	0.10 maximum
Copper	0.02 maximum
Nickel	0.002 maximum
Iron	0.03 maximum
Impurities	0.30 maximum
Magnesium	Remainder

The Contractor shall furnish spectrographic analyses on samples from each heat or batch of anodes used on this project.

2.5.2 Packaged Anodes

Anodes shall be provided in packaged form with the anode surrounded by specially prepared quick-wetting backfill and contained in a cloth or paper sack. Anodes shall be centered in the backfill material. The backfill material shall have the following composition, unless otherwise indicated.

Material	Percent by Weight
Gypsum	75
Bentonite	20
Sodium Sulfate	5

2.5.3 Lead Wires

Anode lead wires shall consist of No. 10 solid copper wire, with TW insulation. Lead wires shall be not less than 10 feet in length, without splices.

2.5.4 Connection Wires

Wires shall consist of No. 10 solid copper wire with RHW-USE or polyethylene insulation.

2.5.5 Insulation

Type RHW-USE insulation shall comply with NFPA 70. Polyethylene insulation shall comply with ASTM D 1248; high molecular weight polyethylene shall be Type I, Class C, Grade E5; high density polyethylene shall be Type III, Class C, Grade E3.

2.5.6 Conduit Steel

Conduit steel shall conform to UL 6 and ANSI C80.1.

2.5.7 Tape

Pressure-sensitive vinyl plastic electrical tape shall conform to UL 510.

2.5.8 Backfill Shields

Shields shall consist of approved wrapping of reinforced fiberglass coal-tar impregnated tape, or plastic weld caps specifically made for the purpose and installed in accordance with the manufacturer's recommendations. When joint bonds are required, due to the use of mechanical joints, the entire joint shall be protected with kraft paper joint cover. The joint cover shall be filled with poured hot coal-tar enamel.

2.5.9 Electrical Connections

Electrical connections shall be done as follows:

- a. Exothermic welds shall be "Cadweld" or Burndy "Thermo-Weld" or approved equal. Use of these materials shall be in accordance with the manufacturer's recommendations.
- b. Electrical shielded arc welds on steel pipe shall be approved via shop drawing action.
- c. Other methods of welding shall be specifically approved for use by the pipe manufacturer.

2.5.10 Anode Storage

Storage for magnesium anodes will be designated by the Contracting Officer. If anodes are not stored in a building, they shall be protected from inclement weather. Packaged anodes damaged as result of improper handling or weather exposure shall be resacked by the Contractor and the required backfill added.

2.5.11 Anode Installation

Anode configuration and size shall be as indicated. Contractor shall provide the minimum number of anodes required to achieve minus 850 millivolts "instant off" potential and shall be required on the 24" diameter water intake pipes, 4" air pipes, components and structure.

Materials specified are indicative of the general type of material required and are not intended to restrict selection of materials or of any particular manufacturer. The anode system shall be designed for a life of 25 years of continuous operation.

2.6 LEAD WIRE CONNECTIONS

Lead wire to structure connections shall be by exothermic welding process. Weld charges made specifically for use on cast iron shall be used on cast iron pipe. A backfill shield filled with a pipeline mastic sealant or material compatible with the coating shall be placed over the weld connection and shall cover the exposed metal adequately.

PART 3 EXECUTION

3.1 CRITERIA OF PROTECTION

Acceptance criteria for determining the adequacy of protection on a buried or submerged pipe shall be in accordance with NACE RP0169, and NACE RP0193 and NACE RP0285 and as specified below.

3.1.1 Iron and Steel

The following method a. shall be used for testing cathodic protection voltages. If more than one method is required, method b. shall be used:

- a. A negative voltage of at least minus 850 millivolts as measured between the pipe and a saturated copper-copper sulphate reference electrode contacting the (electrolyte) earth directly over the pipe. Determination of this voltage shall be made with the cathodic protection system in operation. Voltage drops shall be considered for valid interpretation of this voltage measurement. A minimum of minus 850 millivolts "instant off" potential between the pipe being tested and the reference cell shall be achieved over 95 percent of the area of the structure. Adequate number of measurements shall be obtained over the entire structure, pipe, tank, or other metallic component to verify and record achievement of minus 850 millivolts "instant off". This potential shall be obtained over 95 percent of the total metallic area without the "instant off" potential exceeding 1200 millivolts.
- b. A minimum polarization voltage shift of 100 millivolts as measured between the pipe and a saturated copper-copper sulphate reference electrode contacting the earth directly over the pipe. This polarization voltage shift shall be determined by interrupting the protective current and measuring the polarization decay. When the protective current is interrupted, an immediate voltage shift will occur. The voltage reading, after the immediate shift, shall be used as the base reading from which to measure polarization decay. Measurements achieving 100 millivolts shall be made over 95 percent of the metallic surface.

3.2 GROUND BED INSTALLATION

3.2.1 Deep Anode Ground Beds

Deep anode ground beds shall consist of an installation of anodes supported one above the other and supported in place by a method that does not suspend the anodes from the connecting cable. Deep anode ground beds shall

be installed in accordance with NACE RP0572 and as specified in these specifications.

3.2.1.1 Anode Centering

Anodes shall be centered in the well by means of centering devices.

3.2.1.2 Casing

The casing shall be to a depth and elevation as required.

3.2.1.3 Casing Insulation

The portion of casing above the top anode shall be coated with an electrically insulating underground type coating.

3.2.1.4 Anode Requirements

Anode sizes, spacing, number of anodes, depth of well, and other details shall be as required.

3.2.1.5 Anode Lead Wire

Each anode shall have a separate, continuous wire extending from the anode to the junction box at the well head.

3.2.1.6 Anode Cables

Anode cables shall terminate in a nearby junction box, equipped with individual anode current shunts. Where full length casing is used, two wire connections from casing shall terminate in the junction box.

3.2.1.7 Anode and Cable Installation

If the method of installation utilizes backfill support for anodes and cable, slack in the cable near each anode shall be provided and the cable insulation shall be increased in thickness from 7/64 to 5/32 inch utilizing an approved composite of plastic and elastomeric materials.

3.2.1.8 Backfill

The well shall be backfilled with calcined petroleum coke breeze or metallurgical coke breeze surrounding the anodes by a method that does not leave voids or bridging. The recommended method is to pump the backfill from the bottom upward. The well shall be over-filled with coke breeze allowing for settlement so that the settled level after a number of days is as high as the level shown. The number of days allowed for settling of the coke breeze will be determined by the Contracting Officer. If the top level of coke breeze is below the level shown after settlement, additional coke breeze shall be put in the well. The backfill used shall not require tamping. The top portion of the well shall be sealed for 25 feet to prevent surface water run-off. All vents shall be vented above the high water mark and at a safe height.

3.2.1.9 Cable Marker Tape

Traceable marker tape shall be located in the same trench above cathodic protection cables including structure leads, anode leads, anode header cables, test station leads, bonding cables, and rectifier electrical power

cables.

3.2.1.10 Pavement Inserts

Pavement inserts shall be installed at a minimum of 100 foot intervals for pipelines. The pavement inserts shall be installed directly over the structure being protected and tested.

3.3 MAGNESIUM ANODE INSTALLATION

Installation shall not proceed without the presence of the Contracting Officer, unless otherwise authorized. Anode locations may be changed to clear obstructions when approved. Anodes shall be installed in sufficient number and of the required type, size, and spacing to obtain a uniform current distribution surface on the structure. Prepackaged anodes shall be installed as required.

3.3.1 Installation of Packaged Anodes

Packaged anodes shall be installed completely dry, and shall be lowered into holes by rope sling or by grasping the cloth gather. The anode lead wire shall not be used in lowering the anodes. The hole shall be backfilled with fine soil in 6 inch layers and each layer shall be hand-tamped around the anode. The tamper shall not strike the anode or lead wire. If immediate testing is to be performed, water shall be added only after backfilling and tamping has been completed to a point 6 inches above the anode. Approximately 2 gallons of water shall be poured into the hole; after the water is absorbed by the soil, backfilling and tamping shall be completed to the top of the hole. Anodes shall be installed as shown. When rock is found prior to achieving specified depth, anode may be installed horizontally to a depth at least as deep as the bottom of the pipe, with the approval of the Contracting Officer.

3.3.2 Underground Metal Pipe Lines

Two 18" diameter metal water pipe lines stubbed out approximately 25' from the pump house for the future fish hatchery and ponds shall be protected by a sacrificial anode system. A minimum of 1-17 lb magnesium anodes shall be used for each stub-out. Anodes shall be installed 2 feet below the line to be protected unless otherwise noted. To facilitate periodic electrical measurements during the life of the sacrificial anode system and to reduce the output current of the anodes if required, anode lead wires shall be buried a minimum of 2 feet and each anode lead wire shall be connected to an individual terminal in a test station. The anode lead cable shall make contact with the structure only through a test station. Resistance wire shall be installed between the anode lead cable and the pipe cable in the test station to reduce the current output, if required.

3.3.3 Lead and Resistance Wire Splices

Lead wire splicing, when necessary, shall be made with copper split bolt connectors of proper size. The joint shall be carefully wrapped with at least 3 layers of electrical tape. Resistance wire connections shall be done with silver solder and the solder joints wrapped with a minimum of 3 layers of pressure-sensitive tape.

3.3.4 Magnesium Anodes for Metallic Components

As a minimum, each metallic component shall be protected with 1-17 lb

magnesium anodes located on each side of the metallic component and routed through a test station. Fire hydrant pipe component shall have a minimum of 1-17 lb magnesium anodes routed through a test station for each hydrant. Pipe under concrete slab shall have a minimum of 1-17 lb anodes for each location where metal pipe enters the building under the slab. A permanent reference cell shall be provided adjacent to the pipe entrance to the slab.

Conductors shall be routed to a test station. Each valve shall have a minimum of 1-17 lb magnesium anodes routed through a test station. Sections of metallic pipe 20 foot long, when used where force mains are within 10 feet of the water pipe, shall have a minimum of 1-17 lb anodes.

3.4 MISCELLANEOUS INSTALLATION

3.4.1 Rectifier Installation

Mounting shall be where shown on the drawings. Wall mounting shall be equipped with a channel bracket, lifting eyes, and a keyhole at the top.

3.4.2 Wire Connections

3.4.2.1 Wire Splicing

Connecting wire splicing shall be made with copper compression connectors or exothermic welds, following instructions of the manufacturer. Split-bolt type connectors shall not be used.

3.4.2.2 Steel Surfaces

Connections to ferrous pipe shall be made by exothermic weld methods as manufactured by an approved manufacturer for the type of pipe. Electric arc welded connections and other types of welded connections to ferrous pipe and structures shall be approved before use.

3.4.3 Pipe Joints

3.4.3.1 Electrical Continuity

Underground pipe shall be electrically continuous except at places where electrically isolating joints are specified. Pipe joined by means other than welding shall meet the following electrical continuity requirements:

- a. Mechanical joints that are not factory designed to provide electrical continuity shall be bonded by installing a metallic bond across the joint. The bonding connections shall be made by the exothermic welding process.
- b. Mechanical joints designed to provide electrical continuity may be used.

3.4.3.2 Coating

Mechanical joints and fittings of either the electrically conductive or insulating type shall be coated with an underground type dielectric coating system. Where external electrical continuity bonds are installed across mechanical joints, bare or exposed metal, welds, bare wire and exposed coupling parts shall be coated with a coating system.

- a. Couplings and fittings which have a low profile exterior designed to permit tape coating shall be primed and wrapped with an

underground type pipe tape system or two-part epoxy system.

- b. Couplings and fittings that cannot be properly taped shall be enclosed in a spaced mold manufactured for the purpose and filled with hot applied bituminous compound not exceeding 275 degrees F in application temperature.

3.4.3.3 Electrical Isolation of Structures

Electrical isolation of structures shall be as follows:

- a. Isolating Fittings: Isolating flanges and couplings shall be installed aboveground, or within manholes, wherever possible, but an isolating device that electrically separates a pipeline shall not be installed in a confined area where a combustible atmosphere may collect unless precautions are taken to prevent arcing such as by means of externally located surge arresters, grounding cells, or other means. Isolating flanges and couplings in lines entering buildings shall be located at least 12 inches above grade or floor level. Pipelines entering buildings either below or above ground shall be electrically isolated from the structure wall with an electrically isolating wall sleeve.

3.4.4 Dissimilar Metals

Buried piping of dissimilar metals including new and old steel piping, excepting valves, shall be electrically separated by means of electrically insulating joints at every place of connection. The insulating joint, including the pipes, shall be coated with an underground type dielectric coating for a minimum distance of 10 diameters on each side of the joint.

3.4.5 Ferrous Valves

Dissimilar ferrous valves in a buried ferrous pipeline, including the pipe, shall be coated with an underground type dielectric coating for a minimum distance of 10 diameters on each side of the valve.

3.4.6 Brass or Bronze Valves

Brass or bronze valves shall not be used in a buried ferrous pipeline.

3.4.7 Metal Pipe Junction

If the dissimilar metal pipe junction, including valves, is not buried and is exposed to atmosphere only, the connection or valve, including the pipe, shall be coated with an underground type dielectric coating for a minimum distance of 3 diameters on each side of the junction.

3.4.8 Test Stations

Test stations shall be curb box mounted. Buried electrically isolating joints shall be provided with test wire connections brought to a test station. Changes in designated location shall have prior approval. Unless otherwise shown, other test stations shall be located as follows:

- a. At 1,000 foot intervals or less.
- b. Where the pipe or conduit crosses any other metal pipe.

- c. At both ends of casings under roadways and railways.
- d. Where both ends of an insulating joint are not accessible above ground for testing purposes.

3.5 TESTS AND MEASUREMENTS

3.5.1 Baseline Potentials

Each test and measurement will be witnessed by the Contracting Officer. The Contractor shall notify the Contracting Officer a minimum of 5 working days prior to each test. After backfill of the pipe and anodes is completed, but before the anodes are connected to the pipe, the static potential-to-soil of the pipe shall be measured. The locations of these measurements shall be identical to the locations specified for pipe-to-reference electrode potential measurements.

3.5.2 Isolation Testing

Before the anode system is connected to the pipe, an isolation test shall be made at each isolating joint or fitting. This test shall demonstrate that no metallic contact, or short circuit exists between the two isolated sections of the pipe. Any isolating fittings installed and found to be defective shall be reported to the Contracting Officer.

3.5.2.1 Insulation Checker

A Model 601 insulation checker, as manufactured by "Gas Electronics" or an approved equal, shall be used for isolating joint (flange) electrical testing in accordance with manufacturer's operating instructions. An isolating joint that is good will read full scale on the meter; if an isolating joint is shorted, the meter pointer will be deflected at near zero on the meter scale. Location of the fault shall be determined from the instructions and the joint shall be repaired. If an isolating joint is located inside a vault, the pipe shall be sleeved with insulator when entering and leaving the vault.

3.5.2.2 Cathodic Protection Meter

A Model B3A2 cathodic protection meter, as manufactured by "M. C. Miller" or an approved equal using the continuity check circuit shall be used for isolating joint (flange) electrical testing. This test shall be performed in addition to the Model 601 insulation checker. Continuity is checked across the isolated joint after the test lead wire is shorted together and the meter adjusted to scale. A full scale deflection indicates the system is shorted at some location. The Model 601 verifies that the particular insulation under test is good and the Model B3A2 verifies that the system is isolated. If the system is shorted, further testing shall be performed to isolate the location of the short.

3.5.3 Anode Output

After the rectifier is energized, the current output of the individual anode leads shall be measured by using an approved method. This may be done with a shunt and MV meter, a low-resistance ammeter, or a clamp-on milliammeter. The total current shall be measured and compared to the sum of all anode currents and to the rectifier output current. If an individual anode output current meets or exceeds the recommended output for

that anode, the system shall be turned down or balancing resistors installed. Calculation of the wattage of the resistors shall be sufficient to handle the maximum load which will be encountered on the anode lead. All measurements obtained, the date, time, and locations of all measurements shall be recorded.

3.5.4 Electrode Potential Measurements

Upon completion of the installation and with the entire cathodic protection system in operation, electrode potential measurements shall be made using a copper-copper sulphate reference electrode and a potentiometer-voltmeter, or a direct current voltmeter having an internal resistance (sensitivity) of not less than 10 megohms per volt and a full scale of 10 volts. The locations of these measurements shall be identical to the locations used for baseline potentials. The values obtained and the date, time, and locations of measurements shall be recorded. No less than 8 measurements shall be made over any length of line or component. Additional measurements shall be made at each distribution service riser, with the reference electrode placed directly over the service line.

3.5.5 Location of Measurements

3.5.5.1 Coated Piping or Conduit

For coated piping or conduit, measurements shall be taken from the reference electrode located in contact with the earth, directly over the pipe. Connection to the pipe shall be made at service risers, valves, test leads, or by other means suitable for test purposes. Pipe to soil potential measurements shall be made at intervals not exceeding 20 feet. Additional measurements shall be made at each distribution service riser, with the reference electrode placed directly over the service line adjacent to the riser. Potentials shall be plotted versus distance to an approved scale. Locations where potentials do not meet or exceed the criteria shall be identified and reported to the Contracting Officer.

3.5.6 [Enter Appropriate Subpart Title Here]

carrier pipe from casings shall be tested and any short circuits corrected.

3.5.7 Interference Testing

Before final acceptance of the installation, interference tests shall be made with respect to any foreign pipes in cooperation with the owner of the foreign pipes. A full report of the tests giving all details shall be made.

3.5.8 Holiday Test

Any damage to the protective covering during transit and handling shall be repaired before installation. After field coating and wrapping has been applied, the entire pipe shall be inspected by an electric holiday detector with impressed current in accordance with NACE RP0188 using a full ring, spring type coil electrode. The holiday detector shall be equipped with a bell, buzzer, or other type of audible signal which sounds when a holiday is detected. Holidays in the protective covering shall be repaired upon detection. Occasional checks of holiday detector potential will be made by the Contracting Officer to determine suitability of the detector. Labor, materials, and equipment necessary for conducting the inspection shall be furnished by the Contractor. The coating system shall be inspected for holes, voids, cracks, and other damage during installation.

3.5.9 Recording Measurements

All pipe-to-soil potential measurements including initial potentials where required shall be recorded. The Contractor shall locate, correct and report to Contracting Officer any short circuits to foreign pipestanks encountered during checkout of the installed cathodic protection system. Pipe-to-soil potential measurements are required on as many pipes as necessary to determine the extent of protection or to locate short-circuits.

3.6 TRAINING COURSE

The Contractor shall conduct a training course for the operating staff as designated by the Contracting Officer. The training period shall consist of a total of 8 hours of normal working time and shall start after the system is functionally completed but prior to final acceptance tests. The field instructions shall cover all of the items contained in the operating and maintenance instructions, as well as demonstrations of routine maintenance operations, including testing procedures included in the maintenance instructions. At least 14 days prior to date of proposed conduction of the training course, the training course curriculum shall be submitted for approval, along with the proposed training date. Training shall consist of demonstration of test equipment, providing forms for test data and the tolerances which indicate that the system works satisfactorily.

-- End of Section --

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SECTION 13121A

METAL BUILDING SYSTEMS

01/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ALUMINUM ASSOCIATION (AA)

AA Design Manual (2000) Aluminum Design Manual:
Specification & Guidelines for Aluminum
Structures

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC ASD Manual (1989) Manual of Steel Construction
Allowable Stress Design

AISC Pub No. S342L (1993) Load and Resistance Factor Design
Specification for Structural Steel
Buildings

AMERICAN IRON AND STEEL INSTITUTE (AISI)

AISI Cold-Formed Mnl (1996) Cold-Formed Steel Design Manual

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 252 (1998) Welded and Seamless Steel Pipe Piles

ASTM A 36/A 36M (2000a) Carbon Structural Steel

ASTM A 463/A 463M (2000) Steel Sheet, Aluminum-Coated, by
the Hot-Dip Process

ASTM A 500 (1999) Cold-Formed Welded and Seamless
Carbon Steel Structural Tubing in Rounds
and Shapes

ASTM A 501 (1999) Hot-Formed Welded and Seamless
Carbon Steel Structural Tubing

ASTM A 529/A 529M (2000) High-Strength Carbon-Manganese
Steel of Structural Quality

ASTM A 53/A 53M (2001) Pipe, Steel, Black and Hot-Dipped,
Zinc-Coated, Welded and Seamless

ASTM A 570/A 570M (1998) Steel, Sheet and Strip, Carbon,
Hot-Rolled, Structural Quality

ASTM A 572/A 572M	(2000a) High-Strength Low-Alloy Columbium-Vanadium Structural Steel
ASTM A 588/A 588M	(2000a) High-Strength Low-Alloy Structural Steel with 50 ksi (345 MPa) Minimum Yield Point to 4 in. (100 mm) Thick
ASTM A 606	(1998) Steel, Sheet and Strip, High-Strength, Low-Alloy, Hot-Rolled and Cold-Rolled, with Improved Atmospheric Corrosion Resistance
ASTM A 607	(1998) Steel, Sheet and Strip, High-Strength, Low-Alloy, Columbium or Vanadium, or Both, Hot-Rolled and Cold-Rolled
ASTM A 618	(1999) Hot-Formed Welded and Seamless High-Strength Low-Alloy Structural Tubing
ASTM A 653/A 653M	(2000) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A 792/A 792M	(1999) Steel Sheet, 55% Aluminum-Zinc Alloy-Coated by the Hot-Dip Process
ASTM B 209	(2000) Aluminum and Aluminum-Alloy Sheet and Plate
ASTM B 221	(2000) Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes
ASTM B 241/B 241M	(2000) Aluminum and Aluminum-Alloy Seamless Pipe and Seamless Extruded Tube
ASTM B 308/B 308M	(2000) Aluminum-Alloy 6061-T6 Standard Structural Profiles
ASTM B 429	(2000) Aluminum-Alloy Extruded Structural Pipe and Tube
ASTM C 1289	(1998) Faced Rigid Cellular Polyisocyanurate Thermal Insulation Board
ASTM C 518	(1998) Steady-State Heat Flux Measurements and Thermal Transmission Properties by Means of the Heat Flow Meter Apparatus
ASTM C 991	(1998) Flexible Glass Fiber Insulation for Pre-Engineered Metal Buildings
ASTM D 2244	(1995) Calculation of Color Differences from Instrumentally Measured Color Coordinates
ASTM D 4214	(1998) Evaluating Degree of Chalking of

Exterior Paint Films

ASTM D 4397 (1996) Polyethylene Sheeting for Construction, Industrial, and Agricultural Applications

ASTM E 84 (2000a) Surface Burning Characteristics of Building Materials

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1 (2000) Structural Welding Code - Steel

METAL BUILDING MANUFACTURERS ASSOCIATION (MBMA)

MBMA Low Rise Manual (1996) Low Rise Building Systems Manual

SHEET METAL & AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA Arch. Manual (1993; Errata; Addenda Oct 1997) Architectural Sheet Metal Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Metal Building; G-ED

Detail drawings consisting of catalog cuts, design and erection drawings.

SD-03 Product Data

Manufacturer's Instructions; G-RE

Manufacturer's literature for individual building component systems.

Qualifications; G-RE

Qualifications of the manufacturer, and qualifications and experience of the building erector. A brief list of locations where buildings of similar design have been used shall be included with the detail drawings and shall also include information regarding date of completion, name and address of owner, and how the structure is used.

SD-05 Design Data

Structural Design; G-ED

Submit design calculations for the entire preengineered building, prepared and stamped by a professional engineer. Include sizes and locations of anchor bolts.

SD-07 Certificates

Design Criteria

A Certificate from the metal building manufacturer stating that the metal building was designed in accordance with MBMA Low Rise Manual.

Framing and Structural Members

Mill certification for structural framing and structural bolts.

Siding

Mill certification for siding panels.

Insulation

Certificate attesting that the insulation furnished for the project contains recovered material, and showing an estimated percent of such recovered material.

Warranties; G-RE

At the completion of the project the Contractor shall furnish signed copies of the 5 year Warranty for Metal Building Roof System, a sample copy of which is attached to this section, the 20-year Manufacturer's Material Warranties, and the Manufacturer's 20-year System Weathertightness Warranty where one is required.

1.3 GENERAL REQUIREMENTS

The metal building system covered under this specification shall be provided by a single manufacturer and shall include all components and assemblies that form a building.

1.3.1 Metal Building Configuration

Roof slope shall be as shown on the drawings. Buildings shall be single-span structures with one of the following framing systems: self-framing or rigid frame. Exterior doors, overhead doors, and louvers and shall be included in the metal building system. Building shall be a manufacturer's advertised product, except that dimensions shall be as shown on the drawings. Provide a monorail as shown on drawings.

1.3.2 Qualifications

1.3.2.1 Manufacturer

Metal building shall be the product of a recognized steel building systems manufacturer who has been in the practice of manufacturing steel buildings for a period of not less than 5 years. The manufacturer shall be chiefly engaged in the practice of designing and fabricating metal building systems.

1.3.2.2 Installer

Erector shall have specialized experience in the erection of steel building systems for a period of at least 3 years. The erector shall furnish temporary guys and bracing where needed for squaring, plumbing, and securing the structural framing against loads acting on the exposed framing, such as wind loads and seismic forces, as well as loads due to erection equipment and erection operation. Structural members shall not be field cut or altered. Welds, abrasions, and surfaces not shop primed shall be primed after erection.

1.4 DESIGN CRITERIA

Wind, snow and seismic loads, and all loading criteria and definitions shall be in accordance with MBMA Low Rise Manual, except that wind uplift pressures for design of standing seam metal roof and subpurlins shall be not less than the uplift pressures shown on the drawings. The Contractor shall provide the metal building supplier with loads from the monorail and hoist, and shall coordinate the design of monorail track supports between the metal building supplier and monorail supplier.

1.4.1 Foundations

The concrete pump vault as shown on the drawings shall serve as the metal building's foundation. The metal building manufacturer shall determine size and location of anchor bolts required to be embedded in the concrete structure.

1.4.2 Structural Design

Structural steel members and their connections shall be designed in accordance with AISC ASD Manual or AISC Pub No. S342L. Structural cold-formed steel framing members and their connections shall be designed in accordance with AISI Cold-Formed Mnl. Aluminum structural members and their connections shall be designed in accordance with AA Design Manual. Framed openings shall be designed to structurally replace the covering and framing displaced.

1.4.3 Siding Design

Steel or aluminum siding shall be designed in accordance with MBMA Low Rise Manual.

1.4.4 Gutters And Downspouts

Gutters and downspouts shall be designed according to the requirements of SMACNA Arch. Manual for storms which should be exceeded only once in 5 years, with adequate provision for thermal expansion and contraction. See Section 07416A for additional requirements for gutters and downspouts.

1.4.5 Louvers

Louvers shall be fixed-blade type as shown on the drawings and specified in other sections.

1.5 DELIVERY AND STORAGE

Materials shall be delivered to the site in a dry and undamaged condition and stored out of contact with the ground. Materials other than framing and structural members shall be covered with weathertight coverings and

kept dry. Storage accommodations for siding shall provide good air circulation and protection from surface staining.

1.6 WARRANTIES

The Metal Building System (siding, and related components provided as part of the system) shall be warranted as described below against material and workmanship deficiencies, system deterioration caused by ordinary exposure to the elements and service design loads, leaks and wind uplift damage. Any emergency temporary repairs conducted by the owner shall not negate the warranties.

1.6.1 Prime Contractor's Weathertightness Warranty

The Metal Building System shall be warranted by the Contractor on a no penal sum basis for a period of five years against materials and workmanship deficiencies; system deterioration caused by exposure to the elements and/or inadequate resistance to specified service design loads, water leaks, and wind uplift damage. The Metal Building System covered under this warranty shall include, but is not limited to, the following: framing and structural members, siding panels and seams, exterior gutters and downspouts, accessories, fasteners, trim, flashings and miscellaneous building closure items such as doors and louvers (when furnished by the manufacturer), connectors, components, and fasteners, and other system components and assemblies installed to provide a weathertight system; and items specified in other sections of these specifications that become part of the metal building system. All material and workmanship deficiencies, system deterioration caused by exposure to the elements and/or inadequate resistance to specified service design loads, water leaks and wind uplift damage shall be repaired as approved by the Contracting Officer. See the attached Contractor's written warranty for issue resolution of warrantable defects. This warranty shall warrant and cover the entire cost of repair or replacement, including all material, labor, and related markups. The Contractor shall supplement this warranty with written warranties from the installer and/or system manufacturer, which shall be submitted along with Contractor's warranty. However, the Contractor is ultimately responsible for this warranty. The Contractor's written warranty shall be as outlined in attached **WARRANTY FOR METAL BUILDING SYSTEMS**, and start upon final acceptance of the facility. The Contractor shall provide a separate bond in an amount equal to the installed total metal building system cost in favor of the owner (Government) covering the Contractor's warranty responsibilities effective throughout the five year Contractor's warranty period for the entire metal building system as outlined above.

1.6.2 Manufacturer's Materials and System Weathertightness Warranties

The Contractor shall furnish, in writing, the following manufacturer's material warranties to the Contracting Officer which cover all Metal Building System components:

a. A manufacturer's 20 year material warranty warranting that the specified aluminum, zinc-coated steel, aluminum-zinc alloy coated steel or aluminum-coated steel will not rupture, structurally fail, fracture, deteriorate, or become perforated under normal design atmospheric conditions and service design loads. Liability under this warranty shall be limited exclusively to the cost of either repairing or replacing nonconforming, ruptured, perforated, or structurally failed securement system, including fasteners and coil material.

b. A manufacturer's 20 year exterior material finish warranty on the factory colored finish warranting that the finish, under normal atmospheric conditions at the site, will not crack, peel, or delaminate; chalk in excess of a numerical rating of eight, as determined by ASTM D 4214 test procedures; or change colors in excess of five CIE or Hunter Lab color difference (delta E) units in accordance with ASTM D 2244. Liability under this warranty is exclusively limited to replacing the defective coated material.

PART 2 PRODUCTS

2.1 FRAMING AND STRUCTURAL MEMBERS

Steel 1/8 inch or more in thickness shall conform to ASTM A 36/A 36M, ASTM A 529/A 529M, ASTM A 572/A 572M, or ASTM A 588/A 588M. Uncoated steel less than 1/8 inch in thickness shall conform to ASTM A 570/A 570M, ASTM A 606, or ASTM A 607. Galvanized steel shall conform to ASTM A 653/A 653M, G 90 coating designation, 0.045 inch minimum thickness. Aluminum-zinc coated steel shall conform to ASTM A 792/A 792M, AZ50 coating designation, 0.045 inch minimum thickness. Aluminum sheet shall conform to ASTM B 209; 0.032 inch minimum thickness. Aluminum structural shapes and tubes shall conform to ASTM B 221, or ASTM B 308/B 308M. Structural pipe shall conform to ASTM A 53/A 53M, ASTM A 252, ASTM A 500, ASTM A 501, ASTM A 618, ASTM B 221, ASTM B 241/B 241M or ASTM B 429. Holes for structural connections shall be made in the shop.

2.2 ROOFING AND WALL PANELS

Roofing and wall panels shall be either steel or aluminum and shall have a factory color finish.

2.2.1 Roofing

Roofing is specified in Section 07416A STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM.

2.2.2 Wall Panels

Length of sheet shall be sufficient to cover the entire height of any unbroken height of wall surface unless otherwise approved. Width of sheets with interlocking ribs shall provide not less than 12 inches of coverage in place. Wall panels shall have interlocking ribs for securing adjacent sheets. Panels shall be fastened to framework using concealed fasteners.

2.2.3 Steel Panels

Siding shall be zinc-coated steel conforming to ASTM A 653/A 653M, G 90 coating designation; aluminum-zinc alloy coated steel conforming to ASTM A 792/A 792M, AZ 55 coating; or aluminum-coated steel conforming to ASTM A 463/A 463M, Type 2, coating designation T2E5. Panels shall be 0.024 inch thick minimum.

2.2.4 Aluminum Panels

Siding shall be aluminum alloy conforming to ASTM B 209, temper as required for the forming operation, minimum 0.032 inch thick.

2.2.5 Factory Insulated Wall Panels

Insulated wall panels shall be factory-fabricated units with insulating core between metal face sheets, securely fastened together and uniformly separated with rigid spacers, facing of steel or aluminum of composition and gauge specified for covering, constructed in a manner that will eliminate condensation on interior of panel. Panel design shall form an overlapping joint, similar to the panel details shown on the drawings, to prevent an uninsulated wall opening void at the panel joint connection. Panel joints shall have a dry joint appearance from the exterior side with a concealed fastening system. Manufacturer's standard gaskets and seals shall not be visible from the exterior side of the panel. Gaskets and seals shall be located (internally, out-of-sight) within the panel joint to prevent moisture and condensation forming at the panel connections. Panels shall have a factory color finish. Insulation shall be compatible with adjoining materials; non running and non settling; capable of retaining its R-value for the life of the metal facing sheets; and unaffected by extremes of temperature and humidity. The assembly shall have a flame spread rating not higher than 25, and smoke developed rating not higher than 450 when tested in accordance with ASTM E 84. The insulation shall remain odorless, free from mold, and not become a source of food and shelter for insects. Panels shall be not less than 8 inches wide and shall be in one piece for unbroken wall heights. Wall panels shall have a standard manufacturer's stucco-embossed finish

2.2.6 Factory Color Finish

Wall panels shall have a factory applied polyvinylidene fluoride finish on the exposed side. The exterior finish shall consist of a baked-on topcoat with an appropriate prime coat. Color shall match the color indicated on the drawings. The exterior coating shall be a nominal 1 mil thickness consisting of a topcoat of not less than 0.7 mil dry film thickness and the paint manufacturer's recommended primer of not less than 0.2 mil thickness. The interior finish shall consist of a backer coat with a dry film thickness of 0.5 mil and a 0.2 mil thick primer coat.the manufacturer's recommended thickness primer coating.

2.2.7 Accessories

Flashing, trim, metal closure strips and curbs, fascia, caps, diverters, and similar metal accessories shall be the manufacturer's standard products. Exposed metal accessories shall be finished to match the building finish. Molded closure strips shall be bituminous-saturated fiber, closed-cell or solid-cell synthetic rubber or neoprene, or polyvinyl chloride premolded to match configuration of the siding and shall not absorb or retain water.

2.3 FASTENERS

Fasteners shall be as recommended by the manufacturer to meet the design strength requirements.

2.4 DOORS

2.4.1 Hinged Doors

Hinged doors and frames shall receive a galvanic coating and factory primer and shall conform to the requirements of Section 08110 STEEL DOORS AND FRAMES. Exterior doors shall have top edges closed flush and sealed against water penetration. Hardware shall be as specified in Section 08710 DOOR HARDWARE.

2.4.2 Sectional Overhead Doors

Sectional overhead doors shall conform to the requirements of Section 08361 SECTIONAL OVERHEAD DOORS. Hardware shall be as specified in Section 08710 DOOR HARDWARE and Section 08361 Sectional Overhead Doors.

2.5 INSULATION

Thermal resistance of insulation shall be not less than the R-values shown on the contract drawings. R-values shall be determined at a mean temperature of 75 degrees F in accordance with ASTM C 518. Roof and wall insulation shall be a standard product with the insulation manufacturer, factory marked or identified with insulation manufacturer's name or trademark and R-value. Insulation including facings shall have a flame spread not in excess of 25 and a smoke developed rating not in excess of 50 when tested in accordance with ASTM E 84. Contractor shall comply with EPA requirements in accordance with Section 01670 RECYCLED / RECOVERED MATERIALS.

2.5.1 Rigid Board and Blanket Insulation

2.5.1.1 Polyisocyanurate

Polyisocyanurate insulation shall conform to ASTM C 1289, Type I, Class 2 (having a minimum recovered material content of 9 percent by weight of core material in the polyisocyanurate portion). For impermeable faced polyisocyanurate (Ex: aluminum foil) the maximum design R-value per 1 inch of insulation used shall be 7.2.

2.5.1.2 Blanket Insulation

Blanket insulation shall conform to ASTM C 991 .

2.5.2 Insulation Retainers

Retainers shall be type, size and design necessary to adequately hold the insulation and to provide a neat appearance. Metallic retaining members shall be nonferrous or have a nonferrous coating. Nonmetallic retaining members, including adhesives used in conjunction with mechanical retainers or at insulation seams, shall have a fire resistance classification not less than that permitted for the insulation.

2.6 SEALANT

Sealant shall be an elastomeric type containing no oil or asphalt. Exposed sealant shall be colored to match the applicable building color and shall cure to a rubber like consistency.

2.7 GASKETS AND INSULATING COMPOUNDS

Gaskets and insulating compounds shall be nonabsorptive and suitable for insulating contact points of incompatible materials. Insulating compounds shall be non running after drying.

2.8 VAPOR RETARDER

2.8.1 Vapor Retarders Separate from Insulation

Vapor retarder material shall be polyethylene sheeting conforming to the requirements of ASTM D 4397. A single ply of 10 mil polyethylene sheet; or, at the option of the Contractor, a double ply of 6 mil polyethylene sheet shall be used. A fully compatible polyethylene tape shall be provided which has equal or better water vapor control characteristics than the vapor retarder material. A cloth industrial duct tape in a utility grade shall also be provided to use as needed to protect the vapor retarder from puncturing.

2.9 SHOP PRIMING

Ferrous surfaces shall be cleaned of oil, grease, loose rust, loose mill scale, and other foreign substances and shop primed. Primer coating shall be in accordance with the manufacturer's standard system.

PART 3 EXECUTION

3.1 ERECTION

Dissimilar materials which are not compatible when contacting each other shall be insulated from each other by means of gaskets or insulating compounds. Improper or mislocated drill holes in panels shall be plugged with an oversize screw fastener and gasketed washer; however, panels with an excess of such holes or with such holes in critical locations shall not be used. Exposed surfaces shall be kept clean and free from sealant, metal cuttings, excess material from thermal cutting, and other foreign materials. Exposed surfaces which have been thermally cut shall be finished smooth within a tolerance of 1/8 inch. Stained, discolored or damaged sheets shall be removed from the site. Welding of steel shall conform to AWS D1.1; welding of aluminum shall conform to AA Design Manual.

3.1.1 Framing Members and Anchor Bolts

On site flame cutting of framing members, with the exception of small access holes in structural beam or column webs, will not be permitted. Concrete work is specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Anchor bolts shall be accurately set by template while the concrete is in a plastic state. Members shall be accurately spaced to assure proper fitting of panels. As erection progresses, the work shall be securely fastened to resist the dead load and wind and erection stresses.

3.1.2 Roofing and Siding Installation

Roofing shall be installed in accordance with Section 07416A STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM. Siding shall be applied in accordance with manufacturer's recommendations. Accessories shall be fastened into framing members, except as otherwise approved. Closure strips shall be provided where necessary to provide weathertight construction. Fastener and fastener spacing shall be in accordance with manufacture design.

3.1.3 Installation of Gutters and Downspouts

Gutters and downspouts shall be rigidly attached to the building. Spacing of cleats for gutters shall be 16 inches maximum. Spacing of brackets and spacers for gutters shall be 36 inches maximum. Supports for downspouts shall be spaced according to manufacturer's recommendations. All gutter, downspouts, flashing and installation shall be in accordance with the roofing manufacturer's requirements as set forth in SECTION 07416A.

3.1.4 Louvers

Louvers shall be rigidly attached to the supporting construction to assure a weather tight installation.

3.1.5 Doors

Doors, including frames and hardware, shall be securely anchored to the supporting construction, shall be installed plumb and true, and shall be adjusted as necessary to provide proper operation. Joints at doors shall be sealed according to manufacturer's recommendations to provide weathertight construction.

3.1.6 Insulation Installation

Insulation installation is specified in Section 07416A STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM.

3.1.7 Vapor Retarder Installation

3.1.7.1 Polyethylene Vapor Retarder

The polyethylene vapor retarder membrane shall be installed over the entire surface. A fully compatible polyethylene tape shall be used to seal the edges of the sheets to provide a vapor tight membrane. Sheet edges shall be lapped not less than 6 inches. Sufficient material shall be provided to avoid inducing stresses in the sheets due to stretching or binding. All tears or punctures that are visible in the finished surface, at any time during the construction process, shall be sealed with polyethylene tape.

3.2 FIELD PAINTING

Immediately upon detection, abraded or corroded spots on shop-painted surfaces shall be wire brushed and touched up with the same material used for the shop coat. Shop-primed ferrous surfaces exposed on the outside of the building and all shop-primed surfaces of doors shall be painted with two coats of an approved exterior enamel. Factory color finished surfaces shall be touched up as necessary with the manufacturer's recommended touch-up paint.

CONTRACTOR'S FIVE (5) YEAR NO PENAL SUM WARRANTY
FOR
METAL BUILDING SYSTEM

FACILITY DESCRIPTION: _____

BUILDING NUMBER: _____

CORPS OF ENGINEERS CONTRACT NUMBER: _____

CONTRACTOR

CONTRACTOR: _____

ADDRESS: _____

POINT OF CONTACT: _____

TELEPHONE NUMBER: _____

OWNER

OWNER: _____

ADDRESS: _____

POINT OF CONTACT: _____

TELEPHONE NUMBER: _____

CONSTRUCTION AGENT

CONSTRUCTION AGENT: _____

ADDRESS: _____

POINT OF CONTACT: _____

TELEPHONE NUMBER: _____

CONTRACTOR'S FIVE (5) YEAR NO PENAL SUM WARRANTY
FOR
METAL BUILDING SYSTEM
(continued)

THE METAL BUILDING SYSTEM INSTALLED ON THE ABOVE NAMED BUILDING IS WARRANTED BY [] FOR A PERIOD OF FIVE (5) YEARS AGAINST WORKMANSHIP AND MATERIAL DEFICIENCIES, WIND DAMAGE AND STRUCTURAL FAILURE WITHIN PROJECT SPECIFIED DESIGN LOADS, AND LEAKAGE. THE METAL BUILDING SYSTEM COVERED UNDER THIS WARRANTY SHALL INCLUDE, BUT IS NOT LIMITED TO, THE FOLLOWING: FRAMING AND STRUCTURAL MEMBERS, ROOFING AND SIDING PANELS AND SEAMS, INTERIOR OR EXTERIOR GUTTERS AND DOWNSPOUTS, ACCESSORIES, TRIM, FLASHINGS AND MISCELLANEOUS BUILDING CLOSURE ITEMS SUCH AS DOORS AND WINDOWS (WHEN FURNISHED BY THE MANUFACTURER), CONNECTORS, COMPONENTS, AND FASTENERS, AND OTHER SYSTEM COMPONENTS AND ASSEMBLIES INSTALLED TO PROVIDE A WEATHERTIGHT SYSTEM; AND ITEMS SPECIFIED IN OTHER SECTIONS OF THESE SPECIFICATIONS THAT BECOME PART OF THE METAL BUILDING SYSTEM. ALL MATERIAL AND WORKMANSHIP DEFICIENCIES, SYSTEM DETERIORATION CAUSED BY EXPOSURE TO THE ELEMENTS AND/OR INADEQUATE RESISTANCE TO SPECIFIED SERVICE DESIGN LOADS, WATER LEAKS AND WIND UPLIFT DAMAGE SHALL BE REPAIRED AS APPROVED BY THE CONTRACTING OFFICER.

ALL MATERIAL DEFICIENCIES, WIND DAMAGE, STRUCTURAL FAILURE AND LEAKAGE ASSOCIATED WITH THE METAL BUILDING SYSTEM COVERED UNDER THIS WARRANTY SHALL BE REPAIRED AS APPROVED BY THE CONTRACTING OFFICER. THIS WARRANTY SHALL COVER THE ENTIRE COST OF REPAIR OR REPLACEMENT, INCLUDING ALL MATERIAL, LABOR, AND RELATED MARKUPS. THE ABOVE REFERENCED WARRANTY COMMENCED ON THE DATE OF FINAL ACCEPTANCE ON [] AND WILL REMAIN IN EFFECT FOR STATED DURATION FROM THIS DATE.

SIGNED, DATED, AND NOTARIZED (BY COMPANY PRESIDENT)

(Company President)

(Date)

CONTRACTOR'S FIVE (5) YEAR NO PENAL SUM WARRANTY
FOR
METAL BUILDING SYSTEM
(continued)

THE CONTRACTOR SHALL SUPPLEMENT THIS WARRANTY WITH WRITTEN WARRANTIES FROM THE MANUFACTURER AND/OR INSTALLER OF THE METAL BUILDING SYSTEM, WHICH SHALL BE SUBMITTED ALONG WITH THE CONTRACTOR'S WARRANTY. HOWEVER, THE CONTRACTOR WILL BE ULTIMATELY RESPONSIBLE FOR THIS WARRANTY AS OUTLINED IN THE SPECIFICATIONS AND AS INDICATED IN THIS WARRANTY.

EXCLUSIONS FROM COVERAGE

1. NATURAL DISASTERS, ACTS OF GOD (LIGHTNING, FIRE, EXPLOSIONS, SUSTAINED WIND FORCES IN EXCESS OF THE DESIGN CRITERIA, EARTHQUAKES, AND HAIL).
2. ACTS OF NEGLIGENCE OR ABUSE OR MISUSE BY GOVERNMENT OR OTHER PERSONNEL, INCLUDING ACCIDENTS, VANDALISM, CIVIL DISOBEDIENCE, WAR, OR DAMAGE CAUSED BY FALLING OBJECTS.
3. DAMAGE BY STRUCTURAL FAILURE, SETTLEMENT, MOVEMENT, DISTORTION, WARPAGE, OR DISPLACEMENT OF THE BUILDING STRUCTURE OR ALTERATIONS MADE TO THE BUILDING.
4. CORROSION CAUSED BY EXPOSURE TO CORROSIVE CHEMICALS, ASH OR FUMES GENERATED OR RELEASED INSIDE OR OUTSIDE THE BUILDING FROM CHEMICAL PLANTS, FOUNDRIES, PLATING WORKS, KILNS, FERTILIZER FACTORIES, PAPER PLANTS, AND THE LIKE.
5. FAILURE OF ANY PART OF THE BUILDING SYSTEM DUE TO ACTIONS BY THE OWNER WHICH INHIBIT FREE DRAINAGE FROM THE ROOF, GUTTERS AND DOWNSPOUTS; OR CONDITIONS WHICH CREATE PONDING WATER ON THE ROOF OR AGAINST THE BUILDING SIDING.
6. THIS WARRANTY APPLIES TO THE METAL BUILDING SYSTEM. IT DOES NOT INCLUDE ANY CONSEQUENTIAL DAMAGE TO THE BUILDING INTERIOR OR CONTENTS WHICH IS COVERED BY THE WARRANTY OF CONSTRUCTION CLAUSE INCLUDED IN THIS CONTRACT.
7. THIS WARRANTY CANNOT BE TRANSFERRED TO ANOTHER OWNER WITHOUT WRITTEN CONSENT OF THE CONTRACTOR AND THIS WARRANTY AND THE CONTRACT PROVISIONS WILL TAKE PRECEDENCE OVER ANY CONFLICTS WITH STATE STATUTES. REPORTS OF LEAKS AND BUILDING SYSTEM DEFICIENCIES SHALL BE RESPONDED TO WITHIN 48 HOURS OF RECEIPT OF NOTICE BY TELEPHONE OR IN WRITING FROM EITHER THE OWNER, OR CONTRACTING OFFICER. EMERGENCY REPAIRS, TO PREVENT FURTHER ROOF LEAKS, SHALL BE INITIATED IMMEDIATELY; A WRITTEN PLAN SHALL BE SUBMITTED FOR APPROVAL TO REPAIR OR REPLACE THIS SSSMR SYSTEM WITHIN SEVEN CALENDAR DAYS. ACTUAL WORK FOR PERMANENT REPAIRS OR REPLACEMENT SHALL BE STARTED WITHIN 30 DAYS AFTER RECEIPT OF NOTICE, AND COMPLETED WITHIN A REASONABLE TIME FRAME. IF THE CONTRACTOR FAILS TO ADEQUATELY RESPOND TO THE WARRANTY PROVISIONS, AS STATED

CONTRACTOR'S FIVE (5) YEAR NO PENAL SUM WARRANTY
FOR
METAL BUILDING SYSTEM
(Exclusions from Coverage Continued)

IN THE CONTRACTAND AS CONTAINED HEREIN, THE CONTRACTING OFFICER MAY HAVE THE METAL BUILDING SYSTEM REPLACED OR REPAIRED BY OTHERS AND CHARGE THE COST TO THE CONTRACTOR. IN THE EVENT THE CONTRACTOR DISPUTES THE EXISTENCE OF A WARRANTABLE DEFECT, THE CONTRACTOR MAY CHALLENGE THE OWNER'S DEMAND FOR REPAIRS AND/OR REPLACEMENT DIRECTED BY THE OWNER OR CONTRACTING OFFICER EITHER BY REQUESTING A CONTRACTING OFFICER'S DECISION, UNDER THE CONTRACT DISPUTES ACT, OR BY REQUESTING THAT AN ARBITRATOR RESOLVE THE ISSUE. THE REQUEST FOR AN ARBITRATOR MUST BE MADE WITHIN 48 HOURS OF BEING NOTIFIED OF THE DISPUTED DEFECTS. UPON BEING INVOKED THE PARTIES SHALL, WITHIN 10 DAYS JOINTLY REQUEST A LIST OF FIVE (5) ARBITRATORS FROM THE FEDERAL MEDIATION AND CONCILIATION SERVICE. THE PARTIES SHALL CONFER WITHIN 10 DAYS AFTER RECEIPT OF THE LIST TO SEEK AGREEMENT ON AN ARBITRATOR. IF THE PARTIES CANNOT AGREE ON AN ARBITRATOR, THE CONTRACTING OFFICER AND THE PRESIDENT OF THE CONTRACTOR'S COMPANY WILL STRIKE ONE (1) NAME FROM THE LIST ALTERNATIVELY UNTIL ONE NAME REMAINS. THE REMAINING PERSON SHALL BE THE DULY SELECTED ARBITRATOR. THE COSTS OF THE ARBITRATION, INCLUDING THE ARBITRATOR'S FEE AND EXPENSES, COURT REPORTER, COURTROOM OR SITE SELECTED ETC., SHALL BE BORNE EQUALLY BETWEEN THE PARTIES. EITHER PARTY DESIRING A COPY OF THE TRANSCRIPT SHALL PAY FOR THE TRANSCRIPT. A HEARING WILL BE HELD AS SOON AS THE PARTIES CAN MUTUALLY AGREE. A WRITTEN ARBITRATOR'S DECISION WILL BE REQUESTED NOT LATER THAN 30 DAYS FOLLOWING THE HEARING. THE DECISION OF THE ARBITRATOR WILL NOT BE BINDING; HOWEVER, IT WILL BE ADMISSIBLE IN ANY SUBSEQUENT APPEAL UNDER THE CONTRACT DISPUTES ACT.

A FRAMED COPY OF THIS WARRANTY SHALL BE POSTED IN THE MECHANICAL ROOM OR OTHER APPROVED LOCATION DURING THE ENTIRE WARRANTY PERIOD.

-- End of Section --

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DIVISION 13 - SPECIAL CONSTRUCTION

SECTION 13850A

FIRE DETECTION AND ALARM SYSTEM, DIRECT CURRENT LOOP

08/98

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SECTION 13850A

FIRE DETECTION AND ALARM SYSTEM, DIRECT CURRENT LOOP
08/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI S3.41 (1990; R 1996) Audible Emergency Evacuation Signal

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41 (1991; R 1995) Surge Voltages in Low-Voltage AC Power Circuits

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2002) National Electrical Code

NFPA 72 (1996; Errata Oct 96, Dec 96; TIA 96-1, 96-2, 96-3) National Fire Alarm Code

NFPA 90A (1996) Installation of Air Conditioning and Ventilating Systems

UNDERWRITERS LABORATORIES (UL)

UL 6 (1997) Rigid Metal Conduit

UL 38 (1994; Rev Nov 1994)) Manually Actuated Signaling Boxes for Use with Fire-Protective Signaling Systems

UL 268 (1996; Rev thru Jun 1998) Smoke Detectors for Fire Protective Signaling Systems

UL 268A (1998) Smoke Detectors for Duct Applications

UL 464 (1996; Rev May 1997) Audible Signal Appliances

UL 521 (1993; Rev Oct 1994) Heat Detectors for Fire Protective Signaling Systems

UL 797 (1993; Rev thru Mar 1997) Electrical Metallic Tubing

UL 864	(1996) Control Units for Fire-Protective Signaling Systems
UL 1242	(1996; Rev Mar 1998) Intermediate Metal Conduit

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Fire Alarm Reporting System; G-ED

Detail drawings, prepared and signed by a Registered Professional Engineer or a NICET Level 3 Fire Alarm Technician, consisting of a complete list of equipment and material, including manufacturer's descriptive and technical literature, catalog cuts, and installation instructions. Note that the contract drawings show layouts based on typical detectors. The Contractor shall check the layout based on the actual detectors to be installed and make any necessary revisions in the detail drawings. The detail drawings shall also contain complete wiring and schematic diagrams for the equipment furnished, equipment layout, and any other details required to demonstrate that the system has been coordinated and will properly function as a unit. Detailed point-to-point wiring diagram shall be prepared and signed by a Registered Professional Engineer or a NICET Level 4 Fire Alarm Technician showing points of connection. Diagram shall include connections between system devices, appliances, control panels, supervised devices, and equipment that is activated or controlled by the panel.

SD-03 Product Data

Storage Batteries; G-ED

Substantiating battery calculations for supervisory and alarm power requirements. Ampere-hour requirements for each system component and each panel component, and the battery recharging period shall be included.

Voltage Drop; G-ED

Voltage drop calculations for notification appliance circuits to indicate that sufficient voltage is available for proper appliance operation.

Spare Parts; G-RE

Spare parts data for each different item of material and equipment specified, not later than 3 months prior to the date of beneficial occupancy. Data shall include a complete list of parts and supplies with the current unit prices and source of supply and a list of the parts recommended by the manufacturer to be replaced

after 1 year of service.

Technical Data and Computer Software; G-ED,

Technical data which relates to computer software.

Training; G-RE

Lesson plans, operating instructions, maintenance procedures, and training data, furnished in manual format, for the training courses. The operations training shall familiarize designated government personnel with proper operation of the fire alarm system. The maintenance training course shall provide the designated government personnel adequate knowledge required to diagnose, repair, maintain, and expand functions inherent to the system.

Testing; G-RE

Detailed test procedures, prepared and signed by a Registered Professional Engineer or a NICET Level 4 Fire Alarm Technician, for the fire detection and alarm system 60 days prior to performing system tests.

SD-06 Test Reports

Testing; G-RE

Test reports, in booklet form, showing field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report shall document readings, test results and indicate the final position of controls. The Contractor shall include the NFPA 72 Certificate of Completion and NFPA 72 Inspection and Testing Form, with the appropriate test reports.

SD-07 Certificates

Equipment; G-ED

Certified copies of current approvals or listings issued by an independent test lab if not listed by UL, FM or other nationally recognized testing laboratory, showing compliance with specified NFPA standards.

Qualifications; G-RE

Proof of qualifications for required personnel. The installer shall submit proof of experience for the Professional Engineer, fire alarm technician, and the installing company.

SD-10 Operation and Maintenance Data

Technical Data and Computer Software; G-RE

Six copies of operating instructions outlining step-by-step procedures required for system startup, operation, and shutdown. The instructions shall include the manufacturer's name, model number, service manual, parts list, and complete description of

equipment and their basic operating features. Six copies of maintenance instructions listing routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guide. The instructions shall include conduit layout, equipment layout and simplified wiring, and control diagrams of the system as installed. The instructions shall include the information provided in paragraph TECHNICAL DATA AND COMPUTER SOFTWARE. The instructions shall include complete procedures for system revision and expansion, detailing both equipment and software requirements. Original and backup copies of all software delivered for this project shall be provided, on each type of media utilized. Instructions shall be approved prior to training.

1.3 GENERAL REQUIREMENTS

1.3.1 Standard Products

Material and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of the products for at least 2 years prior to bid opening. Equipment shall be supported by a service organization that can provide service within 24 hours of notification.

1.3.2 Nameplates

Major components of equipment shall have the manufacturer's name, address, type or style, voltage and current rating, and catalog number on a noncorrosive and nonheat-sensitive plate which is securely attached to the equipment.

1.3.3 Keys and Locks

Locks shall be keyed alike. Four keys for the system shall be provided.

1.3.4 Tags

Tags with stamped identification number shall be furnished for keys and locks.

1.3.5 Verification of Dimensions

After becoming familiar with details of the work, the Contractor shall verify dimensions in the field and shall advise the Contracting Officer of any discrepancy before performing the work.

1.3.6 Compliance

The fire detection and alarm system and the central reporting system shall be configured in accordance with NFPA 72; exceptions are acceptable as directed by the Contracting Officer. The equipment furnished shall be compatible and be UL listed, FM approved, or approved or listed by a nationally recognized testing laboratory in accordance with the applicable NFPA standards.

1.3.7 Qualifications

1.3.7.1 Engineer and Technician

a. Registered Professional Engineer with verification of experience and at least 4 years of current experience in the design of the fire

protection and detection systems.

b. National Institute for Certification in Engineering Technologies (NICET) qualifications as an engineering technician in fire alarm systems program with verification of experience and current NICET certificate.

c. The Registered Professional Engineer may perform all required items under this specification. The NICET Fire Alarm Technician shall perform only the items allowed by the specific category of certification held.

1.3.7.2 Installer

The installing Contractor shall provide the following: NICET Fire Alarm Technicians to perform the installation of the system. A NICET Level 3 Fire Alarm Technician shall supervise the installation of the fire alarm system. NICET Level 2 or higher Fire Alarm Technician shall install and terminate fire alarm devices, cabinets and panels. An electrician or NICET Level 1 Fire Alarm Technician shall install conduit for the fire alarm system. The Fire Alarm technicians installing the equipment shall be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the drawings.

1.3.7.3 Design Services

Installations requiring designs or modifications of fire detection, fire alarm, or fire suppression systems shall require the services and review of a qualified fire protection engineer. For the purposes of meeting this requirement, a qualified fire protection engineer is defined as an individual meeting one of the following conditions:

- a. An engineer having a Bachelor of Science or Masters of Science Degree in Fire Protection Engineering from an accredited university engineering program, plus a minimum of 2 years' work experience in fire protection engineering.
- b. A registered professional engineer (P.E.) in fire protection engineering.
- c. A registered PE in a related engineering discipline and member grade status in the National Society of Fire Protection Engineers.
- d. An engineer with a minimum of 10 years' experience in fire protection engineering and member grade status in the National Society of Fire Protection Engineers

1.4 SYSTEM DESIGN

1.4.1 Operation

The fire alarm and detection system shall be a complete, supervised fire alarm reporting system. The system shall be activated into the alarm mode by actuation of any alarm initiating device. The system shall remain in the alarm mode until the initiating device is reset and the fire alarm control panel is reset and restored to normal. Alarm initiating devices shall be connected to initiating device circuits (IDC), Style D, in accordance with NFPA 72. Alarm notification appliances shall be connected to notification appliance circuits (NAC), Style Z in accordance with NFPA 72. A looped conduit system shall be provided so that if the conduit and all

conductors within are severed at any point, all IDC, or NAC will remain functional. The conduit loop requirement is not applicable to the signal transmission link from the local panels (at the protected premises) to the Supervising Station (fire station, fire alarm central communication center). Textual, audible, and visual appliances and systems shall comply with NFPA 72. Fire alarm system components requiring power, except for the control panel power supply, shall operate on 24 Volts dc.

1.4.2 Operational Features

The system shall have the following operating features:

- a. Monitor electrical supervision of alarm IDC and NAC. Smoke detectors shall not have combined alarm initiating and power circuits.
- b. Monitor electrical supervision of the primary power (ac) supply, battery voltage, placement of alarm zone module (card, PC board) within the control panel, and transmitter tripping circuit integrity.
- c. A trouble buzzer and trouble light emitting diode (LED) to activate upon a single break, open, or ground fault condition which prevents the required normal operation of the system. The trouble signal shall also operate upon loss of primary power (ac) supply, low battery voltage, removal of alarm zone module (card, PC board), and disconnection of the circuit used for transmitting alarm signals off-premises. A trouble alarm silence switch shall be provided which will silence the trouble buzzer, but will not extinguish the trouble indicator LED. Subsequent trouble and supervisory alarms shall sound the trouble signal until silenced. After the system returns to normal operating conditions, the trouble buzzer shall again sound until the silencing switch returns to normal position, unless automatic trouble reset is provided.
- d. A one person test mode. Activating an initiating device in this mode will activate an alarm for a short period of time, then automatically reset the alarm, without activating the transmitter during the entire process.
- e. Evacuation alarm silencing switch which, when activated, will silence alarm devices, but will not affect the zone indicating LED/LCD . This switch shall be over-ridden upon activation of a subsequent alarm from an unalarmed zone and the NAC devices will be activated.
- f. Electrical supervision for circuits used for supervisory signal services (i.e., sprinkler systems, valves, etc.). Supervision shall detect any open, short, or ground.
- g. Provide one person test mode - Activating an initiating device in this mode will activate an alarm for a short period of time, then automatically reset the alarm, without activating the transmitter during the entire process.
- h. Zones for alarm IDC and NAC shall be as follows: One zone.
- i. The fire alarm control panel shall be readily capable of

connecting to a future fire alarm addressable control panel located in the Fish Hatchery Building.

1.4.3 Alarm Functions

An alarm condition on a circuit shall automatically initiate the following functions:

- a. Provide an automatic dialer for the transmission of a trouble/alarm signal to a location to be determined by the USER. Contractor shall coordinate this requirement with the USER thru the Contracting Officer in the field.
- b. Visual indications of the alarmed zone on the fire alarm control panel annunciator .
- c. Continuous sounding or operation of alarm notification appliances as required by ANSI S3.41.

1.4.4 Primary Power

Operating power shall be provided as required by paragraph Power Supply for the System. Transfer from normal to emergency power or restoration from emergency to normal power shall be fully automatic and not cause transmission of a false alarm. Loss of ac power shall not prevent transmission of a signal via the fire reporting system upon operation of any initiating circuit.

1.4.5 Battery Backup Power

Battery backup power shall be through use of rechargeable, sealed-type storage batteries and battery charger.

1.5 TECHNICAL DATA AND COMPUTER SOFTWARE

Technical data and computer software (meaning technical data which relates to computer software) which is specifically identified in this project, and which may be defined/required in other specifications, shall be delivered in accordance with the CONTRACT CLAUSES, and in accordance with the Contract Data Requirements List, DD Form 1423. Data delivered shall be identified by reference to the particular specification paragraph against which it is furnished. Data to be submitted shall include complete system, equipment, and software descriptions. Descriptions shall show how the equipment will operate as a system to meet the performance requirements of this contract. The data package shall also include the following:

- (1) Identification of programmable portions of system equipment and capabilities.
- (2) Description of system revision and expansion capabilities and methods of implementation detailing both equipment and software requirements.
- (3) Provision of operational software data on all modes of programmable portions of the fire alarm and detection system.
- (4) Description of Fire Alarm Control Panel equipment operation.
- (5) Description of auxiliary and remote equipment operations.

(6) Library of application software.

(7) Operation and maintenance manuals described under SD-19 in the SUBMITTALS paragraph.

1.6 DELIVERY AND STORAGE

Equipment delivered and placed in storage shall be stored with protection from the weather, humidity and temperature variation, dirt, dust, and any other contaminants.

PART 2 PRODUCTS

2.1 CONTROL PANEL

Control panel shall comply with the applicable requirements of UL 864. Panel shall be modular, installed in a surface mounted steel cabinet with hinged door and cylinder lock. Control panel shall be a clean, uncluttered, and orderly assembled panel containing components and equipment required to provide the specified operating and supervisory functions of the system. The panel shall have prominent rigid plastic, phenolic or metal identification plates for LEDs, zones, controls, meters, fuses, and switches. Nameplates for fuses shall also include ampere rating. Separate alarm and trouble LEDs shall be provided for each zone alarm. These LEDs shall be located on the exterior of the cabinet door or be visible through the cabinet door. Control panel switches shall be within the locked cabinet. A suitable means (single operation) shall be provided for testing the control panel visual indicating devices (meters or LEDs). Meters and LEDs shall be plainly visible when the cabinet door is closed. Signals and LEDs shall be provided to indicate by zone any alarm, supervisory or trouble condition on the system. Each IDC shall be powered and supervised so that a signal on one zone does not prevent the receipt of signals from other zones. Loss of power, including batteries, shall not require the reloading of a program. Upon restoration of power, startup shall be automatic, and shall not require any manual operation. The loss of primary power or the sequence of applying primary or emergency power shall not affect the transmission of alarm, supervisory or trouble signals.

Visual annunciators shall be provided for each active zone and spare zone.

Two spare zones shall be provided. Each LED shall provide specific identification of the zone by means of a permanently attached rigid plastic, phenolic, or metal sign with either raised or engraved letters. Zone identification shall consist of a word description of the zone. Cabinets shall be provided with ample gutter space to allow proper clearance between the cabinet and live parts of the panel equipment. If more than one modular unit is required to form a control panel, the units shall be installed in a single cabinet large enough to accommodate units. Cabinets shall be painted red.

2.1.1 Not Used

2.1.2 Circuit Connections

Circuit conductors entering or leaving the panel shall be connected to screw-type terminals with each conductor and terminal marked for identification.

2.1.3 System Expansion and Modification Capabilities

Any equipment and software needed by qualified technicians to implement future changes to the fire alarm system shall be provided as part of this contract.

2.2 STORAGE BATTERIES

Storage batteries shall be provided and shall be 24 Vdc sealed, lead-calcium type requiring no additional water. The batteries shall have ample capacity, with primary power disconnected, to operate the fire alarm system for a period of 72 hours. Following this period of battery operation, the batteries shall have ample capacity to operate all components of the system, including all alarm signaling devices in the total alarm mode for a minimum period of 15 minutes. Batteries shall be located at the bottom of the panel. Batteries shall be provided with overcurrent protection in accordance with NFPA 72. Separate battery cabinets shall have a lockable, hinged cover similar to the fire alarm panel. The lock shall be keyed the same as the fire alarm control panel.

2.3 BATTERY CHARGER

Battery charger shall be completely automatic, 24 Vdc with high/low charging rate, capable of restoring the batteries from full discharge (18 Volts dc) to full charge within 48 hours. A pilot light indicating when batteries are manually placed on a high rate of charge shall be provided as part of the unit assembly, if a high rate switch is provided. Charger shall be located in control panel cabinet or in a separate battery cabinet.

2.4 MANUAL FIRE ALARM STATIONS

Manual fire alarm stations shall conform to the applicable requirements of UL 38. Manual stations shall be connected into signal line circuits. Stations shall be installed on surface mounted outlet boxes. Manual stations shall be mounted at 48 inches. Stations shall be double action type. Stations shall be finished in red, with raised letter operating instructions of contrasting color. Stations requiring the breaking of glass or plastic panels for operation are not acceptable. Stations employing glass rods are not acceptable. The use of a key or wrench shall be required to reset the station. Gravity or mercury switches are not acceptable. Switches and contacts shall be rated for the voltage and current upon which they operate. Stations shall have a separate screw terminal for each conductor. Surface mounted boxes shall be matched and painted the same color as the fire alarm manual stations.

2.5 FIRE DETECTING DEVICES

Fire detecting devices shall comply with the applicable requirements of NFPA 72, NFPA 90A, UL 268, UL 268A, and UL 521. The detectors shall be provided as indicated. Detector base shall have screw terminals for making connections. No solder connections will be allowed.

2.5.1 Heat Detectors

Heat detectors shall be designed for detection of fire by fixed temperature. Heat detector spacing shall be rated in accordance with UL 521. Detectors located in areas subject to moisture, exterior atmospheric conditions, as defined by NFPA 70, shall be types approved for such locations.

2.5.1.1 Not Used

2.5.1.2 Not Used

Detectors shall be surface mounted horizontal type, with outlet box supported independently of wiring connections. Detectors shall be hermetically sealed and automatically resetting. Rate Compensated detectors shall be rated for 50 by 50 ft.

2.5.1.3 Fixed Temperature Detectors

Detectors shall be designed for surface outlet box mounting and supported independently of wiring connections. Detectors shall be designed to detect high heat. The detectors shall have a specific temperature setting of 135 degrees F.. The UL 521 test rating for the fixed temperature detectors shall be rated for 15 by 15 ft.

2.5.2 Smoke Detectors

Smoke detectors shall be designed for detection of abnormal smoke densities. Smoke detectors shall be photoelectric type. Detectors shall contain a visible indicator LED that shows when the unit is in alarm condition. Detectors shall not be adversely affected by vibration or pressure. Detectors shall be the plug-in type in which the detector base contains terminals for making wiring connections.

2.5.2.1 Not Used

2.5.2.2 Photoelectric Detectors

Detectors shall operate on a light scattering concept using an LED light source. Failure of the LED shall not cause an alarm condition. Detectors shall be factory set for sensitivity and shall require no field adjustments of any kind. Detectors shall have an obscuration rating in accordance with UL 268.

2.6 NOTIFICATION APPLIANCES

Audible appliances shall conform to the applicable requirements of UL 464. Devices shall be connected into notification appliance circuits. Devices shall have a separate screw terminal for each conductor. Audible appliances shall generate a unique audible sound from other devices provided in the building and surrounding area. Surface mounted audible appliances shall be painted red.

2.6.1 Alarm Bells

Bells shall be surface mounted with the matching mounting back box surface mounted]. Bells shall be suitable for use in an electrically supervised circuit. Bells shall be the underdome type producing a minimum output rating of 85 dBA at 10 feet. Bells used in exterior locations shall be specifically listed or approved for outdoor use and be provided with metal housing and protective grilles. Single stroke, electrically operated, supervised, solenoid bells shall be used for coded applications.

2.6.2 Not Used

2.6.3 Not Used

2.6.4 Not Used

2.6.5 Combination Audible/Visual Notification Appliances

Combination audible/visual notification appliances shall provide the same requirements as individual units, except that they shall mount as a unit in standard backboxes. Units shall be factory assembled. Any other audible notification appliance employed in the fire alarm systems shall be approved by the Contracting Officer.

2.7 NOT USED

2.8 FIRE DETECTION AND ALARM SYSTEM PERIPHERAL EQUIPMENT

2.8.1 Not Used

2.8.2 Conduit

Conduit and fittings shall comply with UL 6, UL 1242 and UL 797.

2.8.3 Wiring

Wiring shall conform to NFPA 70. Wiring for 120 Vac power shall be No. 12 AWG minimum. Wiring for Fire Alarm circuits shall be No. [16] [14] AWG minimum. Voltages shall not be mixed in any junction box, housing, or device, except those containing power supplies and control relays. Wiring shall conform to NFPA 70. System field wiring shall be solid copper and installed in metallic conduit or electrical metallic tubing, except rigid plastic conduit may be used under slab-on-grade. Conductors shall be color coded. Conductors used for the same functions shall be similarly color coded. Wiring code color shall remain uniform throughout the circuit. Pigtail or T-tap connections to initiating device circuits, supervisory alarm circuits, and notification appliance circuits are prohibited.

2.8.4 Special Tools and Spare Parts

Software, connecting cables and proprietary equipment, necessary for the maintenance, testing, and reprogramming of the equipment shall be furnished to the Contracting Officer. Two spare fuses of each type and size required shall be furnished. Two percent of the total number of each different type of detector, but no less than two each, shall be furnished. Spare fuses shall be mounted in the fire alarm panel.

2.9 NOT USED

PART 3 EXECUTION

3.1 INSTALLATION

All work shall be installed as shown and in accordance with the manufacturer's diagrams and recommendations, unless otherwise specified. Smoke detectors shall not be installed until construction is essentially complete and the building has been thoroughly cleaned.

3.1.1 Power Supply for the System

A single dedicated circuit connection for supplying power from a branch circuit to each building fire alarm system shall be provided. The power shall be supplied as shown on the drawings. The power supply shall be equipped with a locking mechanism and marked in red with the words "FIRE ALARM CIRCUIT CONTROL".

3.1.2 Wiring

Conduit size for wiring shall be in accordance with NFPA 70. Wiring for the fire alarm system shall not be installed in conduits, junction boxes, or outlet boxes with conductors of lighting and power systems. Not more than two conductors shall be installed under any device screw terminal. The wires under the screw terminal shall be straight when placed under the terminal then clamped in place under the screw terminal. The wires shall be broken and not twisted around the terminal. Circuit conductors entering or leaving any mounting box, outlet box enclosure, or cabinet shall be connected to screw terminals with each terminal and conductor marked in accordance with the wiring diagram. Connections and splices shall be made using screw terminal blocks. The use of wire nut type connectors in the system is prohibited. Wiring within any control equipment shall be readily accessible without removing any component parts. The fire alarm equipment manufacturer's representative shall be present for the connection of wiring to the control panel.

3.1.3 Control Panel

The control panel and its assorted components shall be mounted so that no part of the enclosing cabinet is less than 12 inches nor more than 78 inches above the finished floor. Manually operable controls shall be between 36 and 42 inches above the finished floor. Panel shall be installed to comply with the requirements of UL 864.

3.1.4 Detectors

Detectors shall be located and installed in accordance with NFPA 72. Detectors shall be connected into signal line circuits or initiating device circuits as indicated on the drawings. Detectors shall be at least 12 inches from any part of any lighting fixture. Each detector shall be provided with appropriate mounting hardware as required by its mounting location. Detectors which mount in open space shall be mounted directly to the end of the stubbed down rigid conduit drop. Conduit drops shall be firmly secured to minimize detector sway. Where length of conduit drop from ceiling or wall surface exceeds 3 feet, sway bracing shall be provided.

3.1.5 Notification Appliances

Notification appliances shall be mounted 80 inches above the finished floor or 6 inches below the ceiling, whichever is lower.

3.1.6 Annunciator Equipment

Annunciator equipment shall be mounted where indicated on the drawings.

3.2 OVERVOLTAGE AND SURGE PROTECTION

3.2.1 Power Line Surge Protection

All equipment connected to alternating current circuits shall be protected from surges per IEEE C62.41 B3 combination waveform and NFPA 70. Fuses shall not be used for surge protection. The surge protector shall be rated for a maximum let thru voltage of 350 Volts ac (line-to-neutral) and 350 Volt ac (neutral-to-ground).

3.2.2 Low Voltage DC Circuits Surge Protection

All IDC, NAC, and communication cables/conductors, except fiber optics, shall have surge protection installed at each point where it exits or enters a building. Equipment shall be protected from surges per IEEE C62.41 B3 combination waveform and NFPA 70. The surge protector shall be rated to protect the 24 Volt dc equipment. The maximum dc clamping voltages shall be 36 V (line-to-ground) and 72 Volt dc (line-to-line).

3.3 GROUNDING

Grounding shall be provided by connecting to building ground system.

3.4 NOT USED

3.5 TESTING

The Contractor shall notify the Contracting Officer at least 10 days before the preliminary and acceptance tests are to be conducted. The tests shall be performed in accordance with the approved test procedures in the presence of the Contracting Officer. The control panel manufacturer's representative shall be present to supervise tests. The Contractor shall furnish instruments and personnel required for the tests.

3.5.1 Preliminary Tests

Upon completion of the installation, the system shall be subjected to functional and operational performance tests including tests of each installed initiating and notification appliance, when required. Tests shall include the meggering of system conductors to determine that the system is free from grounded, shorted, or open circuits. The megger test shall be conducted prior to the installation of fire alarm equipment. If deficiencies are found, corrections shall be made and the system shall be retested to assure that it is functional. After completing the preliminary testing the Contractor shall complete and submit the NFPA 72, Certificate of Completion.

3.5.2 Acceptance Test

Acceptance testing shall not be performed until the Contractor has completed and submitted the Certificate of Completion. Testing shall be in accordance with NFPA 72. The recommended tests in NFPA 72 shall be considered mandatory and shall verify that previous deficiencies have been corrected. The Contractor shall complete and submit the NFPA 72, Inspection and Testing Form. The test shall include all requirements of NFPA 72 and the following:

- a. Test of each function of the control panel.
- b. Test of each circuit in both trouble and normal modes.
- c. Tests of each alarm initiating devices in both normal and trouble conditions.
- d. Tests of each control circuit and device.
- e. Tests of each alarm notification appliance.
- f. Tests of the battery charger and batteries.

- g. Complete operational tests under emergency power supply.
- h. Visual inspection of wiring connections.
- i. Opening the circuit at each alarm initiating device and notification appliance to test the wiring supervisory feature.
- j. Ground fault.
- k. Short circuit faults.
- l. Stray voltage.
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SECTION 14534N

MONORAILS WITH MANUAL HOIST

09/99

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASME INTERNATIONAL (ASME)

ASME B30.11 (1993) Monorails and Underhung Cranes
ASME HST-2M (1989; R 1995) Hand Chain Manually Operated Chain Hoists

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 275/A 275M (1996) Magnetic Particle Examination of Steel Forgings

MONORAIL MANUFACTURERS ASSOCIATION (MMA)

MMA MH27.1 (1981) Underhung Cranes and Monorail Systems

1.2 VERIFICATION OF DIMENSIONS

The Contractor shall verify all building dimensions that relate to fabrication of the monorail system, and shall notify the Contracting Officer of any discrepancy before the order to the monorail manufacturer is finalized.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Monorail track beam; G-ED

Submit drawings showing the general arrangement of the track beam, including clearances, principal dimensions, details of structural connections, and all component details. Manufacturer's catalog data will suffice for items of standard manufacturer.

SD-05 Design Data

Design calculations; G-ED

SD-06 Test Reports

Magnetic-particle inspection

Load test

SD-07 Certificates

Load chain capacity

SD-10 Operation and Maintenance Data

Monorail track beam; G-RE

Hoist and trolley; G-RE

Submit Operation and Maintenance Data for the track beam and hoist and trolley.

1.4 QUALITY ASSURANCE

1.4.1 Load Chain Capacity

Load chain shall have certified factory rated capacity not less than indicated on the drawings.

1.4.2 Design Calculations

Design calculations verifying the size of structural members, structural support fittings, rods, brackets, components, and lifting beams for the track beam shall be performed by a registered Professional Engineer.

PART 2 PRODUCTS

2.1 FABRICATION AND CONSTRUCTION

Hoist and trolley shall be hand chain manually operated chain hoist in conformance with ASME HST-2M. Trolley and wheels shall be suitable for operation on the steel monorail track beam provided, and shall have not less than four wheels.

2.1.1 Capacity

The hoist and monorail shall have a minimum rated capacity as indicated on the drawings..

2.1.2 Hook Lift

The hoist lift shall have a minimum range of travel above and below the finished floor as indicated on the drawings.

2.1.3 Hooks

Shall be of the safety type with hook nuts keyed to hook shanks by means of a setscrew installed in a plane parallel to the longitudinal axis of the hook shank, or by any other similar easily removable securing device. A magnetic-particle inspection shall be performed on the hook and nut in

accordance with ASTM A 275/A 275M. The acceptance standard shall be one of no defects. A defect is defined as a linear indication revealed by magnetic-particle inspection that is greater than 1/8 inch long whose length is equal to or is greater than three times its width.

2.1.4 Trolley

Shall be designed to operate from a track beam section. The Contractor shall coordinate the location and supports of the track beam between the monorail and hoist supplier and the metal building supplier.

2.1.5 Load Chain Capacity

High strength steel links, flexible; minimum safety factor of 5 to 1 based on ratio of minimum chain breaking load to the calculated load on the chain when the hoist is assumed loaded to rated capacity. Certification from hoist manufacturer of provided chain's breaking strength shall be submitted to Contracting Officer and approved prior to final acceptance of hoist. Do not paint or coat the load chain.

2.1.6 Load Hooks and Load Hook Components

2.1.6.1 Hook

Forged steel; complete with spring-loaded steel throat opening safety device. The hook shall be carried on suitably sealed or shielded anti-friction thrust bearings and shall swivel freely through 360 degrees rotation with full load without twisting chain.

- a. Disassembly. Hook and hook nut shall be capable of complete disassembly that enables access to all surfaces of the hook, including shank and hook nut for inspection purposes. Provision shall be made for the hook nut, or other hook-to-block fastener, to be keyed to hook shank by means of a set screw or similar, easily removable, securing device.
- b. Hook Non-Destructive Test. Each hook, including shank and hook nut, shall be inspected over the entire surface areas by magnetic particle inspection. If hook nut is not used, any device that functions the same as the hook nut shall be inspected by magnetic particle inspection.

(1) Procedure: Magnetic particle inspection shall be conducted in accordance with ASTM A 275/A 275M. This inspection shall be conducted at the factory of the hook manufacturer or hoist manufacturer. Alternately, a recognized independent testing lab may conduct the inspections if equipped and competent to perform such a service, and if approved by the Contracting Officer.

(2) Acceptance Criteria: Defects found on the hook or hook nut shall result in rejection of defective items for use on furnished hoist. For this inspection, a defect is defined as a linear or non-linear indication for which the largest dimension is greater than 1/8 inch. Weld repairs for defects on hook or hook nut will not be permitted.

(3) Test Report: A test report of the magnetic particle inspection of each hook and hook nut provided shall be submitted to and approved by the Contracting Officer prior to final

acceptance of hoist installation. Test reports shall be certified by the testing organization.

2.2 MONORAIL TRACK BEAM

Monorail track beam shall comply with MMA MH27.1 except as modified herein.

2.2.1 Track

The lower flange of the track section shall have flat wheel treads; minimum lower flange width of 3.25 inches; chemical composition of 0.45 to 0.60 percent carbon content, 0.60 to 1.1 percent manganese content; and wheel treads shall be hardened to a minimum Brinell Hardness Number of 225. Upper flange and web of the track section shall be steel, continuously welded together or provided as one monolithic piece.

2.2.2 Track Suspension

Provide means of suspending the monorail track system from the metal building framing. The Contractor shall coordinate between the monorail supplier and the metal building supplier for location of supports and loads to metal building.

2.2.2.1 Cataloged Products

If possible, provide track manufacturer's standard cataloged devices for connection of the track to the supporting structures. If track manufacturer's cataloged devices are not provided for this suspension system, complete shop drawings and calculations for the custom suspension device shall be submitted for review and approval by the Contracting Officer.

2.2.2.2 Design

The suspension system shall be designed and constructed to ensure no impairment of the strength of track or the structural support.

2.2.2.3 Sway Bracing

Where the track is suspended from hanger rods, track shall be braced laterally and longitudinally to prevent sway.

2.2.2.4 Lock Nuts

Where the track is suspended from hanger rods, lock nuts or other means shall be provided to prevent the nuts from backing off the rods.

2.2.2.5 Multiple Suspension Devices

Where more than one suspension device attached to the track at a single point, the suspension devices shall be provided so that the loads shall be induced in each in proportion to the device's size.

2.2.3 Identification Plates

Provide identification plates of noncorrosive metal. Information and data on the plates shall include, in clearly legible permanent lettering, the manufacturer's name, model number, capacity rating, and other essential information. In addition, the monorail track beam system shall be

furnished with identification plates showing the capacity of the system, which shall be legible from the floor and from either side of the monorail track beam.

2.3 PAINTING OF SYSTEM

Provide manufacturer's standard painting or shop painting of components specified in this section. Do not paint, coat, or galvanize load chain, load, hook nut, or load chain sheave.

PART 3 EXECUTION

3.1 ERECTION AND INSTALLATION

The Contractor shall erect and install the hoist trolley and monorail system in accordance with manufacturers written instructions, MMA MH27.1, and the contract drawings.

3.2 FIELD INSPECTION AND TESTS

3.2.1 Pre-Erection Inspection

Before erection, the Contractor shall inspect the monorail and hoist systems and components at the job site to determine compliance with specifications and manufacturer's data and shop drawings as approved.

3.2.2 Load Test

Upon completion, and before final acceptance, the hoist, trolley, and monorail shall be given the rated load test specified in ASME B30.11, carrying 125 percent of the rated capacity, and with the units spaced to obtain maximum possible loads in the monorail track beam systems. The systems shall be thoroughly tested in service to determine that each component of the system operates as specified, is properly installed and adjusted, and is free from defects in material, manufacture, installation, and workmanship. The Contractor shall furnish test loads, operating personnel, instruments, and all other necessary apparatus at no additional cost to the Government. The test and final adjustments of the equipment will be under the supervision of the Contracting Officer. The Contractor shall correct any deficiencies found and completely retest work affected by such deficiencies.

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SECTION 15190A

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SECTION 15190A

GAS PIPING SYSTEMS

12/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN GAS ASSOCIATION (AGA)

AGA Manual (1994; Addenda/Correction Jan 1996) A.G.A.
Plastic Pipe Manual for Gas Service

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.45 (1995) Flexible Connectors of Other Than
All-Metal Construction for Gas Appliances

ANSI Z21.69 (1999) Connectors for Movable Gas
Appliances

AMERICAN PETROLEUM INSTITUTE (API)

API Spec 6D (1994; Supple 1 Jun 1996; Supple 2 Dec
1997) Pipeline Valves (Gate, Plug, Ball,
and Check Valves)

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 539 (1999) Electric-Resistance-Welded Coiled
Steel Tubing for Gas and Fuel Oil Lines

ASTM B 210 (2000) Aluminum and Aluminum-Alloy Drawn
Seamless Tubes

ASTM B 241/B 241M (2000) Aluminum and Aluminum-Alloy
Seamless Pipe and Seamless Extruded Tube

ASTM B 280 (1999) Seamless Copper Tube for Air
Conditioning and Refrigeration Field
Service

ASTM B 88 (1999) Seamless Copper Water Tube

ASME INTERNATIONAL (ASME)

ASME B1.20.1 (1983; R 1992) Pipe Threads, General
Purpose (Inch)

ASME B16.11 (1996) Forged Fittings, Socket-Welding and

Threaded

ASME B16.21	(1992) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.3	(1998) Malleable Iron Threaded Fittings
ASME B16.33	(1990) Manually Operated Metallic Gas Valves for Use in Gas Piping Systems Up to 125 psig (Sizes 1/2 through 2
ASME B16.5	(1996; B16.5a) Pipe Flanges and Flanged Fittings NPS 1/2 thru NPS 24
ASME B16.9	(1993) Factory-Made Wrought Steel Buttwelding Fittings
ASME B31.1	(1998) Power Piping
ASME B31.2	(1968) Fuel Gas Piping
ASME B36.10M	(1996) Welded and Seamless Wrought Steel Pipe
ASME BPVC SEC IX	(1998) Boiler and Pressure Vessel Code; Section IX, Welding and Brazing Qualifications

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25	(1998) Standard Marking System for Valves, Fittings, Flanges and Unions
MSS SP-58	(1993) Pipe Hangers and Supports - Materials, Design and Manufacture
MSS SP-69	(1996) Pipe Hangers and Supports - Selection and Application

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 54	(1999) National Fuel Gas Code
NFPA 70	(1999) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL Gas&Oil Dir	(1999) Gas and Oil Equipment Directory
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1.2 GENERAL REQUIREMENTS

1.2.1 Welding

Piping shall be welded in accordance with qualified procedures using performance qualified welders and welding operators. Procedures and welders shall be qualified in accordance with ASME BPVC SEC IX. Welding procedures qualified by others, and welders and welding operators qualified

by another employer may be accepted as permitted by ASME B31.1. The Contracting Officer shall be notified at least 24 hours in advance of tests and the tests shall be performed at the work site if practicable. The Contracting Officer shall be furnished with a copy of qualified procedures and a list of names and identification symbols of qualified welders and welding operators. The welder or welding operator shall apply his assigned symbol near each weld he makes as a permanent record. Structural members shall be welded in accordance with Section 05090A WELDING, STRUCTURAL.

1.2.2 Not Used

1.2.3 Standard Products

Materials and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of the products and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Asbestos or products containing asbestos shall not be used. Manufacturer's descriptive data and installation instructions shall be submitted for approval for compression-type mechanical joints used in joining dissimilar materials and for insulating joints. Valves, flanges and fittings shall be marked in accordance with MSS SP-25.

1.2.4 Verification of Dimensions

The Contractor shall become familiar with all details of the work, verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing the work.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Gas Piping System; G-RE.

Drawings showing location, size and all branches of pipeline; location of all required shutoff valves; and instructions necessary for the installation of connectors and supports.

SD-03 Product Data

Qualifications; Not Used.

Qualified procedures and a list of names and identification symbols of qualified welders and welding operators.

SD-6 Test Reports

Pressure Tests; G-RE.

Test With Gas; G-RE.

Test reports in booklet form tabulating test and measurements performed. The reports shall be dated after award of this contract, shall state the contractor's name and address, shall name the project and location, and shall list the specific requirements which are being certified.

PART 2 PRODUCTS

2.1 PIPE AND FITTINGS

2.1.1 Steel Pipe, Joints, and Fittings

Steel pipe shall conform to ASME B36.10M. Malleable-iron threaded fittings shall conform to ASME B16.3. Steel pipe flanges and flanged fittings including bolts, nuts, and bolt pattern shall be in accordance with ASME B16.5. Wrought steel butt welding fittings shall conform to ASME B16.9. Socket welding and threaded forged steel fittings shall conform to ASME B16.11.

2.1.2 Aluminum Alloy Pipe and Tubing, Joints, and Fittings

Aluminum alloy pipe shall conform to ASTM B 241/B 241M, except alloy 5456 shall not be used, and the ends of each length of pipe shall be marked indicating it conforms to NFPA 54. Pipe joints shall be threaded, flanged, brazed or welded.

Aluminum alloy tubing shall conform to , ASTM B 210, Type A or B, or ASTM B 241/B 241M, Type A or equivalent. Tubing joints shall be made up with gas tubing fittings recommended by the tubing manufacturer.

2.1.3 Copper Tubing, Joints and Fittings

Copper tubing shall conform to ASTM B 88, Type K or L, or ASTM B 280. Tubing joints shall be made up with tubing fittings recommended by the tubing manufacturer.

2.1.4 Steel Tubing, Joints and Fittings

Steel tubing shall conform to ASTM A 539. Tubing joints shall be made up with gas tubing fittings recommended by the tubing manufacturer.

2.1.5 Not Used

2.1.6 Not Used

2.1.7 Sealants for Steel Pipe Threaded Joints

Joint sealing compound shall be listed in UL Gas&Oil Dir, Class 20 or less. Tetrafluoroethylene tape shall conform to UL Gas&Oil Dir.

2.1.8 Identification

Pipe flow markings and metal tags shall be provided as required.

2.1.9 Flange Gaskets

Gaskets shall be nonasbestos compressed material in accordance with ASME

B16.21, 1/16 inch thickness, full face or self-centering flat ring type. The gaskets shall contain aramid fibers bonded with styrene butadiene rubber (SBR) or nitrile butadiene rubber (NBR) suitable for a maximum 600 degree F service. NBR binder shall be used for hydrocarbon service.

2.1.10 Pipe Threads

Pipe threads shall conform to ASME B1.20.1.

2.1.11 Escutcheons

Escutcheons shall be chromium-plated steel or chromium-plated brass, either one piece or split pattern, held in place by internal spring tension or set screw.

2.1.12 Not Used

2.1.13 Insulating Pipe Joints

2.1.13.1 Insulating Joint Material

Insulating joint material shall be provided between flanged or threaded metallic pipe systems where shown to control galvanic or electrical action.

2.1.13.2 Threaded Pipe Joints

Joints for threaded pipe shall be steel body nut type dielectric unions with insulating gaskets.

2.1.13.3 Flanged Pipe Joints

Joints for flanged pipe shall consist of full face sandwich-type flange insulating gasket of the dielectric type, insulating sleeves for flange bolts, and insulating washers for flange nuts.

2.1.14 Flexible Connectors

Flexible connectors for connecting gas utilization equipment to building gas piping shall conform to ANSI Z21.45. Flexible connectors for movable food service equipment shall conform to ANSI Z21.69.

2.2 VALVES

Valves shall be suitable for shutoff or isolation service and shall conform to the following:

2.2.1 Valves 2 Inches and Smaller

Valves 2 inches and smaller shall conform to ASME B16.33 and shall be of materials and manufacture compatible with system materials used.

2.2.2 Valves 2-1/2 Inches and Larger

Valves 2-1/2 inches and larger shall be carbon steel conforming to API Spec 6D, Class 150.

2.3 PIPE HANGERS AND SUPPORTS

Pipe hangers and supports shall conform to MSS SP-58 and MSS SP-69.

2.4 METERS, REGULATORS AND SHUTOFF VALVES

Meters, regulators and shutoff valves shall be as specified in Section 02556A GAS DISTRIBUTION SYSTEM.

PART 3 EXECUTION

3.1 EXCAVATION AND BACKFILLING

Earthwork shall be as specified in Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.2 GAS PIPING SYSTEM

Gas piping system covered in this specifications shall be from the point of delivery, defined as the outlet of the meter set assembly to the connections to each gas utilization device. Exterior service lines shall be installed and maintained by the local utility. The gas meter, pressure regulator and service stop valve shall be included as part of that service.

3.2.1 Protection of Materials and Components

Pipe and tube openings shall be closed with caps or plugs during installation. Equipment shall be protected from dirt, water, and chemical or mechanical damage. At the completion of all work, the entire system shall be thoroughly cleaned.

3.2.2 Workmanship and Defects

Piping, tubing and fittings shall be clear and free of cutting burrs and defects in structure or threading and shall be thoroughly brushed and chip-and scale-blown. Defects in piping, tubing or fittings shall not be repaired. When defective piping, tubing, or fittings are located in a system, the defective material shall be replaced.

3.3 PROTECTIVE COVERING

3.3.1 Not Used

3.3.2 Aboveground Metallic Piping Systems

3.3.2.1 Ferrous Surfaces

Shop primed surfaces shall be touched up with ferrous metal primer. Surfaces that have not been shop primed shall be solvent cleaned. Surfaces that contain loose rust, loose mill scale and other foreign substances shall be mechanically cleaned by power wire brushing and primed with ferrous metal primer. Primed surface shall be finished with two coats of exterior oil paint.

3.3.2.2 Nonferrous Surfaces

Except for aluminum alloy pipe, nonferrous surfaces shall not be painted. Surfaces of aluminum alloy pipe and fittings shall be painted to protect against external corrosion where they contact masonry, plaster, insulation, or are subject to repeated wettings by such liquids as water, detergents or

sewage. The surfaces shall be solvent-cleaned and treated with vinyl type wash coat. A first coat of aluminum paint and a second coat of alkyd gloss enamel or silicone alkyd copolymer enamel shall be applied.

3.4 INSTALLATION

Installation of the gas system shall be in conformance with the manufacturer's recommendations and applicable provisions of NFPA 54, AGA Manual, and as indicated. Pipe cutting shall be done without damage to the pipe. Unless otherwise authorized, cutting shall be done by an approved type of mechanical cutter. Wheel cutters shall be used where practicable. On steel pipe 6 inches and larger, an approved gas cutting and beveling machine may be used. Cutting of thermoplastic and fiberglass pipe shall be in accordance with AGA Manual.

3.4.1 Metallic Piping Installation

Underground piping shall be buried a minimum of 18 inches below grade. Changes in direction of piping shall be made with fittings only; mitering or notching pipe to form elbows and tees or other similar type construction will not be permitted. Branch connection may be made with either tees or forged branch outlet fittings. Branch outlet fittings shall be forged, flared for improvement of flow where attached to the run, and reinforced against external strains. Aluminum alloy pipe shall not be used in exterior locations or underground.

3.4.2 Metallic Tubing Installation

Metallic tubing shall be installed using gas tubing fittings approved by the tubing manufacturer. Branch connections shall be made with tees. All tubing end preparation shall be made with tools designed for the purpose. Aluminum alloy tubing shall not be used in exterior locations or underground.

3.4.3 Not Used

3.4.4 Not Used

3.4.5 Not Used

3.4.6 Not Used

3.4.7 Aboveground Piping

Aboveground piping shall be run as straight as practicable along the alignment indicated and with a minimum of joints. Piping shall be separately supported. Exposed horizontal piping shall not be installed farther than 6 inches from nearest parallel wall in laundry areas where clothes hanging could be attempted.

3.4.8 Final Gas Connections

Final connection to the gas-fired unit heater shall be made with rigid metallic pipe and fittings. Flexible connectors may be used for final connections to gas-fired generator set. Provide accessible gas shutoff valve and coupling for each gas equipment item.

3.5 PIPE JOINTS

Pipe joints shall be designed and installed to effectively sustain the longitudinal pull-out forces caused by contraction of the piping or superimposed loads.

3.5.1 Threaded Metallic Joints

Threaded joints in metallic pipe shall have tapered threads evenly cut and shall be made with UL approved graphite joint sealing compound for gas service or tetrafluoroethylene tape applied to the male threads only. Threaded joints up to 1-1/2 inches in diameter may be made with approved tetrafluoroethylene tape. Threaded joints up to 2 inches in diameter may be made with approved joint sealing compound. After cutting and before threading, pipe shall be reamed and burrs shall be removed. Caulking of threaded joints to stop or prevent leaks shall not be permitted.

3.5.2 Welded Metallic Joints

Beveling, alignment, heat treatment, and inspection of welds shall conform to ASME B31.2. Weld defects shall be removed and repairs made to the weld, or the weld joints shall be entirely removed and rewelded. After filler metal has been removed from its original package, it shall be protected or stored so that its characteristics or welding properties are not affected adversely. Electrodes that have been wetted or have lost any of their coating shall not be used.

3.5.3 Not Used

3.5.4 Flared Metallic Tubing Joints

Flared joints in metallic tubing shall be made with special tools recommended by the tubing manufacturer. Flared joints shall be used only in systems constructed from nonferrous pipe and tubing, when experience or tests have demonstrated that the joint is suitable for the conditions, and when adequate provisions are made in the design to prevent separation of the joints. Metallic ball sleeve compression-type tubing fittings shall not be used for tubing joints.

3.5.5 Solder or Brazed Joints

Joints in metallic tubing and fittings shall be made with materials and procedures recommended by the tubing supplier. Joints shall be brazed with material having a melting point above 1000 degrees F. Brazing alloys shall not contain phosphorous.

3.5.6 Not Used

3.6 PIPE SLEEVES

Pipes passing through concrete or masonry walls or concrete floors or roofs shall be provided with pipe sleeves fitted into place at the time of construction. Sleeves shall not be installed in structural members except where indicated or approved. All rectangular and square openings shall be as detailed. Each sleeve shall extend through its respective wall, floor or roof, and shall be cut flush with each surface, except in mechanical

room floors not located on grade where clamping flanges or riser pipe clamps are used. Sleeves in mechanical room floors above grade shall extend at least 4 inches above finish floor. Unless otherwise indicated, sleeves shall be large enough to provide a minimum clearance of 1/4 inch all around the pipe. Sleeves in bearing walls, waterproofing membrane floors, and wet areas shall be steel pipe. Sleeves in nonbearing walls, floors, or ceilings may be steel pipe, galvanized sheet metal with lock-type longitudinal seam, or moisture-resistant fiber or plastic. For penetrations of fire walls, fire partitions and floors which are not on grade, the annular space between the pipe and sleeve shall be sealed with firestopping material and sealant that meet the requirement of Section 07840A FIRESTOPPING.

3.7 NOT USED

Pipes penetrating waterproofing membranes shall be installed as specified in Section 15400A PLUMBING, GENERAL PURPOSE.

3.8 NOT USED

3.9 SPECIAL REQUIREMENTS

Drips, grading of the lines, freeze protection, and branch outlet locations shall be as shown and shall conform to the requirements of NFPA 54.

3.10 BUILDING STRUCTURE

Building structure shall not be weakened by the installation of any gas piping. Beams or joists shall not be cut or notched.

3.11 PIPING SYSTEM SUPPORTS

Gas piping systems in buildings shall be supported with pipe hooks, metal pipe straps, bands or hangers suitable for the size of piping or tubing. Gas piping system shall not be supported by other piping. Spacing of supports in gas piping and tubing installations shall conform to the requirements of NFPA 54. The selection and application of supports in gas piping and tubing installations shall conform to the requirements of MSS SP-69. In the support of multiple pipe runs on a common base member, a clip or clamp shall be used where each pipe crosses the base support member. Spacing of the base support members shall not exceed the hanger and support spacing required for any of the individual pipes in the multiple pipe run. The clips or clamps shall be rigidly connected to the common base member. A clearance of 1/8 inch shall be provided between the pipe and clip or clamp for all piping which may be subjected to thermal expansion.

3.12 ELECTRICAL BONDING AND GROUNDING

The gas piping system within the building shall be electrically continuous and bonded to a grounding electrode as required by NFPA 70. Conventional flange joints allow sufficient current flow to satisfy this requirement.

3.13 SHUTOFF VALVE

Main gas shutoff valve controlling the gas piping system shall be easily accessible for operation and shall be installed as indicated, protected from physical damage, and marked with a metal tag to clearly identify the

piping system controlled.

3.14 NOT USED

3.15 TESTING

Before any section of a gas piping system is put into service, it shall be carefully tested to assure that it is gastight. Prior to testing, the system shall be blown out, cleaned and cleared of all foreign material. Each joint shall be tested by means of an approved gas detector, soap and water, or an equivalent nonflammable solution. Testing shall be completed before any work is covered, enclosed, or concealed. All testing of piping systems shall be done with due regard for the safety of employees and the public during the test. Bulkheads, anchorage and bracing suitably designed to resist test pressures shall be installed if necessary. Oxygen shall not be used as a testing medium.

3.15.1 Pressure Tests

Before appliances are connected, piping systems shall be filled with air or an inert gas and shall withstand a minimum pressure of 3 pounds gauge for a period of not less than 10 minutes as specified in NFPA 54 without showing any drop in pressure. Oxygen shall not be used. Pressure shall be measured with a mercury manometer, slope gauge, or an equivalent device so calibrated as to be read in increments of not greater than 0.1 pound. The source of pressure shall be isolated before the pressure tests are made.

3.15.2 Not Used.

3.15.3 Test With Gas

Before turning gas under pressure into any piping, all openings from which gas can escape shall be closed. Immediately after turning on the gas, the piping system shall be checked for leakage by using a laboratory-certified gas meter, an appliance orifice, a manometer, or equivalent device. All testing shall conform to the requirements of NFPA 54. If leakage is recorded, the gas supply shall be shut off, the leak shall be repaired, and the tests repeated until all leaks have been stopped.

3.15.4 Purging

After testing is completed, and before connecting any appliances, all gas piping shall be fully purged. LPG piping tested using fuel gas with appliances connected does not require purging. Piping shall not be purged into the combustion chamber of an appliance. The open end of piping systems being purged shall not discharge into confined spaces or areas where there are ignition sources unless the safety precautions recommended in NFPA 54 are followed.

3.15.5 Labor, Materials and Equipment

All labor, materials and equipment necessary for conducting the testing and purging shall be furnished by the Contractor.

3.16 PIPE COLOR CODE MARKING

Color code marking of piping shall be as specified in Section 09900

PAINTING, GENERAL.

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SECTION 15200A

PIPELINES, LIQUID PROCESS PIPING
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 105/A 105M	(2001) Carbon Steel Forgings for Piping Applications
ASTM A 126	(1995; R 2001) Gray Iron Castings for Valves, Flanges, and Pipe Fittings
ASTM A 181/A 181M	(2001) Carbon Steel Forgings, for General-Purpose Piping
ASTM A 183	(1998) Carbon Steel Track Bolts and Nuts
ASTM A 193/A 193M	(2001a) Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service
ASTM A 194/A 194M	(2001) Carbon and Alloy Steel Nuts for Bolts for High-Pressure or High-Temperature Service or Both
ASTM A 307	(2000) Carbon Steel Bolts and Studs, 60 000 PSI Tensile Strength
ASTM A 36/A 36M	(2000a) Carbon Structural Steel
ASTM A 395/A 395M	(1988; R 1998)) Ferritic Ductile Iron Pressure-Retaining Castings for Use at Elevated Temperatures
ASTM A 47/A 47M	(1999) Ferritic Malleable Iron Castings
ASTM A 48	(1994ae1) Gray Iron Castings
ASTM A 513	(2000) Electric-Resistance-Welded Carbon and Alloy Steel Mechanical Tubing
ASTM A 53/A 53M	(2001) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A 536	(1984; R 1999e1) Ductile Iron Castings
ASTM A 576	(1990b; R 1995e1) Steel Bars, Carbon,

Hot-Wrought, Special Quality

ASTM A 858/A 858M	(2000) Heat-Treated Carbon and Steel Fittings for Low-Temperature and Corrosive Service
ASTM D 2609	(2000) Plastic Insert Fittings for Polyethylene (PE) Plastic Pipe
ASTM D 2657	(1997) Heat Fusion Joining Polyolefin Pipe and Fittings
ASTM D 2683	(1998) Socket-Type Polyethylene Fittings for Outside Diameter-Controlled Polyethylene Pipe and Tubing
ASTM D 3261	(1997) Butt Heat Fusion Polyethylene (PE) Plastic Fittings for Polyethylene (PE) Plastic Pipe and Tubing
ASTM D 3350	(1999) Polyethylene Plastics Pipe and Fittings Materials
ASTM D 3892	(1993; R 1998) Packaging/Packing of Plastics
ASTM F 1055	(1998) Electrofusion Type Polyethylene Fittings for Outside Diameter Controlled Polyethylene Pipe and Tubing
ASTM F 1056	(1997) Socket Fusion Tools for Use in Socket Fusion Joining Polyethylene Pipe or Tubing and Fittings
ASTM F 1290	(1998a) Electrofusion Joining Polyolefin Pipe and Fittings
ASTM F 402	(1993; R 1999) Safe Handling of Solvent Cements, Primers, and Cleaners Used for Joining Thermoplastic Pipe and Fittings

AMERICAN WATER WORKS ASSOCIATION(AWWA)

AWWA C104	(1995) Cement-Mortar Lining for Ductile-Iron Pipe and Fittings for Water
AWWA C110	(1998) Ductile-Iron and Gray-Iron Fittings, 3 In. Through 48 In. (75 mm through 1200 mm), for Water and Other Liquids
AWWA C111	(2000) Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
AWWA C151	(1996) Ductile-Iron Pipe, Centrifugally Cast, for Water or Other Liquids
AWWA C504	(1994) Rubber-Seated Butterfly Valves

AWWA C508 (1993; C508a) Swing-Check Valves for Waterworks Service, 2 In. (50 mm) Through 24 In. (600 mm) NPS

AWWA C550 (1990) Protective Epoxy Interior Coatings for Valves and Hydrants

ASME INTERNATIONAL (ASME)

ASME B16.1 (1998) Cast Iron Pipe Flanges and Flanged Fittings

ASME B16.11 (1996) Forged Fittings, Socket-Welding and Threaded

ASME B16.21 (1992) Nonmetallic Flat Gaskets for Pipe Flanges

ASME B16.28 (1994) Wrought Steel Buttwelding Short Radius Elbows and Returns

ASME B16.9 (1993) Factory-Made Wrought Steel Buttwelding Fittings

ASME B31.3 (1999) Process Piping

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25 (1998) Standard Marking System for Valves, Fittings, Flanges and Unions

MSS SP-58 (1993) Pipe Hangers and Supports - Materials, Design and Manufacture

MSS SP-69 (1996) Pipe Hangers and Supports - Selection and Application

MSS SP-89 (1998) Pipe Hangers and Supports - Fabrication and Installation Practices

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 325-1 (1994) Fire Hazard Properties of Flammable Liquids, Gases, and Volatile Solids

NFPA 49 (1994) Hazardous Chemicals Data

NFPA 704 (1996) Identification of the Fire Hazards of Materials for Emergency Response

THE SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC SP 6 (1994) Commercial Blast Cleaning

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910 Occupational Safety and Health Standards

1.2 UNIT PRICES

Measurement and payment will be based on completed work performed in accordance with the drawings, specifications and the contract payment schedules. No payment will be made under this section for excavation, trenching, or backfilling. Payment for such work will be made under Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

1.2.1 Measurement

The length of pipelines, for which payment will be made, shall be determined by measuring along the centerlines of the various piping systems and sizes as furnished and installed. Pipe shall be measured from the center of fitting to center of fitting and from center of main header to end of pipe. No deduction shall be made for the space occupied by valves or fittings.

1.2.2 Payment

Payment will be made at the price per linear foot listed in the bid form for the various types and sizes of piping, and will be full compensation for all pipes, joints, fittings and specialties, complete in place. Payment for valves and other appurtenances will be made at the respective contract unit price for each item complete in place. Payment will include the furnishing of all testing, plant, labor, and material and incidentals necessary to complete the work, as specified and as shown in contract documents.

1.3 SYSTEM DESCRIPTION

This specification covers the requirements for above and below grade liquid process pipe, pipe supports, fittings, equipment and accessories located both inside and outside of the intake structure pumphouse, including piping laid within the Missouri River dredge cut area for the intake/infiltration gallery. See paragraph 1.6 General Job Requirements for additional information.

1.3.1 Design Requirements

Support systems shall be selected and designed within the specified spans and component requirements. The absence of pipe supports and details on the contract drawings does not relieve the Contractor of responsibility for sizing and providing supports throughout the pumphouse.

1.3.2 Performance Requirements

The pressure ratings and materials specified represent minimum acceptable standards for piping systems. The piping systems shall be suitable for the services specified and intended. Each piping system shall be coordinated to function as a unit. Flanges, valves, fittings and appurtenances shall have a pressure rating no less than that required for the system in which they are installed.

1.3.2.1 Buried Piping Systems

Piping systems shall be suitable for design conditions, considering the piping both with and without internal pressure. Consideration shall be given to all operating and service conditions both internal and external to

the piping systems.

1.3.2.2 Above Grade and Pumphouse Piping Systems

Piping systems shall be suitable for design conditions, considering the piping both with and without internal pressure, and installation factors such as insulation, support spans, and ambient temperatures. Consideration shall be given to all operating and service conditions both internal and external to the piping systems.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Pipe and Equipment; G-ED

Equipment shop drawings and support system detail drawings showing piping systems and appurtenances, such as mechanical joints, valves, local indicators and hangers, including a complete list of equipment and materials. As-built drawings showing pipe anchors and guides, and layout of piping systems relative to other parts of the work including clearances for maintenance and operation. As-built piping and instrumentation diagrams (P&IDs) identifying and labeling equipment, instrumentation, valves, vents, drains, and all other inline devices; if the contract drawings contained P&IDs, the P&IDs found in the contract drawings shall be revised to reflect the constructed process system, as directed by the Contracting Officer.

SD-03 Product Data

Welders; G-RE

The names of all qualified welders, their identifying symbols, and the qualifying procedures for each welder including support data such as test procedures used, standards tested to, etc.

Waste Water Disposal; G-RE

The method proposed for disposal of waste water from hydrostatic tests, and all required permits, prior to performing hydrostatic tests.

Materials and Equipment; G-ED

Manufacturer's descriptive and technical literature for each piping system, including design recommendations; pressure and temperature ratings; dimensions, type, grade and strength of pipe and fittings; thermal characteristics (coefficient of expansion and thermal conductivity). This shall also include the air backwash filter piping material.

Installation; G-ED

The manufacturer's installation recommendations or instructions for each material or procedure to be utilized, including materials preparation. If dewatering is required for installation of the conveyance piping from the intake structures to the pump house, the method shall be defined within the dewatering plan required by Section 02315A. Connection details between the infiltration gallery and raw water intake piping and the conveyance piping shall be detailed and included within the submittal.

Pipe Schedule; G-RE

A list of piping systems, pressure ratings and source of supply for each piping system broken out by material, size and application as indicated on the contract drawings. A list of any special tools necessary for each piping system and appurtenances furnished for adjustment, operation, maintenance and disassembly of the system.

Valve and Operator Schedule; G-RE

A list of valve materials, pressure ratings, valve operator's materials, location, source of supply, and reference identification. A list of any special tools necessary for each valve type and appurtenances furnished for adjustment, operation, maintenance and disassembly.

SD-06 Test Reports

Manufacturer's engineering end load calculations for anchors in the intake piping systems.

Pipe Leakage Tests; G-RE

Hydrostatic Tests; G-RE

Pneumatic Tests; G-RE

Valve Testing; G-RE

Copies of all field test reports within 24 hours of the completion of the test.

SD-07 Certificates

Plastic Piping System; G-RE

Documentation certifying that the manufacturer of each thermoplastic piping system is listed with the Plastic Pipe Institute as meeting the recipe and mixing requirements of the resin manufacturer for the resin used to manufacture each of the respective thermoplastic pipe systems.

Contractor's Installation; G-RE

A statement signed by the plastic pipe manufacturer's representative certifying that the Contractor's personnel are capable of properly installing the piping system on the project.

SD-10 Operation and Maintenance Data

Piping and Appurtenances; G-ED

Six copies each of operation and maintenance manuals in indexed booklet form. Operation manuals shall detail the step-by-step procedures required for specialized startup, operation and shutdown of piping systems, and shall include the manufacturer's name, model number, parts list and brief description of piping equipment such as valves and other appurtenances and their basic operating features. Maintenance manuals shall list routine maintenance procedures and troubleshooting guides for the equipment, and shall include piping layout and valve locations.

1.5 QUALIFICATIONS

1.5.1 Contractor

Contractor shall have successfully completed at least 3 similar projects of the same scope and size or larger within the last 6 years. Contractor shall demonstrate specific experience in regard to the system installation to be performed.

1.5.2 Welders

The welding of pressure piping systems shall be in accordance with qualifying procedures using performance qualified welders and operators. Procedures and welders shall be qualified in accordance with Section 05093A WELDING PRESSURE PIPING. Structural members shall be welded in accordance with AWS D1.1.

1.6 GENERAL JOB REQUIREMENTS

Piping materials and appurtenances shall be as specified and as shown on the drawings, and shall be suitable for the service intended. Piping materials, appurtenances and equipment supplied as part of this contract shall be new and unused except for testing equipment. Components that serve the same function and are the same size shall be identical products of the same manufacturer. The general materials to be used for the piping systems are indicated by service in the contract drawings. Conveyance piping exterior to the pumphouse shall be either HDPE or ductile iron. The screened infiltration piping and raw water intake element shall be constructed of stainless steel as defined in paragraphs 2.4 and 2.5 within this specification section. Interior piping within the pumphouse shall be of ductile iron or welded steel pipe construction. The piping transition from the intake conveyance piping to the pumphouse piping shall occur within the pumphouse. Piping sleeves entering the pumphouse shall be provided with a Link-Seal or equal to prevent water intrusion into the pumphouse.

1.6.1 Components

Piping equipment and appurtenances shall be new products of equal material and ratings as the connecting pipe.

1.6.2 Standard Products

Material and equipment shall be the standard products of a manufacturer regularly engaged in the manufacturing of the products and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Nominal sizes for standardized products shall be used. Pipe, valves, fittings and appurtenances shall be supported

by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site.

1.6.3 Identification

Each piece of pipe shall bear the ASTM designation and all other markings required for that designation. Valves shall bear a securely attached tag with the manufacturer's name, valve model number, and valve identification permanently displayed and be marked in accordance with MSS SP-25.

1.7 DELIVERY, STORAGE AND HANDLING

Materials delivered and placed in storage shall be stored with protection from the weather, excessive humidity variation, excessive temperature variation, dirt, dust and/or other contaminants. Proper protection and care of material before, during and after installation is the Contractor's responsibility. Any material found to be damaged shall be replaced at the Contractor's expense. During installation, piping shall be capped to keep out dirt and other foreign matter. A material safety data sheet in conformance with 29 CFR 1910 Section 1200(g) shall be available for each chemical delivered for use in pipe installation. At a minimum, this includes all solvents, solvent cements, glues and other materials that may contain hazardous compounds. Handling shall be in accordance with ASTM F 402. Storage facilities shall be classified and marked in accordance with NFPA 704, with classification as indicated in NFPA 49 and NFPA 325-1. Materials shall be stored with protection from puncture, dirt, grease, moisture, mechanical abrasions, excessive heat, ultraviolet (UV) radiation damage, or other damage. Pipe and fittings shall be handled and stored in accordance with the manufacturer's recommendation. Plastic pipe shall be packed, packaged and marked in accordance with ASTM D 3892.

1.8 PROJECT/SITE CONDITIONS

1.8.1 Environmental Requirements

Buried piping at the site may be subject to corrosion from the surrounding soil. Testing and measurements shall be conducted in accordance with Section 13110A CATHODIC PROTECTION SYSTEM (SACRIFICIAL ANODE). Piping system design, supply and installation shall address the external corrosion conditions so indicated.

1.8.2 Verification of Dimensions

After becoming familiar with all details of the work, the Contractor shall verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing the work.

1.9 SEQUENCING AND SCHEDULING

For slab, floor, wall, and roof penetrations, the Contractor shall have onsite pertinent wall pipes and sleeves before they are required for placement in concrete forms. The Contractor shall verify and coordinate the size and location of building and structure pipe penetrations before forming and placing concrete.

PART 2 PRODUCTS

2.1 DUCTILE IRON PIPING SYSTEM

2.1.1 Ductile Iron Pipe

Ductile iron pipe for pressure service shall have a design and wall thickness conforming to AWWA C151. Ductile iron pipe shall have a standard cement lining conforming to AWWA C104.

2.1.2 Ductile Iron Joints

Joints shall have a working pressure rating for liquids equal to the pressure rating of the connected pipe. Mechanical joints shall conform to AWWA C110 and AWWA C111. Push-on type joints shall conform to AWWA C111. Flanged joints (for use within the pumphouse) shall conform with AWWA C110. Gaskets, glands, bolts, nuts and lubricants, shall be furnished with mechanical joints, push-on joints, and flanged joints in sufficient quantity for the complete assembly of each joint. Dielectric fittings or isolation joints shall be provided between all dissimilar metals.

2.1.2.1 Mechanical Joint

Glands shall be ductile or gray iron with an asphaltic coating. Gaskets shall be vulcanized synthetic rubber. Reclaimed rubber is not acceptable. For grooved shoulder piping, self-centering gasketed couplings designed to mechanically engage piping and lock in a positive watertight couple shall be used. Housings shall be composed of malleable iron, ASTM A 47/A 47M or ductile iron, ASTM A 536 and gaskets of molded synthetic rubber shall be used. Bolts and nuts shall be heat treated carbon steel, ASTM A 183, minimum tensile 110,000 psi. Mechanical joints shall have bolt holes oriented straddling the vertical centerline of the valves and fittings.

2.1.2.2 Push-on Joints

Push on joints shall be supplied complete with gasket and lubricant. Gaskets shall be compatible with joint design and comprised of vulcanized synthetic rubber, reclaimed rubber is not acceptable. Lubricant shall be specifically formulated for use with push-on joints and shall be non-toxic, odorless, tasteless and shall not support bacteria growth.

2.1.3 Ductile Iron Fittings

Fittings shall be gray iron ASTM A 48 or ductile iron AWWA C110. Up to 24 inches inclusive, the fittings shall be 150 psig rated. Gray iron fittings shall be cement mortar lined standard thickness. Flanges and flanged fittings shall conform to AWWA C110 or ASME B16.1 and shall be rated for 150 psig service. Materials shall be ductile iron or gray iron. For tie-in to existing flanges, the Contractor shall field check existing flanges for nonstandard bolt hole configurations and shall design as required to assure new pipe and flange mate properly. Bolts and nuts shall be carbon steel conforming to ASTM A 307, Grade B. Bolts shall be provided with washers of the same material as the bolts. Gaskets shall be full face, maximum 0.125 in thick, or as recommended by the manufacturer.

2.1.4 Corrosion Control

Ductile iron piping shall be [coated with the manufacturer's standard asphaltic coating, approximately 1 mil mil thick, applied to the outside of pipe and fittings. Buried pipe shall be coated and wrapped, and provided with cathodic protection in accordance with Section 13110A CATHODIC PROTECTION SYSTEM (SACRIFICIAL ANODE).

2.2 CARBON STEEL PIPING SYSTEM

2.2.1 Carbon Steel Pipe

2.2.1.1 General Service

Carbon steel pipe shall meet the requirements of ASTM A 53/A 53M seamless, buttwelded, Grade A, Schedule 20 or standard weight class and shall be painted in accordance with Pipe Schedule.

2.2.2 Carbon Steel Joints

Carbon steel piping shall be joined by welding fittings. Dielectric fittings or isolation joints shall be provided between all dissimilar metals.

2.2.3 Carbon Steel Fittings

Fittings shall be carbon steel. Where cast fittings are not available, segmental welded steel fittings, ASTM A 53/A 53M, Grade B, meeting the requirements of manufacturer's recommended wall thicknesses shall be fabricated.

2.2.3.1 Welding Fittings

Welding fittings shall be by butt-welding. Welding fittings shall be forged steel, ASTM A 105/A 105M Class 150 low-carbon steel, ASTM A 858/A 858M seamless, or welded conforming to ASME B16.9, ASME B16.28, or ASME B16.11, as defined by the manufacturer.

2.2.4 Carbon Steel Coatings

Carbon steel piping components shall be coated with corrosion resistant materials. Coatings and finishes shall be 100 percent holiday free.

2.2.4.1 Silicone Coating

Carbon steel piping surfaces shall be prepared in accordance with SSPC SP 6. The surfaces shall have an alkyd primer of 2.5 mils dry film thickness followed by two alkyd modified silicone final coats.

2.2.5 Carbon Steel Cathodic Protection

Buried ferrous piping shall have cathodic protection.

2.3 PLASTIC PIPING SYSTEM

2.3.1 Polyethylene (PE)

2.3.1.1 PE Pipe

The pipe shall be extruded from PE, ASTM D 3350 with a minimum cell classification of 345434-C. The pipe shall be SDR 17 with a pressure rating of 100 psig at 73 degrees F.

2.3.1.2 PE Joints

PE pipe shall be joined by thermal butt-fusion, socket heat fusion and/or

socket electrofusion, except where connecting to valves and equipment that may require future disassembly, then joints shall be flanged.

2.3.1.3 PE Fittings

PE fittings shall have the same or higher pressure rating as the pipe when installed in accordance with the latest technical specifications. PE fittings shall be molded. Butt-fusion fittings shall conform to ASTM D 3261.

Socket-fusion fittings shall conform to ASTM D 2683 with tools meeting the requirements of ASTM F 1056. Insert fittings shall conform to ASTM D 2609.

- a. Couplings. Couplings and saddle joints shall be joined by electrofusion in accordance with ASTM F 1055.
- b. Flanged Fittings. Flanges and flanged fittings shall be complete with one-piece, molded PE stub ends. Flanged connections shall have the same pressure rating as the pipe or greater. Bolting shall be stainless steel, ASTM A 193/A 193M, hex head bolts and ASTM A 194/A 194M, hex head nuts. Bolts shall be provided with washers of the same material as the bolts. Gaskets shall be full-faced, as recommended by the manufacturer in accordance with ASME B16.21.

2.3.2 Air Backwash Filter Piping

The four inch diameter air backwash filter piping material shall be Schumasoil high density porous polyethylene manufactured by Johnson Screens, or engineer approved equal. The screen shall provide a homogeneous 40-micron pore size, with 42% porosity. The outside diameter of the piping shall be approximately 4.9 inches, with an inside diameter of 3.7 inches. The screen element shall be made from pure polyethylene beads formed into pipe by a sintering process to provide a uniform pore size.

Materials and Fittings: The five or ten foot screen lengths shall be provided with an ASTM F480 flush thread, cap, or standard male pipe thread to enable connection to adjacent screen elements and PVC threaded elbows.

Storage and Handling: All air filter backwash piping materials shall be protected from direct sunlight. Material shall be stored on a flat, even, surface. Do not stack other material on top of the filter piping.

2.4 INFILTRATION GALLERY BURIED SCREEN MATERIAL

The buried infiltration gallery screen material shall 20 inch diameter, and shall be constructed of 304 stainless steel. The gallery screen material shall be 20 PS nominal slot wire-wrapped, designed to withstand a minimum collapse pressure of 29 psi for a 0.075 inch slot opening. The surface wrap-wire height shall be 0.22 inches to provide the desired collapse strength. The wrap-wire face width shall be of minimum dimension to provide 33.3 percent open area at the anticipated 75 slot opening. The infiltration gallery screens supplied shall be manufactured by US Filter/Johnson Screens or engineer approved equal.

The minimum screen tensile strength must exceed at least twice the total weight of the screen and any standard wall blank casing suspended below the top screen joint. In order to provide this screen support, rods shall have a minimum cross sectional area of 1.814 square inches. Screens shall be manufactured in various lengths complete with 20 PS 304 stainless weld rings attached to each end. The weld rings shall be standard available

lengths as requested by the contractor and approved by the engineer. The screen section ends shall be square to 0.100 inch over the first two feet of the assembly, and the assembly straight to within 0.200 inches over any ten foot length of section. Screen barrels shall be provided in standard lengths which exclude the weld ring lengths.

The TRANSMITTING CAPACITY of the screen shall allow the velocity through the screen to be 0.1 feet per second. Axial velocity inside the screen shall be less than 3 feet per second, or head loss less than 1.0 foot.

The Contractor shall submit for approval the connection detail between the infiltration gallery screen elements and the conveyance piping to the pumphouse.

2.5 RAW WATER INTAKE PIPING

The raw water intake screen shall be of all-welded, continuous slot profile wire construction to provide the maximum open area commensurate with the strength requirements listed below. The inlet slots shall widen inwardly from the screen surface so as to minimize the entrapment of debris in the screen openings. The design shall include low head loss flow field control.

CAPACITY: The screen assembly capacity shall be 12.5 cubic feet per second at a maximum approach velocity of 0.45 feet per second for subcarangiform fish protection or 0.125 feet per second for anguilliform fish protection. At 5500 gpm, the pressure drop through the clean screen surface shall not exceed 0.11 psi.

STRENGTH: The screen shall withstand a differential hydrostatic collapse pressure in excess of 10 psi. Maximum allowable design stress when determining strength shall be 25,000 psi. Strength calculations verifying compliance with these criteria shall be provided upon request.

CONSTRUCTION: The surface wire shall be profile wire of 0.07 inch head width. The surface wire, support rod, and stiffener structure shall be of all welded construction designed to provide the specified strength with minimal interference with the through-screen flow pattern. All structural butt welds shall be full penetration; structural fillet weld size shall be the thickness of the thinner component. An internal flow field modulator shall distribute flow evenly across the entire screen area.

SLOT: The screen slot size shall be 0.060 inches. The open area for this slot opening shall be 53.45 percent. Slot size shall be controlled and continuously monitored during manufacture. No slot opening in the assembly shall exceed the designed slot opening by more than 0.007 inches.

MATERIALS and FITTINGS: The screen assembly shall be manufactured of stainless steel throughout. The outlet flange shall match with the flange pattern equal to AWWA Class D. The raw water intake screen shall be manufactured by US Filter/Johnson Screens, or engineer approved equal.

The raw water intake screen element shall be provided with an air backwash connection to be used to remove solid particulates from the element. Connection and installation of the air piping shall be defined within the Contractor's installation workplan.

2.6 ISOLATION JOINTS AND COUPLINGS

2.6.1 Isolation Joints

Isolation joints shall be provided between nonthreaded ferrous and nonferrous metallic pipe fittings and valves. Isolation joints shall consist of an isolation gasket of the dielectric type, isolation washers and isolation sleeves for flange bolts. Isolation gaskets shall be full faced with an outside diameter equal to the flange outside diameter. Bolt isolation sleeves shall be full length. Units shall be of a shape to prevent metal-to-metal contact of dissimilar metallic piping elements.

2.6.2 Sleeve-Type Couplings

Sleeve-type couplings shall be used for joining plain end pipe sections in a flexible manner with a diameter to properly fit the pipe. A coupling shall consist of one steel or ductile iron middle ring, two steel or ductile iron followers, two elastomeric section gaskets and elliptic-neck, track-head steel bolts designed to properly compress the gaskets. For pipe sizes 2 inches and larger, the followers shall be ASTM A 395/A 395M, and the middle ring shall be ASTM A 513 or ASTM A 395/A 395M with AWWA C111 bolting. Gaskets shall be as required by the manufacturer.

2.6.3 Transition Couplings

Transitional couplings may be used to connect two pipes of the same material that have small differences in outside diameter. A fully assembled transitional coupling shall be sized to properly fit pipe diameters. The coupling shall consist of one steel or ductile iron middle ring, two steel or ductile iron followers, two elastomeric section gaskets and elliptic-neck, track-head steel bolts designed to properly compress the gaskets. The coupling shall use gaskets as recommended by the manufacturer. The coupling shall be sized to match the associated piping.

2.6.4 Flanged Coupling Adapters

The flanges shall mate with flanges of the same nominal size. A factory applied corrosion resistant coating shall be applied. The coupling shall use gaskets as recommended by the manufacturer. Where pipe movement out of the adaptor may occur, proper anchorage of the pipe shall be provided. The coupling shall be sized to match the associated piping.

2.12.4 Couplings for Nonmetallic Piping

2.12.4.1 Bellows Coupling

A bellows coupling shall have a flanged face drilled to Class 125 end connections, and metal reinforcing bands. The maximum allowable working pressure shall be 100 psig at 73 degrees F. Bolting shall be limited to restrain the force developed by 1.5 times the specified maximum allowable operating pressure. The coupling shall be sized to match the associated piping.

2.7 VALVES

2.7.1 General Requirements For Valves

Valves shall include operator, actuator, handwheel, chain wheel, extension stem, floor stand, worm and gear operator, operating nut, chain, wrench,

and all other accessories required for a complete operation. The valves shall be suitable for the intended service. Renewable parts are not to be of a lower quality than those specified. Valve ends shall be compatible with adjacent piping system. An operator shall be sized to operate the associated valve for the full range of pressures and velocities. Valves will open by turning counterclockwise. Operators, actuators, and accessories shall be factory mounted.

2.7.2 Factory Finishing

Valves shall have an epoxy coating in accordance with AWWA C550 unless otherwise specified. The epoxy coating shall have a minimum 7.0 mils dry film thickness except where it is limited by valve operating tolerances.

2.7.3 Check Valves

2.7.3.1 Swing Check Valves

Swing check valves shall conform to the following:

Swing check valves, 2 inches through 36 inches, shall conform to AWWA C508, and have flanged connections. Valves shall have a cast iron, ductile iron, carbon steel body, bronze-mounted disc, solid bronze or ductile iron hinges, and a stainless steel hinge shaft. Valves 14 inches through 36 inches shall be rated for 150 psig service at 140 degrees F. Valves shall be fitted with an adjustable outside lever and spring or weight. An increasing-pattern body valve may be used where increased outlet piping size is shown.

2.7.4 Plug Valves

2.7.4.1 Lined Eccentric Valve

Nonlubricated type eccentric valves, 3 inches through 54 inches, shall be rated for 125 psig service at 140 degrees F. Valves shall have drip-tight shutoff with pressure from either direction, and cast iron, bronze, carbon steel or stainless steel bodies with flanged end connections. Plugs shall be cast iron with round or rectangular ports of no less than 80 percent of the connecting pipe area. Valves shall have stainless steel or nickel seats, self-lubricating stem bearings, and stem seals. Valves 6 inches and smaller shall have a wrench lever manual operator and valves 8 inches and larger shall have a totally enclosed, geared, manual operator with handwheel, 2-inch nut, or chain wheel.

2.7.5 Butterfly Valves

2.7.5.1 Standard Service Butterfly Valve

Butterfly valves, 2 inches and larger, shall have ASTM A 126 cast iron, ductile iron, or carbon steel bodies, with flanged end connections. Valves shall conform to AWWA C504 Class 125. Discs shall be contoured ASTM A 536 Grade 65-45-12 ductile iron, stainless steel, or bronze. The valve shafts shall be stainless steel with self-lubricating, corrosion-resistant sleeve type bearings. Valves shall have manual, locking hand lever, hand wheel or chain wheel operators.

2.7.6 Air Release Valve

Air release valves shall be provided as shown on sheet U4.01 of the drawing package. Air release valves shall be located on the suction side of the five pumping units provided within the pump house. The air release valve shall be designed to vent air entrained within the water column. The air release valve shall be as recommended by the pump manufacturer, and shall be provided with a cast iron body and cover, with stainless steel float and brass-stainless or Buna-N seat. The needle and linkage shall be constructed of stainless steel.

2.7.7 Operators

2.7.7.1 Manual Operator

The force in a manual operator shall not exceed 39.3 pound under any operating condition, including initial breakaway. The operator shall be equipped with gear reduction when force exceeds 39.3 pound. The manual operator shall be a self-locking type or shall be equipped with a self-locking device. A position indicator shall be supplied on quarter-turn valves. Worm and gear operators shall be a one-piece design with worm-gears of gear bronze material. Worm shall be hardened alloy steel with the thread ground and polished. Traveling nut type operators shall have threader steel reach rods with an internally threaded bronze or ductile iron nut.

2.7.8 Valve Accessories

2.7.8.1 Tagging

Identification tags made of stamped stainless steel indicating service and valve number shown on the Operator Schedule and Valve Schedule in the contract drawings shall be installed on valves using stainless steel wire.

2.7.8.2 Chain Wheel and Guide

A chain wheel and guide shall be the handwheel direct-mount type, complete with galvanized or cadmium-plated chain.

2.8 MISCELLANEOUS PIPING COMPONENTS

2.8.1 Indicating Devices

2.8.1.1 Pressure and Vacuum Gauges

Pressure and vacuum gauges shall be stem mounted, with aluminum cases and glycerine-filled dials. The dials of the gauges shall be 4.5 inches in diameter with scale readings in psig ranging from zero to approximately twice the anticipated process operating or equipment pressure.

2.8.2 Expansion Joints

The Contractor shall provide all structural work and equipment required to control expansion and contraction of piping. The Contractor shall verify that the anchors, guides, and expansion joints provided, adequately protect the piping systems.

2.8.2.1 Expansion Joint for Metallic Pipe

The expansion joint shall be sized to match the associated piping. The maximum allowable working pressure shall be 100 psig at 120 degrees F. End connections shall be as specified for the associated pipe joints. Required accessories for a complete assembly shall be provided.

2.8.2.2 Expansion Joint for Nonmetallic Piping

A bellows expansion joint shall be used. The maximum allowable working pressure shall be 100 psig at 120 degrees F. Bolting shall be limited to restrain the force developed by 1.5 times the specified maximum allowable operating pressure. The expansion joint shall be sized to match the associated piping.

2.9 PIPE SUPPORTS AND PENETRATIONS

Auxiliary steel shall be provided by the Contractor where the support of piping systems and equipment is required between building structural elements. Light gauge and structural steel shapes shall conform to the requirements of ASTM A 36/A 36M. .

2.9.1 Pipe Supports

Pipe supports shall conform to the requirements of MSS SP-58, MSS SP-69, and MSS SP-89. Where pipe supports contact bare piping or in-line devices, provide supports of compatible material so that neither shall have a deteriorating action on the other.

2.9.1.1 Beam Clamps

For upper attachments on structural steel, the Contractor shall provide beam clamps of ASTM A 36/A 36M carbon steel or ASTM A 181/A 181M forged steel and MSS SP-58 Types 19 through 23, 25 or 27 through 30. Holes drilled in structural steel for hanger support rods will not be permitted. Clamps shall be provided with hardened steel cup-point set screws and lock-nuts for anchoring in place. Clamp size selection shall only be based on the support of the required load.

2.9.1.2 Riser Clamps

Vertical runs of piping shall be supported at each floor, or closer where required, with ASTM A 36/A 36M carbon steel clamps bolted around pipes and attached to the building construction.

2.9.1.3 Brackets

Where piping is run adjacent to walls or steel columns, the Contractor shall provide welded ASTM A 36/A 36M steel brackets, pre-punched with a minimum of two fastener holes.

2.9.1.4 Offset Pipe Clamp

Where pipes are indicated as offset from wall surfaces, a double-leg design two-piece pipe clamp shall be supplied by the Contractor.

2.9.1.5 Racks

Multiple pipe racks or trapeze hangers shall be fabricated from ASTM A 36/A

36M steel, and designed to suit the conditions at the points of installation. Pipes shall be kept in their relative positions to each other by the use of clamps or clips. Pipelines subject to thermal expansion must be free to slide or roll.

2.9.1.6 Hangers

Hangers shall be fabricated of malleable iron, ASTM A 47/A 47M or ASTM A 36/A 36M carbon steel. All hangers shall be of a uniform type and material for a given pipe run and application. Coated or plated hangers shall be used to isolate steel hangers from dissimilar metal tube or pipe. Hangers for pipe sizes 2.5 inches or larger shall incorporate a means of vertical adjustment after erection while supporting the load. For piping systems with liquid temperatures up to 122 degrees F the following shall be used: MSS SP-58 Types 1,3 through 12, Types 24 and 26 with overhead support, or Types 35 through 38 with support from below.

2.9.1.7 Hanger Rods

Hanger rods shall be carbon steel conforming to ASTM A 576.

2.9.2 Pipe Guides

2.9.2.1 Intermediate Guides

For piping 6 inch and smaller, a pipe clamp with an oversize pipe sleeve shall be provided for a minimum 0.16 inch clearance. For piping 8 inch and larger, U-bolts with double nuts that are manufactured for the purpose shall be used to provide a minimum 0.28 inch clearance around pipe.

2.9.2.2 Alignment Guides

For piping, 8 inch and smaller, alignment guides shall be spider or sleeve type. For piping, 10 inch and larger, alignment guides shall be roller type guides.

2.9.3 Wall Penetrations

2.9.3.1 Below Grade Wall Penetrations

Below-grade wall penetrations shall be provided with hydrostatic seals designed to seal opening between pipe or conduit and a through-structure opening. The seals shall be modular mechanical type consisting of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe and wall opening.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 Protection

Pipe and equipment openings shall be closed with caps or plugs during installation. Equipment shall be protected from dirt, water, and chemical or mechanical damage.

3.1.2 System Preparation

3.1.2.1 Pipe and Fittings

Pipe and fittings shall be inspected before exposed piping is installed or buried piping is lowered into the trench. The Contractor shall clean the ends of pipes thoroughly, remove foreign matter and dirt from inside of pipes, and keep piping clean during and after laying.

3.1.2.2 Damaged Coatings

The Contractor shall repair damaged coating areas in the field with material equal to the original coating. The Contractor shall not install damaged piping materials.

3.1.2.3 Field Fabrication

The Contractor shall notify the Contracting Officer at least 2 weeks prior to the field fabrication of pipe or fittings and at least 3 days prior to the start of any surface preparation or coating application work. Field welding shall be performed in accordance with Section 05093A WELDING PRESSURE PIPING. Welding electrodes shall be provided as required for the applicable base metals and welding process. Fabrication of fittings shall be performed in accordance with the manufacturer's instructions.

3.2 EXPOSED PIPING INSTALLATION

Exposed piping shall be run as straight as practical along the alignment shown on the contract drawings and with a minimum of joints. Piping and appurtenances shall be installed in conformance with reviewed shop drawings, manufacturer's instructions and ASME B31.3. Piping shall be installed without springing or forcing the pipe.

3.2.1 Anchors and Fasteners

Impact expansion (hammer and explosive charge drive-type) anchors and fastener systems are not acceptable. Lead shields, plastic or fiber inserts, and drilled-in plastic sleeve/nail drive systems are also not acceptable.

3.2.1.1 Drilled-In Expansion Anchors and Fasteners

The anchor/fastener assembly shall be UL listed with a one-piece stud (bolt) that has integral expansion wedges, nuts and washers. [The stud shall be constructed of TP304 stainless steel, and nut and washer of TP304 stainless steel. The anchor length, diameter, and embedment depth shall meet the manufacturer's requirements for the maximum allowable working load of the application.

3.2.1.2 Drilled-In Adhesive Anchors

Drilled-in adhesive anchors shall not be used for overhead applications. The anchors shall be composed of an anchor rod assembly and an anchor rod adhesive cartridge. The anchor rod assembly shall be a chamfered and threaded stud rod of zinc plated ASTM A 36/A 36M steel with a nut and washer of ASTM A 194/A 194M alloy-steel. The anchor length, diameter, and embedment depth shall meet the manufacturer's requirements for the maximum allowable working load of the application. The adhesive cartridge shall be a sealed capsule containing premeasured amounts of resin, quartz sand aggregate, and a hardener contained in a separate vial within the capsule. The capsule ingredients shall be activated by the insertion procedure of the anchor rod assembly.

3.2.2 Piping Expansion Provisions

The piping shall be installed to allow for thermal expansion resulting from the difference between installation and operating temperatures. Anchors shall be installed as shown in the contract drawings to withstand expansion thrust loads and to direct and control thermal expansion. An intermediate pipe guide shall be installed for every pipe at each metal channel framing support not carrying an anchor or alignment guide. Where pipe expansion joints are required, pipe alignment guides shall be installed adjacent to the expansion device and within four pipe diameters. Expansion devices shall be installed in accordance with the manufacturer's instructions and at the locations shown in the contract drawings.

3.2.3 Piping Flexibility Provisions

Thrust protection shall be provided as required. Flexible couplings and expansion joints shall be installed at connections to equipment, and where shown on the contract drawings. Additional pipe anchors and flexible couplings beyond those shown on the contract drawings, shall be provided to facilitate piping installation, in accordance with reviewed shop drawings.

3.2.4 Couplings, Adapters and Service Saddles

Pipes shall be thoroughly cleaned of oil, scale, rust, and dirt in order to provide a clean seat for gaskets. Gaskets shall be wiped clean prior to installation. Flexible couplings and flanged coupling adapter gaskets shall be lubricated with the manufacturer's standard lubricant before installation on the pipe ends. Couplings, service saddles, and anchor studs shall be installed in accordance with manufacturer's instructions. Bolts shall be tightened progressively, drawing up bolts on opposite sides a little at a time until all bolts have a uniform tightness. Torque-limiting wrenches shall be used to tighten bolts.

3.2.5 Piping Equipment/Component Installation

Piping components and indicators shall be installed in accordance with manufacturer's instructions. Required upstream and downstream clearances, isolation valves, and miscellaneous devices shall be provided for an operable installation.

3.2.5.1 Local Indicators

All direct-reading indicator devices and pressure gauges shall be installed so that they can be easily read from floor level, and are readily accessible for maintenance and service. Pressure gauges shall be installed where indicated in the contract drawings. Field calibration of all indicators shall be performed at time of installation to ensure measuring and reading accuracy. Pressure gauges as defined in paragraph 2.8.1.1. shall be installed across all process equipment, in accordance with the manufacturer's recommendations, and arranged for easy observation.

3.2.6 Pipe Flanges

Pipe flanges shall be set level, plumb, and aligned. Flanged fittings shall be installed true and perpendicular to the axis of the pipe. The bolt holes shall be concentric to the centerline of the pipe.

3.2.7 Valve Locations

Valves shall be located in accordance with the contract drawings where actuators are shown. Where actuators are not shown, valves shall be located and oriented to permit easy access to the valve operator, and to avoid interferences.

3.2.8 Plastic Pipe Installation

All plastic pipe shall be cut, made up, and installed in accordance with the pipe manufacturer's recommendations. Heat joining shall be performed in accordance with ASTM D 2657. Electrofusion joining shall be performed in accordance with ASTM F 1290. Pipe shall not be laid when the temperature is below 40 degrees F, nor above 90 degrees F when exposed to direct sunlight. Any plastic pipe installed above grade and outdoors shall be ultraviolet (UV) protected or UV resistant. The pipe ends that are to be joined shall be shielded from direct sunlight prior to and during the laying operation. Adequate ventilation shall be provided when working with pipe joint solvent cement and the handling of solvent cements, primers and cleaners shall be in accordance with ASTM F 402. The Contractor shall provide and install supports and hangers in accordance with the manufacturer's recommendations. Where plastic pipe is subjected to severe temperature fluctuations, provisions for expansion and contraction must be provided. This shall be accomplished with the use of expansion joints and offset piping arrangements. All lines shall be hydrostatically tested at the maximum operating pressures.

3.2.9 Air Backwash Filter Piping Installation

The air backwash filter piping shall be installed within six inches of the crown of the intake screen elements, as detailed in the contract drawings, sheet U8.01. Installation method for placement of the air backwash filter piping shall be detailed within the Contractor's installation workplan, as called for in section 02316a.

3.3 BURIED PIPE PLACEMENT

3.3.1 Excavation and Backfilling

Earthwork shall be performed as specified in Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Backfilling shall be accomplished after inspection by the Contracting Officer. The Contractor shall exercise care when lowering pipe into the trench to prevent damage or twisting of the pipe. If dewatering is required for installation of the conveyance piping, the dewatering plan shall be as defined in section 02315A.

3.3.2 Fittings

At valves and connections, the trench bottom shall be dug out with sufficient length, width, and depth to ensure clearance between the undisturbed trench bottom and the valves and such connections.

3.3.3 Thrust Restraint

Thrust restraint devices are generally not shown in the contract drawings; their absence will not relieve Contractor of the responsibility for providing them as required to provide complete systems for the use intended. The Contractor shall provide thrust blocks and ties where

required, whether or not shown on the contract drawings. At a minimum, thrust restraint shall be provided at pipeline tees, plugs, caps, bends, and other locations where unbalanced forces exist.

3.3.3.1 Thrust Blocks

Thrust blocking shall be concrete of a mix not leaner than 1 cement, 2.5 sand and 5 gravel, and have a compressive strength of not less than 2000 psi after 28 days. Blocking shall be placed between solid ground and the fitting to be anchored. Unless otherwise indicated or directed, the base and thrust bearing sides of the thrust blocks shall be poured against undisturbed earth. The sides of thrust blocks not subject to thrusts may be poured against forms. The area of bearing shall be as shown or directed. Blocking shall be placed so that fitting joints shall be accessible for repair. Steel rods and clamps, protected by galvanizing or a coating of bituminous paint shall be used to anchor vertical down bends into gravity thrust blocks.

3.3.4 Marking Tape

Pipe marking tape shall be provided and installed in accordance with the requirements of Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.3.5 Plastic Pipe Installation

Plastic pipe shall be cut, fabricated, and installed in strict conformance with the pipe manufacturer's recommendations. Offset loops from the trench centerline shall be as recommended by the manufacturer for the maximum temperature variation between the pipe temperature at the time of solvent welding and operating temperature. Flexible plastic pipe connected to heavy fittings, and rigid structures shall be supported in such a manner that no subsequent relative movement between the plastic pipe at the flanged joint and the rigid structures is possible. Thrust blocking shall not be used for flexible plastic piping. The piping shall be designed and installed to withstand the compression and expansion forces imposed by the trench conditions.

3.4 CONNECTING DISSIMILAR PIPE

Flexible transition couplings, dielectric fittings and isolation joints shall be installed in accordance with the manufacturer's instructions.

3.5 EXTERNAL CORROSION PROTECTION

Protect all pipe and piping accessories from corrosion and adverse environmental conditions.

3.5.1 Underground Metallic Piping

Buried metallic piping shall be protected from corrosion using protective coatings and cathodic protection. Cathodic Protection shall be provided for metallic underground piping systems as specified in Section 13110A CATHODIC PROTECTION SYSTEM (SACRIFICIAL ANODE). Where dissimilar metals are joined underground, gas-tight isolation joints shall be used.

3.5.2 Above Grade Metallic Piping

Nonferrous and stainless steel piping shall not be painted except for

aluminum alloy piping. Where dissimilar metals are joined, isolation joints shall be used.

3.5.2.1 Ferrous Piping

Shop primed surfaces shall be touched up with ferrous metal primer. Surfaces that have not been shop primed shall be solvent cleaned. Surfaces that contain loose rust, mill scale or other foreign substances shall be mechanically cleaned by commercial sand blasting conforming to SSPC SP 6 and primed with a ferrous metal primer. Primed surfaces shall be finished with two coats of paint in accordance with Section 09900 PAINTING, GENERAL.

3.6 FLEXIBLE JOINTS AT CONCRETE STRUCTURES

Flexible joints shall be provided at the face of all structures, whether or not shown on the contract drawings. Rubber ring joints, mechanical joints, flexible couplings, and proprietary restrained ductile iron pipe joints shall be considered flexible joints; welded pipe joints shall not. Joints may be flush with the structure face or may be located up to 1 pipe diameter away from face. For pipelines larger than 18 inches in diameter the first joint shall be within 1 pipe diameter.

3.7 CLOSURES

Closure pieces shall be installed as necessary to end pipe runs and shall conform to ASME B16.9 or ASME B16.11. Elastomer sleeves bonded to pipe ends are not acceptable. Pressure piping shall have closures of butt-welded caps or blind flanges, with thickness matching the nominal wall thickness of the associated pipe, unless otherwise shown on contract drawings or approved by the Contracting Officer.

3.8 PENETRATIONS

For below grade, or in submerged and damp environments, steel pipe sleeves shall be lined and coated as specified in Section 09900 PAINTING, GENERAL. Embedded metallic piping shall be isolated from concrete reinforcement using coated pipe penetrations. Coatings shall be as specified in Section 09900 PAINTING, GENERAL. Wall pipes shall be securely supported by form work to prevent contact with reinforcing steel and tie-wires. Joints shall be sealed with a wall penetration seal.

3.9 VALVE INSTALLATION

Flanged valve bolt holes shall be installed so as to straddle the vertical centerline of pipe. Flanged faces shall be cleaned prior to inserting the gasket and bolts, and then the nuts shall be tightened progressively and uniformly. Threaded ends shall have the threads cleaned by wire brushing or swabbing prior to installation.

3.9.1 Valve Orientation

The operating stem of a manual valve shall be installed in a vertical position when the valve is installed in horizontal runs of pipe having centerline elevations 4.5 feet or less above finished floor, unless otherwise shown on contract drawings. The operating stem of a manual valve shall be installed in a horizontal position in horizontal runs of pipe having centerline elevations between 4.5 feet and 6.75 feet above finish floor, unless otherwise shown on contract drawings.

3.9.1.1 Butterfly Valves

Orientation of butterfly valves shall take into account changes in pipe direction. Valve shafts shall be oriented so that unbalanced flows caused by pipe direction changes or other disturbances are equally divided to each half of the disc.

3.9.1.2 Plug Valves

If a plug valve seat position is not shown in the contract drawings, locate the seat position as follows: for horizontal flow, the flow shall produce an "unseating" pressure, and the plug shall open into the top half of valve; and for vertical flow, the seat shall be installed in the highest portion of the valve.

3.9.2 Chain Wheel and Guide

Chain wheel and guide assemblies or chain lever assemblies shall be installed on manually operated valves located over 6.7 feet above finished floor elevation. Where chains hang in normally traveled areas, appropriate tie-back anchors shall be used.

3.10 PIPING SUPPORT SYSTEMS INSTALLATION

The absence of pipe supports and details on the contract drawings shall not relieve the Contractor of responsibility for sizing and providing supports throughout plant.

3.10.1 General Support Requirements

Pipe support systems shall meet the requirements of MSS SP-58. Contractor-designed and selected support systems shall be installed in accordance with MSS SP-69, and as specified herein. Piping connections to equipment shall be supported by pipe supports and not off the equipment. Large or heavy valves, fittings, and/or equipment shall be supported independently of associated piping. Pipes shall not be supported off other pipes. Supports shall be provided at piping changes in direction or in elevation, adjacent to flexible joints and couplings, and where otherwise shown on the contract drawings. Pipe supports and hangers shall not be installed in equipment access areas or bridge crane runs. Hanging pipes shall be braced against horizontal movement by both longitudinal and lateral sway bracing. At each channel type support, every pipe shall be provided with an intermediate pipe guide, except where pipe anchors are required. Existing support systems may be used to support additional new piping only if the Contractor can demonstrate that the existing support systems are adequate for the additional loads, or if the existing systems are strengthened to support the additional loads. Pedestal type pipe supports shall be provided under base flanges adjacent to rotating equipment and where required to isolate vibration.

3.10.2 Dielectric Barriers

Dielectric barriers shall be installed between supports and stainless steel piping, and between stainless steel supports and non-stainless steel ferrous piping.

3.11 PIPE IDENTIFICATION, PAINTING AND COLOR CODING

Color, coating, and lettering requirements for exposed piping shall be in accordance with Section 09900 PAINTING, GENERAL.

3.12 FIELD QUALITY CONTROL

3.12.1 Hydrostatic Tests

Where any section of a pipeline is provided with concrete thrust blocking for fitting, the hydrostatic tests shall not be made until at least 5 days after the installation of the concrete thrust blocking, unless otherwise approved by the Contracting Officer.

3.12.1.1 Buried Piping

After the pipe is laid, the joints completed and the trench partially backfilled leaving the joints exposed for examination, the newly laid piping or any valved section of piping shall, unless otherwise specified, be subjected for 1 hour to a hydrostatic test pressure of 100 psig. Each valve shall be opened and closed several times during the test. Exposed pipe, joints, fittings, and valves shall be carefully examined during the partially open trench test. Joints showing visible leakage shall be replaced as necessary. Defective pipe, joints, fittings, and valves found during the pressure test shall be removed and replaced with new material, and the test repeated until the test results are satisfactory. The requirement for the joints to remain exposed for the hydrostatic tests may be waived by the Contracting Officer when one or more of the following conditions are encountered: (1) wet or unstable soil conditions in the trench; (2) compliance would require maintaining barricades and walkways around and across an open trench in a heavily used area that would require continuous surveillance to assure safe conditions; or (3) maintaining the trench in an open condition would delay completion of the Contract. The Contractor may request a waiver, setting forth in writing the reasons for the request and stating the alternative procedure proposed to comply with the hydrostatic tests. Backfill placed prior to the tests shall be placed in accordance with the requirements of Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.12.1.2 Exposed Piping

Hydrostatic testing shall be conducted in accordance with ASME B31.3. Piping systems shall be tested under normal service conditions to demonstrate compliance. The test pressure shall not be less than 1.5 times the maximum pressure rating of the lowest rated component in the piping system. Water shall be used as the hydrostatic test fluid. The Contractor shall provide clean test water of such quality to prevent corrosion of the piping system materials.

- a. For rigid piping hydrostatic testing, the maximum test pressure shall be calculated according to ASME B31.3, but shall not exceed the yield strength of the piping system. The maximum velocity during filling shall be in accordance with the manufacturer's instructions. Venting during filling may also be provided by loosening flanges with a minimum of four bolts or by the use of equipment vents. The Contractor shall test all parts of the piping system. The hydrostatic test pressure shall be maintained continuously for 30 minutes minimum and for such additional time as necessary to conduct examinations for leakage. All joints and connections shall be examined by the Contractor for leakage. The piping system, exclusive of possible localized instances at pump

or valve packing, shall show no visual evidence of leaking. The Contractor shall correct visible leakage and retest. Unless otherwise directed by the Contracting Officer, the piping system shall be left full of water after leaks are repaired.

- b. For non-rigid, non-metallic piping hydrostatic testing, the maximum test pressure shall be calculated according to ASME B31.3, but shall not exceed 1.5 times the maximum pressure rating of the lowest rated component in the piping system. The maximum velocity during filling shall be in accordance with the manufacturer's instructions. The system shall be initially pressurized to 50 percent of the normal service conditions and inspected. Any leaks shall be repaired by the Contractor. The system shall then be pressurized to the test pressure. Small amounts of water shall be added as required on a hourly basis for a maximum of 3 hours in order to maintain the test pressure. After 4 hours, the test pressure shall be lowered by 10 psi. If the hydrostatic pressure remains steady for 1 hour, then no leakage is indicated. The Contractor shall inspect for leaks, repair and retest if necessary. The piping system shall be allowed to relax for 8 hours before retesting.

3.12.1.3 Time for Making Test

Except for joint material setting or where concrete thrust blocks necessitate a delay, underground piping jointed with rubber gaskets, mechanical or push-on joints, or couplings may be subjected to hydrostatic pressure, inspected, and tested for leakage at any time after partial completion of backfill. Tests for above ground pressure piping shall be conducted after the piping has been completely installed, including all supports, hangers, and anchors, and inspected for proper installation but prior to installation of insulation.

3.12.2 Pipe Leakage Tests

Unless approved by the Contracting Officer, leakage testing shall be conducted after the pressure tests have been satisfactorily completed. The duration of each leakage test shall be at least 2 hours, and during the test the piping shall be subjected to not less than 100 psig pressure. Leakage is defined as the quantity of the water that is supplied to the piping system, or any valved or approved section thereof, in order to maintain pressure within 5 psi of the specified leakage test pressure after the piping has been filled with the test liquid and all air is expelled. No piping installation will be accepted if leakage exceeds the allowable leakage determined by the following formula:

$$L = C_f \times N \times D \times P^{0.5}$$

C_f = conversion factor = 0.0001351
 L = allowable leakage, gallons per hour
 N = number of joints in the length of piping tested
 D = nominal pipe diameter, inches
 P = average test pressure during the test, psig.]

Should any test disclose leakage greater than that allowed, the leaks shall be located and repaired until the leakage is within the specified allowance, without additional cost.

3.12.3 Valve Testing

Valves may either be tested while testing pipelines, or as a separate step.

It shall be demonstrated that valves open and close smoothly with operating pressure on one side and atmospheric pressure on the other, and in both directions for two-way valve applications. The Contractor shall count and record the number of turns required to open and close each valve, and account for any discrepancies with manufacturer's data.

3.13 FINAL CLEANING

3.13.1 Interim Cleaning

The Contractor shall prevent the accumulation of weld rod, weld spatter, pipe cuttings and filings, gravel, cleaning rags, and other foreign material within piping sections during fabrication. The piping shall be examined to assure removal of these and other foreign objects prior to assembly and installation.

3.13.2 Flushing

Following assembly and testing, and prior to final acceptance, piping systems shall be flushed with water to remove accumulated construction debris and other foreign matter. The piping shall be flushed until all foreign matter is removed from the pipeline. The Contractor shall provide all hoses, temporary pipes, ditches, and other items as required to properly dispose of flushing water without damage to adjacent properties. The minimum flushing velocity shall be 2.5 fps. For large diameter pipe where it is impractical to flush the pipe at the minimum flushing velocity, the pipeline shall be cleaned in-place from the inside by brushing and sweeping, then flushing the pipeline at a lower velocity. Cone strainers shall be installed in the flushing connections of attached equipment and left in place until cleaning is completed. Accumulated debris shall be removed through drains, or by removing spools or valves.

3.14 WASTE WATER DISPOSAL

The water used for testing, cleaning, and/or flushing shall be disposed of in accordance with all applicable regulations. Disposal is solely the responsibility of the Contractor. The method proposed for disposal of waste water shall be provided to, and approved by, the Contracting Officer prior to performing any testing, cleaning, and flushing activities.

3.15 SCHEDULES

The Contractor shall comply with the following schedules found in the contract drawings: Pipe Schedule, Valve Schedule.

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SECTION 15565A

HEATING SYSTEM; GAS-FIRED HEATERS
12/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.66 (1996) Automatic Vent Damper Devices for Use with Gas-Fired Appliances

ANSI Z83.8 (1996) Gas Unit Heaters

INTERNATIONAL APPROVAL SERVICES (IAS)

IAS Directory (1998) IAS Directory of AGA & CGA Certified Appliances and Accessories

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1 (1998) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 211 (2000) Chimneys, Fireplaces, Vents, and Solid Fuel-Burning Appliances

NFPA 54 (1999) National Fuel Gas Code

UNDERWRITERS LABORATORIES (UL)

UL Gas&Oil Dir (1999) Gas and Oil Equipment Directory

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Heating System;
Installation; G-ED

Detail drawings consisting of illustrations, schedules, performance charts, instructions, brochures, diagrams, and other information to illustrate the requirements and operation of the system. Detail drawings for space heating equipment, controls, associated equipment, and for piping and wiring. Drawings shall show proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearances for maintenance and operation.

SD-03 Product Data

Heating System; G-RE

Spare parts data for each different item of materials and equipment specified, after approval of the detail drawings, and not later than 2 months prior to the date of beneficial occupancy. The data shall include a complete list of parts and supplies, with current unit prices and source of supply.

SD-10 Operation and Maintenance Data

Instructions; G-RE

Six complete copies of operating instructions outlining the step-by-step procedures required for system startup, operation and shutdown. The instructions shall include the manufacturer's name, model number, service manual, parts list, and brief description of all equipment and basic operating features. Six complete copies of maintenance instructions listing routine maintenance, possible breakdowns, repairs and troubleshooting guide. The instructions shall include simplified piping, wiring, and control diagrams for the system as installed.

1.3 GENERAL REQUIREMENTS

1.3.1 Nameplates

Each major component of equipment shall have the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the equipment.

1.3.2 Equipment Guards

Belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts so located that any person may come in close proximity thereto shall be completely enclosed or guarded. High-temperature equipment and piping so located as to endanger personnel or create a fire hazard shall be guarded or covered with insulation of type specified for service.

1.3.3 Verification of Dimensions

The Contractor shall become thoroughly familiar with all details of the work, verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing any work.

1.4 DELIVERY AND STORAGE

All equipment delivered and placed in storage shall be stored with protection from weather, humidity and temperature variations, dirt and dust, or other contaminants.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Material and equipment shall be standard products of a manufacturer regularly engaged in manufacturing of the products. Equipment shall essentially duplicate equipment that has been in satisfactory use at least 2 years prior to bid opening.

2.2 ELECTRICAL WORK

Electrical motor driven equipment shall be provided complete with motors, motor starters, and controls. Motors shall conform to NEMA MG 1. Electrical equipment and wiring shall be in accordance with Section 16415A ELECTRICAL WORK, INTERIOR. Electrical characteristics shall be as specified or indicated. Unless otherwise indicated motors of 1 Hp and above shall be high efficiency type. Motor starters shall be provided complete with thermal overload protection and other appurtenances necessary for the motor control specified. Each motor shall be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor. Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, shall be provided.

2.3 HEATERS

Heaters shall be equipped for and adjusted to burn natural gas. Each heater shall be provided with a gas pressure regulator that will satisfactorily limit the main gas burner supply pressure. Heaters shall have an intermittent or interrupted electrically ignited pilot or a direct electric ignition system. Safety controls shall conform to the ANSI standard specified for each heater. Mounting brackets and hardware shall be furnished by the heater manufacturer and shall be factory finished to match the supported equipment.

2.3.1 Not Used.

2.3.2 Unit Heaters

Heaters shall conform to requirements of ANSI Z83.8. Heat exchangers shall be aluminized steel or stainless steel. Air discharge section shall be equipped with adjustable horizontal louvers. Fan shafts shall be either directly connected to the driving motor, or indirectly connected by multiple V-belt drive. Fans in one unit shall be of the same size. Heaters shall be power-vented type, suitable for sidewall vent discharge and single-wall-thickness vent piping. Heaters shall have automatic ignition. Heaters shall employ metered combustion air with enclosed draft diverter (no open flue collar). Heaters shall have minimum steady state

thermal efficiencies of 80 percent at maximum rated capacity and 75 percent at minimum rated capacity that is provided and allowed by the controls. Heaters shall be provided with a space thermostat which controls both unit's fan and burner.

2.3.3 Not Used.

2.3.4 Not Used.

2.3.5 Not Used.

2.4 THERMOSTATS

Thermostats shall be the adjustable electric or electronic type. Control wiring required to complete the space temperature control system shall be included. Thermostats shall have a 3 degree F differential and a set point range of 40 to 75 degrees F. Thermostats shall be the single stage type.

2.5 VENT PIPING

Vent piping shall conform to the requirements of NFPA 54. Plastic material polyetherimide (PEI) and polyethersulfone (PES) are forbidden to be used for vent piping of combustion gases.

2.6 ELECTRIC AUTOMATIC VENT DAMPERS

Electric automatic vent dampers shall conform to the requirements of ANSI Z21.66 and shall be provided in the vents of heaters using indoor air for combustion air.

2.7 NOT USED.

2.8 FACTORY FINISHES

Equipment and component items, when fabricated from ferrous metal, shall be factory finished with the manufacturer's standard finish.

PART 3 EXECUTION

3.1 INSTALLATION

Equipment shall be installed as indicated and in accordance with the recommendations of the equipment manufacturer and the listing agency, except as otherwise specified.

3.1.1 Heating Equipment

Heaters shall be installed with clearance to combustibles complying with minimum distances as determined by IAS Directory, UL Gas&Oil Dir and as indicated on each heater approval and listing plate. Heaters shall be independently supported from the building structure as indicated and shall not rely on support from suspended ceiling systems.

3.1.2 Vents

Vent dampers, piping and structural penetrations shall be located as indicated. Vent damper installation shall conform to ANSI Z21.66. Vent pipes, where not connected to a masonry chimney conforming to NFPA 211, shall extend through the roof or an outside wall and shall terminate, in compliance with NFPA 54. Vents passing through waterproof membranes shall be provided with the necessary flashings to obtain waterproof installations.

3.1.3 Gas Piping

Gas piping shall be connected as indicated and shall comply with the applicable requirements at Section 15190A GAS PIPING SYSTEMS.

3.2 TESTING, ADJUSTING, AND BALANCING

Testing, adjusting, and balancing shall be as specified in Section 15990A TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS.

3.3 Training

The Contractor shall conduct a training course for the maintenance and operating staff. The training period of 1 hour normal working time shall start after the system is functionally complete but before the final acceptance tests. The training shall include all of the items contained in the approved operation and maintenance instructions as well as demonstrations of routine maintenance operations. The Contracting Officer shall be given at least two weeks advance notice of such training.

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SECTION 15895A

AIR SUPPLY, DISTRIBUTION, VENTILATION, AND EXHAUST SYSTEM
01/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION (AMCA)

- AMCA 210 (1999) Laboratory Methods of Testing Fans for Rating
- AMCA 300 (1996) Reverberant Room Method for Sound Testing of Fans

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- ASTM A 123/A 123M (2001) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
- ASTM A 924/A 924M (1999) General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process
- ASTM B 117 (1997) Operating Salt Spray (Fog) Apparatus
- ASTM D 1654 (1992) Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments
- ASTM D 520 (2000) Zinc Dust Pigment
- ASTM E 437 (1992; R 1997) Industrial Wire Cloth and Screens (Square Opening Series)

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

- NEMA MG 1 (1998) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 90A (1999) Installation of Air Conditioning and Ventilating Systems

SHEET METAL & AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION
(SMACNA)SMACNA HVAC Duct Const Stds (1995; Addenda Nov 1997) HVAC Duct
Construction Standards - Metal and Flexible

UNDERWRITERS LABORATORIES (UL)

UL 214 (1997) Tests for Flame-Propagation of
Fabrics and Films

UL Bld Mat Dir (1999) Building Materials Directory

1.2 COORDINATION OF TRADES

Ductwork, piping offsets, fittings, and accessories shall be furnished as required to provide a complete installation and to eliminate interference with other construction.

1.3 DELIVERY AND STORAGE

Equipment delivered and placed in storage shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Drawings; G-ED
Installation;

Drawings shall consist of equipment layout including assembly and installation details and electrical connection diagrams; ductwork layout showing the location of all supports and hangers, typical hanger details, gauge reinforcement, reinforcement spacing rigidity classification, and static pressure and seal classifications; and piping layout showing the location of all guides and anchors, the load imposed on each support or anchor, and typical support details. Drawings shall include any information required to demonstrate that the system has been coordinated and will properly function as a unit and shall show equipment relationship to other parts of the work, including clearances required for operation and maintenance.

SD-03 Product Data

Components and Equipment; G-ED

Manufacturer's catalog data shall be included with the detail drawings for the following items. The data shall be highlighted to show model, size, options, etc., that are intended for consideration. Data shall be adequate to demonstrate compliance with contract requirements for the following:

- a. Not Used.
- b. Ductwork Components
- c. Air Systems Equipment

Test Procedures; G-RE

Proposed test procedures for performance tests of systems, at least 2 weeks prior to the start of related testing.

Welding Procedures; G-RE

A copy of qualified welding procedures, at least 2 weeks prior to the start of welding operations.

System Diagrams; G-RE

Proposed diagrams, at least 2 weeks prior to start of related testing. System diagrams that show the layout of equipment, piping, and ductwork, and typed condensed operation manuals explaining preventative maintenance procedures, methods of checking the system for normal, safe operation, and procedures for safely starting and stopping the system shall be framed under glass or laminated plastic. After approval, these items shall be posted where directed.

Welding Joints; G-RE

A list of names and identification symbols of qualified welders and welding operators, at least 2 weeks prior to the start of welding operations.

Testing, Adjusting and Balancing;

Proposed test schedules for performance tests, at least 2 weeks prior to the start of related testing.

Field Training; G-RE

Proposed schedule for field training, at least 2 weeks prior to the start of related training.

SD-06 Test Reports

Performance Tests; G-RE

Test reports for the performance tests in booklet form, upon completion of testing. Reports shall document phases of tests performed including initial test summary, repairs/adjustments

made, and final test results.

SD-10 Operation and Maintenance Data

Operating and Maintenance Instructions; G-RE

Six manuals listing step-by-step procedures required for system startup, operation, shutdown, and routine maintenance, at least 2 weeks prior to field training. The manuals shall include the manufacturer's name, model number, parts list, list of parts and tools that should be kept in stock by the owner for routine maintenance including the name of a local supplier, simplified wiring and controls diagrams, troubleshooting guide, and recommended service organization (including address and telephone number) for each item of equipment.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Components and equipment shall be standard products of a manufacturer regularly engaged in the manufacturing of products that are of a similar material, design and workmanship. The standard products shall have been in satisfactory commercial or industrial use for 2 years before bid opening. The 2-year experience shall include applications of components and equipment under similar circumstances and of similar size. The 2 years must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures. Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. The equipment items shall be supported by a service organization.

2.2 ASBESTOS PROHIBITION

Asbestos and asbestos-containing products shall not be used.

2.3 NAMEPLATES

Equipment shall have a nameplate that identifies the manufacturer's name, address, type or style, model or serial number, and catalog number.

2.4 EQUIPMENT GUARDS AND ACCESS

Belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact shall be fully enclosed or guarded according to OSHA requirements.

2.5 NOT USED.

2.6 ELECTRICAL WORK

Electrical motor-driven equipment specified shall be provided complete with motor, motor starter, and controls. Unless otherwise specified, electric equipment, including wiring and motor efficiencies, shall be according to Section 16415A ELECTRICAL WORK, INTERIOR. Electrical characteristics and enclosure type shall be as shown. Unless otherwise indicated, motors of 1

hp and above shall be high efficiency type. Motor starters shall be provided complete with thermal overload protection and other appurtenances necessary. Each motor shall be according to NEMA MG 1 and shall be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor. Manual or automatic control and protective or signal devices required for the operation specified, and any control wiring required for controls and devices, but not shown, shall be provided. Where two-speed or variable-speed motors are indicated, solid-state variable-speed controller may be provided to accomplish the same function. Solid-state variable-speed controllers shall be utilized for motors rated 10 hp or less. Adjustable frequency drives shall be used for larger motors.

2.7 CONTROLS

Controls shall be provided as specified in Section 15950A HEATING, VENTILATING AND AIR CONDITIONING (HVAC) CONTROL SYSTEMS.

2.8 DUCTWORK COMPONENTS

2.8.1 Metal Ductwork

All aspects of metal ductwork construction, including all fittings and components, shall comply with SMACNA HVAC Duct Const Stds unless otherwise specified. Elbows shall be radius type with a centerline radius of 1-1/2 times the width or diameter of the duct where space permits. Otherwise, elbows having a minimum radius equal to the width or diameter of the duct or square elbows with factory fabricated turning vanes may be used. Static pressure Class 1/2, 1, and 2 inch w.g. ductwork shall meet the requirements of Seal Class C. Class 3 through 10 inch shall meet the requirements of Seal Class A. Dents in the male portion of the slip fitting collar will not be acceptable. Outdoor air intake ducts and plenums shall be fabricated with watertight soldered or brazed joints and seams.

2.8.1.1 Transitions

Diverging air flow transitions shall be made with each side pitched out a maximum of 15 degrees, for an included angle of 30 degrees. Transitions for converging air flow shall be made with each side pitched in a maximum of 30 degrees, for an included angle of 60 degrees, or shall be as indicated. Factory-fabricated reducing fittings for systems using round duct sections when formed to the shape of the ASME short flow nozzle, need not comply with the maximum angles specified.

2.8.1.2 Not Used.

2.8.1.3 Not Used.

2.8.1.4 General Service Duct Connectors

A flexible duct connector approximately 6 inches in width shall be provided where sheet metal connections are made to fans or where ducts of dissimilar metals are connected. For round/oval ducts, the flexible material shall be secured by stainless steel or zinc-coated, iron clinch-type draw bands. For rectangular ducts, the flexible material locked to metal collars shall be installed using normal duct construction methods. The composite connector system shall comply with UL 214 and be classified as "flame-retarded fabrics" in UL Bld Mat Dir.

2.8.1.5 High Temperature Service Duct Connections

Material shall be approximately 3/32 inch thick, 35 to 40-ounce per square yardweight, plain weave fibrous glass cloth with, nickel/chrome wire reinforcement for service in excess of 1200 degrees F.

2.8.2 Not Used.

2.8.3 Ductwork Accessories

2.8.4 Duct Sleeves, Framed Prepared Openings, Closure Collars

2.8.4.1 Duct Sleeves

Duct sleeves shall be provided for round ducts 15 inches in diameter or less passing through floors, walls, ceilings, or roof, and installed during construction of the floor, wall, ceiling, or roof. Round ducts larger than 15 inches in diameter and square, rectangular, and oval ducts passing through floors, walls, ceilings, or roof shall be installed through framed prepared openings. The Contractor shall be responsible for the proper size and location of sleeves and prepared openings. Framed prepared openings shall be fabricated from 20 gauge galvanized steel, unless otherwise indicated. Where sleeves are installed in bearing walls or partitions, black steel pipe, ASTM A 53/A 53M, Schedule 20 shall be used. Sleeve shall provide 1 inch clearance between the duct and the sleeve or 1 inch clearance between the insulation and the sleeve for insulated ducts.

2.8.4.2 Framed Prepared Openings

Openings shall have 1 inch clearance between the duct and the opening or 1 inch clearance between the insulation and the opening for insulated ducts.

2.8.4.3 Closure Collars

Collars shall be fabricated of galvanized sheet metal not less than 4 inches wide, unless otherwise indicated, and shall be installed on exposed ducts on each side of walls or floors where sleeves or prepared openings are provided. Collars shall be installed tight against surfaces. Collars shall fit snugly around the duct or insulation. Sharp edges of the collar around insulated duct shall be ground smooth to preclude tearing or puncturing the insulation covering or vapor barrier. Collars for round ducts 15 inches in diameter or less shall be fabricated from 20 gauge galvanized steel. Collars for round ducts larger than 15 inches and square, and rectangular ducts shall be fabricated from 18 gauge galvanized steel. Collars shall be installed with fasteners on maximum 6 inch centers, except that not less than 4 fasteners shall be used.

2.8.5 Diffusers, Registers, and Grilles

Units shall be factory-fabricated of steel, corrosion-resistant steel, or aluminum and shall distribute the specified quantity of air evenly over space intended without causing noticeable drafts, air movement faster than 50 fpm in occupied zone, or dead spots anywhere in the conditioned area. Outlets for diffusion, spread, throw, and noise level shall be as required for specified performance. Performance shall be certified according to ASHRAE 70. Inlets and outlets shall be sound rated and certified according to ASHRAE 70. Sound power level shall be as indicated. Diffusers and registers shall be provided with volume damper with accessible operator, unless otherwise indicated; or if standard with the manufacturer, an

automatically controlled device will be acceptable. Volume dampers shall be opposed blade type for all diffusers and registers, except linear slot diffusers. Linear slot diffusers shall be provided with round or elliptical balancing dampers. Where the inlet and outlet openings are located less than 7 feet above the floor, they shall be protected by a grille or screen according to NFPA 90A.

2.8.5.1 Registers and Grilles

Units shall be four-way directional-control type, except that return and exhaust registers may be fixed horizontal or vertical louver type similar in appearance to the supply register face. Registers shall be provided with sponge-rubber gasket between flanges and wall or ceiling. Wall supply registers shall be installed at least 6 inches below the ceiling unless otherwise indicated. Return and exhaust registers shall be located 6 inches above the floor unless otherwise indicated. Four-way directional control may be achieved by a grille face which can be rotated in 4 positions or by adjustment of horizontal and vertical vanes. Grilles shall be as specified for registers, without volume control damper.

2.8.6 Louvers

Louvers for installation in exterior walls which are associated with the air supply and distribution system shall be as specified in Section 07600A SHEET METALWORK, GENERAL.

2.8.7 Bird Screens and Frames

Bird screens shall conform to ASTM E 437, No. 2 mesh, aluminum stainless steel. Aluminum screens shall be rated "medium-light". Stainless steel screens shall be rated "light". Frames shall be removable type, or stainless steel or extruded aluminum.

2.9 AIR SYSTEMS EQUIPMENT

2.9.1 Fans

Fans shall be tested and rated according to AMCA 210. Fans may be connected to the motors either directly or indirectly with V-belt drive. V-belt drives shall be designed for not less than 120 percent of the connected driving capacity. Motor sheaves shall be variable pitch for 15 hp and below and fixed pitch as defined by ARI Guideline D. Variable pitch sheaves shall be selected to drive the fan at a speed which will produce the specified capacity when set at the approximate midpoint of the sheave adjustment. When fixed pitch sheaves are furnished, a replaceable sheave shall be provided when needed to achieve system air balance. Motors for V-belt drives shall be provided with adjustable rails or bases. Removable metal guards shall be provided for all exposed V-belt drives, and speed-test openings shall be provided at the center of all rotating shafts.

Fans shall be provided with personnel screens or guards on both suction and supply ends, except that the screens need not be provided, unless otherwise indicated, where ducts are connected to the fan. Fan and motor assemblies shall be provided with vibration-isolation supports or mountings as indicated. Vibration-isolation units shall be standard products with published loading ratings. Each fan shall be selected to produce the capacity required at the fan static pressure indicated. Sound power level shall be as indicated. The sound power level values shall be obtained according to AMCA 300. Standard AMCA arrangement, rotation, and discharge shall be as indicated.

2.9.1.1 Panel Type Power Wall Ventilators

Fans shall be propeller type, assembled on a reinforced metal panel with venturi opening spun into panel. Fans with wheels less than 24 inches diameter shall be direct or V-belt driven and fans with wheels 24 inches diameter and larger shall be V-belt drive type. Fans shall be furnished with wall mounting collar. Lubricated bearings shall be provided. Fans shall be fitted with wheel and motor side metal or wire guards which have a corrosion-resistant finish. Motor enclosure shall be [dripproof] [totally enclosed fan cooled] [explosion-proof] type. [Gravity] [Motor operated] backdraft dampers shall be provided where indicated.

2.10 FACTORY PAINTING

Units which are not of galvanized construction according to ASTM A 123/A 123M or ASTM A 924/A 924M shall be factory painted with a corrosion resisting paint finish. Internal and external ferrous metal surfaces shall be cleaned, phosphatized and coated with a paint finish which has been tested according to ASTM B 117, ASTM D 1654, and ASTM D 3359. Evidence of satisfactory paint performance for a minimum of 125 hours for units to be installed indoors and 500 hours for units to be installed outdoors shall be submitted. Rating of failure at the scribe mark shall be not less than 6, average creepage not greater than 1/8 inch. Rating of the inscribed area shall not be less than 10, no failure. On units constructed of galvanized steel which have been welded, exterior surfaces of welds or welds that have burned through from the interior shall receive a final shop docket of zinc-rich protective paint according to ASTM D 520 Type I.

PART 3 EXECUTION

3.1 INSTALLATION

Work shall be installed as shown and according to the manufacturer's diagrams and recommendations.

3.1.1 Flexible Connectors

Pre-insulated flexible connectors and flexible duct shall be attached to other components in accordance with the latest printed instructions of the manufacturer to ensure a vapor tight joint. Hangers, when required to suspend the connectors, shall be of the type recommended by the connector or duct manufacturer and shall be provided at the intervals recommended.

3.1.2 Sleeved and Framed Openings

For non-fire rated penetrations, the space shall be packed as specified in Section 07900A JOINT SEALING.

3.1.3 Metal Ductwork

Installation shall be according to SMACNA HVAC Duct Const Stds unless otherwise indicated. Duct supports for sheet metal ductwork shall be according to SMACNA HVAC Duct Const Stds, unless otherwise specified. Friction beam clamps indicated in SMACNA HVAC Duct Const Stds shall not be used. Risers on high velocity ducts shall be anchored in the center of the vertical run to allow ends of riser to move due to thermal expansion. Supports on the risers shall allow free vertical movement of the duct. Supports shall be attached only to structural framing members and concrete

slabs. Supports shall not be anchored to metal decking unless a means is provided and approved for preventing the anchor from puncturing the metal decking. Where supports are required between structural framing members, suitable intermediate metal framing shall be provided. Where C-clamps are used, retainer clips shall be provided.

3.1.4 Power Transmission Components Adjustment

V-belts and sheaves shall be tested for proper alignment and tension prior to operation and after 72 hours of operation at final speed. Belts on drive side shall be uniformly loaded, not bouncing. Alignment of direct driven couplings shall be to within 50 percent of manufacturer's maximum allowable range of misalignment.

3.2 FIELD PAINTING AND COLOR CODE MARKING

Finish painting of items only primed at the factory and surfaces not specifically noted otherwise shall be as specified in Section 09900 PAINTS AND COATINGS.

3.3 CLEANING AND ADJUSTING

Inside of ducts, plenums, and casing shall be thoroughly cleaned of debris and blown free of small particles of rubbish and dust and then shall be vacuum cleaned before installing outlet faces. Equipment shall be wiped clean, with traces of oil, dust, dirt, or paint spots removed. System shall be maintained in this clean condition until final acceptance. Bearings shall be properly lubricated with oil or grease as recommended by the manufacturer. Belts shall be tightened to proper tension. Control valves and other miscellaneous equipment requiring adjustment shall be adjusted to setting indicated or directed. Fans shall be adjusted to the speed indicated by the manufacturer to meet specified conditions.

3.4 PERFORMANCE TESTS

After testing, adjusting, and balancing has been completed as specified, each system shall be tested as a whole to see that all items perform as integral parts of the system and temperatures and conditions are evenly controlled throughout the building. Corrections and adjustments shall be made as necessary to produce the conditions indicated or specified. Capacity tests and general operating tests shall be conducted by an experienced engineer. Tests shall cover a period of not less than 1 day for each system and shall demonstrate that the entire system is functioning according to the specifications. Coincidental chart recordings shall be made at points indicated on the drawings for the duration of the time period and shall record the temperature at space thermostats or space sensors, and the ambient temperature and humidity in a shaded and weather protected area.

3.5 FIELD TRAINING

The Contractor shall conduct a training course for operating and maintenance personnel as designated by the Contracting Officer. Training shall be provided for a period of 4 hours of normal working time and shall start after the system is functionally complete but prior to the performance tests. The field instruction shall cover all of the items contained in the approved Operating and Maintenance Instructions.

-- End of Section --

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SECTION 15950A

HEATING, VENTILATING AND AIR CONDITIONING (HVAC) CONTROL SYSTEMS
12/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION (AMCA)

AMCA 500-D (1997) Laboratory Methods of Testing
Dampers for Rating

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 269 (2000) Seamless and Welded Austenitic
Stainless Steel Tubing for General Service

ASTM B 88 (1999) Seamless Copper Water Tube

ASTM D 1693 (2000) Environmental Stress-Cracking of
Ethylene Plastics

ASTM D 635 (1998) Rate of Burning and/or Extent and
Time of Burning of Self-Supporting
Plastics in a Horizontal Position

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41 (1991; R 1995) Surge Voltages in
Low-Voltage AC Power Circuits

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (1997) Enclosures for Electrical Equipment
(1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (1999) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 508 (1999) Industrial Control Equipment

UL 94 (1996; Rev thru Jul 1998) Tests for
Flammability of Plastic Materials for
Parts in Devices and Appliances

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Drawings; G-ED

Drawings on A1 34 by 22 inch sheets in the form and arrangement shown. The drawings shall use the same abbreviations, symbols, nomenclature and device identifiers shown. Each control-system element on a drawing shall have a unique identifier as shown. All HVAC control system drawings shall be delivered together as a complete submittal. Drawings shall be submitted for each HVAC system.

- a. HVAC control system drawings shall include the following:

Sheet One: Drawing index, HVAC control system legend.

Sheet Two: HVAC control system schematic and equipment schedule.

Sheet Five: HVAC control system sequence of operation and ladder diagram.

Sheet Three: HVAC control panel arrangement and labeling.

Sheet Four: Motor starter and relay wiring diagram.

Note: Repeat sheets two through four for each mechanical system.

SD-03 Product Data

HVAC Control System; Service Organizations; G-RE

Six copies of a list of service organizations qualified to service the HVAC control system. The list shall include the service organization name, address, technical point of contact and telephone number, and contractual point of contact and telephone number.

Equipment Compliance Booklet; G-RE

An HVAC control system equipment compliance booklet (ECB) in indexed booklet form with numbered tabs separating the information on each device. It shall consist of, but not be limited to, data sheets and catalog cuts which document compliance of all devices and components with the specifications. The ECB shall be indexed in alphabetical order by the unique identifiers. Devices and components which do not have unique identifiers shall follow the devices and components with unique identifiers and shall be indexed in alphabetical order according to their functional name.

The ECB shall include a bill of materials for each HVAC control system. The bill of materials shall function as the table of contents for the ECB and shall include the device's unique identifier, device function, manufacturer, model/part/catalog number used for ordering, and tab number where the device information is located in the ECB.

Commissioning Procedures; G-RE

a. Six copies of the HVAC control system commissioning procedures, in indexed booklet form, 60 days prior to the scheduled start of commissioning. Commissioning procedures shall be provided for each HVAC control system, and for each type of terminal-unit control system. The commissioning procedures shall reflect the format and language of this specification, and refer to devices by their unique identifiers as shown. The commissioning procedures shall be specific for each HVAC system, and shall give detailed step-by-step procedures for commissioning of the system.

b. Commissioning procedures documenting detailed, product-specific set-up procedures, configuration procedures, adjustment procedures, and calibration procedures for each device. Where the detailed product-specific commissioning procedures are included in manufacturer supplied manuals, reference may be made in the HVAC control system commissioning procedures to the manuals.

c. Commissioning procedures documenting controller configuration checksheets for each controller listing all configuration parameters, dip switch and jumper settings, and initial recommended P, I and D values. The configuration parameters shall be listed in the order in which they appear during the configuration process. Each configuration parameter shall be noted as being: set per specs with no field adjustment required, set per specs but field adjustable, or not applicable.

d. Commissioning procedures showing a time clock configuration checksheet listing all parameters, and switch settings. The parameters shall be listed in the order which they appear during the setup process.

e. An HVAC control system commissioning procedures equipment list that lists the equipment to be used to accomplish commissioning. The list shall include manufacturer name, model number, equipment function, the date of the latest calibration, and the results of the latest calibration.

Training Course Requirements; G-RE

Six copies of HVAC control system training course material 30 days prior to the scheduled start of the training course. The training course material shall include the operation manual, maintenance and repair manual, and paper copies of overheads used in the course. An HVAC control system training course, in outline form, with a proposed time schedule. Approval of the planned training schedule shall be obtained from the Government at least 60 days prior to the start of the training.

SD-06 Test Reports

Commissioning Report; G-RE

Six copies of the HVAC control system commissioning report, in indexed booklet form, within 30 days after completion of the system commissioning. The commissioning report shall include data collected during the HVAC control system commissioning and shall follow the format of the commissioning procedures. The commissioning report shall include all controller and time clock checksheets with final values listed for all parameters, setpoints, P, I, D setting constants, calibration data for all devices, and results of adjustments.

SD-10 Operation and Maintenance Data

Operation Manual; G-RE

Maintenance and Repair Manual; G-RE

Six copies of the HVAC control system operation manual and HVAC control system maintenance and repair manual for each HVAC control system 30 days before the date scheduled for the training course.

1.3 GENERAL REQUIREMENTS

1.3.1 Verification of Dimensions

The Contractor shall become familiar with all details of the work, shall verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing any work.

1.3.2 Drawings

Because of the small scale of the drawings, it is not possible to indicate all offsets, fittings, and accessories that may be required. The Contractor shall investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, shall arrange such work accordingly, and shall furnish all work necessary to meet such conditions.

1.4 DELIVERY AND STORAGE

Products shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, and other contaminants, within the storage-condition limits published by the equipment manufacturer. Dampers shall be stored so that seal integrity, blade alignment and frame alignment are maintained.

1.5 OPERATION MANUAL

An HVAC control system operation manual for each HVAC control system, in indexed booklet form, shall be provided. The operation manual shall include the HVAC control system sequence of operation, and procedures for the HVAC system start-up, operation and shut-down. The operation manual shall include as-built HVAC control system detail drawings. The operation manual shall include the as-built controller configuration checksheets, the as-built time clock configuration checksheet, the HVAC control system front panel description, the procedures for changing HVAC system controller setpoints, the procedures for gaining manual control of processes, the time clock manufacturer's manual control of processes, the time clock

manufacturer's operation manual, and the controller manufacturer's operation manual.

- a. The HVAC control system front panel description shall explain the meaning and use of the lights, switches, gauges, and controller displays located in the front panel. Each light, switch, gauge, and display described shall be numbered and referenced to a drawing of the front panel.
- b. The procedures for changing HVAC system controller setpoints shall describe the step-by-step procedures required to change: the process variable setpoints of controllers, the alarm setpoints of controllers, the controller bias settings, and controller setpoint reset schedules.
- c. The procedures for gaining manual control of processes shall describe step-by-step procedures required to gain manual control of devices and manually adjust their positions.

1.6 MAINTENANCE AND REPAIR MANUAL

An HVAC control system maintenance and repair manual for each HVAC control system, in indexed booklet form in hardback binders, shall be provided. The maintenance and repair manual shall include the routine maintenance checklist, a recommended repair methods list, a list of recommended maintenance and repair tools, the qualified service organization list, the as-built commissioning procedures and report, the as-built performance verification test procedures and report, and the as-built equipment data booklet (EDB).

- a. The routine maintenance checklist shall be arranged in a columnar format. The first column shall list all devices listed in the equipment compliance booklet (ECB), the second column shall state the maintenance activity or state no maintenance required, the third column shall state the frequency of the maintenance activity, and the fourth column for additional comments or reference.
- b. The recommended repair methods list shall be arranged in a columnar format and shall list all devices in the equipment compliance booklet (ECB) and state the guidance on recommended repair methods, either field repair, factory repair, or whole-item replacement.
- c. The as-built equipment data booklet (EDB) shall include the equipment compliance booklet (ECB) and all manufacturer supplied user manuals and information.
- d. If the operation manual and the maintenance and repair manual are provided in a common volume, they shall be clearly differentiated and separately indexed.

PART 2 PRODUCTS

2.1 MATERIAL AND EQUIPMENT

Material and equipment shall be standard products of a manufacturer regularly engaged in the manufacturing of such products which are of a similar material, design and workmanship. The standard products shall have

been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use shall include applications of equipment and materials under similar circumstances and of similar size. The 2 years experience must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures. Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. The equipment items shall be supported by a service organization. The Contractor shall submit a certified list of qualified permanent service organizations and qualifications. These service organizations shall be reasonably convenient to the equipment on a regular and emergency basis during the warranty period.

2.2 GENERAL EQUIPMENT REQUIREMENTS

2.2.1 Electrical and Electronic Devices

All electrical, and electronic devices not located within an HVAC control panel shall have a NEMA Type 1 enclosure in accordance with NEMA 250 unless otherwise shown or specified.

2.2.2 Ambient Temperature Limits

Ambient Temperature Actuators and positive positioners, and transmitters shall operate within temperature limit ratings of 40 to 140 degrees F. All panel-mounted instruments shall operate within limit ratings of 35 to 120 degrees F and 10 percent to 95 percent relative humidity, noncondensing. All devices installed outdoors shall operate within limit ratings of minus 40 to plus 150 degrees F.

2.2.3 Nameplates, Lens Caps, and Tag Nameplates

Nameplates, lens caps, and lens caps bearing legends as shown and tags bearing device-unique identifiers as shown shall have engraved or stamped characters. A plastic or metal tag shall be mechanically attached directly to each device or attached by a metal chain or wire. Each air flow measurement station shall have a tag showing flow rate range for signal output range, duct size, and identifier as shown.

2.2.4 Year 2000 Compliance

All equipment shall be Year 2000 compliant and shall be able to accurately process date/time data (including, but not limited to, calculating, comparing, and sequencing) from, into, and between the twentieth and twenty-first centuries, including leap year calculations, when used in accordance with the product documentation provided by the contractor, provided that all products (e.g. hardware, software, firmware) used in combination with other information technology, shall accurately process date/time data if other information technology properly exchanges date/time data with it.

2.3 MATERIALS

2.3.1 Tubing

2.3.1.1 Copper

Copper tubing shall conform to ASTM B 88 and shall have sweat fittings and valves.

2.3.1.2 Plastic

Plastic tubing shall have barbed fittings and valves. Plastic tubing shall have the burning characteristics of linear low-density polyethylene tubing, shall be self-extinguishing when tested in accordance with ASTM D 635, shall have UL 94 V-2 flammability classification, and shall withstand stress cracking when tested in accordance with ASTM D 1693. Plastic-tubing bundles shall be provided with Mylar barrier and flame-retardant polyethylene jacket.

2.3.1.3 Stainless Steel

Stainless steel tubing shall conform to ASTM A 269, and shall have stainless steel compression fittings.

2.3.2 Wiring

2.3.2.1 Terminal Blocks

Terminal blocks shall be insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, shall be suitable for rail mounting, and shall have end plates and partition plates for separation or shall have enclosed sides.

2.3.2.2 Control Wiring for 24-Volt Circuits

Control wiring for 24-volt circuits shall be 18 AWG minimum, stranded copper and shall be rated for 300-volt service.

2.3.2.3 Wiring for 120-Volt Circuits

Wiring for 120-volt circuits shall be 18 AWG minimum, stranded copper and shall be rated for 600-volt service.

2.3.2.4 Analog Signal Wiring Circuits

Analog signal wiring circuits within control panels shall not be less than 20 AWG and shall be rated for 300-volt service.

2.3.2.5 Instrumentation Cable

Instrumentation cable shall be 18 AWG, stranded copper, single or multiple-twisted, minimum 2 inch lay of twist, 100 percent shielded pairs, and shall have a 300-volt insulation. Each pair shall have a 20 AWG tinned-copper drain wire and individual overall pair insulation. Cables shall have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.3.2.6 Nonconducting Wiring Duct

Nonconducting wiring duct in control panels shall have wiring duct in control panels shall have slotted sides, snap-on duct covers, have slotted sides, snap-on duct covers, fittings for connecting ducts, mounting clips for securing ducts, and wire-retaining clips.

2.3.2.7 Transformers

Step-down transformers shall be utilized where control equipment operates at lower than line circuit voltage. Transformers, other than transformers in bridge circuits, shall have primaries wound for the voltage available and secondaries wound for the correct control circuit voltage. Transformers shall be sized so that the connected load is 80 percent of the rated capacity or less. Transformers shall conform to UL 508.

2.4 ACTUATORS

Actuators shall be electric or electronic as shown and shall be provided with mounting and connecting hardware. Electric or electronic actuators shall be used for variable air volume (VAV) air terminal units. Actuators shall fail to their spring-return positions on signal or power failure. The actuator stroke shall be limited in the direction of power stroke by an adjustable stop. Actuators shall have a visible position indicator. Actuators shall smoothly open or close the devices to which they are applied and shall have a full stroke response time of 90 seconds or less. Electric actuators shall have an oil-immersed gear train. Electric or electronic actuators operating in series shall have an auxiliary actuator driver. Electric or electronic actuators used in sequencing applications shall have an adjustable operating range and start point.

2.4.1 Valve Actuators

Valve actuators shall be selected to provide a minimum of 125 percent of the motive power necessary to operate the valve over its full range of operation.

2.5 DAMPERS

2.5.1 Damper Assembly

A single damper section shall have blades no longer than 48 inches and shall be no higher than 72 inches. Maximum damper blade width shall be 8 inches. Larger sizes shall be made from a combination of sections. Dampers shall be steel, or other materials where shown. Flat blades shall be made rigid by folding the edges. All blade-operating linkages shall be within the frame so that blade-connecting devices within the same damper section will not be located directly in the air stream. Damper axles shall be 0.5 inch (minimum) plated steel rods supported in the damper frame by stainless steel or bronze bearings. Blades mounted vertically shall be supported by thrust bearings. Pressure drop through dampers shall not exceed 0.04 inch water gauge at 1,000 fpm in the wide-open position. Frames shall not be less than 2 inches in width. Dampers shall be tested in accordance with AMCA 500-D.

2.5.1.1 Operating Links

Operating links external to dampers (such as crankarms, connecting rods, and line shafting for transmitting motion from damper actuators to dampers) shall withstand a load equal to at least twice the maximum required damper-operating force. Rod lengths shall be adjustable. Links shall be brass, bronze, zinc-coated steel, or stainless steel. Working parts of joints and clevises shall be brass, bronze, or stainless steel. Adjustments of crankarms shall control the open and closed positions of dampers.

2.5.1.2 Damper Types

Dampers shall be parallel blade type.

2.5.2 Mechanical and Electrical Space Ventilation Dampers

The dampers shall be as shown. Dampers shall not leak in excess of 80 cfm per square foot at 4 inches water (gauge) static pressure when closed. Dampers shall be rated at not less than 1500 fpm air velocity.

2.5.3 Damper End Switches

Each end switch shall be a hermetically-sealed switch with a trip lever and over-travel mechanism. The switch enclosure shall be suitable for mounting on the duct exterior and shall permit setting the position of the trip lever that actuates the switch. The trip lever shall be aligned with the damper blade.

2.6 THERMOSTATS

Thermostat ranges shall be selected so that the setpoint is adjustable between plus or minus 10 degrees F of the setpoint shown. Thermostats shall be electronic or electric.

2.6.1 Nonmodulating Room Thermostats

Contacts shall be single-pole double-throw (SPDT), hermetically sealed, and wired to identified terminals. Maximum differential shall be 5 degrees F. Room thermostats shall be enclosed with separate locking covers (guards). Thermostats shall have manual switches as required by the application.

2.7 PRESSURE SWITCHES AND SOLENOID VALVES

2.7.1 Pressure Switches

Each switch shall have an adjustable setpoint with visible setpoint scale. Range shall be as shown. Differential adjustment shall span 20 to 40 percent of the range of the device.

2.7.2 Differential-Pressure Switches

Each switch shall be an adjustable diaphragm-operated device with two SPDT contacts, with taps for sensing lines to be connected to duct pressure fittings designed to sense air pressure. These fittings shall be of the angled-tip type with tips pointing into the air stream. The setpoint shall not be in the upper or lower quarters of the range and the range shall not be more than three times the setpoint. Differential shall be a maximum of 0.15 inch water gauge at the low end of the range and 0.35 inch water gauge at the high end of the range.

2.8 CONTROL DEVICES AND ACCESSORIES

Control device and accessory input impedance shall not exceed 250 ohms.

2.8.1 [Enter Appropriate Subpart Title Here] 2.8.2 Relays

Relays shall be 2-pole, double-throw (2PDT) with a 10-ampere resistive rating at 120 Vac, and shall have an enclosed 120-Vac coil with 8 pin blade connectors, and a matching rail-mounted socket. Power consumption shall

not be greater than 3 watts.

2.8.3 Time-Delay Relays

Time delay relays shall be 2PDT with 8 pin connectors, dust cover, and a matching rail-mounted socket. Adjustable timing range shall be 0 to 5 minutes. Power consumption shall be not greater than 3 watts.

2.8.4 Current Sensing Relays

Current sensing relays shall provide a normally-open contact rated at a minimum of 50 volts peak and 1/2 ampere or 25 VA, noninductive. There shall be a single hole for passage of current carrying conductors. The devices shall be sized for operation at 50 percent rated current based on the connected load. Voltage isolation shall be a minimum of 600 volts.

2.9 PILOT LIGHTS AND MANUAL SWITCHES

Pilot lights and switches shall be rectangular devices arranged in a horizontal matrix as shown. Momentary switches shall be non-illuminated. Interlocking switches shall have separately illuminated sections. Split legend lights shall have separately illuminated sections. Device illumination shall be by light-emitting diode or neon lamp.

2.10 SYSTEM CONTROL PANELS

2.10.1 Panel Assembly

The control panel shall be factory assembled and shipped to the job site as a single unit. Each panel shall be fabricated as a bottom-entry connection point for control-system electric power, control-system wiring, interconnection of control systems, interconnection of starters and external shutdown devices. Each panel shall have an operating temperature rise of not greater than 20 degrees F above an ambient temperature of 100 degrees F.

2.10.2 Panel Electrical Requirements

Each control panel shall be powered by nominal 120 volts ac, fused at 5 amps, terminating at the panel on terminal blocks. Instrument cases shall be grounded. Interior panel, interior door, and exterior panel enclosure shall be grounded.

2.10.3 Enclosure

The enclosure for each panel shall be a NEMA 12 single-door wall-mounted box conforming to NEMA 250, with continuous hinged and gasketed exterior door with print pocket and key lock, continuous hinged interior door, interior back panel, and ventilation louvers in back surface as shown. Inside finish shall be white enamel, and outside finish shall be gray primer over phosphatized surfaces.

2.10.4 Mounting and Labeling

Controllers, pilot lights, and switches shall be mounted on the interior door. Fuses shall be mounted on the interior of the cabinet. All other components housed in the panel shall be mounted on the interior back panel surface of the enclosure, behind the door on rails. Controllers and gauges mounted on the front of the inner door shall be identified by a plastic or

metal nameplate as shown that is mechanically attached to the panel. Function modules, relays, timeclocks, IP transducers, DC power supply, and other devices interior to the panel shall be identified by a plastic or metal nameplate that is mechanically attached to the panel. The nameplate shall have the inscription as shown. Lettering shall be cut or stamped into the nameplate to a depth of not less than 1/64 inch, and shall show a contrasting color, produced by filling with enamel or lacquer or by the use of a laminated material. Painting of lettering directly on the surface of the interior door or panel is not permitted.

2.10.5 Wiring and Tubing

2.10.5.1 Panel Wiring

Interconnections Wiring shall be installed in wiring ducts in such a way that devices can be added or replaced without disturbing wiring that is not affected by the change. Wiring to all devices shall have a 4 inch wiring loop in the horizontal wiring duct at each wiring connection. There shall be no wiring splices within the control panel. All interconnections required for power or signals shall be made on device terminals or panel terminal blocks, with not more than two wires connected to a terminal.

2.10.5.2 Panel Terminal Blocks

Terminal blocks shall be arranged in groups as shown. Instrument signal grounds at the same ground reference level shall end at a grounding terminal for connection to a common ground point. Wiring-shield grounds at the same reference level shall end at a grounding terminal for connection to a common ground point. Grounding terminal blocks shall be identified by reference level.

2.10.5.3 Wiring Identification

All wiring connected to controllers, time clocks and function modules shall be identified by function and polarity with full word identifiers, i.e., process variable input, remote setpoint input and control output.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION CRITERIA

The control system shall be installed and ready for operation, as specified and shown. Dielectric isolation shall be provided where dissimilar metals are used for connection and support. Penetrations through and mounting holes in the building exterior shall be made watertight. The control system installation shall provide clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. The control system installation shall not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.1.1 Wiring Criteria

Wiring external to control panels, including low-voltage wiring, shall be installed in metallic raceways. Nonmetallic-sheathed cables or metallic-armored cables may be installed in areas permitted by NFPA 70. Wiring shall be installed without splices between control devices and control panels. Cables and conductors shall be tagged at both ends, with the identifier shown on the shop drawings, in accordance with the

requirements of Section 16415A ELECTRICAL WORK, INTERIOR. Other electrical work shall be as specified in Section 16415A ELECTRICAL WORK, INTERIOR and as shown.

3.1.1.1 Power-Line Surge Protection

Electronic Control Equipment connected to ac circuits shall be protected from powerline surges. Equipment protection shall meet the requirements of IEEE C62.41. Fuses shall not be used for surge protection.

3.1.1.2 Surge Protection for Transmitter and Control Wiring

System control panel equipment shall be protected against surges induced on control and transmitter wiring installed outside and as shown.

3.2 CONTROL SYSTEM INSTALLATION

3.2.1 Damper Actuators

Actuators shall not be mounted in the air stream. Multiple actuators operating a common damper shall be connected to a common drive shaft. Actuators shall be installed so that their action shall seal the damper to the extent required to maintain leakage at or below the specified rate and shall move the blades smoothly.

3.2.2 Room-Instrument Mounting

Room instruments, such as wall mounted thermostats, shall be mounted 5 feet above the floor unless otherwise noted. Temperature setpoint device shall be recess mounted.

3.3 CONTROL SEQUENCES OF OPERATION

Control Sequences shall be as shown on control drawing M4.01.

3.4 COMMISSIONING PROCEDURES

3.4.1 General Procedures

3.4.1.1 Evaluations

The Contractor shall make the observations, adjustments, calibrations, measurements, and tests of the control systems, tune controllers, and make any necessary control-system corrections to ensure that the systems function as described in paragraph CONTROL SEQUENCES OF OPERATION. The Contractor shall permanently record, on system equipment schedule, the final setting of all control system components.

3.4.1.2 Item Check

An item-by-item check of the sequence of operation requirement shall be performed using Steps 1 through 4 in the specified control system commissioning procedures. Steps 1, 2, and 3 shall be performed with the system shutdown; Step 4 shall be performed after the HVAC systems have been started. Signals used to change the mode of operation shall originate from the actual control device intended for the purpose. External input signals to the control panel (such as starter auxiliary contacts, and external systems) may be simulated in Steps 1, 2, and 3. With each operational-mode change signal, pilot lights and system panel output-relay contacts shall be observed to ensure that they function.

3.4.1.3 Weather-Dependent Test Procedures

Weather-dependent test procedures that cannot be performed by simulation shall be performed in the appropriate climatic season. When simulation is used, the Contractor shall verify the actual results in the appropriate season.

3.4.1.4 Configuration

The Contractor shall configure each controller for its specified service.

3.4.1.5 Setting the Controller

After the controller manual-tuning procedure is complete, the controller shall be set at the setpoint as shown.

3.4.2 Unit Heater and Cabinet Unit Heater

The "OFF/AUTO" switch shall be placed in the "OFF" position. Each space-thermostat temperature setting shall be turned up so that it makes contact to turn on the unit-heater fans. The unit-heater fans shall not start. The "OFF/AUTO" switch shall be placed in the "AUTO" position. The unit-heater fans shall start. Each space-thermostat temperature setting shall be turned down, and the unit-heater fans shall stop. The thermostats shall be set at their temperature setpoints shown. The results of testing of one of each type of unit shall be logged.

3.4.3 Multi-Speed Exhaust Fan w/ Emergency Generator Interlock

a. Step 1 - System Inspection:

The exhaust fan system shall be observed in its shutdown condition. Power shall be available at the system control panel. The outside-air dampers and exhaust fan damper shall be closed.

b. Step 2 - Exhaust (EF-1) Commissioning:

(1) With the exhaust fan ready to start, the thermostat (T1) setpoint shall be lowered and it shall be verified that motorized damper (D1) and motorized damper (D2) via relay (R1) open and contact their respective end switches (END1) and (END2) and that exhaust fan (EF-1) starts on low speed (SPD-1). The thermostat (T1) setpoint shall then be raised and it shall be verified that dampers (D1) and (D2) shall close, opening end switches (END1) and (END2), disconnecting the low speed circuit (SPD-1) of the exhaust fan.

(2) With the exhaust fan ready to start, the setpoint of thermostat (T2) shall be lowered and it shall be verified that dampers (D1) and (D3) shall open and contact their respective end switches (END1) and (END3) and that exhaust fan (EF-1) assumes it's medium speed (SPD-2). The thermostat (T2) setpoint shall then be raised and it shall be verified that dampers (D1) and (D3) shall close, opening end switches (END1) and (END3), disconnecting the low speed circuit (SPD-1) of the exhaust fan.

(3) With the exhaust fan ready to start, the setpoint of thermostat (T2) shall be lowered and it shall be verified that

dampers (D1) and (D3) shall open and contact their end switches (END1) and (END3) and exhaust fan (EF-1) shall start on medium speed (SPD-2). The setpoint of thermostat (T3) shall then be lowered and it shall be verified that exhaust fan (EF-1) goes to high speed (SPD-3). The setpoint of thermostat (T3) shall then be raised and it shall be verified that exhaust fan (EF-1) shall slow to medium speed. The setpoint of thermostat (T2) shall then be raised and it shall be verified that dampers (D1) and (D3) shall close and exhaust fan (EF-1) shall stop.

(4) With the exhaust fan ready to start, the manual 1 hour timer switch shall be activated and it shall be verified that motorized dampers (D1) and (D2) open and contact their respective end switches (END1) and (END2) and that exhaust fan (EF-1) starts on low speed (SPD-1). At the end of the 1 hour time period it shall be verified that dampers (D1) and (D2) shall close, opening end switches (END1) and (END2), disconnecting the low speed circuit (SPD-1) of the exhaust fan.

b. Step 3 - Emergency Generator Interlock:

Note: Part (2) of this commissioning procedure will require that that the Emergency Generator is ready to be cranked over.

(1) A low voltage signal from the Emergency Generator ignition circuit shall be applied to relay (R2) and it shall be verified that damper (D2) shall open

(2) The low voltage signal from the generator ignition circuit shall be interrupted and it shall be verified that damper (D2) closes.

3.4.4 Sump Pump (P-1) and (P-2) Level Control

(1) Fill sump basin with water until Level 1 float switch (FS1) is activated and relay (ALT1) starts pump motor (M1) and lights green pilot light (PL1). Re-direct discharge from pump (P-1) back into sump or fill sump at a faster rate than (P-1) can discharge until Level 2 float switch (FS2) is activated and relay (ALT2) starts pump motor (M2) and lights green pilot light (PL2). Re-direct discharge from both pumps back into sump or fill sump at a rate faster than both pumps can discharge until Level 3 float switch (FS3) is activated. Verify that red pilot light (PL3) and audible alarm (AL1) are activated.

(2) Redirect pump discharge outside pit or slow fill rate so that level drops below Level 3. Verify that red pilot light (PL3) and audible alarm (AL1) are deactivated. Allow level in sump to continue dropping until water level drops below Level 2. Verify that pump motor (M2) stops and that green pilot light (PL2) is deactivated. Allow level in sump to drop to Level 1. Verify that pump motor (M1) stops and green pilot light (PL1) is deactivated.

(3) Refill sump basin to Level 1 and verify that lead/lag alternator starts pump motor (M2) and lights pilot light (PL2). Re-direct pump (P-2) discharge back into basin or increase filling rate until Level 2 is reached and verify that pump motor (M1) and pilot light (PL1) start. Redirect pump discharge outside pit or slow fill rate so that level drops below Level 2. Verify that (M1) stops and that green pilot light (PL1) is deactivated. Allow level in sump to drop to Level 1. Verify that pump motor (M2) stops and green pilot light (PL2) is deactivated.

(4) Refill sump basin to Level 1 to verify that lead/lag alternator has returned lead pump motor to (M1).

3.5 BALANCING, COMMISSIONING, AND TESTING

3.5.1 Control System Calibration, Adjustments, and Commissioning

Control system commissioning shall be performed for each control system, using test plans and procedures previously approved by the Government. The Contractor shall provide all personnel, equipment, instrumentation, and supplies necessary to perform commissioning and testing of the HVAC control system. All instrumentation and controls shall be calibrated and the specified accuracy shall be verified using test equipment with calibration traceable to NIST standards. Wiring shall be tested for continuity and for ground, open, and short circuits. Mechanical control devices shall be adjusted to operate as specified. Written notification of planned commissioning or testing of the HVAC Control systems shall be given to the Government at least 14 calendar days in advance.

3.5.2 Performance Verification Test

The Contractor shall demonstrate compliance of the control system with the contract documents. Using test plans and procedures previously approved by the Government, the Contractor shall demonstrate all physical and functional requirements of the project. The performance verification test shall show, step-by-step, the actions and results demonstrating that the control systems perform in accordance with the sequences of operation. The performance verification test shall not be started until after receipt by the Contractor of written permission by the Government, based on Government approval of the commissioning report and completion of balancing. The tests shall not be conducted during scheduled seasonal off-periods of base heating and cooling systems.

3.5.3 Posted and Panel Instructions

Posted and panel instructions, showing the final installed conditions, shall be provided for each system. The posted instructions shall consist of half-size laminated drawings and shall include the control system schematic, equipment schedule, ladder diagram, sequence of operation, panel arrangement drawings, wiring diagram, and valve and damper schedules. The posted instructions shall be permanently affixed, by mechanical means, to a wall near the control panel. Panel instructions shall consist of laminated letter-size sheets and shall include a routine maintenance checklist and controller configuration check sheets with final configuration record for each controller. Panel instructions and one copy of the operation and maintenance manuals, previously described herein, shall be placed inside each control panel.

3.6 TRAINING

3.6.1 Training-Course Requirements

A training course shall be conducted for 3 operating staff members designated by the Contracting Officer. The training period, for a total of 8 hours of normal working time, shall be conducted within 30 days after successful completion of the performance verification test. The training course shall be conducted at the project site. 3 sets of all training materials and supplies shall be provided. A training day is defined as 8

hours of classroom instruction, including two 15-minute breaks and excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility.

3.6.2 Training-Course Content

For guidance in planning the required instruction, the Contractor shall assume that attendees will have a high school education or equivalent, and are familiar with control systems. The training course shall cover all of the material contained in the operating and maintenance instructions, the layout and location of each control panel, the layout of one of each type of unitary equipment and the locations of each, the location of each system-control device external to the panels, preventive maintenance, troubleshooting, diagnostics, calibration, adjustment, commissioning, tuning, and repair procedures. Typical systems and similar systems may be treated as a group, with instruction on the physical layout of one such system. The results of the performance verification test and the calibration, adjustment and commissioning report shall be presented as benchmarks of control-system performance by which to measure operation and maintenance effectiveness.

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VARIABLE FREQUENCY DRIVE SYSTEMS UNDER 600 VOLTS

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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

47 CFR 15 Radio Frequency Devices

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE Std 519 (1992) Harmonic Control in Electrical Power Systems

IEEE C62.41 (1991) Surge Voltages in Low-Voltage AC Power Circuits

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-461 (Rev. D) Control of Electromagnetic Interference Emissions and Susceptibility

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (1991) Enclosures for Electrical Equipment (1000 Volts Maximum)

NEMA ICS 1 (1993) Industrial Control and Systems

NEMA ICS 2 (1993) Industrial Controls and systems Controllers, Contactors, and Overhead Relays Rated Not More than 2,000 Volts AC or 750 Volts DC

NEMA ICS 3.1 (1990) Construction and Guide for Selection, Installation and Operation of Adjustable-Speed Drive Systems

NEMA ICS 6 (1993) Industrial Control and Systems Enclosures

NEMA ICS 7 (1993) Industrial Control and Systems Adjustable-Speed Drives

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2002) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

- UL 489 (1996; R 1998) Molded-Case Circuit Breakers and Circuit-Breaker Enclosures
- UL 508C (1996) Power Conversion Equipment

1.2 RELATED REQUIREMENTS

Section 16050N, "Basic Electrical Materials and Methods", and Section 16402N, "Distribution Wiring System" apply to this section with additions and modifications specified herein.

1.3 SYSTEM DESCRIPTION

1.3.1 Performance Requirements

1.3.1.1 Electromagnetic Interference Suppression

Computing devices, as defined by 47 CFR 15, MIL-STD-461 rules and regulations, shall be certified to comply with the requirements for class A computing devices and labeled as set forth in part 15.

1.3.1.2 Electromechanical and Electrical Components

Electrical and electromechanical components of the Variable Frequency Drive (VFD) shall not cause electromagnetic interference to adjacent electrical or electromechanical equipment while in operation.

1.3.2 Electrical Requirements

1.3.2.1 Power Line Surge Protection

IEEE C62.41, IEEE Std 519 Control panel shall have surge protection, included within the panel to protect the unit from damaging transient voltage surges. Surge arrestor shall be mounted near the incoming power source and properly wired to all three phases and ground. Fuses shall not be used for surge protection.

1.3.2.2 Sensor and Control Wiring Surge Protection

I/O functions as specified shall be protected against surges induced on control and sensor wiring installed outdoors and as shown. The inputs and outputs shall be tested in both normal mode and common mode using the following two waveforms:

- a. A 10 microsecond by 1000 microsecond waveform with a peak voltage of 1500 volts and a peak current of 60 amperes.
- b. An 8 microsecond by 20 microsecond waveform with a peak voltage of 1000 volts and a peak current of 500 amperes.

1.4 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures."

SD-02 Shop Drawings

Schematic diagrams; G-ED

Interconnecting diagrams; G-ED

Installation drawings; G-RE

Submit drawings for government approval prior to equipment construction or integration. Modifications to original drawings made during installation shall be immediately recorded for inclusion into the as-built drawings.

SD-03 Product Data

Variable frequency drives; G-ED

Wires and cables

Equipment schedule

Include data indicating compatibility with motors being driven.

SD-06 Test Reports; G-RE

VFD Test

Performance Verification Tests

Endurance Test

SD-08 Manufacturer's Instructions; G-RE

Installation instructions

SD-09 Manufacturer's Field Reports; G-RE

SD-10 Operation and Maintenance Data; G-RE

Variable frequency drives

Submit six copies of operation and maintenance manuals in accordance with Section 01781, "Operation and Maintenance Data." Provide service and maintenance information including preventive maintenance, assembly, and disassembly procedures. Include electrical drawings from electrical general sections. Submit additional information necessary to provide complete operation, repair, and maintenance information, detailed to the smallest replaceable unit. Include copies of as-built submittals. Provide routine preventative maintenance instructions, and equipment required. Provide instructions on how to modify program settings, and modify the control program. Provide instructions on drive adjustment, trouble-shooting, and configuration. Provide instructions on process tuning and system calibration.

1.5 QUALITY ASSURANCE

1.5.1 Schematic Diagrams

Show circuits and device elements for each replaceable module. Schematic diagrams of printed circuit boards are permitted to group functional assemblies as devices, provided that sufficient information is provided for government maintenance personnel to verify proper operation of the

functional assemblies.

1.5.2 Interconnecting Diagrams

Show interconnections between equipment assemblies, and external interfaces, including power and signal conductors. Include for enclosures and external devices.

1.5.3 Installation Drawings

Show floor plan of each site, with V.F.D.'s and motors indicated. Indicate ventilation requirements, adequate clearances, and cable routes.

1.5.4 Equipment Schedule

Provide schedule of equipment supplied. Schedule shall provide a cross reference between manufacturer data and identifiers indicated in shop drawings. Schedule shall include the total quantity of each item of equipment supplied. For complete assemblies, such as VFD's, provide the serial numbers of each assembly, and a sub-schedule of components within the assembly. Provide recommended spare parts listing for each assembly or component.

1.5.5 Installation instructions

Provide installation instructions issued by the manufacturer of the equipment, including notes and recommendations, prior to shipment to the site. Provide operation instructions prior to acceptance testing.

1.6 DELIVERY AND STORAGE

Equipment delivered and placed in storage shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

1.7 WARRANTY

The complete system shall be warranted by the manufacturer for a period of one year, or the contracted period of any extended warrantee agreed upon by the contractor and the Government, after successful completion of the acceptance test. Any component failing to perform its function as specified and documented shall be repaired or replaced by the contractor at no additional cost to the Government. Items repaired or replaced shall be warranted for an additional period of at least one year from the date that it becomes functional again, as specified in the FAR CLAUSE 52.246-21.

1.8 MAINTENANCE

1.8.1 Spare Parts

Manufacturers provide spare parts in accordance with recommended spare parts list.

1.8.2 Maintenance Support

During the warranty period, the Contractor shall provide on-site, on-call maintenance services by Contractor's personnel on the following basis: The service shall be on a per-call basis with 36 hour response. Contractor shall support the maintenance of all hardware and software of the system.

Various personnel of different expertise shall be sent on-site depending on the nature of the maintenance service required. Costs shall include travel, local transportation, living expenses, and labor rates of the service personnel while responding to the service request. The provisions of this Section are not in lieu of, nor relieve the Contractor of, warranty responsibilities covered in this specification. Should the result of the service request be the uncovering of a system defect covered under the warranty provisions, all costs for the call, including the labor necessary to identify the defect, shall be borne by the Contractor.

PART 2 PRODUCTS

2.1 VARIABLE FREQUENCY DRIVES (VFD)

Provide frequency drive to control the speed of induction motor(s). The VFD's shall have soft-start capabilities. The VFD shall include the following minimum functions, features and ratings.

- a. Input circuit breaker per UL 489 with a minimum of 10,000 amps symmetrical interrupting capacity and door interlocked external operator.
- b. A converter stage per UL 508C shall change fixed voltage, fixed frequency, ac line power to a fixed dc voltage. The converter shall utilize a full wave bridge design incorporating diode rectifiers. Silicon Controlled Rectifiers (SCR) are not acceptable. The converter shall be insensitive to three phase rotation of the ac line and shall not cause displacement power factor of less than .95 lagging under any speed and load condition.
- c. An inverter stage shall change fixed dc voltage to variable frequency, variable voltage, ac for application to a standard NEMA design B squirrel cage motor. The inverter shall be switched in a manner to produce a sine coded pulse width modulated (PWM) output waveform.
- d. The VFD shall be capable of supplying 120 percent of rated full load current for one minute at maximum ambient temperature.
- e. The VFD shall be designed to operate from a 480 volt, + or - 10 percent, three phase, 60 Hz supply, and control motors with a corresponding voltage rating.
- f. Acceleration and deceleration time shall be independently adjustable from one second to 60 seconds.
- g. Adjustable full-time current limiting shall limit the current to a preset value which shall not exceed 120 percent of the controller rated current. The current limiting action shall maintain the V/Hz ratio constant so that variable torque can be maintained. Short time starting override shall allow starting current to reach 175 percent of controller rated current to maximum starting torque.
- h. The controllers shall be capable of producing an output frequency over the range of 3 Hz to 60 Hz (20 to one speed range), without low speed cogging. Over frequency protection shall be included such that a failure in the controller electronic circuitry shall not cause frequency to exceed 110 percent of the maximum controller output frequency selected.

- i. Minimum and maximum output frequency shall be adjustable over the following ranges: 1) Minimum frequency 3 Hz to 50 percent of maximum selected frequency; 2) Maximum frequency 40 Hz to 60 Hz.
- j. The controller efficiency at any speed shall not be less than 96 percent.
- k. The controllers shall be capable of being restarted into a motor coasting in the forward direction without tripping.
- l. Protection of power semiconductor components shall be accomplished without the use of fast acting semiconductor output fuses. Subjecting the controllers to any of the following conditions shall not result in component failure or the need for fuse replacment:
 1. Short circuit at controller output
 2. Ground fault at controller output
 3. Open circuit at controller output
 4. Input undervoltage
 5. Input overvoltage
 6. Loss of input phase
 7. AC line switching transients
 8. Instantaneous overload
 9. Sustained overload exceeding 115 percent of controller rated current
 10. Over temperature
 11. Phase reversal
- m. Solid state motor overload protection shall be included such that current exceeding an adjustable threshold shall activate a 60 second timing circuit. Should current remain above the threshold continuously for the timing period, the controller will automatically shut down.
- n. A slip compensation circuit shall be included which will sense changing motor load conditions and adjust output frequency to provide speed regulation of NEMA B motors to within + / - 0.5 percent of maximum speed without the necessity of a tachometer generator.
- o. The VFD shall be factory set for manual restart after the first protective circuit trip for malfunction (overcurrent, undervoltage, overvoltage or overtemperature) or an interruption of power. The VFD shall be capable of being set for automatic restart after a selected time delay. If the drive faults again within a specified time period (adjustable 0-60 seconds), a manual restart will be required.

- p. The VFD shall include external fault reset capability. All the necessary logic to accept an external fault reset contact shall be included.
- q. Provide critical speed lockout circuitry to prevent operating at frequencies with critical harmonics that cause resonant vibrations. The VFD shall have a minimum of three user selectable bandwidths.
- r. Provide the following operator control and monitoring devices mounted on the front panel of the VFD:
 - 1. Manual speed potentiometer.
 - 2. Hand-Off-Auto (HOA) switch.
 - 3. Power on light.
 - 4. Drive run power light.
 - 5. Local display.
- s. Provide properly sized NEMA rated by-pass and isolation contactors to enable operation of motor in the event of VFD failure. Mechanical and electrical interlocks shall be installed between the by-pass and isolation contactors. Provide a selector switch and transfer delay timer.

2.2 ENCLOSURES

Provide equipment enclosures conforming to NEMA 250, NEMA ICS 7, NEMA ICS 6.

2.3 WIRES AND CABLES

All wires and cables shall conform to NEMA 250, NEMA ICS 7, NFPA 70.

2.4 NAMEPLATES

Nameplates showing manufacturer's name and equipment ratings shall be made of corrosion-resistant material with not less than 1/8 inch tall characters. Nameplates shall be mounted to front of enclosure and shall comply with nameplate requirements of NEMEA ICS 2.

2.5 NOT USED

PART 3 EXECUTION

3.1 INSTALLATION

Per NEMA ICS 3.1, install equipment in accordance with the approved manufacturer's printed installation drawings, instructions, wiring diagrams, and as indicated on project drawings and the approved shop drawings. A field representative of the drive manufacturer shall supervise the installation of all equipment, and wiring.

3.2 FIELD QUALITY CONTROL

Specified products shall be tested as a system for conformance to specification requirements prior to scheduling the acceptance tests.

Contractor shall conduct performance verification tests in the presence of Government representative, observing and documenting complete compliance of the system to the specifications. Contractor shall submit a signed copy of the test results, certifying proper system operation before scheduling tests.

3.2.1 VFD Test

A proposed test plan shall be submitted to the contracting officer at least 28 calendar days prior to proposed testing for approval. The tests shall conform to NEMA ICS 1, NEMA ICS 7, and all manufacturer's safety regulations. VFD tests shall the maximum and minimum pump requirements as provided in paragraph 2.2.1, and paragraph 3.3.3, Specification Section 11212A: WATER, VERTICAL TURBINE. The Government reserves the right to witness all tests and review any documentation. The contractor shall inform the Government at least 14 working days prior to the dates of testing. Contractor shall provide video tapes, if available, of all training provided to the Government for subsequent use in training new personnel. All training aids, texts, and expendable support material for a self-sufficient presentation shall be provided, the amount of which to be determined by the contracting officer.

3.2.2 Performance Verification Tests

"Performance Verification Test" plan shall provide the step by step procedure required to establish formal verification of the performance of the VFD. Compliance with the specification requirements shall be verified by inspections, review of critical data, demonstrations, and tests. The Government reserves the right to witness all tests, review data, and request other such additional inspections and repeat tests as necessary to ensure that the system and provided services conform to the stated requirements. The contractor shall inform the Government 14 calendar days prior to the date the test is to be conducted.

3.2.3 Endurance Test

Immediately upon completion of the performance verification test, the endurance test shall commence. The system shall be operated at varying rates for not less than 192 consecutive hours, at an average effectiveness level of .9998, to demonstrate proper functioning of the complete PCS. Continue the test on a day-to-day basis until performance standard is met. During the endurance test, the contractor shall not be allowed in the building. The system shall respond as designed.

3.3 DEMONSTRATION

3.3.1 Training

Coordinate training requirements with the Contracting Officer.

3.3.1.1 Instructions to Government Personnel

Provide the services of competent instructors who will give full instruction to designated personnel in operation, maintenance, calibration, configuration, and programming of the complete control system. Orient the training specifically to the system installed. Instructors shall be thoroughly familiar with the subject matter they are to teach. The Government personnel designated to attend the training will have a high school education or equivalent. The number of training days of instruction furnished shall be as specified. A training day is defined as eight hours

of instruction, including two 15-minute breaks and excluding lunch time; Monday through Friday. Provide a training manual for each student at each training phase which describes in detail the material included in each training program. Provide one additional copy for archiving. Provide equipment and materials required for classroom training. Provide a list of additional related courses, and offers, noting any courses recommended. List each training course individually by name, including duration, approximate cost per person, and location of course. Unused copies of training manuals shall be turned over to the Government at the end of last training session.

3.3.1.2 Operating Personnel Training Program

Provide one 2 hour training session at the site at a time and place mutually agreeable between the Contractor and the Government. Provide session to train 4 operation personnel in the functional operations of the system and the procedures that personnel will follow in system operation. This training shall include:

- a. System overview
- b. General theory of operation
- c. System operation
- d. Alarm formats
- e. Failure recovery procedures
- f. Troubleshooting

3.3.1.3 Engineering/Maintenance Personnel Training

Accomplish the training program as specified. Training shall be conducted on site at a location designated by the Government. Provide a one day training session (8 hours per day) to train 4 engineering personnel in the functional operations of the system. This training shall include:

- a. System overview
- b. General theory of operation
- c. System operation
- d. System configuration
- e. Alarm formats
- f. Failure recovery procedures
- g. Troubleshooting and repair
- h. Maintenance and calibration
- i. System programming and configuration

-- End of Section --

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SECTION 16263A

NATURAL GAS-GENERATOR SET STATIONARY 100-2500 KW, WITH AUXILIARIES

08/01

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SECTION 16263A

NATURAL GAS-GENERATOR SET STATIONARY 100-2500 KW, WITH AUXILIARIES
08/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

- ANSI C12.11 (1987; R 1993) Instrument Transformers for Revenue Metering, 10 kV BIL through 350 kV BIL (0.6 kV NSV through 69 kV NSV)
- ANSI C39.1 (1981; R 1992) Requirements for Electrical Analog Indicating Instruments

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- ASTM A 53/A 53M (1999b) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
- ASTM A 106 (1999e1) Seamless Carbon Steel Pipe for High-Temperature Service
- ASTM A 181/A 181M (2000) Carbon Steel Forgings for General-Purpose Piping
- ASTM A 234/A 234M (2000) Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service
- ASTM C 533 (1995) Calcium Silicate Block and Pipe Thermal Insulation

ASME INTERNATIONAL (ASME)

- ASME B16.3 (1998) Malleable Iron Threaded Fittings
- ASME B16.5 (1996; B16.5a) Pipe Flanges and Flanged Fittings NPS 1/2 thru NPS 24
- ASME B16.11 (1996) Forged Fittings, Socket-Welding and Threaded
- ASME B31.1 (1998) Power Piping
- ASME BPVC SEC VIII D1 (1998) Boiler and Pressure Vessel Code; Section VIII, Pressure Vessels Division 1 - Basic Coverage

ASME BPVC SEC IX (1998) Boiler and Pressure Vessel Code;
Section IX, Welding and Brazing
Qualifications

ELECTRICAL GENERATING SYSTEMS ASSOCIATION (EGSA)

EGSA 101P (1995a) Engine Driven Generator Sets

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2 (1997) National Electrical Safety Code

IEEE Std 1 (1986; R 1992) General Principles for
Temperature Limits in the Rating of
Electric Equipment and for the Evaluation
of Electrical Insulation

IEEE Std 43 (1974; R 1991) Testing Insulation
Resistance of Rotating Machinery

IEEE Std 100 (1997) IEEE Standard Dictionary of
Electrical and Electronics Terms

IEEE Std 115 (1995) IEEE Guide: Test Procedures for
Synchronous Machines

IEEE Std 120 (1989) Electrical Measurements in Power
Circuits

IEEE Std 484 (1996) Recommended Practice for
Installation Design and Installation of
Vented Lead-Acid Batteries for Stationary
Applications

IEEE Std 485 (1997) Recommended Practice for Sizing
Large Lead Storage Batteries for
Generating Stations and Substations

IEEE Std 519 (1992) Harmonic Control in Electrical
Power systems

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS
INDUSTRY (MSS)

MSS SP-58 (1993) Pipe Hangers and Supports -
Materials, Design and Manufacture

MSS SP-69 (1996) Pipe Hangers and Supports -
Selection and Application

MSS SP-80 (1997) Bronze Gate, Globe, Angle and Check
Valves

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA ICS 2 (1993) Industrial Controls and Systems
Controllers, Contactors, and Overload
Relays Rated Not More Than 2,000 Volts AC
or 750 Volts DC

NEMA ICS 6	(1993) Industrial Control and Systems, Enclosures
NEMA MG 1	(1998) Motors and Generators
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)	
NFPA 30	(1996; Errata; TIA 96-2) Flammable and Combustible Liquids Code
NFPA 54	(1999) National Fuel Gas Code
NFPA 37	(1998) Installation and Use of Stationary Combustion Engines and Gas Turbines
NFPA 70	(2002) National Electrical Code
NFPA 110	(1999) Emergency and Standby Power Systems
SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE)	
SAE J 537	(1996) Storage Batteries
UNDERWRITERS LABORATORIES (UL)	
UL 1236	(1994; Rev thru Mar 1999) Battery Chargers for Charging Engine-Starter Batteries

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

General Installation; G-ED

Drawings shall include the following:

- a. Base-mounted equipment, complete with base and attachments, including anchor bolt template and recommended clearances for maintenance and operation.
- b. Complete starting system.
- c. Complete fuel system.
- d. Complete cooling system.
- e. Complete exhaust system.
- f. Layout of relays, breakers, programmable controllers, switchgear, and switches including applicable single line and wiring diagrams with written description of sequence of

operation and the instrumentation provided.

g. The complete lubrication system, including piping, pumps, strainers, filters, controls and wiring.

h. Location, type, and description of vibration isolation devices for all applications.

i. The safety system, together with a detailed description of how it is to work. Wiring schematics, safety devices with a listing of their normal ranges, alarm and shutdown values (to include operation parameters such as pressures, temperatures voltages, currents, and speeds) shall be included.

j. One-line schematic and wiring diagrams of the generator, exciter, regulator, governor, and instrumentation.

k. Layout of each panel.

l. Mounting and support for each panel and major piece of electrical equipment.

m. Engine-generator set lifting points and rigging instructions.

Acceptance; G, G-RE

Drawings which accurately depict the as-built configuration of the installation, upon acceptance of the engine-generator set installation. Layout drawings shall be revised to reflect the as-built conditions and shall be submitted with the as-built drawings.

SD-03 Product Data

Performance Criteria; G-ED

Calculations of the engine and generator output power capability, including efficiency and parasitic load data.

Sound Limitations; G, G-ED

Sound power level data for the packaged unit operating at 100% load in a free field environment. The data should demonstrate compliance with the sound limitation requirements of this specification.

Engine-Generator Parameter Schedule; G-ED

Description of the generator features which mitigate the effects of the non-linear loads listed.

Power Factor; G-ED

The generator capability curve showing generator kVA output capability (kW vs. kvar) for both leading and lagging power factors ranging from 0 to 1.0.

Heat Rejected To Engine-Generator Space; G-ED

Manufacturers data to quantify heat rejected to the space with the engine generator set at rated capacity.

Cooling System; G-ED

A letter which certifies that the engine-generator set and cooling system function properly in the ambient temperature specified.

- a. The maximum allowable inlet temperature of the coolant fluid.
- b. The minimum allowable inlet temperature of the coolant fluid.
- c. The maximum allowable temperature rise in the coolant fluid through the engine.

Time-Delay on Alarms; G-ED

The magnitude of monitored values which define alarm or action set points, and the tolerance (plus and/or minus) at which the devices activate the alarm or action for items contained within the alarm panels.

Generator; G-ED

Manufacturer's standard data for each generator (prototype data at the specified rating or above is acceptable), listing the following information:

Direct-Axis subtransient reactance (per unit).

The generator kW rating and short circuit current capacity (both symmetric and asymmetric)

Manufacturer's Catalog; G-ED

Manufacturer's standard catalog data describing and depicting each engine-generator set and all ancillary equipment in sufficient detail to demonstrate complete specification compliance.

Site Welding; G-RE

A copy of qualifying procedures and a list of names and identification symbols of qualified welders and welding operators.

A letter listing the welder qualifying procedures for each welder, complete with supporting data such as test procedures used, what was tested to, and a list of the names of all welders and their identification symbols.

Spare Parts; G-RE

A complete list of spare parts for each piece of equipment and a complete list of all material and supplies needed for continued operation. Lists shall include supply source and current prices. Each list shall be separated into two parts, those elements recommended by the manufacturer to be replaced after 3 years of

service, and the remaining elements.

Onsite Training; G-RE

A letter giving the date proposed for conducting the onsite training course, the agenda of instruction, a description of the video taping service to be provided, and the kind and quality of the tape to be left with the Contracting Officer at the end of the instructional period.

Battery Charger; G-ED

Battery charger sizing calculations.

Vibration-Isolation; G-ED

Vibration isolation system performance data for the range of frequencies generated by the engine-generator set during operation from no load to full load and the maximum vibration transmitted to the floor.

Posted Data and Instructions; G-RE

Posted data including wiring and control diagrams showing the key mechanical and electrical control elements, and a complete layout of the entire system.

Instructions; G, G-RE

Instructions including: the manufacturers pre-start checklist and precautions; startup procedures for test-mode, manual-start mode, and automatic-start mode (as applicable); running checks, procedures, and precautions; and shutdown procedures, checks, and precautions. Instructions shall include procedures for interrelated equipment (such as heat recovery systems, co-generation, load-shedding, and automatic transfer switches). Instructions shall be weatherproof, laminated in plastic, and posted where directed.

Experience; G-ED

Each component manufacturer has a minimum of 3 years experience in the manufacture, assembly and sale of components used with stationary engine-generator sets for commercial and industrial use. The engine-generator set manufacturer/assembler has a minimum of 3 years experience in the manufacture, assembly and sale of stationary engine-generator sets for commercial and industrial use.

Field Engineer; G-RE

A letter listing the qualifications, schools, formal training, and experience of the field engineer.

General Installation; G-RE

A copy of the manufacturer's installation procedures and a detailed description of the manufacturer's recommended break-in procedure.

SD-06 Test Reports

Factory Inspection and Tests; G-ED

Six complete reproducible copies of the factory inspection result on the checklist format specified in paragraph FACTORY INSPECTION AND TESTS.

Factory Tests; G-ED

- a. Not Used.
- b. Not Used.
- c. Six copies of the Factory Test data described below in 8-1/2 x 11 inch binders having a minimum of 3 rings from which material may readily be removed and replaced, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs. Data plots shall be full size (8-1/2 x 11 inch minimum), showing grid lines, with full resolution.
 - (1) A detailed description of the procedures for factory tests.
 - (2) A list of equipment used, with calibration certifications.
 - (3) A copy of measurements taken, with required plots and graphs.
 - (4) The date of testing.
 - (5) A list of the parameters verified.
 - (6) The condition specified for the parameter.
 - (7) The test results, signed and dated.
 - (8) A description of adjustments made.

Onsite Inspection and Tests; G, G-RE

- a. A letter giving notice of the proposed dates of onsite inspections and tests at least 14 days prior to beginning tests.
- b. A detailed description of the Contractor's procedures for onsite tests including the test plan and a listing of equipment necessary to perform the tests. Submission shall be at least 30 days prior to beginning tests.
- c. Six copies of the onsite test data described below in 8-1/2 x 11 inch binders having a minimum of 3 rings from which material may readily be removed and replaced, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs. Data plots shall be full size (8-1/2 x 11 inchminimum), showing grid lines, with full resolution.
 - (1) A detailed description of the procedures for onsite tests.

- (2) A list of equipment used, with calibration certifications.
- (3) A copy of measurements taken, with required plots and graphs.
- (4) The date of testing.
- (5) A list of the parameters verified.
- (6) The condition specified for the parameter.
- (7) The test results, signed and dated.
- (8) A description of adjustments made.

SD-07 Certificates

Vibration Isolation; G-ED

Torsional analysis including prototype testing or and calculations which certify and demonstrate that no damaging or dangerous torsional vibrations will occur when the prime mover is connected to the generator, at synchronous speeds, $\pm 10\%$.

Prototype Test; G-ED

Manufacturer's standard certification that prototype tests were performed for the generator model proposed.

Reliability and Durability; G-ED

A reliability and durability certification letter from the manufacturer and assembler to prove that existing facilities are and have been successfully utilizing the same components proposed to meet this specification, in similar service. Certification may be based on components, i.e. engines used with different models of generators and generators used with different engines, and does not exclude annual technological improvements made by a manufacturer in the basic standard-model component on which experience was obtained, provided parts interchangeability has not been substantially affected and the current standard model meets the performance requirements specified. Provide a list with the name of the installations, completion dates, and name and telephone number of a point of contact.

Emissions; G-ED

A certification from the engine manufacturer stating that the engine exhaust emissions meet the federal, state, and local regulations and restrictions specified. At a minimum this certification shall include emission factors for criteria pollutants including nitrogen oxides, carbon monoxide, particulate matter, sulfur dioxide, non-methane hydrocarbon, and for hazardous air pollutants (HPAs).

Sound Limitations; G-ED

A certification from the manufacturer stating that the sound emissions meet the specification.

Site Visit; G-RE

A letter stating the date the site was visited and listing discrepancies found.

Flywheel Balance; G-ED

A certification stating that the flywheel has been statically and dynamically balanced and is capable of being rotated at 125% of rated speed without vibration or damage.

Materials and Equipment; G-ED

A certification stating that where materials or equipment are specified to comply with requirements of UL, written proof of such compliance has been obtained. The label or listing of the specified agency, or a written certificate from an approved, nationally recognized testing organization equipped to perform such services, stating that the items have been tested and conform to the requirements and testing methods of the specified agency are acceptable as proof.

Inspections; G-RE

A letter certifying that all facilities are complete and functional; that each system is fully functional; and that each item of equipment is complete, free from damage, adjusted, and ready for beneficial use.

Cooling System; G-ED

Certification that the engine-generator set and cooling system function properly in the ambient temperatures specified.

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G, G-RE

Six copies of the operation manual (approved prior to commencing onsite tests) in 8-1/2 x 11 inch binders, having a minimum of 3 rings from which material may readily be removed and replaced, including a separate section for each system or subsystem. Sections shall be separated by heavy plastic dividers with tabs which identify the material in the section. Drawings shall be folded blue lines, with the title block visible, and placed in 8-1/2 x 11 inch plastic pockets with reinforced holes. One full size reproducible mylar of each drawing shall accompany the booklets. Mylars shall be rolled and placed in a heavy cardboard tube with threaded caps on each end. The manual shall include: step-by-step procedures for system startup, operation, and shutdown; drawings, diagrams, and single-line schematics to illustrate and define the electrical, mechanical, and hydraulic systems together with their controls, alarms, and safety systems; the manufacturer's name, model number, and a description of equipment in the system. The instructions shall include procedures for interface and interaction with related systems to include automatic transfer switches. Each booklet shall include a CDROM containing an ASCII file of the procedures.

Maintenance Procedures; G, G-RE

Six copies of the maintenance manual containing the information described below in 8-1/2 x 11 inch binders having a minimum of three rings from which material may readily be removed and replaced, including a separate section for each item listed. Each section shall be separated by a heavy plastic divider with tabs. Drawings shall be folded, with the title block visible, and placed in plastic pockets with reinforced holes.

- a. Procedures for each routine maintenance item.

Procedures for troubleshooting.

Factory-service, take-down overhaul, and repair service manuals, with parts lists.

- b. A copy of the posted instructions.

c. A component list which includes the manufacturer's name, address, type or style, model or serial number, rating, and catalog number for the major components specified for nameplates.

Six complete reproducible copies of the final relay and protective device settings. The settings shall be recorded with the name of the company and individual responsible for their accuracy.

Special Tools; G-RE

Two complete sets of special tools required for maintenance (except for electronic governor handset). Special tools are those that only the manufacturer provides, for special purposes, or to reach otherwise inaccessible parts. The tools shall be supplied complete with a suitable tool box. One handset shall be provided for each electronic governor when required to indicate and/or change governor response settings.

Filters; G-RE

Two complete sets of filters, required for maintenance, shall be supplied in a suitable storage box. These filters shall be in addition to filters replaced after testing.

1.3 SYSTEM DESCRIPTION

Each engine-generator set shall be provided and installed complete and totally functional, with all necessary ancillary equipment to include: air filtration; starting system; generator controls, protection, and isolation; instrumentation; lubrication; fuel system; cooling system; and engine exhaust system. Each engine-generator set shall satisfy the requirements specified in the Engine-Generator Parameter Schedule.

1.3.1 Engine-Generator Parameter Schedule

ENGINE-GENERATOR PARAMETER SCHEDULE

Power Rating

ENGINE-GENERATOR PARAMETER SCHEDULE
Emergency Standby

Service Load	1048.5 kVA (maximum) 419.4kVA (360.4KW@0.86PF(continuous
Generator size	600KW
Motor Starting kVA (Max.)	1050 kVA
Power Factor	0.8 lagging
Engine-Generator Applications	stand-alone
Maximum Speed	1800 rpm
Heat Exchanger Type	fin-tube (radiator)
Governor Type	Isochronous
Frequency Bandwidth (steady state)	<u>+</u> 0.4 %
Voltage Regulation (No Load to Full Load) (Stand alone applications)	<u>+</u> 2% (maximum)
Voltage Bandwidth (steady state)	<u>+</u> 2 %
Frequency	60 Hz
Voltage	480Y/277 volts
Phases	3 Phase, Wye
Max Step Load Increase	50 % of Service Load at 0.8 PF
Transient Recovery Time with Step Load Increase (Voltage)	20 seconds
Transient Recovery Time with Step Load Increase (Frequency)	20 seconds
Maximum Voltage Deviation with Step Load Increase	30 % of rated voltage
Maximum Frequency Deviation with Step Load Increase	5 % of rated frequency
Max Step Load Decrease (without shutdown)	100 % of Service Load at 0.8 PF
Max Time to Start and be Ready to	15 seconds

ENGINE-GENERATOR PARAMETER SCHEDULE

Assume Load

Max Summer Indoor Temp (Prior to Genset Operation)	90 degrees F
Min Winter Indoor Temp (Prior to Genset Operation)	40 degrees F
Max Allowable Heat Transferred To Engine Generator Space at Rated Output Capacity	951 MBTU/hr
Max Summer Outdoor Temp (Ambient)	89 degrees F
Min Winter Outdoor Temp (Ambient)	-18 degrees F
Installation Elevation	2070 feet above sea level

1.3.2 Rated Output Capacity

Each engine-generator-set shall provide power equal to the sum of Service Load plus the machine's efficiency loss and associated ancillary equipment loads. Rated output capacity shall also consider engine and/or generator oversizing required to meet requirements in paragraph Engine-Generator Parameter Schedule.

1.3.3 Power Ratings

Power ratings shall be in accordance with EGSA 101P.

1.3.4 Transient Response

The engine-generator set governor and voltage regulator shall cause the engine-generator set to respond to the maximum step load changes such that output voltage and frequency recover to and stabilize within the operational bandwidth within the transient recovery time. The engine-generator set shall respond to maximum step load changes such that the maximum voltage and frequency deviations from bandwidth are not exceeded.

1.3.5 Reliability and Durability

Each standby engine-generator set shall have both an engine and a generator capable of delivering the specified power on a standby basis with an anticipated mean time between overhauls of no less than 5,000 hours operating with a load factor of 70%. Two like engines and two like generators shall be cited that have performed satisfactorily in a stationary power plant, independent and separate from the physical location of the manufacturer's and assembler's facilities, for standby without any failure to start, including all periodic exercise. Each like engine and generator shall have had no failures resulting in downtime for repairs in excess of 72 hours during two consecutive years of service. Like engines shall be of the same model, speed, bore, stroke, number and configuration of cylinders, and rated output capacity. Like generators shall be of the same model, speed, pitch, cooling, exciter, voltage regulator and rated

output capacity.

1.4 GENERAL REQUIREMENTS

1.4.1 Engine-Generator Set

Each set shall consist of one engine, one generator, and one exciter mounted, assembled, and aligned on one base; and other necessary ancillary equipment which may be mounted separately. Sets having a capacity of 750 kW or smaller shall be assembled and attached to the base prior to shipping. Sets over 750 kW capacity may be shipped in sections. Each set component shall be environmentally suitable for the location shown and shall be the manufacturer's standard product offered in catalogs for commercial or industrial use. Any nonstandard products or components and the reason for their use shall be specifically identified in paragraph SUBMITTALS.

1.4.2 Nameplates

Each major component of this specification shall have the manufacturer's name, type or style, model or serial number and rating on a plate secured to the equipment. As a minimum, nameplates shall be provided for:

Engines	Relays
Generators	Transformers (CT & PT)
Regulators	Day tanks
Pumps and pump motors	Governors
Generator Breaker	Air Starting System
Economizers	Heat exchangers (other than base mounted)

Where the following equipment is not provided as a standard component by the engine generator set manufacturer, the nameplate information may be provided in the maintenance manual in lieu of nameplates.

Battery charger	Heaters
Exhaust mufflers	
Switchgear	Silencers
Battery	Exciters

1.4.3 Personnel Safety Devices

Exposed moving parts, parts that produce high operating temperatures, parts which may be electrically energized, and parts that may be a hazard to operating personnel shall be insulated, fully enclosed, guarded, or fitted with other types of safety devices. The safety devices shall be installed so that proper operation of the equipment is not impaired.

1.4.4 Verification of Dimensions

Before performing any work, the premises shall be visited and all details of the work verified. The Contracting Officer shall be advised in writing of any discrepancies.

1.4.5 Conformance to Codes and Standards

Where equipment is specified to conform to requirements of any code or standard such as UL, NEMA, etc., the design, fabrication and installation shall also conform to the code.

1.4.6 Site Welding

Structural members shall be welded in accordance with Section 05090 WELDING, STRUCTURAL. For all other welding, procedures and welders shall be qualified in accordance with ASME BPVC SEC IX. Welding procedures qualified by others, and welders and welding operators qualified by a previously qualified employer may be accepted as permitted by ASME B31.1. Welder qualification tests shall be performed for each welder whose qualifications are not in compliance with the referenced standards. The Contracting Officer shall be notified 24 hours in advance of qualification tests. The qualification tests shall be performed at the work site if practical. The welder or welding operator shall apply his assigned symbol near each weld he makes as a permanent record.

1.4.7 Not Used.

1.4.8 Not Used.

1.4.9 Not Used.

1.4.10 Vibration Limitation

The maximum engine-generator set vibration in the horizontal, vertical, and axial directions shall be limited to 6 mils (peak-peak RMS), with an overall velocity limit of 0.95 inches/second RMS, for all speeds through 110% of rated speed.

1.4.11 Vibration Isolation

The engine-generator set shall be provided with a vibration-isolation system in accordance with the manufacturer's standard recommendation. Vibration-isolation systems shall be designed and qualified as an integral part of the base and mounting system.

1.4.12 Not Used.

1.4.13 Not Used.

1.4.14 Not Used.

1.4.15 Harmonic Requirements

Non-linear loads to be served by each engine-generator set are as indicated. The maximum linear load demand (kVA @ PF) when non-linear loads will also be in use is as indicated.

1.4.16 Starting Time Requirements

Upon receipt of a signal to start, each engine generator set will start, reach rated frequency and voltage and be ready to assume load within the time specified. For standby sets used in emergency power applications, each engine generator set will start, reach rated frequency and voltage, and power will be supplied to the load terminals of the automatic transfer switch within the starting time specified.

1.4.17 Experience

Each component manufacturer shall have a minimum of 3 years experience in the manufacture, assembly and sale of components used with stationary natural gas engine-generator sets for commercial and industrial use. The engine-generator set manufacturer/assembler shall have a minimum of 3 years experience in the manufacture, assembly and sale of stationary natural gas engine-generator sets for commercial and industrial use.

1.4.18 Field Engineer

The engine-generator set manufacturer or assembler shall furnish a qualified field engineer to supervise the complete installation of the engine-generator set, assist in the performance of the onsite tests, and instruct personnel as to the operational and maintenance features of the equipment. The field engineer shall have attended the engine generator manufacturer's training courses on installation and operation and maintenance of engine generator sets.

1.5 STORAGE AND INSTALLATION

The Contractor shall properly protect material and equipment, in accordance with the manufacturers recommended storage procedures, before, during, and after installation. Stored items shall be protected from the weather and contamination. During installation, piping and similar openings shall be capped to keep out dirt and other foreign matter.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

2.1.1 Filter Elements

Lubricating-oil, and combustion-air filter elements shall be manufacturer's standard.

2.1.2 Instrument Transformers

ANSI C12.11.

2.1.3 Not Used.

2.1.4 Pipe (Sleeves, Fuel/Lube-Oil, Compressed Air, Coolant, and Exhaust)

ASTM A 53/A 53M, or ASTM A 106 steel pipe. Pipe smaller than 2 inches shall be Schedule 80. Pipe 2 inches and larger shall be Schedule 40.

- a. Flanges and Flanged Fittings: ASTM A 181/A 181M, Class 60, or ASME B16.5, Grade 1, Class 150.
- b. Pipe Welding Fittings: ASTM A 234/A 234M, Grade WPB or WPC, Class 150 or ASME B16.11, 3000 lb.
- c. Threaded Fittings: ASME B16.3, Class 150.
- d. Valves: MSS SP-80, Class 150.
- e. Gaskets: Manufacturer's standard.

2.1.5 Pipe Hangers

MSS SP-58 and MSS SP-69.

2.1.6 Electrical Enclosures

NEMA ICS 6.

2.1.7 Electric Motors

Electric motors shall conform to the requirements of NEMA MG 1. Motors shall have sealed ball bearings and a maximum speed of 1800 rpm. Motors used indoors shall have drip-proof frames; those used outside shall be totally enclosed. Alternating current motors larger than 1/2 Hp shall be of the squirrel-cage induction type for operation on 208 volts or higher, 60 Hz, and three-phase power. Alternating current motors 1/2 Hp or smaller, shall be suitable for operation on 120 volts, 50 60 Hz, and single-phase power. Direct current motors shall be suitable for operation on 480 volts.

2.1.8 Motor Controllers

Motor controllers and starters shall conform to the requirements of NFPA 70 and NEMA ICS 2.

2.2 ENGINE

Each engine shall operate on natural gas and shall be designed for stationary applications and shall be complete with ancillaries. The engine shall be a standard production model described in the manufacturer's catalog. The engine shall be naturally aspirated, supercharged, or turbocharged. The engine shall be 2- or 4-stroke-cycle and compression-ignition type. The engine shall be vertical in-line, V- or opposed-piston type, with a solid cast block or individually cast cylinders. The engine shall have a minimum of two cylinders. Opposed-piston type engines shall have not less than four cylinders. Each block shall have a coolant drain port. Each engine shall be equipped with an overspeed sensor.

2.3 FUEL SYSTEM

The entire fuel system for each engine-generator set shall conform to the requirements of NFPA 54 and NFPA 37 and contain the following elements.

2.3.1 Natural Gas Pressure Regulator

2.3.2 Not Used.

2.3.3 Not Used

2.3.3.1 [Enter Appropriate Subpart Title Here] 2.3.4 Not Used

2.4 LUBRICATION

Each engine shall have a separate lube-oil system conforming to NFPA 30 and NFPA 37. Each system shall be pressurized by engine-driven pumps. System pressure shall be regulated as recommended by the engine manufacturer. A pressure relief valve shall be provided on the crankcase for closed systems. The crankcase shall be vented in accordance with the manufacturer's recommendation except that it shall not be vented to the engine exhaust system. Crankcase breathers, if provided on engines

installed in buildings or enclosures, shall be piped to vent to the outside. The system shall be readily accessible for service such as draining, refilling, etc. Each system shall permit addition of oil and have oil-level indication with the set operating. The system shall utilize an oil cooler as recommended by the engine manufacturer.

2.4.1 Lube-Oil Filter

One full-flow filter shall be provided for each pump. The filter shall be readily accessible and capable of being changed without disconnecting the piping or disturbing other components. The filter shall have inlet and outlet connections plainly marked.

2.4.2 Lube-Oil Sensors

Each engine shall be equipped with lube-oil pressure sensors. Pressure sensors shall be located downstream of the filters and provide signals for required indication and alarms.

2.4.3 Precirculation Pump

A motor-driven precirculation pump powered by the station battery, complete with motor starter shall be provided if recommended by the engine manufacturer.

2.5 COOLING SYSTEM

Each engine shall have its own cooling system. Cooling system shall be remote, air-cooled type. Each system shall operate automatically while its engine is running. The cooling system coolant shall be provided by the contractor and shall use a mixture of 50% water and 50% ethylene-glycol (by volume) for freeze protection. The maximum temperature rise of the coolant across each engine shall not exceed that recommended and submitted by the emergency generator manufacturer in paragraph SUBMITTALS.

2.5.1 Coolant Pumps

Coolant pumps shall be the centrifugal type. Each engine shall have an engine-driven primary pump. Secondary pumps shall be electric motor driven and have automatic controllers.

2.5.2 Heat Exchanger

Each heat exchanger shall be of a size and capacity to limit the maximum allowable temperature rise in the coolant across the engine to that recommended and submitted in paragraph SUBMITTALS for the maximum summer outdoor design temperature and site elevation. Each heat exchanger shall be corrosion resistant, suitable for service in ambient conditions of application.

2.5.2.1 Fin-Tube-Type Heat Exchanger (Radiator)

Heat exchanger may be factory coated with corrosive resistant film, provided that correction measures are taken to restore the heat rejection capability of the radiator to the initial design requirement via over sizing, or other compensating methods. Internal surfaces shall be compatible with liquid fluid coolant used. Materials and coolant are subject to approval by the Contracting Officer. Heat exchangers shall be pressure type incorporating a pressure valve, vacuum valve and a cap. Caps

shall be designed for pressure relief prior to removal. Each heat exchanger and the entire cooling system shall be capable of withstanding a minimum pressure of 7 psi and shall be protected with a strong grille or screen guard to protect against hailstones. Each heat exchanger shall have at least two tapped holes; one tapped hole shall be equipped with a drain cock, the rest shall be plugged.

2.5.2.2 Not Used.

2.5.3 Expansion Tank

The cooling system shall include an air expansion tank which will accommodate the expanded water of the system generated within the normal operating temperature range, limiting the pressure increase at all components in the system to the maximum allowable pressure at those components. The tank shall be suitable for operating temperature of 250 degrees F and a working pressure of 125 psi. The tank shall be constructed of welded steel, tested and stamped in accordance with ASME BPVC SEC VIII D1 for the stated working pressure. A bladder type tank shall not be used. The tank shall be supported by steel legs or bases for vertical or steel saddles for horizontal installation.

2.5.4 Thermostatic Control Valve

A modulating type, thermostatic control valve shall be provided in the coolant system to maintain the coolant temperature range submitted in paragraph SUBMITTALS.

2.5.5 Not Used.

2.5.6 Temperature Sensors

Each engine shall be equipped with coolant temperature sensors. Temperature sensors shall provide signals for pre-high and high indication and alarms.

2.6 SOUND LIMITATIONS

The noise generated by the engine-generator set operating at 100 percent load shall not exceed the following sound pressure levels in any of the indicated frequencies when measured in a free field at a radial distance of 22.9 feet 7 meters at 45 degrees apart in all directions.

Frequency Band (Hz)	Maximum Acceptable Pressure Level (Decibels)
31	75
63	75
125	75
250	75
500	75
1,000	75
2,000	75
4,000	75

Frequency Band (Hz)	Maximum Acceptable Pressure Level (Decibels)
8,000	75

The noise generated by the installed engine-generator set operating at 100 percent load shall not exceed the following sound pressure levels in any of the indicated frequencies when measured at a distance of 75 feet from the end of the exhaust piping directly along the path of discharge for horizontal piping; or at a radius of 35 feet from the engine at 45 degrees apart in all directions for vertical piping.

Frequency Band (Hz)	Maximum Acceptable Pressure Level (Decibels)
31	75
63	75
125	75
250	75
500	75
1,000	75
2,000	75
4,000	75
8,000	75

2.7 AIR INTAKE EQUIPMENT

Filters and silencers shall be provided in locations that are convenient for servicing. The silencer shall be of the high-frequency filter type, located in the air intake system as recommended by the engine manufacturer.

Silencer shall be capable of reducing the noise level at the air intake so that the indicated pressure levels specified in paragraph SOUND LIMITATIONS will not be exceeded. A combined filter-silencer unit meeting requirements for the separate filter and silencer items may be provided. Expansion elements in air-intake lines shall be copper or rubber.

2.8 EXHAUST SYSTEM

The system shall be separate and complete for each engine. Piping shall be supported to minimize vibration. Where a V-type engine is provided, a V-type connector, with necessary flexible sections and hardware, shall connect the engine exhaust outlets.

2.8.1 Flexible Sections and Expansion Joints

A flexible section shall be provided at each engine and an expansion joint at each muffler. Flexible sections and expansion joints shall have flanged connections. Flexible sections shall be made of convoluted seamless tube without joints or packing. Expansion joints shall be the bellows type. Expansion and flexible elements shall be stainless steel suitable for natural gas-engine exhaust at the maximum exhaust temperature that is

specified by the engine manufacturer. Expansion and flexible elements shall be capable of absorbing vibration from the engine and compensation for thermal expansion and contraction.

2.8.2 Exhaust Muffler

A chamber type exhaust muffler shall be provided. The muffler shall be constructed of welded steel and designed for inside vertical or horizontal mounting. Eyebolts, lugs, flanges, or other items shall be provided as necessary for support in the location and position indicated. Pressure drop through the muffler shall not exceed the recommendations of the engine manufacturer. The muffler and exhaust piping together shall reduce the noise level to less than the maximum acceptable level listed for sound limitations in paragraph SOUND LIMITATIONS.

2.8.3 Exhaust Piping

Horizontal sections of exhaust piping shall be sloped downward away from the engine to a drip leg for collection of condensate with drain valve and cap. Changes in direction shall be long radius. Exhaust piping, mufflers and silencers installed inside any building shall be insulated in accordance with paragraph THERMAL INSULATION and covered to protect personnel. Vertical exhaust piping shall be provided with a hinged, gravity-operated, self-closing, rain cover.

2.8.4 Ventilated Roof Thimble

The engine exhaust piping shall pass through the roof using a UL approved ventilated roof thimble specifically designed for positive pressure engine exhaust systems. The roof thimble shall be rated for 1200 degrees F or the engine manufacturer's recommendation, whichever is greater.

2.9 PYROMETER

A pyrometer, with calibrated leads shall be provided to show the temperature of the combined exhaust. For a supercharged engine, additional points, thermocouples and leads shall be provided to show the temperature in the turbocharger exhaust gas outlet and combustion air discharge passages. Graduated scale length shall be not less than 6 inches. The selector switch shall be double pole, with an "off" position, one set of points for each thermocouple, and suitable indicating dial. The pyrometer, thermocouples, leads and compensating devices shall be calibrated to show true exhaust temperature within plus or minus 1% above the highest temperature encountered at 110% load conditions.

2.10 EMISSIONS

The finished installation shall comply with Federal, state, and local regulations and restrictions regarding the limits of emissions.

2.11 STARTING SYSTEM

The starting system for standby engine generator sets used in emergency applications shall be in accordance with NFPA 99 and NFPA 110 and as follows.

2.11.1 Controls

An engine control switch shall be provided with functions including:

run/start(manual), off/reset, and, automatic mode. Start-stop logic shall be provided for adjustable cycle cranking and cooldown operation. The logic shall be arranged for fully automatic starting in accordance with paragraph AUTOMATIC ENGINE-GENERATOR-SET SYSTEM OPERATION. Electrical starting systems shall be provided with an adjustable cranking limit device to limit cranking periods from 1 second up to the maximum duration.

2.11.2 Capacity

The starting system shall be of sufficient capacity, at the maximum indoor summer temperature specified to crank the engine without damage or overheating. The system shall be capable of providing a minimum of three cranking periods with 15 second intervals between cranks. Each cranking period shall have a maximum duration of 15 seconds.

2.11.3 Electrical Starting

Manufacturers recommended dc system, utilizing a negative circuit ground.

2.11.3.1 Battery

A starting battery system shall be provided and shall include the battery, battery rack, intercell connectors, spacers, automatic battery charger with overcurrent protection, metering and relaying. The battery shall be in accordance with SAE J 537. The battery shall be nickel-cadmium, with sufficient capacity, at the minimum indoor and maximum indoor temperature specified, to provide the specified cranking periods. Valve-regulated lead-acid batteries are not acceptable.

2.11.3.2 Battery Charger

A current-limiting battery charger, conforming to UL 1236, shall be provided and shall automatically recharge the batteries. The charger shall be capable of an equalize-charging rate for recharging fully depleted batteries within 24 hours and a floating charge rate for maintaining the batteries at fully charged condition. An ammeter shall be provided to indicate charging rate. A voltmeter shall be provided to indicate charging voltage. A timer shall be provided for the equalize-charging-rate setting.

A battery is considered to be fully depleted when the output voltage falls to a value which will not operate the engine generator set and its components.

2.11.4 Not Used.

2.11.5 Starting Aids

The manufacturer shall provide one or more of other following methods to assist engine starting.

2.11.5.1 Glow Plugs

Glow plugs shall be designed to provide sufficient heat for combustion of fuel within the cylinders to guarantee starting at an ambient temperature of minus 25 degrees F.

2.11.5.2 Jacket-Coolant Heaters

A thermostatically controlled electric heater shall be mounted in the engine coolant jacketing to automatically maintain the coolant within plus or minus 3 degrees F of the control temperature. The heater shall operate

independently of engine operation so that starting times are minimized. Power for the heaters shall be 480 volts, single phase, ac.

- a. Not Used.
- b. Standby Rated Sets

The control temperature shall be the temperature recommended by the engine manufacturer to meet the starting time specified at the minimum winter outdoor temperature.

2.11.5.3 Not Used.

2.11.6 Exerciser

The exerciser shall be in accordance with Section 16410A, AUTOMATIC TRANSFER AND BY-PASS/ISOLATION SWITCHES.

2.12 GOVERNOR

Each engine shall be provided with a governor which maintains the frequency within a bandwidth of the rated frequency, over a steady-state load range of zero to 100% of rated output capacity. The governor shall be configured for safe manual adjustment of the speed/frequency during operation of the engine-generator set, without special tools, from 90 to 110% of the rated speed/frequency, over a steady state load range of 0 to 100% or rated capacity.

2.12.1 Governor Performance

Isochronous governors shall maintain the midpoint of the frequency bandwidth at the same value for steady-state loads over the range of zero to 100% of rated output capacity.

2.13 GENERATOR

Each generator shall be of the synchronous type, one or two bearing, conforming to NEMA MG 1, equipped with winding terminal housings in accordance with NEMA MG 1, equipped with an amortisseur winding, and directly connected to the engine. Insulation shall be Class F. Generator design shall protect against mechanical, electrical and thermal damage due to vibration, 25% overspeeds, or voltages and temperatures at a rated output capacity of 110% for prime applications and 100% for standby applications. Generator ancillary equipment shall meet the short circuit requirements of NEMA MG 1. Frames shall be the drip-proof type.

2.13.1 Current Balance

At 100% rated output capacity, and load impedance equal for each of the 3 phases, the permissible current difference between any 2 phases shall not exceed 2% of the largest current on either of the 2 phases.

2.13.2 Voltage Balance

At any balanced load between 75 and 100% of rated output capacity, the difference in line-to-neutral voltage among the 3 phases shall not exceed 1% of the average line-to-neutral voltage. For a single-phase load condition, consisting of 25% load at unity power factor placed between any

phase and neutral with no load on the other 2 phases, the maximum simultaneous difference in line-to-neutral voltage between the phases shall not exceed 3% of rated line to neutral voltage. The single-phase load requirement shall be valid utilizing normal exciter and regulator control. The interpretation of the 25% load for single phase load conditions means 25% of rated current at rated phase voltage and unity power factor.

2.13.3 Waveform

The deviation factor of the line-to-line voltage at zero load and at balanced rated output capacity shall not exceed 10%. The RMS of all harmonics shall be less than 5.0% and that of any one harmonic less than 3.0% of the fundamental at rated output capacity. Each engine-generator shall be designed and configured to meet the total harmonic distortion limits of IEEE Std 519.

2.14 EXCITER

The generator exciter shall be of the brushless type. Semiconductor rectifiers shall have a minimum safety factor of 300% for peak inverse voltage and forward current ratings for all operating conditions, including 110% generator output at 104 degrees F ambient. The exciter and regulator in combination shall maintain generator-output voltage within the limits specified.

2.15 VOLTAGE REGULATOR

Each generator shall be provided with a solid-state voltage regulator, separate from the exciter. The regulator shall maintain the voltage within a bandwidth of the rated voltage, over a steady-state load range of zero to 100% of rated output capacity. Regulator shall be configured for safe manual adjustment of the engine-generator voltage output without special tools, during operation, from 90 to 110% of the rated voltage over the steady state load range of 0 to 100% of rated output capacity. Regulation drift shall not exceed plus or minus 0.5% for an ambient temperature change of 68 degrees F. Reactive droop compensation or reactive differential compensation shall load share the reactive load proportionally between sets during parallel operation.

2.15.1 Steady State Performance (Regulation or Voltage Droop)

The voltage regulator shall have a maximum droop of 2% of rated voltage over a load range from 0 to 100% of rated output capacity and automatically maintain the generator output voltage within the specified operational bandwidth.

2.16 GENERATOR ISOLATION AND PROTECTION

Devices necessary for electrical protection and isolation of each engine-generator set and its ancillary equipment shall be provided. The generator circuit breaker (IEEE Device 52) ratings shall be consistent with the generator rated voltage and frequency, with continuous, short circuit withstand, and interrupting current ratings to match the generator capacity. The generator circuit breaker shall be manually operated. A set of surge capacitors, to be mounted at the generator terminals shall be provided. Monitoring and control devices shall be as specified in paragraph GENERATOR PANEL.

2.17 SAFETY SYSTEM

Devices, wiring, remote panels, local panels, etc. shall be provided and installed as a complete system to automatically activate the appropriate signals and initiate the appropriate actions. The safety system shall be provided with a self-test method to verify its operability. Alarm signals shall have manual acknowledgment and reset devices. The alarm signal systems shall reactivate for new signals after acknowledgment is given to any signal. The systems shall be configured so that loss of any monitoring device shall be dealt with as an alarm on that system element.

2.17.1 Audible Signal

The audible alarm signal shall sound at a frequency of 70 Hz at a volume of 75 dB at 10 feet. The sound shall be continuously activated upon alarm and silenced upon acknowledgment. Signal devices shall be located as shown.

2.17.2 Visual Signal

The visual alarm signal shall be a panel light. The light shall be normally off, activated to be blinking upon alarm. The light shall change to continuously lit upon acknowledgement. If automatic shutdown occurs, the display shall maintain activated status to indicate the cause of failure and shall not be reset until cause of alarm has been cleared and/or restored to normal condition. Shutdown alarms shall be red; all other alarms shall be amber.

2.17.3 Alarms and Action Logic

2.17.3.1 Shutdown

Simultaneous activation of the audible signal, activation of the visual signal, stopping the engine, and opening the generator main circuit breakers shall be accomplished.

2.17.3.2 Problem

Activation of the visual signal shall be accomplished.

2.17.4 Local Alarm Panel

A local alarm panel shall be provided with the following shutdown and alarm functions in accordance with NFPA 110 2 and including the listed Corps of Engineer requirements mounted either on or adjacent to the engine generator set.

Device/Condition /Function	What/Where/Size	NFPA 110 Level 2	Corps of Engrs Required
Shutdowns w/Alarms			
High engine temperature	Automatic/jacket/water/cylinder	SD/CP VA	SD VA
Low lube-oil pressure	Automatic/pressure/level	SC/CP VA	SD VA
Overspeed Shutdown&	(110 percent (+ 2 % of rated speed)	SD/CP VA	SD VA

Device/Condition /Function	What/Where/Size	NFPA 110 Level 2	Corps of Engrs Required
Alarm			
Overcrank, Failure to start	Automatic/Failure to start when used	SD/CP VA SD/CP VA	
Red emergency stop switch	Manual Switch	SD/CP VA	SD VA
Alarms			
Low Coolant Temperature	jacket water	CP VA	
Pre-High Temperature	jacket water/ cylinder	CP VA	CP VA
Pre-Low Lube-oil Pressure			CP VA
High battery Voltage		CP VA	
Low battery Voltage		CP VA	
Battery charger AC Failure	AC supply not available	CP VA	
Control switch not in AUTO		CP VA	

SD - Shut Down
 CP - On Control Panel
 VA - Visual Alarm
 AA - Audible Alarm
 O - Optional

2.17.5 Time-Delay on Alarms

For startup of the engine-generator set, time-delay devices shall be installed bypassing the low lubricating oil pressure alarm during cranking, and the coolant-fluid outlet temperature alarm. The lube-oil time-delay device shall return its alarm to normal status after the engine starts. The coolant time-delay device shall return its alarm to normal status 5 minutes after the engine starts.

2.17.6 Remote Alarm Panel

A remote alarm panel shall be provided in accordance with NFPA 110 and as follows. The remote panel shall be turned over to the USER for

installation in the future Fish Hatchery Building. Contractor shall coordinated this requirement with the USER thru the Contracting Officer in the field.

Device/Condition/Function	What/Where/Size	NFPA 110 Level 2
Remote annunciator panel	Battery Powered Alarms	
Loads on genset		
Battery charger malfunction		
Low lube-oil	Pressure/level	AAO
Low temperature	Jacket water	AAO
High temperature	Jacket water/ cylinder	AAO
Overcrank	Failure to start	AAO
Overspeed		AAO
Pre-high temperature	Jacket water/ cylinder	
Control switch not in AUTO		
Common alarm contacts for local & remote common alarm		X
Audible alarm silencing switch		O
Air shutdown damper	When used	AAO
Common fault alarm		

- X - Required
- SD - Shutdown
- CP - On Control Panel
- VA - Visual Alarm
- AA - Audible Alarm
- O - Optional]

2.18 ENGINE GENERATOR SET CONTROLS AND INSTRUMENTATION

Devices, wiring, remote panels, local panels, etc. shall be provided and installed as a complete system to automatically activate the appropriate signals and initiate the appropriate actions.

2.18.1 Controls

A local control panel shall be provided with controls in accordance with NFPA 110 level 2 and as follows mounted either on or adjacent to the engine generator set.

Device/Condition/ Function	Corps Requirement	NFPA 110 Level 2	MFG Offering
Controls			
Switch: run/start - off/reset - auto	CP		CP/STD
Emergency stop switch & alarm	CP		CP/STD
Lamp test/indicator test	CP	CP VA	CP/STD
Common alarm contacts/		X	CP/O

Device/Condition/ Function	Corps Requirement	NFPA 110 Level 2	MFG Offering
fault relay			
Panel lighting	CP		CP/STD
Audible alarm & silencing/reset switch	CP		
Voltage adjust for voltage regulator	CP		CP/STD
Pyrometer display w/selector switch	CP		
Remote emergency stop switch		CP VA	
Remote fuel shutoff switch			
Remote lube-oil shutoff switch			

2.18.2 Engine Generator Set Metering and Status Indication

A local panel shall be provided with devices in accordance with NFPA 110 level 2 and as follows mounted either on or adjacent to the engine generator set. .

Device/Condition/ Function	Corps Requirement	NFPA 110 Level 2	MFG Offering
Genset Status & Metering			
Genset supplying load System ready		CP VAO	CP VAO CP/STD
Engine oil pressure	CP		CP/STD
Engine coolant temperature	CP		CP/STD
Engine RPM (tachometer)	CP		CP/STD
Engine run hours	CP		CP/STD
Pyrometer display w/selector switch	CP		
AC volts (generator), 3-phase	CP		CP/STD
AC amps (generator), 3 - phase	CP		CP/STD
Generator Frequency	CP		CP/STD
Phase selector switches (amps & volts)	CP		CP/STD
Watts/kW			CP/VA-O
Voltage Regulator Adjustment	CP		

X - Required

CP - On Control Panel

VA - Visual Alarm

AA - Audible Alarm

STD- Manufacturers Standard Offering

O - Optional

2.19 NOT USED

2.20 PANELS

Each panel shall be of the type and kind necessary to provide specified functions. Panels shall be mounted on the engine-generator set base by vibration/shock absorbing type mountings. Instruments shall be mounted flush or semiflush. Convenient access to the back of panels shall be provided to facilitate maintenance. Instruments shall be calibrated using recognized industry calibration standards. Each panel shall be provided with a panel identification plate which clearly identifies the panel function. Each instrument and device on the panel shall be provided with a plate which clearly identifies the device and its function as indicated. Switch plates shall clearly identify the switch-position function.

2.20.1 Enclosures

Enclosures shall be designed for the application and environment, conforming to NEMA ICS 6. Locking mechanisms shall be keyed alike.

2.20.2 Analog

Analog electrical indicating instruments shall be in accordance with ANSI C39.1 with semiflush mounting. Switchboard, switchgear, and control-room panel-mounted instruments shall have 250 degree scales with an accuracy of not less than 99%. Unit-mounted instruments shall be the manufacturer's standard have 100 degree scales with an accuracy of not less than 98%. The instrument's operating temperature range shall be minus 4 to plus 158 degrees F. Distorted generator output voltage waveform of a crest factor less than 5 shall not affect metering accuracy for phase voltages, hertz and amps.

2.20.3 Not Used

2.20.4 Parameter Display

Indication or readouts of the tachometer, lubricating-oil pressure, ac voltmeter, ac ammeter, frequency meter, and safety system parameters shall be provided. A momentary switch shall be specified for other panels.

2.21 AUTOMATIC ENGINE-GENERATOR-SET SYSTEM OPERATION

Fully automatic operation shall be provided for the following operations: engine-generator set starting and load transfer upon loss of normal source; retransfer upon restoration of the normal source. Devices shall automatically reset after termination of their function.

2.21.1 Automatic Transfer Switch

Automatic transfer switches shall be in accordance with Section 16410A AUTOMATIC TRANSFER AND BY-PASS/INSOLATION SWITCHES.

2.21.2 Monitoring and Transfer

Devices shall be provided to monitor voltage and frequency for the normal power source and each engine-generator set, and control transfer from the normal source and retransfer upon restoration of the normal source. Functions, actuation, and time delays shall be as described in Section 16410

A AUTOMATIC TRANSFER AND BY-PASS/ISOLATION SWITCHES.

2.22 NOT USED

2.23 BATTERY SYSTEM

A battery system shall be provided to include the battery, battery rack, spacers, and automatic battery charger. The batteries shall have a rated life of 20 years and a manufacturer's 5-year, no cost replacement guarantee.

2.23.1 Battery

The battery shall be nickel-cadmium, sized in accordance with IEEE Std 485 and conform to the requirements of IEEE Std 484. Valve-regulated lead-acid batteries are not acceptable. The battery environment temperature shall range between 40 and 92 degrees. The battery shall be rated for at least 24 ampere hours at the 8-hour rate.

2.23.2 Not Used

2.23.3 Battery Charger

A current-limiting, battery charger shall be furnished to automatically recharge the batteries. The charger shall be capable of an equalize charging rate and a floating-charge rate for maintaining the batteries in a fully charged condition. The charger shall be equipped with a low-voltage alarm relay, 0- to 24-hour equalizing timer, an ammeter to indicate charging rate, and necessary circuit breakers. The charger shall conform to the requirements of UL 1236. A battery is considered to be fully depleted when the voltage falls to a level incapable of operating the equipment loads served by the battery.

2.24 BASE

The base shall be constructed of steel. The base shall be designed to rigidly support the engine-generator set, ensure permanent alignment of rotating parts, be arranged to provide easy access to allow changing of lube-oil, and ensure that alignment is maintained during shipping and normal operation. The base shall permit skidding in any direction during installation and shall withstand and mitigate the affects of synchronous vibration of the engine and generator. The base shall be provided with suitable holes for anchor bolts and jacking screws for leveling.

2.25 THERMAL INSULATION

Thermal insulation for the diesel exhaust piping shall be as follows:

2.25.1 Calcium Silicate

ASTM C 533, Type I, indoors only, or outdoors above 250 degrees F. Pipe shape may be used on engine exhaust piping and mufflers to 1200 degrees F. Insulation thickness shall be 6 inches.

2.26 PAINTING AND FINISHING

The engine-generator set shall be cleaned, primed and painted in accordance with the manufacturer's standard color and practice.

2.27 FACTORY INSPECTION AND TESTS

The factory tests shall be performed on each engine-generator set. The component manufacturer's production line test is acceptable as noted. Each engine-generator set shall be run not less than 1 hour at rated output capacity prior to inspections. Inspections shall be completed and all necessary repairs made, prior to testing. Engine generator controls and protective devices that are provided by the generator set manufacturer as part of the standard package shall be used for factory tests. When controls and switchgear are not provided as part of the generator set manufacturer's standard package, the actual controls and protective devices provided for the project are not required to be used during the factory test.

2.27.1 Factory Inspection

Inspections shall be performed prior to beginning and after completion of testing of the assembled engine-generator set. Inspectors shall look for leaks, looseness, defects in components, proper assembly, etc. and any item found to be in need of correction shall be noted as a necessary repair. The following checklist shall be used for the inspection:

INSPECTION ITEM	GOOD	BAD	NOTES
1. Drive belts			
2. Governor and adjustments			
3. Engine timing mark			
4. Starting motor			
5. Starting aids			
6. Coolant type and concentration			
7. Radiator drains			
8. Block coolant drains			
9. Coolant fill level			
10. All coolant line connections			
11. All coolant hoses			
12. Combustion air filter			
13. Combustion air silencer			
14. Lube oil type			
15. Lube oil sump drain			
16. Lube-oil filter			
17. Lube-oil-level indicator			
18. Lube-oil-fill level			
19. All lube-oil line connections			
20. All lube-oil lines			
21. Fuel type and amount			
22. All fuel-line connections			
23. All fuel lines			
24. Fuel filter			
25. Coupling and shaft alignment			
26. Voltage regulators			
27. Battery-charger connections			
28. All wiring connections			
29. Instrumentation			
30. Hazards to personnel			
31. Base			
32. Nameplates			
33. Paint			
34. Exhaust-heat recovery unit			
35. Switchgear			
2.27.2 Factory Tests			

On engine-generator set tests where the engine and generator are required to be connected and operated together, the load power factor shall be the power factor specified in the engine generator set parameter schedule] 0.8 power factor. Electrical measurements shall be performed in accordance with IEEE Std 120. Definitions of terms are in accordance with IEEE Std 100.

Temperature limits in the rating of electrical equipment and for the evaluation of electrical insulation shall be in accordance with IEEE Std 1.

In the following tests where measurements are to be recorded after stabilization of an engine-generator set parameter (voltage, frequency, current, temperature, etc.), stabilization is considered to have occurred when measurements are maintained within the specified bandwidths or tolerances, for a minimum of four consecutive readings. Tests specifically for the generator may be performed utilizing any prime mover.

- a. Insulation Resistance for Stator and Exciter Test, IEEE Std 115 and IEEE Std 43, to the performance criteria in NEMA MG 1, Part 22. Generator manufacturer's production line test is acceptable.
- b. High Potential Test, per IEEE Std 115 and NEMA MG 1, test voltage in accordance with NEMA MG 1. Generator manufacturer's production line test is acceptable.
- c. Winding Resistance Test, Stator and Exciter, per IEEE Std 115. Generator manufacturer's production line test is acceptable.
- d. Overspeed Vibration Test, per IEEE Std 115 to the performance criteria in NEMA MG 1. The test shall be performed at 110% of rated speed for 5 minutes. The vibration shall be measured at the end bearings (front and back of engine, outboard end of generator) in the horizontal, vertical, and axial directions. Vibration amplitude and speed shall be recorded at one minute intervals.
- e. Phase Balance Voltage Test, to the performance criteria specified in paragraph GENERATOR. This test can be performed with any prime mover. Generator manufacturer's production line test results are acceptable.
 - (1) Start and operate the generator at no load.
 - (2) Adjust a regulated phase voltage (line-to-neutral) to rated voltage.
 - (3) Read and record the generator frequency, line-to-neutral voltages, and the line-to-line voltages.
 - (4) Apply 75% rated load and record the generator frequency, line-to-neutral voltages, and the line-to-line voltages.
 - (5) Apply rated load and record the generator frequency, line-to-neutral voltages, and the line-to-line voltages.
 - (6) Calculate average line-neutral voltage and percent deviation of individual line-neutral voltages from average for each load condition.
- f. Current Balance on Stator Winding Test, by measuring the current on each phase of the winding with the generator operating at 100 % of Rated Output Capacity, with the load impedance equal for each

of the three phases: to the performance criteria specified in paragraph GENERATOR.

- g. Not Used
- h. Voltage and Frequency Droop Test. Verify that the output voltage and frequency are within the specified parameters as follows:
 - (1.) With the generator operating at no load, adjust voltage and frequency to rated voltage and frequency. Record the generator output frequency and line-line and line-neutral voltages.
 - (2.) Increase load to Rated Output Capacity. Record the generator output frequency and line-line and line-neutral voltages.
- 3. Calculate the percent droop for voltage and frequency with the following equations:

$$\text{Voltage droop \%} = \frac{(\text{No-Load Volts}) - (\text{Rated Capacity volts})}{(\text{Service-Load Volts})} \times 100$$

$$\text{Frequency droop \%} = \frac{(\text{No-Load Hertz}) - (\text{Rated Capacity hertz})}{(\text{Service-Load hertz})} \times 100$$

- 4. Repeat steps 1 through 3 two additional times without making any adjustments.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION

Installation shall provide clear space for operation and maintenance in accordance with NFPA 70 and IEEE C2. Installation of pipe, duct, conduit, and ancillary equipment shall be configured to facilitate easy removal and replacement of major components and parts of the engine-generator set.

3.2 PIPING INSTALLATION

Piping shall be welded. Connections at valves shall be flanged. Connections at equipment shall be flanged except that connections to the engine may be threaded if the engine manufacturer's standard connection is threaded. Except where otherwise specified, welded flanged fittings shall be utilized to allow for complete dismantling and removal of each piping system from the facility without disconnecting or removing any portion of any other system's equipment or piping. Connections to equipment shall be made with vibration-isolation-type flexible connectors. Piping and tubing shall be supported and aligned to prevent stressing of flexible hoses and connectors. Pipes extending through the roof shall be properly flashed. Piping shall be installed clear of windows, doors and openings, to permit thermal expansion and contraction without damage to joints or hangers, and shall be installed with a 1/2 inch drain valve with cap at each low point.

3.2.1 Support

Hangers, inserts, and supports shall be of sufficient size to accommodate

any insulation and shall conform to MSS SP-58 and MSS SP-69. Supports shall be spaced not more than 7 feet on center for pipes 2 inches in diameter or less, not more than 12 feet on center for pipes larger than 2 inches but smaller than 4 inches in diameter, and not more than 17 feet on center for pipes larger than 4 inches in diameter. Supports shall be provided at pipe bends or change of direction.

3.2.1.1 Ceiling and Roof

Exhaust piping shall be supported with appropriately sized Type 41 single pipe roll and threaded rods; all other piping shall be supported with appropriately sized Type 1 clevis and threaded rods.

3.2.1.2 Wall

Wall supports for pipe shall be made by suspending the pipe from appropriately sized Type 33 brackets with the appropriate ceiling and roof pipe supports.

3.2.2 Flanged Joints

Flanges shall be Class 125 type, drilled, and of the proper size and configuration to match the equipment and engine connections. Flanged joints shall be gasketed and made up square and tight.

3.2.3 Cleaning

After fabrication and before assembly, piping interiors shall be manually wiped clean of debris.

3.2.4 Pipe Sleeves

Pipes passing through construction such as ceilings, floors, or walls shall be fitted with sleeves. Each sleeve shall extend through and be securely fastened in its respective structure and shall be cut flush with each surface. The structure shall be built tightly to the sleeve. The inside diameter of each sleeve shall be minimum 1/2 inch, and where pipes pass through combustible materials 1 inch larger than the outside diameter of the passing pipe or pipe insulation/covering.

3.3 ELECTRICAL INSTALLATION

Electrical installation shall comply with NFPA 70, IEEE C2, and Section 16415A ELECTRICAL WORK, INTERIOR.

3.3.1 Vibration Isolation

Flexible fittings shall be provided for conduit, cable trays, and raceways attached to engine-generator sets. Metallic conductor cables installed on the engine generator set and from the engine generator set to equipment not mounted on the engine generator set shall be flexible stranded conductor. Terminations of conductors on the engine generator set shall be crimp-type terminals or lugs.

3.4 FIELD PAINTING

Field painting shall be as specified in Section 09900 PAINTING, GENERAL.

3.5 ONSITE INSPECTION AND TESTS

3.5.1 Test Conditions

3.5.1.1 Data

Measurements shall be made and recorded of all parameters necessary to verify that each set meets specified parameters. If the results of any test step are not satisfactory, adjustments, replacements, or repairs shall be made and the step repeated until satisfactory results are obtained. Unless otherwise indicated, data shall be recorded in 15 minute intervals during engine-generator set operation and shall include: readings of all engine-generator set meters and gauges for electrical and power parameters; oil pressure; ambient temperature; and engine temperatures available from meters and gauges supplied as permanent equipment on the engine-generator set. Electrical measurements shall be performed in accordance with IEEE Std 120. Definitions of terms are in accordance with IEEE Std 100. Temperature limits in the rating of electrical equipment and for the evaluation of electrical insulations shall be in accordance with IEEE Std 1.

3.5.1.2 Power Factor

For all engine-generator set operating tests the load power factor shall be 1.0 power factor.

3.5.1.3 Contractor Supplied Items

The Contractor shall provide equipment and supplies required for inspections and tests including fuel, test instruments, and loadbanks at the specified power factors.

3.5.1.4 Instruments

Readings of panel gauges, meters, displays, and instruments provided as permanent equipment shall be verified during test runs, using test instruments of greater precision and accuracy. Test instrument accuracy shall be within the following: current plus or minus 1.5%, voltage plus or minus 1.5%, real power plus or minus 1.5%, reactive power plus or minus 1.5%, power factor plus or minus 3%, frequency plus or minus 0.5%. Test instruments shall be calibrated by a recognized standards laboratory within 30 days prior to testing.

3.5.1.5 Sequence

The sequence of testing shall be as specified in the approved testing plan unless variance is authorized by the Contracting Officer. Field testing shall be performed in the presence of the Contracting Officer. Tests may be scheduled and sequenced in order to optimize run-time periods; however, the following general order of testing shall be followed: Construction Tests; Inspections; Pre-operational Tests; Safety Run Tests; Performance Tests; and Final Inspection.

3.5.2 Construction Tests

Individual component and equipment functional tests for fuel piping, coolant piping, and lubricating-oil piping, electrical circuit continuity, insulation resistance, circuit protective devices, and equipment not provided by the engine-generator set manufacturer shall be performed prior to connection to the engine-generator set.

3.5.2.1 Piping Test

- a. Lube-oil and fuel-oil piping shall be flushed with the same type of fluid intended to flow through the piping, until the outflowing fluid has no obvious sediment or emulsion.
- b. Gas piping which is external to the engine-generator set shall be tested in accordance with NFPA 54. All remaining piping which is external to the engine-generator set shall be pressure tested with air pressure at 150% of the maximum anticipated working pressure, but not less than 150 psi, for a period of 2 hours to prove the piping has no leaks. If piping is to be insulated, the test shall be performed before the insulation is applied.

3.5.2.2 Electrical Equipment Tests

- a. Low-voltage cable insulation integrity tests shall be performed for cables connecting the generator breaker to the automatic transfer switch. Low-voltage cable, complete with splices, shall be tested for insulation resistance after the cables are installed, in their final configuration, ready for connection to the equipment, and prior to energization. The test voltage shall be 500 volts dc, applied for one minute between each conductor and ground and between all possible combinations conductors in the same trench, duct, or cable, with all other conductors in the same trench, duct, or conduit. The minimum value of insulation shall be:

R in megohms = (rated voltage in kV + 1) x 304,800/(length of cable in meters)

R in megohms = (rated voltage in kV + 1) x 1000/(length of cable in feet)

Each cable failing this test shall be repaired or replaced. The repair cable shall be retested until failures have been eliminated.

- b. Circuit breakers and switchgear shall be examined and tested in accordance with the manufacturer's published instructions for functional testing.

3.5.3 Inspections

The following inspections shall be performed jointly by the Contracting Officer and the Contractor, after complete installation of each engine-generator set and its associated equipment, and prior to startup of the engine-generator set. Checks applicable to the installation shall be performed. The results of those which are physical inspections (I) shall be documented by the Contractor and submitted in accordance with paragraph SUBMITTALS. The Contractor shall present manufacturer's data for the inspections designated (D) at the time of inspection. Inspections shall verify that equipment type, features, accessibility, installation and condition are in accordance with the contract specification. Manufacturer's statements shall certify provision of features which cannot be verified visually.

1. Drive belts. (I)
2. Governor type and features. (I)
3. Engine timing mark. (I)

4. Starting motor. (I)
5. Starting aids. (I)
6. Coolant type and concentration. (D)
7. Radiator drains. (I)
8. Block coolant drains. (I)
9. Coolant fill level. (I)
10. Coolant line connections. (I)
11. Coolant hoses. (I)
12. Combustion air filter. (I)
13. Intake air silencer. (I)
14. Lube oil type. (D)
15. Lube oil sump drain. (I)
16. Lube-oil filter. (I)
17. Lube-oil level indicator. (I)
18. Lube-oil fill level. (I)
19. Lube-oil line connections. (I)
20. Lube-oil lines. (I)
21. Fuel type. (D)
22. Fuel-level. (I)
23. Fuel-line connections. (I)
24. Fuel lines. (I)
25. Fuel filter. (I)
26. Access for maintenance. (I)
27. Voltage regulator. (I)
28. Battery-charger connections. (I)
29. Wiring & terminations. (I)
30. Instrumentation. (I)
31. Hazards to personnel. (I)
32. Base. (I)
33. Nameplates. (I)
34. Paint. (I)
35. Exhaust-heat system. (I)
36. Exhaust muffler. (I)

37. Switchgear. (I)
38. Access provided to controls. (I)
39. Enclosure is weather resistant. (I)
40. Engine & generator mounting bolts (application). (I)

3.5.4 Not Useds

3.5.5 Safety Run Test

For the following tests, if any parts are changed, or adjustments made to the generator set, its controls, or auxiliaries, the associated safety tests shall be repeated.

- a. Perform and record engine manufacturer's recommended prestarting checks and inspections.
- b. Start the engine, record the starting time, make and record engine manufacturer's after-starting checks and inspections during a reasonable warm-up period.
- c. Activate the manual emergency stop switch and verify that the engine stops.
- d. Remove the high and pre-high lubricating oil temperature sensing elements from the engine and temporarily install a temperature

gauge in their normal locations on the engine (required for safety, not for recorded data). Where necessary provide temporary wiring harness to connect the sensing elements to their permanent electrical leads.

- e. Start the engine, record the starting time, make and record engine manufacturer's after-starting checks and inspections during a reasonable warm-up period. Operate the engine-generator set at no load until the output voltage and frequency stabilize. Monitor the temporarily installed temperature gauges. If either temperature reading exceeds the value required for an alarm condition, activate the manual emergency stop switch.
- f. Immerse the elements in a vessel containing controlled-temperature hot oil and record the temperature at which the pre-high alarm activates and the temperature at which the engine shuts down. Remove the temporary temperature gauges and reinstall the temperature sensors on the engine.
- g. Remove the high and pre-high coolant temperature sensing elements from the engine and temporarily install a temperature gauge in their normal locations on the engine (required for safety, not for recorded data). Where necessary provide temporary wiring harness to connect the sensing elements to their permanent electrical leads.
- h. Start the engine, record the starting time, make and record engine manufacturer's after-starting checks and inspections during a reasonable warm-up period. Operate the engine generator-set at no load until the output voltage and frequency stabilize.
- i. Immerse the elements in a vessel containing controlled-temperature hot oil and record the temperature at which the pre-high alarm activates and the temperature at which the engine shuts down. Remove the temporary temperature gauges and reinstall the temperature sensors on the engine.
- j. Start the engine, record the starting time, make and record engine manufacturer's after-starting checks and inspections during a reasonable warm-up period.
- k. Operate the engine generator-set for at least 2 hours at 75% of Service Load.
- l. Verify proper operation and setpoints of gauges and instruments.
- m. Verify proper operation of ancillary equipment.
- n. Manually adjust the governor to increase engine speed past the overspeed limit. Record the RPM at which the engine shuts down.
- o. Start the engine, record the starting time, make and record engine manufacturer's after-starting checks and inspections and operate the engine generator-set for at least 15 minutes at 75% of Service Load.
- p. Manually adjust the governor to increase engine speed to within 2% of the overspeed trip speed previously determined and operate at that point for 5 minutes. Manually adjust the governor to the

rated frequency.

- q. Not Used.
- r. Shut down the engine. Remove the time-delay low lube oil pressure alarm bypass and try to start the engine.
- s. Attach a manifold to the engine oil system (at the oil pressure sensor port) that contains a shutoff valve in series with a connection for the engine's oil pressure sensor followed by an oil pressure gauge ending with a bleed valve. The engine's oil pressure sensor shall be moved from the engine to the manifold. The manifold shutoff valve shall be open and bleed valve closed.
- t. Start the engine, record the starting time, make and record engine manufacturer's after-starting checks and inspections and operate the engine generator-set for at least 15 minutes at 75% of Service Load.
- u. Close the manifold shutoff valve. Slowly allow the pressure in the manifold to bleed off through the bleed valve while watching the pressure gauge. Record the pressure at which the engine shuts down. Catch oil spillage from the bleed valve in a container. Add the oil from the container back to the engine, remove the manifold, and reinstall the engine's oil pressure sensor on the engine.
- v. Start the engine, record the starting time, make and record engine manufacturer's after-starting checks and inspections and operate the engine generator-set for at least 15 minutes at 100% of Service Load. Record the maximum sound level in each frequency band at a distance of 75feet from the end of the exhaust and air intake piping directly along the path of intake and discharge for horizontal piping; or at a radius of 35 feet from the engine at 45 degrees apart in all directions for vertical piping. If a sound limiting enclosure is not provided, the muffler and air intake silencer shall be modified or replaced as required to meet the sound limitations of this specification. If the sound limitations can not be obtained by modifying or replacing the muffler and air intake silencer, the contractor shall notify the Contracting Officers Representative and provide a recommendation for meeting the sound limitations.
- w. Manually drain off fuel slowly from the day tank to empty it to below the low fuel level limit and record the level at which the audible alarm sounds. Add fuel back to the day tank to fill it above low level alarm limits.

3.5.6 Performance Tests

In the following tests, where measurements are to be recorded after stabilization of an engine-generator set parameter (voltage, frequency, current, temperature, etc.), stabilization is considered to have occurred when measurements are maintained within the specified bandwidths or tolerances, for a minimum of four consecutive readings. For the following tests, if any parts are changed, or adjustments made to the generator set, its controls, or auxiliaries, the associated tests shall be repeated.

3.5.6.1 Continuous Engine Load Run Test

Test the engine-generator set and ancillary systems at service load to demonstrate durability; verify that heat of extended operation does not adversely affect or cause failure in any part of the system; and check all parts of the system. If the engine load run test is interrupted for any reason, the entire test shall be repeated. The engine load run test shall be accomplished principally during daylight hours, with an average ambient temperature of 90 degrees F. After each change in load in the following test, measure the vibration at the end bearings (front and back of engine, outboard end of generator) in the horizontal, vertical, and axial directions. Verify that the vibration is within the allowable range. Data taken at 15 minute intervals shall include the following:

Electrical: Output amperes, voltage, real and reactive power, power factor, frequency.

Pressure: Lube-oil.

Temperature: Coolant.
Lube-oil.
Exhaust.
Ambient.

- a. Perform and record engine manufacturer's recommended prestarting checks and inspections. Include as a minimum checking of coolant fluid, fuel, and lube-oil levels.
- b. Start the engine, make and record engine manufacturer's after-starting checks and inspections during a reasonable warmup period.
- c. Operate the engine generator-set for 2 hours at 75% of Service Load.
- d. Increase load to 100% of Service Load and operate the engine generator-set for 4 hours.
- e. For prime rated units, increase load to 110% of Service Load and operate the engine generator-set for 2 hours.
- f. Decrease load to 100% of Service Load and operate the engine generator-set for 2 hours or until all temperatures have stabilized.
- g. Remove load from the engine-generator set.

3.5.6.2 Voltage and Frequency Droop Test

For the following steps, verify that the output voltage and frequency return to and stabilize within the specified bandwidth values following each load change. Record the generator output frequency and line-line and line-neutral voltages following each load change.

- a. With the generator operating at no load, adjust voltage and frequency to rated voltage and frequency.
- b. Increase load to 100% of Rated Output Capacity. Record the generator output frequency and line-line and line-neutral voltages.

- c. Calculate the percent droop for voltage and frequency with the following equations.

$$\text{Voltage droop \%} = \frac{\text{No-load volts} - \text{rated output capacity volts}}{\text{Rated output capacity volts}} \times 100$$

$$\text{Frequency droop \%} = \frac{\text{No load hertz} - \text{rated output capacity hertz}}{\text{Rated output capacity volts}} \times 100$$

- d. Repeat steps a. through c. two additional times without making any adjustments.

3.5.6.3 Voltage Regulator Range Test

- a. While operating at no load, verify that the voltage regulator adjusts from 90% to 110% of rated voltage.
- b. Increase load to 100% of Rated Output Capacity. Verify that the voltage regulator adjusts from 90% to 110% of rated voltage.

3.5.6.4 Governor Adjustment Range Test

- a. While operating at no load, verify that the governor adjusts from 90% to 110% of rated frequency.
- b. Increase load to 100% of Rated Output Capacity. Verify that the governor adjusts from 90% to 110% of rated frequency.

3.5.6.5 Frequency and Voltage Stability and Transient Response

Verify that the engine-generator set responds to addition and dropping of blocks of load in accordance with the transient response requirements. Document maximum voltage and frequency variation from bandwidth and verify that voltage and frequency return to and stabilize within the specified bandwidth, within the specified response time period. Document results in tabular form and with high resolution, high speed strip chart recorders or comparable digital recorders, as approved by the Contracting Officer. Tabular data shall include the following:

- (1.) Ambient temperature (at 15 minute intervals).
- (2.) Generator output current (before and after load changes).
- (3.) Generator output voltage (before and after load changes).
- (4.) Frequency (before and after load changes).
- (5.) Generator output power (before and after load changes).
- (6.) Graphic representations shall include the actual instrument trace of voltage and frequency showing:

Charts marked at start of test; observed steady-state band; mean of observed band; momentary overshoot and undershoot (generator terminal voltage and frequency) and recovery time for each load

change together with the voltage and frequency maximum and minimum trace excursions for each steady state load condition prior to and immediately following each load change. Generator terminal voltage and frequency transient recovery time for each step load increase and decrease.

- a. Perform and record engine manufacturer's recommended prestarting checks and inspections.
- b. Start the engine, make and record engine manufacturer's after-starting checks and inspections during a reasonable warm-up period and no load. Verify stabilization of voltage and frequency within specified bandwidths.
- c. With the unit at no load, apply the Maximum Step Load Increase.
- d. Apply load in steps equal to the Maximum Step Load Increase until the addition of one more step increase will exceed the Service Load.
- e. Decrease load to the unit such that addition of the Maximum Step Load Increase will load the unit to 100% of Service Load.
- f. Apply the Maximum Step Load Increase.
- g. Decrease load to zero percent in steps equal to the Maximum Step Load Decrease.
- h. Repeat steps c. through g.

3.5.7 Not Used.

3.5.8 Not Used

3.5.9 Not Used

3.5.10 Automatic Operation Tests for Stand-Alone Operation

The automatic loading system shall be tested to demonstrate automatic starting, loading and unloading of each engine-generator set. The loads for this test shall utilize the actual loads to be served, and the loading sequence shall be the indicated sequence as shown on the drawings. Perform this test for a minimum of two successive, successful tests. Data taken shall include the following:

- (1.) Ambient temperature (at 15 minute intervals).
 - (2.) Generator output current (before and after load changes).
 - (3.) Generator output voltage (before and after load changes).
 - (4.) Generator output frequency (before and after load changes).
- a. Initiate loss of the primary power source and verify automatic sequence of operation.
 - b. Restore the primary power source and verify sequence of operation.
 - c. Verify resetting of controls to normal.

3.5.11 Not Used.

3.5.12 Final Testing and Inspection

- a. Start the engine, record the starting time, make and record all engine manufacturer's after-starting checks and inspections during a reasonable warm-up period.
- b. Increase the load in steps no greater than the Maximum Step Load Increase to 100% of Service Load, and operate the engine-generator set for at least 30 minutes. Measure the vibration at the end bearings (front and back of engine, outboard end of generator) in the horizontal, vertical, and axial directions. Verify that the vibration is within the same range as previous measurements and is within the required range.
- c. Remove load and shut down the engine-generator set after the recommended cool down period.
- d. Remove the lube oil filter and have the oil and filter examined by the engine manufacturer for excessive metal, abrasive foreign particles, etc. Any corrective action shall be verified for effectiveness by running the engine for 8 hours at Service Load, then re-examining the oil and filter.
- e. Remove the gas filter and examine the filter for trash, abrasive foreign particles, etc.
- f. Visually inspect and check engine and generator mounting bolts for tightness and visible damage.
- g. Replace air, oil, and gas filters with new filters.

3.6 POSTED DATA AND INSTRUCTIONS

Posted Data and Instructions shall be posted prior to field acceptance testing of the engine generator set. Two sets of instructions/data shall be typed in 8 1/2" x 11" format, laminated in weatherproof plastic, and placed in three-ring vinyl binders. The binders shall be placed as directed by the Contracting Officer. The instructions shall be in place prior to acceptance of the engine generator set installation. First set shall include a one-line diagram, wiring and control diagrams and a complete layout of the system. Second set shall include the condensed operating instructions describing manufacturer's pre-start checklist and precautions; startup procedures for test-mode, manual-start mode, and automatic-start mode (as applicable); running checks, procedures, and precautions; and shutdown procedures, checks, and precautions. Instructions shall include procedures for interrelated equipment (such as automatic transfer switches).]

3.7 ONSITE TRAINING

The Contractor shall conduct training course for operating staff as designated by the Contracting Officer. The training period shall consist of a total of 2 staff for 8 hours of normal working time and shall start after the system is functionally completed but prior to final acceptance.

All operation and maintenance manuals shall be approved and made available for the training course. All posted instructions shall be approved and posted prior to the beginning date of the training course. The training course schedule shall be coordinated with the Using Service's work schedule, and submitted for approval 14 days prior to beginning date of proposed beginning date of training. The course instructions shall cover pertinent points involved in operating, starting, stopping, servicing the equipment, as well as major elements of the operation and maintenance manuals. Additionally, the course instructions shall demonstrate routine maintenance procedures as described in the operation and maintenance manuals.

Two copies of a video tape of the [entire training session] [manufacturers operating and maintenance training course] shall be submitted.

3.8 ACCEPTANCE

Final acceptance of the engine-generator set will not be given until the contractor has successfully completed all tests and all defects in installation material or operation have been corrected.

-- End of Section --

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SECTION 16375A

ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND
05/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C37.72	(1987) Manually-Operated Dead-Front, Padmounted Switchgear with Load-Interrupting Switches and Separable Connectors for Alternating-Current Systems
ANSI C57.12.21	(1995) Requirements for Pad-Mounted, Compartmental-Type, Self-Cooled, Single-Phase Distribution Transformers with High-Voltage Bushings; (High-Voltage, 34 500 Grd Y/19 920 Volts and Below; Low-Voltage, 240/120; 167 kVA and Smaller)
ANSI C57.12.26	(1993) Pad-Mounted Compartmental-Type, Self-Cooled, Three-Phase Distribution Transformers for Use with Separable Insulated High-Voltage Connectors, High-Voltage, 34 500 Grd Y/19 920 Volts and Below; 2500 kVa and Smaller
ANSI C57.12.28	(1999) Switchgear and Transformers - Padmounted Equipment - Enclosure Integrity
ANSI C80.1	(1995) Rigid Steel Conduit - Zinc Coated
ANSI C119.1	(1986; R 1997) Sealed Insulated Underground Connector Systems Rated 600 Volts

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 48	(1994ael) Gray Iron Castings
ASTM A 123/A 123M	(2000) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 153/A 153M	(2000) Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM B 3	(1995) Soft or Annealed Copper Wire

ASTM B 8	(1999) Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM B 117	(1997) Operating Salt Spray (Fog) Apparatus
ASTM B 496	(1999) Compact Round Concentric-Lay-Stranded Copper Conductors
ASTM C 478	(1997) Precast Reinforced Concrete Manhole Sections
ASTM C 478M	(1997) Precast Reinforced Concrete Mahhole Sections (Metric)
ASTM D 923	(1997) Sampling Electrical Insulating Liquids
ASTM D 1654	(1992) Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments
ASTM D 4059	(1996) Analysis of Polychlorinated Biphenyls in Insulating Liquids by Gas Chromatography

ASSOCIATION OF EDISON ILLUMINATING COMPANIES (AEIC)

AEIC CS5	(1994; CS5a-1995) Cross-linked Polyethylene Insulated Shielded Power Cables Rated 5 Through 46 kV
AEIC CS6	(1996) Ethylene Propylene Rubber Insulated Shielded Power Cables Rated 5 Through 69 kV

FACTORY MUTUAL ENGINEERING AND RESEARCH (FM)

FM P7825a	(1998) Approval Guide Fire Protection
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INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2	(1997) National Electrical Safety Code
IEEE C37.20.3	(1997) Metal-Enclosed Interrupter Switchgear
IEEE C37.63	(1997) Requirements for Overhead, Pad-Mounted, Dry-Vault, and Submersible Automatic Line Sectionalizer for AC Systems
IEEE C57.12.00	(1993) IEEE Standard General Requirements for Liquid-Immersed Distribution, Power, and Regulating Transformers
IEEE C62.1	(1989; R 1994) Surge Arresters for AC Power Circuits
IEEE C62.2	(1987; R 1994) Guide for the Application of Gapped Silicon-Carbide Surge Arresters

for Alternating Current Systems

IEEE C62.11	(1999) IEEE Standard Metal-Oxide Surge Arresters for AC Power Circuits
IEEE Std 48	(1998) Standard Test Procedures and Requirements for Alternating-Current Cable Terminations 2.5 kV through 765 kV
IEEE Std 81	(1983) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System (Part 1)
IEEE Std 100	(1997) IEEE Standard Dictionary of Electrical and Electronics Terms
IEEE Std 242	(1986; R 1991) Recommended Practice for Protection and Coordination of Industrial and Commercial Power Systems
IEEE Std 386	(1995) Separable Insulated Connector Systems for Power Distribution Systems Above 600V
IEEE Std 399	(1997) Recommended Practice for Industrial and Commercial Power Systems Analysis
IEEE Std 404	(1993) Cable Joints for Use with Extruded Dielectric Cable Rated 5000 V through 138 000 V and Cable Joints for Use with Laminated Dielectric Cable Rated 2500 V Through 500 000 V
IEEE Std 592	(1990; R 1996) Exposed Semiconducting Shields on Premolded High Voltage Cable Joints and Separable Insulated Connectors

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA FB 1	(1993) Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit and Cable Assemblies
NEMA FU 1	(1986) Low Voltage Cartridge Fuses
NEMA LA 1	(1992) Surge Arresters
NEMA TC 6	(1990) PVC and ABS Plastic Utilities Duct for Underground Installation
NEMA WC 7	(1988; Rev 3 1996) Cross-Linked-Thermosetting-Polyethylene-Insulated Wire and Cable for the Transmission and Distribution of Electrical Energy
NEMA WC 8	(1988; Rev 3; 1996) Ethylene-Propylene-Rubber-Insulated Wire

and Cable for the Transmission and
Distribution of Electrical Energy

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2002) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 6 (1997) Rigid Metal Conduit

UL 198E (1988; Rev Jul 1988) Class R Fuses

UL 467 (1993; Rev thru Apr 1999) Grounding and
Bonding EquipmentUL 486A (1997; Rev thru Dec 1998) Wire Connectors
and Soldering Lugs for Use with Copper
ConductorsUL 486B (1997; Rev Jun 1997) Wire Connectors for
Use with Aluminum ConductorsUL 510 (1994; Rev thru Apr 1998) Polyvinyl
Chloride, Polyethylene and Rubber
Insulating Tape

UL 514A (1996; Rev Dec 1999) Metallic Outlet Boxes

UL 651 (1995; Rev thru Oct 1998) Schedule 40 and
80 Rigid PVC ConduitUL 1072 (1995; Rev Mar 1998) Medium Voltage Power
CableUL 1242 (1996; Rev Mar 1998) Intermediate Metal
Conduit

1.2 GENERAL REQUIREMENTS

1.2.1 Terminology

Terminology used in this specification is as defined in IEEE Std 100.

1.2.2 Service Conditions

Items provided under this section shall be specifically suitable for the following service conditions.

- a. Altitude 2070 feet
- b. Ambient Temperature Minus 18 degree F to 89 degrees F degrees F

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be

submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Electrical Distribution System; G-RE

Detail drawings consisting of equipment drawings, illustrations, schedules, instructions, diagrams manufacturers standard installation drawings and other information necessary to define the installation and enable the Government to check conformity with the requirements of the contract drawings.

If departures from the contract drawings are deemed necessary by the Contractor, complete details of such departures shall be included with the detail drawings. Approved departures shall be made at no additional cost to the Government.

Detail drawings shall show how components are assembled, function together and how they will be installed on the project. Data and drawings for component parts of an item or system shall be coordinated and submitted as a unit. Data and drawings shall be coordinated and included in a single submission. Multiple submissions for the same equipment or system are not acceptable except where prior approval has been obtained from the Contracting Officer. In such cases, a list of data to be submitted later shall be included with the first submission. Detail drawings shall consist of the following:

a. Detail drawings showing physical arrangement, construction details, connections, finishes, materials used in fabrication, provisions for conduit or busway entrance, access requirements for installation and maintenance, physical size, electrical characteristics, foundation and support details, and equipment weight. Drawings shall be drawn to scale and/or dimensioned. All optional items shall be clearly identified as included or excluded.

b. Internal wiring diagrams of equipment showing wiring as actually provided for this project. External wiring connections shall be clearly identified.

Detail drawings shall as a minimum depict the installation of the following items:

a. Medium-voltage cables and accessories including cable installation plan.

b. Pad Mounted Transformer.

c. Pad Mounted Sectionalizer

d. Surge arrestors.

As-Built Drawings; G-RE

The as-built drawings shall be a record of the construction as installed. The drawings shall include the information shown on the contract drawings as well as deviations, modifications, and changes from the contract drawings, however minor. The as-built drawings shall be a full sized set of prints marked to reflect

deviations, modifications, and changes. The as-built drawings shall be complete and show the location, size, dimensions, part identification, and other information. Additional sheets may be added. The as-built drawings shall be jointly inspected for accuracy and completeness by the Contractor's quality control representative and by the Contracting Officer prior to the submission of each monthly pay estimate.

SD-03 Product Data

Fault Current Analysis; G-ED
Protective Device; G-ED
Coordination Study; G-ED

The study shall be submitted with protective device equipment submittals. No time extension or similar contract modifications will be granted for work arising out of the requirements for this study. Approval of protective devices proposed shall be based on recommendations of this study. The Government shall not be held responsible for any changes to equipment, device ratings, settings, or additional labor for installation of equipment or devices ordered and/or procured prior to approval of the study.

Nameplates; G-RE

Catalog cuts, brochures, circulars, specifications, product data, and printed information in sufficient detail and scope to verify compliance with the requirements of the contract documents.

Material and Equipment; G-RE

A complete itemized listing of equipment and materials proposed for incorporation into the work. Each entry shall include an item number, the quantity of items proposed, and the name of the manufacturer of each such item.

General Installation Requirements; G-RE

As a minimum, installation procedures for transformers, substations, switchgear, and medium-voltage cable terminations and splices.

Procedures shall include cable pulling plans, diagrams, instructions, and precautions required to install, adjust, calibrate, and test the devices and equipment.

SD-06 Test Reports

Field Testing; G-RE

A proposed field test plan, 20 days prior to testing the installed system. No field test shall be performed until the test plan is approved. The test plan shall consist of complete field test procedures including tests to be performed, test equipment required, and tolerance limits.

Operating Tests; G-RE

Six copies of the information described below in 8-1/2 by 11 inch

binders having a minimum of three rings, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.
- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The condition specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.

Cable Installation; G-RE

Six copies of the information described below in 8-1/2 by 11 inch binders having a minimum of three rings from which material may readily be removed and replaced, including a separate section for each cable pull. Sections shall be separated by heavy plastic dividers with tabs, with all data sheets signed and dated by the person supervising the pull.

- a. Site layout drawing with cable pulls numerically identified.
- b. A list of equipment used, with calibration certifications. The manufacturer and quantity of lubricant used on pull.
- c. The cable manufacturer and type of cable.
- d. The dates of cable pulls, time of day, and ambient temperature.
- e. The length of cable pull and calculated cable pulling tensions.
- f. The actual cable pulling tensions encountered during pull.

SD-07 Certificates

Material and Equipment; G-RE

Where materials or equipment are specified to conform to the standards of the Underwriters Laboratories (UL) or to be constructed or tested, or both, in accordance with the standards of the American National Standards Institute (ANSI), the Institute of Electrical and Electronics Engineers (IEEE), or the National Electrical Manufacturers Association (NEMA), the Contractor shall submit proof that the items provided conform to such requirements.

The label of, or listing by, UL will be acceptable as evidence that the items conform. Either a certification or a published catalog specification data statement, to the effect that the item is in accordance with the referenced ANSI or IEEE standard, will be acceptable as evidence that the item conforms. A similar certification or published catalog specification data statement to

the effect that the item is in accordance with the referenced NEMA standard, by a company listed as a member company of NEMA, will be acceptable as evidence that the item conforms. In lieu of such certification or published data, the Contractor may submit a certificate from a recognized testing agency equipped and competent to perform such services, stating that the items have been tested and that they conform to the requirements listed, including methods of testing of the specified agencies. Compliance with above-named requirements does not relieve the Contractor from compliance with any other requirements of the specifications.

Cable Joints; G-RE

A certification that contains the names and the qualifications of people recommended to perform the splicing and termination of medium-voltage cables approved for installation under this contract. The certification shall indicate that any person recommended to perform actual splicing and terminations has been adequately trained in the proper techniques and have had at least three recent years of experience in splicing and terminating the same or similar types of cables approved for installation. In addition, any person recommended by the Contractor may be required to perform a practice splice and termination, in the presence of the Contracting Officer, before being approved as a qualified installer of medium-voltage cables. If that additional requirement is imposed, the Contractor shall provide short sections of the approved types of cables along with the approved type of splice and termination kits, and detailed manufacturer's instruction for the proper splicing and termination of the approved cable types.

Cable Installer Qualifications; G-RE]

The Contractor shall provide at least one onsite person in a supervisory position with a documentable level of competency and experience to supervise all cable pulling operations. A resume shall be provided showing the cable installers' experience in the last three years, including a list of references complete with points of contact, addresses and telephone numbers.

SD-10 Operation and Maintenance Data

Electrical Distribution System; G-RE

Six copies of operation and maintenance manuals, within 7 calendar days following the completion of tests and including assembly, installation, operation and maintenance instructions, spare parts data which provides supplier name, current cost, catalog order number, and a recommended list of spare parts to be stocked. Manuals shall also include data outlining detailed procedures for system startup and operation, and a troubleshooting guide which lists possible operational problems and corrective action to be taken. A brief description of all equipment, basic operating features, and routine maintenance requirements shall also be included. Documents shall be bound in a binder marked or identified on the spine and front cover. A table of contents page shall be included and marked with pertinent contract information and contents of the manual. Tabs shall be provided to separate

different types of documents, such as catalog ordering information, drawings, instructions, and spare parts data. Index sheets shall be provided for each section of the manual when warranted by the quantity of documents included under separate tabs or dividers.

Three additional copies of the instructions manual shall be provided within 30 calendar days following the manuals.

1.4 DELIVERY, STORAGE, AND HANDLING

Devices and equipment shall be visually inspected by the Contractor when received and prior to acceptance from conveyance. Stored items shall be protected from the environment in accordance with the manufacturer's published instructions. Damaged items shall be replaced. Oil filled transformers and switches shall be stored in accordance with the manufacturer's requirements.

1.5 EXTRA MATERIALS

One additional spare fuse or fuse element for each furnished fuse or fuse element shall be delivered to the contracting officer when the electrical system is accepted. Two complete sets of all special tools required for maintenance shall be provided, complete with a suitable tool box. Special tools are those that only the manufacturer provides, for special purposes (to access compartments, or operate, adjust, or maintain special parts).

PART 2 PRODUCTS

2.1 STANDARD PRODUCT

Material and equipment shall be the standard product of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Items of the same classification shall be identical including equipment, assemblies, parts, and components.

2.2 NAMEPLATES

2.2.1 General

Each major component of this specification shall have the manufacturer's name, address, type or style, model or serial number, and catalog number on a nameplate securely attached to the equipment. Nameplates shall be made of noncorrosive metal. Equipment containing liquid dielectrics shall have the type of dielectric on the nameplate. Sectionalizer switch nameplates shall have a schematic with all switch positions shown and labeled. As a minimum, nameplates shall be provided for transformers, switches, and switchgear.

2.2.2 Liquid-Filled Transformer Nameplates

Power transformers shall be provided with nameplate information in accordance with IEEE C57.12.00. Nameplates shall indicate the number of gallons and composition of liquid-dielectric, and shall be permanently marked with a statement that the transformer dielectric to be supplied is non-polychlorinated biphenyl. If transformer nameplate is not so marked, the Contractor shall furnish manufacturer's certification for each transformer that the dielectric is non-PCB classified, with less than 50

ppm PCB content in accordance with paragraph LIQUID DIELECTRICS. Certifications shall be related to serial numbers on transformer nameplates. Transformer dielectric exceeding the 50 ppm PCB content or transformers without certification will be considered as PCB insulated and will not be accepted.

2.3 CORROSION PROTECTION

2.3.1 Aluminum Materials

Aluminum shall not be used.

2.3.2 Ferrous Metal Materials

2.3.2.1 Hardware

Ferrous metal hardware shall be hot-dip galvanized in accordance with ASTM A 153/A 153M and ASTM A 123/A 123M.

2.3.2.2 Equipment

Equipment and component items, including but not limited to transformer stations and ferrous metal luminaries not hot-dip galvanized or porcelain enamel finished, shall be provided with corrosion-resistant finishes which shall withstand 120 hours of exposure to the salt spray test specified in ASTM B 117 without loss of paint or release of adhesion of the paint primer coat to the metal surface in excess of 1/16 inch from the test mark. The scribed test mark and test evaluation shall be in accordance with ASTM D 1654 with a rating of not less than 7 in accordance with TABLE 1, (procedure A). Cut edges or otherwise damaged surfaces of hot-dip galvanized sheet steel or mill galvanized sheet steel shall be coated with a zinc rich paint conforming to the manufacturer's standard.

2.3.3 Finishing

Painting required for surfaces not otherwise specified and finish painting of items only primed at the factory shall be as specified in Section 09900 PAINTING, GENERAL.

2.4 CABLES

Cables shall be single conductor type unless otherwise indicated.

2.4.1 Medium-Voltage Cables

2.4.1.1 General

Cable construction shall be Type MV, conforming to NFPA 70 and UL 1072. Cables shall be manufactured for use in duct applications .

2.4.1.2 Ratings

Cables shall be rated for a circuit voltage of 15 kV.

2.4.1.3 Conductor Material

Underground cables shall be soft drawn copper complying with ASTM B 3 and ASTM B 8 for regular concentric and compressed stranding or ASTM B 496 for compact stranding.

2.4.1.4 Insulation

Cable insulation shall be ethylene-propylene-rubber (EPR) insulation conforming to the requirements of NEMA WC 8 and AEIC CS6. A 133 percent insulation level shall be used on 15 kV and 25 kV rated cables. Recyclable materials (insulation) shall conform to EPA requirements in accordance with Section 01670 RECYCLED / RECOVERED MATERIALS.

2.4.1.5 Shielding

Cables rated for 2 kV and above shall have a semiconducting conductor shield, a semiconducting insulation shield, and an overall copper tape shield for each phase. The shield tape shall be sized to meet IEEE C2 requirements for a ground fault availability .

2.4.1.6 Neutrals

Neutral conductors shall be copper, employing the same insulation and jacket materials as phase conductors, except that a 600-volt insulation rating is acceptable.

2.4.1.7 Jackets

Cables shall be provided with a PVC jacket.

2.4.2 Low-Voltage Cables

Cables shall be rated 600 volts and shall conform to the requirements of NFPA 70, and must be UL listed for the application or meet the applicable section of either ICEA or NEMA standards.

2.4.2.1 Conductor Material

Underground cables shall be annealed copper complying with ASTM B 3 and ASTM B 8 Intermixing of copper and aluminum conductors is not permitted.

2.4.2.2 Insulation

Insulation must be in accordance with NFPA 70, and must be UL listed for the application or meet the applicable sections of either ICEA, or NEMA standards.

2.4.2.3 Jackets

Multiconductor cables shall have an overall PVC outer jacket.

2.4.2.4 Not Used

2.4.2.5 In Duct

Cables shall be single-conductor cable, in accordance with NFPA 70.

2.5 CABLE JOINTS, TERMINATIONS, AND CONNECTORS

2.5.1 Medium-Voltage Cable Joints

Medium-voltage cable joints shall comply with IEEE Std 404 and IEEE Std 592. Medium-voltage cable terminations shall comply with IEEE Std 48. Joints

shall be the standard products of a manufacturer and shall be either of the factory preformed type or of the kit type containing tapes and other required parts. Joints shall have ratings not less than the ratings of the cables on which they are installed. Splice kits may be of the heat-shrinkable type for voltages up to 15 kV, of the premolded splice and connector type, the conventional taped type, or the resin pressure-filled overcast taped type for voltages up to 35 kV; except that for voltages of 7.5 kV or less a resin pressure-filled type utilizing a plastic-tape mold is acceptable. Joints used in manholes shall be certified by the manufacturer for waterproof, submersible applications.

2.5.2 Medium-Voltage Separable Insulated Connectors

Separable insulated connectors shall comply with IEEE Std 386 and IEEE Std 592 and shall be of suitable construction or standard splice kits shall be used. Separable insulated connectors are acceptable for voltages up to 35 kV. Connectors shall be of the loadbreak type as indicated, of suitable construction for the application and the type of cable connected, and shall include cable shield adaptors. Separable insulated connectors shall not be used as substitutes for conventional permanent splices. External clamping points and test points shall be provided.

2.5.3 Low-Voltage Cable Splices

Low-voltage cable splices and terminations shall be rated at not less than 600 Volts. Splices in conductors No. 10 AWG and smaller shall be made with an insulated, solderless, pressure type connector, conforming to the applicable requirements of UL 486A. Splices in conductors No. 8 AWG and larger shall be made with noninsulated, solderless, pressure type connector, conforming to the applicable requirements of UL 486A and UL 486B. Splices shall then be covered with an insulation and jacket material equivalent to the conductor insulation and jacket. Splices below grade or in wet locations shall be sealed type conforming to ANSI C119.1 or shall be waterproofed by a sealant-filled, thick wall, heat shrinkable, thermosetting tubing or by pouring a thermosetting resin into a mold that surrounds the joined conductors.

2.5.4 Terminations

Terminations shall be in accordance with IEEE Std 48, Class 1 or Class 2; of the molded elastomer, wet-process porcelain, prestretched elastomer, heat-shrinkable elastomer, or taped type. Acceptable elastomers are track-resistant silicone rubber or track-resistant ethylene propylene compounds, such as ethylene propylene rubber or ethylene propylene diene monomer. Separable insulated connectors may be used for apparatus terminations, when such apparatus is provided with suitable bushings. Terminations shall be of the outdoor type, except that where installed inside outdoor equipment housings which are sealed against normal infiltration of moisture and outside air, indoor, Class 2 terminations are acceptable. Class 3 terminations are not acceptable. Terminations, where required, shall be provided with mounting brackets suitable for the intended installation and with grounding provisions for the cable shielding, metallic sheath, and armor.

2.5.4.1 Factory Preformed Type

Molded elastomer, wet-process porcelain, prestretched, and heat-shrinkable terminations shall utilize factory preformed components to the maximum extent practicable rather than tape build-up. Terminations shall have

basic impulse levels as required for the system voltage level. Leakage distances shall comply with wet withstand voltage test requirements of IEEE Std 48 for the next higher Basic Insulation Level (BIL) level.

2.5.4.2 Taped Terminations

Taped terminations shall use standard termination kits providing terminal connectors, field-fabricated stress cones, and rain hoods. Terminations shall be at least 12-1/2 inches long from the end of the tapered cable jacket to the start of the terminal connector, or not less than the kit manufacturer's recommendations, whichever is greater.

2.6 CONDUIT AND DUCTS

Duct lines shall be concrete-encased, thin-wall type for duct lines between manholes and for other medium-voltage lines. [Low-voltage lines or run elsewhere shall be be direct-burial, thick-wall type ducts.

2.6.1 Metallic Conduit

Intermediate metal conduit shall comply with UL 1242. Rigid galvanized steel conduit shall comply with UL 6 and ANSI C80.1. Metallic conduit fittings and outlets shall comply with UL 514A and NEMA FB 1.

2.6.2 Nonmetallic Ducts

2.6.2.1 Not Used

2.6.2.2 Concrete Encased Ducts

UL 651 Schedule 40 or NEMA TC 6 Type EB.

2.6.2.3 Direct Burial

UL 651 Schedule 80 or NEMA TC 6 Type DB.

2.6.3 Conduit Sealing Compound

Compounds for sealing ducts and conduit shall have a putty-like consistency workable with the hands at temperatures as low as 35 degrees F, shall neither slump at a temperature of 300 degrees F, nor harden materially when exposed to the air. Compounds shall adhere to clean surfaces of fiber or plastic ducts; metallic conduits or conduit coatings; concrete, masonry, or lead; any cable sheaths, jackets, covers, or insulation materials; and the common metals. Compounds shall form a seal without dissolving, noticeably changing characteristics, or removing any of the ingredients. Compounds shall have no injurious effect upon the hands of workmen or upon materials.

2.7 MANHOLES

Manholes shall be as indicated. Strength of manholes and their frames and covers shall conform to the requirements of IEEE C2. Precast-concrete manholes shall have the required strength established by ASTM C 478, ASTM C 478M. Frames and covers shall be made of gray cast iron and a machine-finished seat shall be provided to ensure a matching joint between frame and cover. Cast iron shall comply with ASTM A 48, Class 30B, minimum.

2.8 NOT USED

2.9 TRANSFORMERS AND SWITCHGEAR

Transformers shall be of the outdoor type having the ratings and arrangements indicated. Medium-voltage ratings of cable terminations shall be 15 kV between phases for 133 percent insulation level.

2.9.1 Not Used

2.9.2 Pad-Mounted Transformers

Pad-mounted transformers shall comply with ANSI C57.12.26 and shall be of the loop feed type with radial feed to the pumphouse. Pad-mounted transformer stations shall be assembled and coordinated by one manufacturer and each transformer station shall be shipped as a complete unit so that field installation requirements are limited to mounting each unit on a concrete pad and connecting it to primary and secondary lines. Stainless steel pins and hinges shall be provided. Barriers shall be provided between high- and low-voltage compartments. High-voltage compartment doors shall be interlocked with low-voltage compartment doors to prevent access to any high-voltage section unless its associated low-voltage section door has first been opened. Compartments shall be sized to meet the specific dimensional requirements of ANSI C57.12.26. Pentahead locking bolts shall be provided with provisions for a padlock.

2.9.2.1 High-Voltage Compartments

The high-voltage compartment shall be dead-front construction. Primary switching and protective devices shall include loadbreak switching, oil-immersed, current-limiting, bayonet-type fuses, medium-voltage separable loadbreak connectors, universal bushing wells and inserts or integral one piece bushings and surge arresters. Fuses shall comply with the requirements of paragraph PROTECTIVE DEVICES. The switch shall be mounted inside transformer tank with switch operating handle located in high-voltage compartment and equipped with metal loop for hook stick operation. Fuses shall be interlocked with switches so that fuses can be removed only when the associated switch is in the "OPEN" position. Adjacent to medium-voltage cable connections, a nameplate or equivalent stencilled inscription shall be provided inscribed "DO NOT OPEN CABLE CONNECTORS UNLESS SWITCH IS OPEN." Surge arresters shall be fully insulated and configured to terminate on a second set of high voltage bushings

2.9.2.2 Load-Break Switch

Loop feed sectionalizer switches: Provide three, two-position, oil-immersed type switches to permit closed transition loop feed and sectionalizing. Each switch shall be rated at 15 kV, 95 kV BIL, with a continuous current rating and load-break rating of 200 amperes, and a make-and-latch rating of 10,000 rms amperes symmetrical. Locate the switch handle in the high-voltage compartment. See drawings for switch operation.

2.9.2.3 Transformer Tank Sections

Transformers shall comply with IEEE C57.12.00, ANSI C57.12.21, and ANSI C57.12.26 and shall be of the mineral oil-insulated type. Transformers shall be suitable for outdoor use and shall have 2 separate windings per phase. Standard NEMA primary taps shall be provided. Where primary taps

are not specified, 4, 2-1/2 percent rated kVA high-voltage taps shall be provided 2 above and 2 below below rated, primary voltage. Operating handles for primary tap changers for de-energized operation shall be located within high-voltage compartments, externally to transformer tanks. Adjacent to the tap changer operating handle, a nameplate or equivalent stenciled inscription shall be provided and inscribed "DO NOT OPERATE UNDER LOAD." Transformer ratings at 60 Hz shall be as follows:

- Three-phase capacity.....750 kVA.
- Temperature Rise.....65 degrees C.
- High-voltage winding.....12470 volts.
- High-voltage winding connections.....Delta.
- Low-voltage winding.....480Y/277 volts.
- Low-voltage winding connections..... Grounded Wye

2.9.2.4 Low-Voltage Cable Compartments

Neutrals shall be provided with fully-insulated bushings. Clamp type cable terminations, suitable for copper conductors entering from below, shall be provided as necessary.

2.9.2.5 Accessories

High-voltage warning signs shall be permanently attached to each side of transformer stations. Voltage warning signs shall comply with IEEE C2. Copper-faced steel or stainless steel ground connection pads shall be provided in both the high- and low-voltage compartments. Dial-type thermometer, liquid-level gauge, and drain valve with built-in sampling device shall be provided for each transformer station. Insulated-bushing-type parking stands shall be provided adjacent to each separable load-break elbow to provide for cable isolation during sectionalizing operations.

2.9.3 Not Used

2.9.4 Not Used

2.9.5 Pad-Mounted Sectionalizers

Pad-mounted, sectionalizing switches shall conform to the requirements of IEEE C37.63. The switchgear shall be configured with 2 incoming compartments for loop-feed arrangement equipped with air-insulated, load-interrupter switches, load-interrupters switches The outgoing compartments shall be provided with non-reclosing sectionalizers.

2.9.5.1 Ratings

Ratings at 60 Hz shall be:

- Nominal voltage (kV).....14.4KV.
- Rated maximum voltage (kV).....15.5KV.
- Rated continuous current (A).....65KA.

BIL (kV).....95KV.

2.9.5.2 Enclosures

Switchgear enclosures shall be of freestanding, self-supporting construction provided with separate incoming and outgoing compartments configured for bottom cable entry. Enclosures shall be of deadfront construction, provided with a hinged door for access to each compartment, and conform to the requirements of ANSI C57.12.28, ANSI C37.72, and IEEE C37.20.3, Category A.

2.10 PROTECTIVE DEVICES

2.10.1 Not Used

2.10.2 Not Used

2.10.3 Fuses, Low-Voltage, Including Current-Limiting

Low-voltage fuses shall conform to NEMA FU 1. Time delay and nontime delay options shall be as specified. Equipment provided under this contract shall be provided with a complete set of properly rated fuses when the equipment manufacturer utilizes fuses in the manufacture of the equipment, or if current-limiting fuses are required to be installed to limit the ampere-interrupting capacity of circuit breakers or equipment to less than the maximum available fault current at the location of the equipment to be installed. Fuses shall have a voltage rating of not less than the phase-to-phase circuit voltage, and shall have the time-current characteristics required for effective power system coordination.

2.10.3.1 Cartridge Fuses

Cartridge fuses, current-limiting type, Class RK1 or RK5 shall have tested interrupting capacity not less than 100,000 amperes. Fuse holders shall be the type that will reject Class H fuses.

a. Class R fuses shall conform to UL 198E.

2.10.3.2 Transformer Circuit Fuses

Transformer circuit fuses shall be Class RK1 or RK5, current-limiting, time-delay with 200,000 amperes interrupting capacity.

2.11 SURGE ARRESTERS

Surge arresters shall comply with NEMA LA 1, IEEE C62.1, IEEE C62.2, and IEEE C62.11 and shall be provided where indicated. Arresters shall be distribution class, rated as shown. Arresters for use at elevations in excess of 6000 feet above mean sea level shall be specifically rated for that purpose. Arresters shall be equipped with mounting brackets suitable for the indicated installations. Arresters shall be of the metal-oxide varistor type.

2.12 GROUNDING AND BONDING

2.12.1 Driven Ground Rods

Ground rods shall be copper-clad steel conforming to UL 467 not less than

3/4 inch in diameter by 10 feet in length. Sectional type rods may be used.

2.12.2 Grounding Conductors

Grounding conductors shall be bare, except where installed in conduit with associated phase conductors. Insulated conductors shall be of the same material as phase conductors and green color-coded, except that conductors shall be rated no more than 600 volts. Bare conductors shall be ASTM B 8 soft-drawn unless otherwise indicated. Aluminum is not acceptable.

2.13 CONCRETE AND REINFORCEMENT

Concrete work shall have minimum 3000 psi compressive strength and conform to the requirements of Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Concrete reinforcing shall be as specified in Section 03200 CONCRETE REINFORCEMENT.

2.14 PADLOCKS

Padlocks shall comply with Section 08710 DOOR HARDWARE.

2.15 CABLE FIREPROOFING SYSTEMS

Cable fireproofing systems shall be listed in FM P7825a as a fire-protective coating or tape approved for grouped electrical conductors and shall be suitable for application on the type of medium-voltage cables provided. After being fully cured, materials shall be suitable for use where exposed to oil, water, gases, salt water, sewage, and fungus and shall not damage cable jackets or insulation. Asbestos materials are not acceptable.

2.15.1 Fireproof Coating

Cable fireproofing coatings shall be compounded of water-based thermoplastic resins, flame-retardant chemicals, and inorganic noncombustible fibers and shall be suitable for the application methods used. Coatings applied on bundled cables shall have a derating factor of less than 5 percent, and a dielectric strength of 95 volts per mil minimum after curing.

2.15.2 Fireproofing Tape

Fireproofing tape shall be at least 2 inches wide and shall be a flexible, conformable, polymeric, elastomer tape designed specifically for fireproofing cables.

2.15.3 Plastic Tape

Preapplication plastic tape shall be pressure sensitive, 10 mil thick, conforming to UL 510.

2.16 LIQUID DIELECTRICS

Liquid dielectrics for transformers, capacitors, reclosers, and other liquid-filled electrical equipment shall be non-polychlorinated biphenyl (PCB) mineral-oil or less-flammable liquid as specified. Nonflammable fluids shall not be used. Tetrachloroethylene (perchloroethylene) and 1, 2, 4 trichlorobenzene fluids shall not be used. Liquid dielectrics in

retrofitted equipment shall be certified by the manufacturer as having less than 50 parts per million (ppm) PCB content. In lieu of the manufacturer's certification, the Contractor may submit a test sample of the dielectric in accordance with ASTM D 923 and have tests performed per ASTM D 4059 at a testing facility approved by the Contracting Officer. Equipment with test results indicating PCB level exceeding 50 ppm shall be replaced.

2.17 NOT USED

2.18 NOT USED

2.19 COORDINATED POWER SYSTEM PROTECTION

Analyses shall be prepared to demonstrate that the equipment selected and system constructed meet the contract requirements for equipment ratings, coordination, and protection. They shall include a load flow analysis, a fault current analysis, and a protective device coordination study. The study shall be coordinated with the COORDINATED POWER SYSTEM PROTECTION required in ELECTRICAL WORK, INTERIOR specification section 16415A. The studies shall be performed by a registered professional engineer with demonstrated experience in power system coordination in the last three years. The Contractor shall provide a list of references complete with points of contact, addresses and telephone numbers. The selection of the engineer is subject to the approval of the Contracting Officer.

2.19.1 Scope of Analyses

The fault current analysis, and protective device coordination study shall begin at: the nearest upstream device in the existing source system and extend through the downstream devices at the load end.

2.19.2 Determination of Facts

The time-current characteristics, features, and nameplate data for each existing protective device shall be determined and documented. The Contractor shall coordinate with the Valley Electric Co., phone #(800) 877-2157 or phone #(406) 228-9351. for fault current availability at the site.

2.19.3 Single Line Diagram

A single line diagram shall be prepared to show the electrical system buses, devices, transformation points, and all sources of fault current (including generator and motor contributions). A fault-impedance diagram or a computer analysis diagram may be provided. Each bus, device or transformation point shall have a unique identifier. If a fault-impedance diagram is provided, impedance data shall be shown. Locations of switches, breakers, and circuit interrupting devices shall be shown on the diagram together with available fault data, and the device interrupting rating.

2.19.4 Fault Current Analysis

2.19.4.1 Method

The fault current analysis shall be performed in accordance with methods described in IEEE Std 242, and IEEE Std 399.

2.19.4.2 Data

Actual data shall be utilized in fault calculations. Bus characteristics and transformer impedances shall be those proposed. Data shall be documented in the report.

2.19.5 Coordination Study

The study shall demonstrate that the maximum possible degree of selectivity has been obtained between devices specified, consistent with protection of equipment and conductors from damage from overloads and fault conditions. The study shall include a description of the coordination of the protective devices in this project. Provide a written narrative that describes: which devices may operate in the event of a fault at each bus; the logic used to arrive at device ratings and settings; situations where system coordination is not achievable due to device limitations (an analysis of any device curves which overlap); coordination between upstream and downstream devices; and any relay settings. Recommendations to improve or enhance system reliability, and detail where such changes would involve additions or modifications to the contract and cost changes (addition or reduction) shall be provided. Composite coordination plots shall be provided on log-log graph paper.

2.19.6 Study Report

- a. The report shall include a narrative describing: the analyses performed; the bases and methods used; and the desired method of coordinated protection of the power system.
- b. The study shall include descriptive and technical data for existing devices and new protective devices proposed. The data shall include manufacturers published data, nameplate data, and definition of the fixed or adjustable features of the existing or new protective devices.
- c. The report shall document utility company data including system voltages, fault MVA, system X/R ratio, time-current characteristics curves, current transformer ratios, and relay device numbers and settings; and existing power system data including time-current characteristic curves and protective device ratings and settings.
- d. The report shall contain fully coordinated composite time-current characteristic curves for each bus in the system, as required to ensure coordinated power system protection between protective devices or equipment. The report shall include recommended ratings and settings of all protective devices in tabulated form.
- e. The report shall provide the calculation performed for the analyses, including computer analysis programs utilized. The name of the software package, developer, and version number shall be provided.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Equipment and devices shall be installed and energized in accordance with the manufacturer's published instructions. Steel conduits installed underground shall be installed and protected from corrosion in conformance with the requirements of Section 16415 ELECTRICAL WORK, INTERIOR. Except

as covered herein, excavation, trenching, and backfilling shall conform to the requirements of Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Concrete work shall have minimum 3000 psi compressive strength and conform to the requirements of Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE.

3.1.1 Conformance to Codes

The installation shall comply with the requirements and recommendations of NFPA 70 and IEEE C2 as applicable.

3.1.2 Verification of Dimensions

The Contractor shall become familiar with details of the work, shall verify dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing any work.

3.1.3 Disposal of Liquid Dielectrics

PCB-contaminated dielectrics must be marked as PCB and transported to and incinerated by an approved EPA waste disposal facility. The Contractor shall furnish certification of proper disposal. Contaminated dielectrics shall not be diluted to lower the contamination level.

3.2 CABLE

The Contractor shall obtain from the manufacturer an installation manual or set of instructions which addresses such aspects as cable construction, insulation type, cable diameter, bending radius, cable temperature, lubricants, coefficient of friction, conduit cleaning, storage procedures, moisture seals, testing for and purging moisture, etc. The Contractor shall then prepare a checklist of significant requirements and perform pulling calculations and prepare a pulling plan which shall be submitted along with the manufacturers instructions in accordance with SUBMITTALS.

3.2.1 Cable Installation Plan and Procedure

Cable shall be installed strictly in accordance with the cable manufacturer's recommendations. Each circuit shall be identified by means of a fiber, laminated plastic, or non-ferrous metal tags, or approved equal, in each manhole, handhole, junction box, and each terminal. Each tag shall contain the following information; cable type, conductor size, circuit number, circuit voltage, cable destination and phase identification.

3.2.1.1 Cable Inspection

The cable reel shall be inspected for correct storage positions, signs of physical damage, and broken end seals. If end seal is broken, moisture shall be removed from cable in accordance with the cable manufacturer's recommendations.

3.2.1.2 Duct Cleaning

Duct shall be cleaned with an assembly that consists of a flexible mandrel (manufacturers standard product in lengths recommended for the specific size and type of duct) that is 1/4 inch less than inside diameter of duct, 2 wire brushes, and a rag. The cleaning assembly shall be pulled through conduit a minimum of 2 times or until less than a volume of 8 cubic inches of debris is expelled from the duct.

3.2.1.3 Duct Lubrication

The cable lubricant shall be compatible with the cable jacket for cable that is being installed. Application of lubricant shall be in accordance with lubricant manufacturer's recommendations.

3.2.1.4 Cable Installation

The Contractor shall provide a cable feeding truck and a cable pulling winch as required. The Contractor shall provide a pulling grip or pulling eye in accordance with cable manufacturer's recommendations. The pulling grip or pulling eye apparatus shall be attached to polypropylene or manilla rope followed by lubricant front end packs and then by power cables. A dynamometer shall be used to monitor pulling tension. Pulling tension shall not exceed cable manufacturer's recommendations. The Contractor shall not allow cables to cross over while cables are being fed into duct. For cable installation in cold weather, cables shall be kept at 50 degrees F temperature for at least 24 hours before installation.

3.2.1.5 Cable Installation Plan

The Contractor shall submit a cable installation plan for all cable pulls in accordance with the detail drawings portion of paragraph SUBMITTALS. Cable installation plan shall include:

- a. Site layout drawing with cable pulls identified in numeric order of expected pulling sequence and direction of cable pull.
- b. List of cable installation equipment.
- c. Lubricant manufacturer's application instructions.
- d. Procedure for resealing cable ends to prevent moisture from entering cable.
- e. Cable pulling tension calculations of all cable pulls.
- f. Cable percentage conduit fill.
- g. Cable sidewall thrust pressure.
- h. Cable minimum bend radius and minimum diameter of pulling wheels used.
- i. Cable jam ratio.
- j. Maximum allowable pulling tension on each different type and size of conductor.
- k. Maximum allowable pulling tension on pulling device.

3.2.2 Duct Line

Medium-voltage cables and low-voltage cables shall be installed in duct lines where indicated. Cable splices in low-voltage cables shall be made in manholes and handholes only, except as otherwise noted. Cable joints in medium-voltage cables shall be made in manholes. Neutral and grounding conductors shall be installed in the same duct with their associated phase

conductors.

3.2.3 Not Used

3.2.4 Not Usede

3.2.5 Electric Manholes

Cables shall be routed around the interior walls and securely supported from walls on cables racks. Cable routing shall minimize cable crossover, provide access space for maintenance and installation of additional cables, and maintain cable separation in accordance with IEEE C2.

3.3 CABLE JOINTS

Medium-voltage cable joints shall be made by qualified cable splicers only. Qualifications of cable splicers shall be submitted in accordance with paragraph SUBMITTALS. Shields shall be applied as required to continue the shielding system through each entire cable joint. Shields may be integrally molded parts of preformed joints. Shields shall be grounded at each joint or in accordance with manufacturer's recommended practice. Cable joints shall provide insulation and jacket equivalent to that of the associated cable. Armored cable joints shall be enclosed in compound-filled, cast-iron or alloy, splice boxes equipped with stuffing boxes and armor clamps of a suitable type and size for the cable being installed.

3.4 FIREPROOFING

Each medium-voltage cable and conductor in manholes shall be fire-proofed for their entire length within the manhole. Where cables and conductors have been lubricated to enhance pulling into ducts, the lubricant shall be removed from cables and conductors exposed in the manhole before fireproofing.

3.4.1 Tape Method

Before application of fireproofing tape, plastic tape wrapping shall be applied over exposed metallic items such as the cable ground wire, metallic outer covering, or armor to minimize the possibility of corrosion from the fireproofing materials and moisture. Before applying fireproofing tape, irregularities of cables, such as at cable joints, shall be evened out with insulation putty. A flexible conformable polymeric elastomer fireproof tape shall be wrapped tightly around each cable spirally in 1/2 lapped wrapping or in 2 butt-jointed wrappings with the second wrapping covering the joints of the first.

3.4.2 Sprayable Method

Manholes shall be power ventilated until coatings are dry and dewatered and the coatings are cured. Ventilation requirements shall be in accordance with the manufacturer's instruction, but not less than 10 air changes per hour shall be provided. Cable coatings shall be applied by spray, brush, or glove to a wet film thickness that reduces to the dry film thickness approved for fireproofing by FM P7825a. Application methods and necessary safety precautions shall be in accordance with the manufacturers instructions. After application, cable coatings shall be dry to the touch in 1 to 2 hours and fully cured in 48 hours, except where the manufacturer has stated that because of unusual humidity or temperature, longer periods

may be necessary.

3.5 DUCT LINES

3.5.1 Requirements

Numbers and sizes of ducts shall be as indicated. Duct lines shall be laid with a minimum slope of 4 inches per 100 feet. Depending on the contour of the finished grade, the high-point may be at a terminal, a manhole, a handhole, or between manholes or handholes. Short-radius manufactured 90-degree duct bends may be used only for pole or equipment risers, unless specifically indicated as acceptable. The minimum manufactured bend radius shall be 18 inches for ducts of less than 3 inch diameter, and 36 inches for ducts 3 inches or greater in diameter. Otherwise, long sweep bends having a minimum radius of 25 feet shall be used for a change of direction of more than 5 degrees, either horizontally or vertically. Both curved and straight sections may be used to form long sweep bends, but the maximum curve used shall be 30 degrees and manufactured bends shall be used. Ducts shall be provided with end bells whenever duct lines terminate in manholes or handholes.

3.5.2 Treatment

Ducts shall be kept clean of concrete, dirt, or foreign substances during construction. Field cuts requiring tapers shall be made with proper tools and match factory tapers. A coupling recommended by the duct manufacturer shall be used whenever an existing duct is connected to a duct of different material or shape. Ducts shall be stored to avoid warping and deterioration with ends sufficiently plugged to prevent entry of any water or solid substances. Ducts shall be thoroughly cleaned before being laid. Plastic ducts shall be stored on a flat surface and protected from the direct rays of the sun.

3.5.3 Concrete Encasement

Ducts requiring concrete encasements shall comply with NFPA 70, except that electrical duct bank configurations for ducts 6 inches in diameter shall be determined by calculation and as shown on the drawings. The separation between adjacent electric power and communication ducts shall conform to IEEE C2. Duct line encasements shall be monolithic construction. Where a connection is made to a previously poured encasement, the new encasement shall be well bonded or doweled to the existing encasement. The Contractor shall submit proposed bonding method for approval in accordance with the detail drawing portion of paragraph SUBMITTALS. At any point, tops of concrete encasements shall be not less than the cover requirements listed in NFPA 70. Where ducts are jacked under existing pavement, rigid steel conduit will be installed because of its strength. To protect the corrosion-resistant conduit coating, predrilling or installing conduit inside a larger iron pipe sleeve (jack-and-sleeve) is required. For crossings of existing railroads and airfield pavements greater than 50 feet in length, the predrilling method or the jack-and-sleeve method will be used. Separators or spacing blocks shall be made of steel, concrete, plastic, or a combination of these materials placed not farther apart than 4 feet on centers. Ducts shall be securely anchored to prevent movement during the placement of concrete and joints shall be staggered at least 6 inches vertically.

3.5.4 Nonencased Direct-Burial Ducts

Top of duct lines shall not be less than 24 inches below finished grade and shall be installed with a minimum of 3 inches of earth around each duct, except that between adjacent electric power and communication ducts, 12 inches of earth is required. Bottoms of trenches shall be graded toward manholes or handholes and shall be smooth and free of stones, soft spots, and sharp objects. Where bottoms of trenches comprise materials other than sand, a 3 inch layer of sand shall be laid first and compacted to approximate densities of surrounding firm soil before installing ducts. Joints in adjacent tiers of duct shall be vertically staggered at least 6 inches. The first 6 inch layer of backfill cover shall be sand compacted as previously specified. The rest of the excavation shall be backfilled and compacted in 3 to 6 inch layers. Duct banks may be held in alignment with earth. However, high-tiered banks shall use a wooden frame or equivalent form to hold ducts in alignment prior to backfilling.

3.5.5 Installation of Couplings

Joints in each type of duct shall be made up in accordance with the manufacturer's recommendations for the particular type of duct and coupling selected and as approved.

3.5.5.1 Not Used

3.5.5.2 Plastic Duct

Duct joints shall be made by brushing a plastic solvent cement on insides of plastic coupling fittings and on outsides of duct ends. Each duct and fitting shall then be slipped together with a quick 1/4-turn twist to set the joint tightly.

3.5.6 Duct Line Markers

Duct line markers shall be provided [at the ends of long duct line stubouts or for other ducts whose locations are indeterminate because of duct curvature or terminations at completely below-grade structures. In addition to markers, a 5 mil brightly colored plastic tape, not less than 3 inches in width and suitably inscribed at not more than 10 feet on centers with a continuous metallic backing and a corrosion-resistant 1 mil metallic foil core to permit easy location of the duct line, shall be placed approximately 12 inches below finished grade levels of such lines.

3.6 MANHOLES

3.6.1 General

Manholes shall be constructed approximately where shown. The exact location of each manhole shall be determined after careful consideration has been given to the location of other utilities, grading, and paving. The location of each manhole shall be approved by the Contracting Officer before construction of the manhole is started. Manholes shall be the type noted on the drawings and shall be constructed in accordance with the applicable details as indicated. Top, walls, and bottom shall consist of reinforced concrete. Walls and bottom shall be of monolithic concrete construction. The Contractor may at his option utilize monolithically constructed precast-concrete manholes having the required strength and inside dimensions as required by the drawings or specifications. In paved areas, frames and covers for manhole and handhole entrances in vehicular traffic areas shall be flush with the finished surface of the paving. In unpaved areas, the top of manhole covers shall be approximately 1/2 inch

above the finished grade. Where existing grades that are higher than finished grades are encountered, concrete assemblies designed for the purpose shall be installed to elevate temporarily the manhole cover to existing grade level. All duct lines entering manholes must be installed on compact soil or otherwise supported when entering a manhole to prevent shear stress on the duct at the point of entrance to the manhole. Duct lines entering cast-in-place concrete manholes shall be cast in-place with the manhole. Duct lines entering precast concrete manholes through a precast knockout penetration shall be grouted tight with a portland cement mortar. PVC duct lines entering precast manholes through a PVC endbell shall be solvent welded to the endbell. A cast metal grille-type sump frame and cover shall be installed over the manhole sump. A cable-pulling iron shall be installed in the wall opposite each duct line entrance.

3.6.2 Electric Manholes

Cables shall be securely supported from walls by hot-dip galvanized cable racks with a plastic coating over the galvanizing and equipped with adjustable hooks and insulators. The number of cable racks indicated shall be installed in each manhole and not less than 2 spare hooks shall be installed on each cable rack. Insulators shall be made of high-glazed porcelain. Insulators will not be required on spare hooks.

3.6.3 Not Used

3.6.4 Not Used

3.6.5 Not Used

3.6.6 Ground Rods

A ground rod shall be installed at the manholes. Ground rods shall be driven into the earth before the manhole floor is poured so that approximately 4 inches of the ground rod will extend above the manhole floor. When precast concrete manholes are used, the top of the ground rod may be below the manhole floor and a No. 1/0 AWG ground conductor brought into the manhole through a watertight sleeve in the manhole wall.

3.7 PAD-MOUNTED EQUIPMENT INSTALLATION

Pad-mounted equipment, shall be installed on concrete pads in accordance with the manufacturer's published, standard installation drawings and procedures, except that they shall be modified to meet the requirements of this document. Units shall be installed so that they do not damage equipment or scratch painted or coated surfaces. After installation, surfaces shall be inspected and scratches touched up with a paint or coating provided by the manufacturer especially for this purpose. Three-phase transformers shall be installed with ABC phase sequence.

3.7.1 Concrete Pads

3.7.1.1 Construction

Concrete pads for pad-mounted electrical equipment may be either pre-fabricated or shall be poured-in-place. Pads shall be constructed as indicated, except that exact pad dimensions and mounting details are equipment specific and are the responsibility of the Contractor. Tops of concrete pads shall be level and shall project 4 inches above finished grade and sloped to drain. Edges of concrete pads shall have 3/4 inch

chamfer. Conduits for primary, secondary, and grounding conductors shall be set in place prior to placement of concrete pads. Where grounding electrode conductors are installed through concrete pads, PVC conduit sleeves shall be installed through the concrete to provide physical protection. To facilitate cable installation and termination, the concrete pad shall be provided with a rectangular hole below the primary and secondary compartments, sized in accordance with the manufacturer's recommended dimensions. Upon completion of equipment installation the rectangular hole shall be filled with masonry grout.

3.7.1.2 Concrete and Reinforcement

Concrete work shall have minimum 3000 psi compressive strength and conform to the requirements of Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Concrete pad reinforcement shall be in accordance with Section 03200 CONCRETE REINFORCEMENT.

3.7.1.3 Sealing

When the installation is complete, the Contractor shall seal all conduit and other entries into the equipment enclosure with an approved sealing compound. Seals shall be of sufficient strength and durability to protect all energized live parts of the equipment from rodents, insects, or other foreign matter.

3.7.2 Padlocks

Padlocks shall be provided for pad-mounted equipment and for each fence gate. Padlocks shall be keyed alike.

3.8 CONNECTIONS TO BUILDINGS

Cables shall be extended into the various buildings as indicated, and shall be connected to the first applicable termination point in each building. Interfacing with building interior conduit systems shall be at conduit stubouts terminating 5 feet outside of a building and 2 feet below finished grade as specified and provided under Section 16415A ELECTRICAL WORK, INTERIOR. After installation of cables, conduits shall be sealed with caulking compound to prevent entrance of moisture or gases into buildings.

3.9 GROUNDING

A ground ring consisting of the indicated configuration of bare copper conductors and driven ground rods shall be installed around pad-mounted equipment. Equipment frames of metal-enclosed equipment, and other noncurrent-carrying metal parts, such as cable shields, cable sheaths and armor, and metallic conduit shall be grounded. At least 2 connections shall be provided from a transformer and sectionalizer ring.

3.9.1 Grounding Electrodes

Grounding electrodes shall be installed as shown on the drawings and as follows:

- a. Driven rod electrodes - Unless otherwise indicated, ground rods shall be driven into the earth until the tops of the rods are approximately 1 foot below finished grade.
- b. Ground ring - A ground ring shall be installed as shown consisting

of bare copper conductors installed 24 inches, plus or minus 3 inches, below finished top of soil grade. Ground ring conductors shall be sized as shown.

- c. Additional electrodes - When the required ground resistance is not met, additional electrodes shall be provided interconnected with grounding conductors to achieve the specified ground resistance. The additional electrodes will be [up to three, 10 feet rods spaced a minimum of 10 feet apart. In high ground resistance, UL listed chemically charged ground rods may be used. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, the Contracting Officer shall be notified immediately.

3.9.2 Grounding and Bonding Connections

Connections above grade shall be made by the fusion-welding process or with bolted solderless connectors, in compliance with UL 467, and those below grade shall be made by a fusion-welding process. Where grounding conductors are connected to aluminum-composition conductors, specially treated or lined copper-to-aluminum connectors suitable for this purpose shall be used.

3.9.3 Grounding and Bonding Conductors

Grounding and bonding conductors include conductors used to bond transformer enclosures and equipment frames to the grounding electrode system. Grounding and bonding conductors shall be sized as shown, and located to provide maximum physical protection. Bends greater than 45 degrees in ground conductors are not permitted. Routing of ground conductors through concrete shall be avoided. When concrete penetration is necessary, nonmetallic conduit shall be cast flush with the points of concrete entrance and exit so as to provide an opening for the ground conductor, and the opening shall be sealed with a suitable compound after installation.

3.9.4 Surge Arrester Grounding

Surge arresters and neutrals shall be bonded directly to the transformer enclosure and then to the grounding electrode system with a bare copper conductor, sized as shown. Lead lengths shall be kept as short as practicable with no kinks or sharp bends.

3.9.5 Manhole

Ground rods installed in manholes shall be connected to cable racks, cable-pulling irons, the cable shielding, metallic sheath, and armor at each cable joint or splice by means of a No. 4 AWG braided tinned copper wire. Connections to metallic cable sheaths shall be by means of tinned terminals soldered to ground wires and to cable sheaths. Care shall be taken in soldering not to damage metallic cable sheaths or shields. Ground rods shall be protected with a double wrapping of pressure-sensitive plastic tape for a distance of 2 inches above and 6 inches below concrete penetrations. Grounding electrode conductors shall be neatly and firmly attached to manhole or handhole walls and the amount of exposed bare wire shall be held to a minimum.

3.10 FIELD TESTING

3.10.1 General

Field testing shall be performed in the presence of the Contracting Officer. The Contractor shall notify the Contracting Officer 30 days prior to conducting tests. The Contractor shall furnish all materials, labor, and equipment necessary to conduct field tests. The Contractor shall perform all tests and inspections recommended by the manufacturer unless specifically waived by the Contracting Officer. The Contractor shall maintain a written record of all tests which includes date, test performed, personnel involved, devices tested, serial number and name of test equipment, and test results. Field test reports shall be signed and dated by the Contractor.

3.10.2 Safety

The Contractor shall provide and use safety devices such as rubber gloves, protective barriers, and danger signs to protect and warn personnel in the test vicinity. The Contractor shall replace any devices or equipment which are damaged due to improper test procedures or handling.

3.10.3 Ground-Resistance Tests

The resistance of each grounding electrode, each grounding electrode system and the ground ring shall be measured using the fall-of-potential method defined in IEEE Std 81. Ground resistance measurements shall be made before the electrical distribution system is energized and shall be made in normally dry conditions not less than 48 hours after the last rainfall. Resistance measurements of separate grounding electrode systems shall be made before the systems are bonded together below grade. The combined resistance of separate systems may be used to meet the required resistance, but the specified number of electrodes must still be provided.

- a. Single rod electrode - 25 ohms.
- b. Multiple rod electrodes - 25 ohms.
- c. Ground ring - 25 ohms.

3.10.4 Not Used

3.10.5 Medium-Voltage Cable Test

After installation and before the operating test or connection to an existing system, the medium-voltage cable system shall be given a high potential test. Direct-current voltage shall be applied on each phase conductor of the system by connecting conductors as one terminal and connecting grounds or metallic shieldings or sheaths of the cable as the other terminal for each test. Prior to making the test, the cables shall be isolated by opening applicable protective devices and disconnecting equipment. The test shall be conducted with all splices, connectors, and terminations in place. The method, voltage, length of time, and other characteristics of the test for initial installation shall be in accordance with NEMA WC 7 or NEMA WC 8 for the particular type of cable installed, except that 28 kV and 35 kV insulation test voltages shall be in accordance with either AEIC CS5 or AEIC CS6 as applicable, and shall not exceed the recommendations of IEEE Std 404 for cable joints and IEEE Std 48 for cable terminations unless the cable and accessory manufacturers indicate higher voltages are acceptable for testing. Should any cable fail due to a weakness of conductor insulation or due to defects or injuries incidental to the installation or because of improper installation of cable, cable

joints, terminations, or other connections, the Contractor shall make necessary repairs or replace cables as directed. Repaired or replaced cables shall be retested.

3.10.6 Low-Voltage Cable Test

Low-voltage cable, complete with splices, shall be tested for insulation resistance after the cables are installed, in their final configuration, ready for connection to the equipment, and prior to energization. The test voltage shall be 500 volts dc, applied for one minute between each conductor and ground and between all possible combinations conductors in the same trench, duct, or cable, with all other conductors in the same trench, duct, or conduit. The minimum value of insulation shall be:

R in megohms = (rated voltage in kV + 1) x 1000/(length of cable in feet

Each cable failing this test shall be repaired or replaced. The repaired cable shall be retested until failures have been eliminated.

3.10.7 Not Used

3.10.8 Not Used

3.10.9 Not Used

3.10.10 Not Used

3.10.11 Not Used

3.10.12 Not Used

3.10.13 Operating Tests

After the installation is completed, and at such times as the Contracting Officer may direct, the Contractor shall conduct operating tests for approval. The equipment shall be demonstrated to operate in accordance with the requirements herein. An operating test report shall be submitted in accordance with paragraph SUBMITTALS.

3.11 ACCEPTANCE

Final acceptance of the facility will not be given until the Contractor has successfully completed all tests and after all defects in installation, material or operation have been corrected.

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SECTION 16410A

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07/01

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SECTION 16410A

AUTOMATIC TRANSFER SWITCH AND BY-PASS/ISOLATION SWITCH
07/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM B 117 (1997) Operating Salt Spray (Fog) Apparatus

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C37.13 (1990; R 1995) Low-Voltage AC Power Circuit Breakers Used in Enclosures

IEEE C37.90.1 (1989; R 1994) IEEE Standard Surge Withstand Capability (SWC) Tests for Protective Relays and Relay Systems

IEEE C62.41 (1991; R 1995) Surge Voltages in Low-Voltage AC Power Circuits

IEEE Std 602 (1996) Electric Systems in Health Care Facilities

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA ICS 1 (1993) Industrial Controls and Systems

NEMA ICS 2 (1993) Industrial Controls and Systems Controllers, Contactors, and Overload Relays Rated Not More Than 2,000 Volts AC or 750 Volts DC

NEMA ICS 4 (1997) Industrial Control and Systems Terminal Blocks

NEMA ICS 6 (1993) Industrial Control and Systems, Enclosures

NEMA ICS 10 (1999) Industrial Control and Systems: AC Transfer Switch Equipment - Part 2: Static AC Transfer Equipment

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2002) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 1008	(1996; Rev thru Feb 1999) Transfer Switch Equipment
UL 1066	(1997) Low-Voltage AC and DC Power Circuit Breakers Used in Enclosures

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Switches; G-ED

Schematic, external connection, one-line schematic and wiring diagram of each ATS assembly. Interface equipment connection diagram showing conduit and wiring between ATS and related equipment. Device, nameplate, and item numbers shown in list of equipment and material shall appear on drawings wherever that item appears. Diagrams shall show interlocking provisions and cautionary notes, if any. Operating instructions shall be shown either on one-line diagram or separately. Unless otherwise approved, one-line and elementary or schematic diagrams shall appear on same drawing.

Equipment; G-ED
Installation; G-RE

Dimensioned plans, sections and elevations showing minimum clearances, weights, and conduit entry provisions for each ATS.

SD-03 Product Data

Material; G-ED
Equipment; G-ED

List of proposed equipment and material, containing a description of each separate item.

SD-06 Test Reports

Testing; G-RE

A description of proposed field test procedures, including proposed date and steps describing each test, its duration and expected results, not less than two (2) weeks prior to test date.

Certified factory and field test reports, within 14 days following completion of tests. Reports shall be certified and dated and shall demonstrate that tests were successfully completed prior to shipment of equipment.

SD-07 Certificates

Equipment; G-ED
Material; G-ED

Certificates of compliance showing evidence of UL listing and conformance with applicable NEMA standards. Such certificates are not required if manufacturer's published data, submitted and approved, reflect UL listing or conformance with applicable NEMA standards.

Switching Equipment; G-ED

Evidence that ATS withstand current rating (WCR) has been coordinated with upstream protective devices as required by UL 1008. Upon request, manufacturer shall also provide notarized letter certifying compliance with requirements of this specification, including withstand current rating.

SD-10 Operation and Maintenance Data

Switching Equipment; G-RE
Instructions; G-RE

Six copies of operating manual outlining step-by-step procedures for system startup, operation, and shutdown. Manual shall include manufacturer's name, model number, service manual, parts list, and brief description of equipment and basic operating features. Manufacturer's spare parts data shall be included with supply source and current cost of recommended spare parts. Six copies of maintenance manual listing routine maintenance, possible breakdowns, repairs, and troubleshooting guide. Manual shall include simplified wiring and control diagrams for system as installed.

1.3 GENERAL REQUIREMENTS

1.3.1 Standard Product

Material and equipment shall be standard products of a manufacturer regularly engaged in manufacturing the products and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. The experience use shall include applications in similar circumstances and of same design and rating as specified ATS. Equipment shall be capable of being serviced by a manufacturer-authorized and trained organization that is, in the Contracting Officer's opinion, reasonably convenient to the site.

1.3.2 Nameplate

Nameplate showing manufacturer's name and equipment ratings shall be made of corrosion-resistant material with not less than 1/8 inch tall characters. Nameplate shall be mounted to front of enclosure and shall comply with nameplate requirements of NEMA ICS 2.

1.4 SERVICE CONDITIONS

ATS shall be suitable for prolonged performance under following service conditions:

- a. Altitude: 2070 feet above mean sea level.

- c. Temperature: Minus 18 degree F to 89 degrees F.

PART 2 PRODUCTS

2.1 AUTOMATIC TRANSFER SWITCH (ATS)

ATS shall be electrically operated and mechanically held in both operating positions. ATS shall be suitable for use in standby systems described in NFPA 70. ATS shall be UL listed. ATS shall be manufactured and tested in accordance with applicable requirements of IEEE C37.90.1, IEEE C37.13, IEEE C62.41, IEEE Std 602, NEMA ICS 1, NEMA ICS 2, NEMA ICS 10, UL 1008 and UL 1066. To facilitate maintenance, manufacturer's instruction manual shall provide typical maximum contact voltage drop readings under specified conditions for use during periodic maintenance. Manufacturer shall provide instructions for determination of contact integrity. ATS shall be rated for continuous duty at specified continuous current rating. ATS shall be fully compatible and approved for use with BP/IS specified. BP/IS shall be considered part of ATS system. ATS shall have following characteristics:

- a. Voltage: 480 volts ac.
- b. Number of Phases: Three.
- c. Number of Wires: Four.
- d. Frequency: 60 Hz.
- e. Poles: Three switched and switched neutral.
- f. ATS WCR: Rated to withstand short-circuit current of 42,000 amperes, RMS symmetrical.
- g. Nonwelding Contacts: Rated for nonwelding of contacts when used with upstream feeder overcurrent devices shown and with available fault current specified.
- h. Main Contacts: Contacts shall have silver alloy composition.

2.1.1 Override Time Delay

Time delay to override monitored source deviation shall be adjustable from 0.5 to 6 seconds and factory set at 1 second. ATS shall monitor phase conductors to detect and respond to sustained voltage drop of 15 percent of nominal between any two normal source conductors and initiate transfer action to alternate source and start engine driven generator after set time period. Pickup voltage shall be adjustable from 85 to 100 percent of nominal and factory set at 90 percent. Dropout voltage shall be adjustable from 75 to 98 percent of pickup value and factory set at 85 percent of nominal.

2.1.2 Transfer Time Delay

Time delay before transfer to alternate power source shall be adjustable from 0 to 5 minutes and factory set at 0 minutes. ATS shall monitor frequency and voltage of alternate power source and transfer when frequency and voltage are stabilized. Pickup voltage shall be adjustable from 85 to 100 percent of nominal and factory set at 90 percent. Pickup frequency shall be adjustable from 90 to 100 percent of nominal and factory set at 90

percent.

2.1.3 Return Time Delay

Time delay before return transfer to normal power source shall be adjustable from 0 to 30 minutes and factory set at 30 minutes. Time delay shall be automatically defeated upon loss or sustained undervoltage of alternate power source, provided that normal supply has been restored.

2.1.4 Engine Shutdown Time Delay

Time delay shall be adjustable from 0 to 30 minutes and shall be factory set at 10 minutes.

2.1.5 Exerciser

Provide a generator exerciser timer. Run times shall be user programmable.

The generator exerciser shall be selectable between load transfer and engine run only, and shall have a fail-safe feature that will retransfer the ATS to normal during the exercise period.

2.1.6 Auxiliary Contacts

Two normally open and two normally closed auxiliary contacts rated at 10 amperes at 480 volts shall operate when ATS is connected to normal power source, and two normally open and two normally closed contacts shall operate when ATS is connected to alternate source.

2.1.7 Supplemental Features

ATS shall be furnished with the following:

- a. Engine start contact.
- b. Alternate source monitor.
- c. Test switch to simulate normal power outage.
- d. Voltage sensing. Pickup voltage adjustable from 85 to 100 percent of nominal; dropout adjustable from 75 to 98 percent of pickup.
- e. Time delay bypass switch to override return time delay to normal.
- f. Manual return-to-normal switch.
- g. Means shall be provided in the ATS to insure that motor/transformer load inrush currents do not exceed normal starting currents. This shall be accomplished with either in-phase monitoring, time-delay transition, or load voltage decay sensing methods. If manufacturer supplies an in-phase monitoring system, the manufacturer shall indicate under what conditions a transfer cannot be accomplished. If the manufacturer supplies a time-delay transition system, the manufacturer shall supply recommendations for establishing time delay. If load voltage decay sensing is supplied, the load voltage setting shall be user programmable.

2.1.8 Operator

Manual operator conforming to UL 1008 shall be provided, and shall incorporate features to prevent operation by unauthorized personnel. ATS shall be designed for safe manual operation under full load conditions. If manual operation is accomplished by opening the door, then a dead-front shall be supplied for operator safety.

2.1.9 Override Switch

Override switch shall bypass automatic transfer controls so ATS will transfer and remain connected to alternate power source, regardless of condition of normal source. If alternate source fails and normal source is available, ATS shall automatically retransfer to normal source.

2.1.10 Green Indicating Light

A green indicating light shall supervise/provide normal power source switch position indication and shall have a nameplate engraved NORMAL.

2.1.11 Red Indicating Light

A red indicating light shall supervise/provide alternate power source switch position indication and shall have a nameplate engraved ALTERNATE.

2.2 BY-PASS/ISOLATION SWITCH (BP/IS)

2.2.1 Design

Bypass/isolation switch (BP/IS) shall permit load by-pass to either normal or alternate power source and complete isolation of associated ATS, independent of ATS operating position. BP/IS and associated ATS shall be products of same manufacturer and shall be completely interconnected and tested at factory and at project site as specified. BP/IS shall be manufactured, listed, and tested in accordance with paragraph AUTOMATIC TRANSFER SWITCH (ATS) and shall have electrical ratings that exceed or equal comparable ratings specified for ATS. Operating handles shall be externally operated and arranged so that one person can perform the bypass and isolation functions through the operation of a maximum of two handles within 5 seconds. The ATS shall have provisions for locking in the isolation position. Handle for manual operation shall be permanently attached to operating mechanism. BP/IS operation shall be accomplished without disconnecting switch load terminal conductors. Isolation handle positions shall be marked with engraved plates or other approved means to indicate position or operating condition of associated ATS, as follows:

- a. Indication shall be provided to show that ATS section is providing power to the load.
- b. Indication shall be provided of ATS isolation. The ATS controls shall remain functional with the ATS isolated or in bypass mode to permit monitoring of the normal power source [and automatic starting of the generator in the event of a loss of the normal power source]. In the isolated mode, the bypass section shall be capable of functioning as a manual transfer switch to transfer the load to either power source. The ATS shall be capable of undergoing functional operation testing without service interruption. The ATS may also be completely removed from the enclosure, if required for maintenance or repair, while the bypass section continues to power the load.

2.2.2 Switch Construction

Bypass/isolation switch shall be constructed for convenient removal of parts from front of switch enclosure without removal of other parts or disconnection of external power conductors. Contacts shall be as specified for associated ATS, including provisions for inspection of contacts without disassembly of BP/IS or removal of entire contact enclosure. To facilitate maintenance, manufacturer shall provide instructions for determination of contact integrity. BP/IS and associated ATS shall be interconnected with suitably sized copper bus bars silver-plated at each connection point, and braced to withstand magnetic and thermal forces created at WCR specified for associated ATS.

2.3 ENCLOSURE

ATS and accessories shall be installed in free-standing, floor-mounted, ventilated NEMA ICS 6, Type 1, smooth sheet metal enclosure constructed in accordance with applicable requirements of UL 1066 and/or UL 1008. Door shall have suitable hinges, locking handle latch, and gasketed jamb. Metal gauge shall be not less than No. 14. Enclosure shall be equipped with at least two approved grounding lugs for grounding enclosure to facility ground system using No. 4 AWG copper conductors. Factory wiring within enclosure and field wiring terminating within enclosure shall comply with NFPA 70. If wiring is not color coded, wire shall be permanently tagged or marked near terminal at each end with wire number shown on approved detail drawing. Terminal block shall conform to NEMA ICS 4. Terminals shall be arranged for entrance of external conductors from top and bottom of enclosure as shown. Main switch terminals, including neutral terminal if used, shall be pressure type suitable for termination of external copper conductors shown. Aluminum is not acceptable.

2.3.1 Construction

Enclosure shall be constructed for ease of removal and replacement of ATS components and control devices from front without disconnection of external power conductors or removal or disassembly of major components. Enclosure of ATS with BP/IS shall be constructed to protect personnel from energized BP/IS components during ATS maintenance.

2.3.2 Cleaning and Painting

Both the inside and outside surfaces of an enclosure, including means for fastening, shall be protected against corrosion by enameling, galvanizing, plating, powder coating, or other equivalent means. Protection is not required for metal parts that are inherently resistant to corrosion, bearings, sliding surfaces of hinges, or other parts where such protection is impractical. Finish shall be manufacturer's standard material, process, and color and shall be free from runs, sags, peeling, or other defects. An enclosure marked Type 1, 3R, 4 or 12 shall be acceptable if there is no visible rust at the conclusion of a salt spray (fog) test using the test method in ASTM B 117, employing a 5 percent by weight, salt solution for 24 hours. Type 4X enclosures are acceptable following performance of the above test with an exposure time of 200 hours.

2.4 TESTING

2.4.1 Factory Testing

A prototype of specified ATS shall be factory tested in accordance with UL

1008. In addition, factory tests shall be performed on each ATS as follows:

- a. Insulation resistance test to ensure integrity and continuity of entire system.
- b. Main switch contact resistance test.
- c. Visual inspection to verify that each ATS is as specified.
- d. Mechanical test to verify that ATS sections are free of mechanical hindrances.
- e. Electrical tests to verify complete system electrical operation and to set up time delays and voltage sensing settings.

2.5 NOT USED

2.5.1 Operating Handles

The operating handles shall be externally operated, and designed and constructed not to stop in an intermediate or neutral position during operation, but shall permit load by-pass and transfer switch isolation in no more than two manual operations which can be performed by one person in 5 seconds or less. The transfer speed will be independent of the operational speed of the switch handle or handles.

PART 3 EXECUTION

3.1 INSTALLATION

ATS shall be installed as shown and in accordance with approved manufacturer's instructions.

3.2 INSTRUCTIONS

Manufacturer's approved operating instructions shall be permanently secured to cabinet where operator can see them. One-line and elementary or schematic diagram shall be permanently secured to inside of front enclosure door.

3.3 SITE TESTING

Following completion of ATS installation and after making proper adjustments and settings, site tests shall be performed in accordance with manufacturer's written instructions to demonstrate that each ATS functions satisfactorily and as specified. Contractor shall advise Contracting Officer not less than 5 working days prior to scheduled date for site testing, and shall provide certified field test reports within 2 calendar weeks following successful completion of site tests. Test reports shall describe adjustments and settings made and site tests performed. Minimum operational tests shall include the following:

- a. Insulation resistance shall be tested, both phase-to-phase and phase-to-ground.
- b. Power failure of normal source shall be simulated by opening upstream protective device. This test shall be performed a minimum of five times.

- c. Power failure of emergency source with normal source available shall be simulated by opening upstream protective device for emergency source. This test shall be performed a minimum of five times.
- d. Low phase-to-ground voltage shall be simulated for each phase of normal source.
- e. Operation and settings shall be verified for specified ATS features, such as override time delay, transfer time delay, return time delay, engine shutdown time delay, exerciser, auxiliary contacts, and supplemental features.
- f. Manual and automatic ATS and BP/IS functions shall be verified.

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SECTION 16415A

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11/01

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SECTION 16415A

ELECTRICAL WORK, INTERIOR
11/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.11	(1987; R 1993) Instrument Transformers for Revenue Metering, 10 kV BIL through 350 kV BIL (0.6 kV NSV through 69 kV NSV)
ANSI C39.1	(1981; R 1992) Requirements for Electrical Analog Indicating Instruments
ANSI C78.1350	(1990) Electric Lamps - 400-Watt, 100-Volt, S51 Single-Ended High-Pressure Sodium Lamps
ANSI C78.1351	(1989) Electric Lamps - 250-Watt, 100-Volt S50 Single-Ended High-Pressure Sodium Lamps
ANSI C78.1352	(1990) Electric Lamps - 1000-Watt, 250-Volt, S52 Single-Ended High-Pressure Sodium Lamps
ANSI C78.1355	(1989) Electric Lamps - 150-Watt, 55-Volt S55 High-Pressure Sodium Lamps
ANSI C78.1375	(1996) 400-Watt, M59 Single-Ended Metal-Halide Lamps
ANSI C78.1376	(1996) 1000-Watt, M47 Metal-Halide Lamps
ANSI C80.5	(1995) Rigid Aluminum Conduit
ANSI C82.4	(1992) Ballasts for High-Intensity-Discharge and Low-Pressure Sodium Lamps (Multiple-Supply Type)

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM B 1	(1995) Hard-Drawn Copper Wire
ASTM B 8	(1999) Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM D 709	(2000) Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C57.13	(1993) Instrument Transformers
IEEE Std 242	(1986; R 1991) Recommended Practice for Protection and Coordination of Industrial and Commercial Power Systems
IEEE Std 399	(1997) Recommended Practice for Industrial and Commercial Power Systems Analysis
IEEE Std 81	(1983) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System (Part 1)

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA AB 1	(1993) Molded Case Circuit Breakers and Molded Case Switches
NEMA ICS 1	(1993) Industrial Control and Systems
NEMA ICS 2	(1993) Industrial Controls and Systems Controllers, Contactors, and Overload Relays Rated Not More Than 2,000 Volts AC or 750 Volts DC
NEMA ICS 3	(1993) Industrial Control and Systems Factory Built Assemblies
NEMA ICS 6	(1993) Industrial Control and Systems, Enclosures
NEMA LE 4	(1987) Recessed Luminaires, Ceiling Compatibility
NEMA MG 1	(1998) Motors and Generators
NEMA MG 10	(1994) Energy Management Guide for Selection and Use of Polyphase Motors
NEMA OS 1	(1996) Sheet-Steel Outlet Boxes, Device Boxes, Covers, and Box Supports
NEMA PB 1	(1995) Panelboards
NEMA RN 1	(1998) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA WD 1	(1999) General Requirements for Wiring Devices
NEMA WD 6	(1997) Wiring Devices - Dimensional Requirements

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 101	(2000) Life Safety Code
NFPA 70	(2002) National Electrical Code
UNDERWRITERS LABORATORIES (UL)	
UL 1	(2000) Flexible Metal Conduit
UL 1004	(1994; Rev thru Nov 1999) Electric Motors
UL 1022	(1998) Line Isolation Monitors
UL 1029	(1994; Rev thru Dec 1997) High-Intensity-Discharge Lamp Ballasts
UL 1047	(1995; Rev Jul 1998) Isolated Power Systems Equipment
UL 1242	(1996; Rev Mar 1998) Intermediate Metal Conduit
UL 1572	(1995; Rev thru Nov 1999) High Intensity Discharge Lighting Fixtures
UL 20	(1995; Rev thru Oct 1998) General-Use Snap Switches
UL 360	(1996; Rev thru Oct 1997) Liquid-Tight Flexible Steel Conduit
UL 44	(1999) Thermoset-Insulated Wires and Cables
UL 467	(1993; Rev thru Apr 1999) Grounding and Bonding Equipment
UL 486A	(1997; Rev thru Dec 1998) Wire Connectors and Soldering Lugs for Use with Copper Conductors
UL 486C	(1997; Rev thru Aug 1998) Splicing Wire Connectors
UL 486E	(1994; Rev thru Feb 1997) Equipment Wiring Terminals for Use with Aluminum and/or Copper Conductors
UL 489	(1996; Rev thru Dec 1998) Molded-Case Circuit Breakers, Molded-Case Switches, and Circuit-Breaker Enclosures
UL 5	(1996) Surface Metal Raceways and Fittings
UL 50	(1995; Rev thru Nov 1999) Enclosures for Electrical Equipment
UL 508	(1999) Industrial Control Equipment
UL 510	(1994; Rev thru Apr 1998) Polyvinyl Chloride, Polyethylene, and Rubber

	Insulating Tape
UL 514A	(1996; Rev Dec 1999) Metallic Outlet Boxes
UL 514B	(1997; Rev Oct 1998) Fittings for Cable and Conduit
UL 6	(1997) Rigid Metal Conduit
UL 67	(1993; Rev thru Oct 1999) Panelboards
UL 674	(1994; Rev thru Oct 1998) Electric Motors and Generators for Use in Division 1 Hazardous (Classified) Locations
UL 797	(1993; Rev thru Mar 1997) Electrical Metallic Tubing
UL 83	(1998; Rev thru Sep 1999) Thermoplastic-Insulated Wires and Cables
UL 845	(1995; Rev thru Nov 1999) Motor Control Centers
UL 854	(1996; Rev Oct 1999) Service-Entrance Cables
UL 869A	(1998) Reference Standard for Service Equipment
UL 924	(1995; Rev thru Oct 97) Emergency Lighting and Power Equipment
UL 943	(1993; Rev thru May 1998) Ground-Fault Circuit-Interrupters
UL 98	(1994; Rev thru Jun 1998) Enclosed and Dead-Front Switches
UL Elec Const Dir	(1999) Electrical Construction Equipment Directory

1.2 GENERAL

1.2.1 Rules

The installation shall conform to the requirements of NFPA 70 and NFPA 101, unless more stringent requirements are indicated or shown.

1.2.2 Coordination

The drawings indicate the extent and the general location and arrangement of equipment, conduit, and wiring. The Contractor shall become familiar with all details of the work and verify all dimensions in the field so that the outlets and equipment shall be properly located and readily accessible.

Lighting fixtures, outlets, and other equipment and materials shall be carefully coordinated with mechanical or structural features prior to installation and positioned according to architectural reflected ceiling

plans; otherwise, lighting fixtures shall be symmetrically located according to the room arrangement when uniform illumination is required, or asymmetrically located to suit conditions fixed by design and shown. Raceways, junction and outlet boxes, and lighting fixtures shall not be supported from sheet metal roof decks. If any conflicts occur necessitating departures from the drawings, details of and reasons for departures shall be submitted and approved prior to implementing any change. The Contractor shall coordinate the electrical requirements of the mechanical work and provide all power related circuits, wiring, hardware and structural support, even if not shown on the drawings.

1.2.3 Special Environments

1.2.3.1 Weatherproof Locations

Wiring, Fixtures, and equipment in designated locations shall conform to NFPA 70 requirements for installation in damp or wet locations.

1.2.4 Standard Products

Material and equipment shall be a standard product of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening.

1.2.5 Nameplates

1.2.5.1 Identification Nameplates

Major items of electrical equipment and major components shall be permanently marked with an identification name to identify the equipment by type or function and specific unit number as indicated. Designation of motors shall coincide with their designation in the motor control center or panel. Unless otherwise specified, identification nameplates shall be made of laminated plastic in accordance with ASTM D 709 with black outer layers and a white core. Edges shall be chamfered. Plates shall be fastened with black-finished round-head drive screws, except motors, or approved nonadhesive metal fasteners. When the nameplate is to be installed on an irregular-shaped object, the Contractor shall devise an approved support suitable for the application and ensure the proper installation of the supports and nameplates. In all instances, the nameplate shall be installed in a conspicuous location. At the option of the Contractor, the equipment manufacturer's standard embossed nameplate material with black paint-filled letters may be furnished in lieu of laminated plastic. The front of each panelboard, motor control center, switchgear, and switchboard shall have a nameplate to indicate the phase letter, corresponding color and arrangement of the phase conductors. The following equipment, as a minimum, shall be provided with identification nameplates:

Minimum 1/4 inch
High Letters

Minimum 1/8 inch
High Letters

Panelboards
Starters
Safety Switches
Motor Control Centers
Transformers
Equipment Enclosures
Switchgear

Control Power Transformers
Control Devices
Instrument Transformers

Minimum 1/4 inch
High Letters
Switchboards
Motors

Minimum 1/8 inch
High Letters

Each panel, section, or unit in motor control centers, switchgear or similar assemblies shall be provided with a nameplate in addition to nameplates listed above, which shall be provided for individual compartments in the respective assembly, including nameplates which identify "future," "spare," and "dedicated" or "equipped spaces."

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Interior Electrical Equipment; G-ED.

Detail drawings consisting of equipment drawings, illustrations, schedules, instructions, diagrams, and other information necessary to define the installation. Detail drawings shall show the rating of items and systems and how the components of an item and system are assembled, function together, and how they will be installed on the project. Data and drawings for component parts of an item or system shall be coordinated and submitted as a unit. Data and drawings shall be coordinated and included in a single submission.

Multiple submissions for the same equipment or system are not acceptable except where prior approval has been obtained from the Contracting Officer. In such cases, a list of data to be submitted later shall be included with the first submission. Detail drawings shall show physical arrangement, construction details, connections, finishes, materials used in fabrication, provisions for conduit or busway entrance, access requirements for installation and maintenance, physical size, electrical characteristics, foundation and support details, and equipment weight. Drawings shall be drawn to scale and/or dimensioned. Optional items shall be clearly identified as included or excluded. Detail drawings shall as a minimum include:

- a. Transformers.
- b. Switchgear.
- c. Motors and rotating machinery.
- d. Motor control centers.
- e. Single line electrical diagrams including primary, metering, sensing and relaying, control wiring, and control logic.
- f. Sway bracing for suspended luminaires.

Structural drawings showing the structural or physical features

of major equipment items, components, assemblies, and structures, including foundations or other types of supports for equipment and conductors. These drawings shall include accurately scaled or dimensioned outline and arrangement or layout drawings to show the physical size of equipment and components and the relative arrangement and physical connection of related components. Weights of equipment, components and assemblies shall be provided when required to verify the adequacy of design and proposed construction of foundations or other types of supports. Dynamic forces shall be stated for switching devices when such forces must be considered in the design of support structures. The appropriate detail drawings shall show the provisions for leveling, anchoring, and connecting all items during installation, and shall include any recommendations made by the manufacturer.

Electrical drawings including single-line and three-line diagrams, and schematics or elementary diagrams of each electrical system; internal wiring and field connection diagrams of each electrical device when published by the manufacturer; wiring diagrams of cabinets, panels, units, or separate mountings; interconnection diagrams that show the wiring between separate components of assemblies; field connection diagrams that show the termination of wiring routed between separate items of equipment; internal wiring diagrams of equipment showing wiring as actually provided for this project. Field wiring connections shall be clearly identified.

If departures from the contract drawings are deemed necessary by the Contractor, complete details of such departures, including changes in related portions of the project and the reasons why, shall be submitted with the detail drawings. Approved departures shall be made at no additional cost to the Government.

SD-03 Product Data

Fault Current and Protective Device Coordination Study; G-ED.

The study shall be submitted along with protective device equipment submittals. No time extensions or similar contract modifications will be granted for work arising out of the requirements for this study. Approval of protective devices proposed shall be based on recommendations of this study. The Government shall not be held responsible for any changes to equipment, device ratings, settings, or additional labor for installation of equipment or devices ordered and/or procured prior to approval of the study.

Manufacturer's Catalog; G-ED.

Data composed of catalog cuts, brochures, circulars, specifications, product data, and printed information in sufficient detail and scope to verify compliance with the requirements of the contract documents.

Material, Equipment, and Fixture Lists; G-RE.

A complete itemized listing of equipment and materials proposed for incorporation into the work. Each entry shall include an item number, the quantity of items proposed, and the name of the

manufacturer of each item.

Installation Procedures; G-RE.

Installation procedures for rotating equipment, transformers, switchgear, battery systems, voltage regulators, and grounding resistors. Procedures shall include diagrams, instructions, and precautions required to install, adjust, calibrate, and test devices and equipment.

As-Built Drawings; G-RE.

The as-built drawings shall be a record of the construction as installed. The drawings shall include all the information shown on the contract drawings, deviations, modifications, and changes from the contract drawings, however minor. The as-built drawings shall be kept at the job site and updated daily. The as-built drawings shall be a full-sized set of prints marked to reflect all deviations, changes, and modifications. The as-built drawings shall be complete and show the location, size, dimensions, part identification, and other information. Additional sheets may be added. The as-built drawings shall be jointly inspected for accuracy and completeness by the Contractor's quality control representative and by the Contracting Officer prior to the submission of each monthly pay estimate.

Onsite Tests; G-RE.

A detailed description of the Contractor's proposed procedures for on-site tests.

SD-06 Test Reports

Factory Test Reports; G-RE.

Six copies of the information described below in 8 1/2 x 11 inch binders having a minimum of 5 rings from which material may readily be removed and replaced, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.
- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The conditions specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.

Field Test Plan; G-RE.

A detailed description of the Contractor's proposed procedures for onsite test submitted 20 days prior to testing the installed

system. No field test will be performed until the test plan is approved. The test plan shall consist of complete field test procedures including tests to be performed, test equipment required, and tolerance limits.

Field Test Reports; G-RE.

Six copies of the information described below in 8 1/2 x 11 inch binders having a minimum of 5 rings from which material may readily be removed and replaced, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.
- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The conditions specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.
- h. Final position of controls and device settings.

SD-07 Certificates

Materials and Equipment; G-RE.

The label or listing of the Underwriters Laboratories, Inc., will be accepted as evidence that the materials or equipment conform to the applicable standards of that agency. In lieu of this label or listing, a statement from a nationally recognized, adequately equipped testing agency indicating that the items have been tested in accordance with required procedures and that the materials and equipment comply with all contract requirements will be accepted. However, materials and equipment installed in hazardous locations must bear the UL label unless the data submitted from other testing agency is specifically approved in writing by the Contracting Officer. Items which are required to be listed and labeled in accordance with Underwriters Laboratories must be affixed with a UL label that states that it is UL listed. No exceptions or waivers will be granted to this requirement. Materials and equipment will be approved based on the manufacturer's published data.

For other than equipment and materials specified to conform to UL publications, a manufacturer's statement indicating complete compliance with the applicable standard of the American Society for Testing and Materials, National Electrical Manufacturers Association, or other commercial standard, is acceptable.

1.4 WORKMANSHIP

Materials and equipment shall be installed in accordance with NFPA 70,

recommendations of the manufacturer, and as shown.

PART 2 PRODUCTS

Products shall conform to the respective publications and other requirements specified below. Materials and equipment not listed below shall be as specified elsewhere in this section. Items of the same classification shall be identical including equipment, assemblies, parts, and components.

2.1 NOT USED

2.2 CABLES AND WIRES

Conductors No. 8 AWG and larger diameter shall be stranded. Conductors No. 10 AWG and smaller diameter shall be solid, except that conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3, shall be stranded unless specifically indicated otherwise. Conductor sizes and ampacities shown are based on copper, unless indicated otherwise. All conductors shall be copper.

2.2.1 Equipment Manufacturer Requirements

When manufacturer's equipment requires copper conductors at the terminations or requires copper conductors to be provided between components of equipment, provide copper conductors or splices, splice boxes, and other work required to meet manufacturer's requirements.

2.2.2 Aluminum Conductors

Aluminum conductors shall not be used.

2.2.3 Insulation

Unless indicated otherwise, or required by NFPA 70, power and lighting wires shall be 600-volt, Type THWN, THHN, or THW conforming to UL 83 or RHW conforming to UL 44, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits shall be Type TW, THW or TF, conforming to UL 83. Where lighting fixtures require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.2.4 Bonding Conductors

ASTM B 1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B 8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.2.5 Service Entrance Cables

Service entrance (SE) and underground service entrance (USE) cables, UL 854.

2.3 NOT USED

2.4 NOT USED

2.5 NOT USED

2.6 CIRCUIT BREAKERS

2.6.1 MOLDED-CASE CIRCUIT BREAKERS

Molded-case circuit breakers shall conform to NEMA AB 1 and UL 489 for circuit breakers. Circuit breakers may be installed in panelboards, switchboards, enclosures, or motor control centers.

2.6.1.1 Construction

Circuit breakers shall be suitable for mounting and operating in any position. Lug shall be listed for copper conductors only in accordance with UL 486E. Single-pole circuit breakers shall be full module size with not more than one pole per module. Multi-pole circuit breakers shall be of the common-trip type having a single operating handle such that an overload or short circuit on any one pole will result in all poles opening simultaneously. Sizes of 100 amperes or less may consist of single-pole breakers permanently factory assembled into a multi-pole unit having an internal, mechanical, nontamperable common-trip mechanism and external handle ties. All circuit breakers shall have a quick-make, quick-break overcenter toggle-type mechanism, and the handle mechanism shall be trip-free to prevent holding the contacts closed against a short-circuit or sustained overload. All circuit breaker handles shall assume a position between "ON" and "OFF" when tripped automatically. All ratings shall be clearly visible.

2.6.1.2 Ratings

Voltage ratings shall be not less than the applicable circuit voltage. The interrupting rating of the circuit breakers shall be at least equal to the available short-circuit current at the line terminals of the circuit breaker and correspond to the UL listed integrated short-circuit current rating specified for the panelboards and switchboards. Molded-case circuit breakers shall have nominal voltage ratings, maximum continuous-current ratings, and maximum short-circuit interrupting ratings in accordance with NEMA AB 1. Ratings shall be coordinated with system X/R ratio.

2.7 NOT USED

2.8 CONDUIT AND TUBING

2.8.1 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797

2.8.2 Not Used

2.8.3 Not Used

2.8.4 Flexible Conduit, Steel

General-purpose type, UL 1; liquid tight, UL 360.

2.8.5 Intermediate Metal Conduit

UL 1242.

2.8.6 PVC Coated Rigid Steel Conduit

NEMA RN 1.

2.8.7 Rigid Aluminum Conduit

ANSI C80.5 and UL 6.

2.8.8 Rigid Metal Conduit

UL 6.

2.8.9 Not Used

2.8.10 Surface Metal Electrical Raceways and Fittings

UL 5.

2.9 CONDUIT AND DEVICE BOXES AND FITTINGS

2.9.1 Boxes, Metallic Outlet

NEMA OS 1 and UL 514A.

2.9.2 Not Used

2.9.3 Not Used

2.9.4 Boxes, Switch (Enclosed), Surface-Mounted

UL 98.

2.9.5 Fittings for Conduit and Outlet Boxes

UL 514B.

2.10 CONDUIT COATINGS PLASTIC RESIN SYSTEM

NEMA RN 1, Type A-40.

2.11 CONNECTORS, WIRE PRESSURE

2.11.1 For Use With Copper Conductors

UL 486A.

2.12 ELECTRICAL GROUNDING AND BONDING EQUIPMENT

UL 467.

2.12.1 Ground Rods

Ground rods shall be of copper-clad steel conforming to UL 467 not less than 3/4 inch in diameter by 10 feet in length of the sectional type driven full length into the earth.

2.12.2 Ground Bus

The ground bus shall be bare conductor or flat copper in one piece, if practicable.

2.13 ENCLOSURES

NEMA ICS 6 unless otherwise specified.

2.13.1 Cabinets and Boxes

Cabinets and boxes with volume greater than 100 cubic inches shall be in accordance with UL 50, hot-dip, zinc-coated, if sheet steel.

2.14 LIGHTING FIXTURES, LAMPS, BALLASTS, EMERGENCY EQUIPMENT, CONTROLS AND ACCESSORIES

The following specifications are supported and supplemented by information and details on the drawings. Additional fixtures, if shown, shall conform to this specification. Lamps, lampholders, ballasts, transformers, electronic circuitry and other lighting system components shall be constructed according to industry standards. Equipment shall be tested and listed by a recognized independent testing laboratory for the expected installation conditions. Equipment shall conform to the standards listed below.

2.14.1 Lamps

Lamps shall be constructed to operate in the specified fixture, and shall function without derating life or output as listed in published data. Lamps shall meet the requirements of the Energy Policy Act of 1992.

- a. High intensity discharge lamps, including spares, shall be manufactured by one manufacturer in order to provide color and performance consistency. High intensity discharge lamps shall be designed to operate with the ballasts and circuitry of the fixtures in which they will be used and shall have wattage, shape and base as shown. High intensity discharge lamps, unless otherwise shown, shall have medium or mogul screw base and minimum starting temperature of -20 degrees F. Metal halide lamps, unless otherwise shown, shall have minimum CRI of 65; color temperature of 4,300 degrees Kelvin; shall be -BU configuration if used in base-up position; and shall be -H or high output configuration if used in horizontal position. Lamps shall comply with all applicable ANSI C78.1350, ANSI C78.1351, ANSI C78.1352, ANSI C78.1355, ANSI C78.1375, and ANSI C78.1376.

2.14.2 Ballasts and Transformers

Ballasts or transformers shall be designed to operate the designated lamps within their optimum specifications, without derating the lamps. Lamp and ballast combinations shall be certified as acceptable by the lamp manufacturer.

- a. High intensity discharge ballasts shall comply with UL 1029 and, if multiple supply types, with ANSI C82.4. Ballasts shall have minimum ballast factor of 0.9; high power factor; Class A sound rating; and maximum operating case temperature of 77 degrees F above ambient.

(1) Electronic high intensity discharge ballasts shall be constant wattage autotransformer type; shall have less than 10% ballast loss; shall have total harmonic distortion between 10 and 20%; and shall have a minimum starting temperature of 0 degrees F.

(2) Magnetic high intensity discharge ballasts shall have a minimum starting temperature of -20 degrees F.

2.14.3 Fixtures

Fixtures shall be in accordance with the size, shape, appearance, finish, and performance shown. Unless otherwise indicated, lighting fixtures shall be provided with housings, junction boxes, wiring, lampholders, mounting supports, trim, hardware and accessories for a complete and operable installation. Recessed housings shall be minimum 20 gauge cold rolled or galvanized steel as shown. Extruded aluminum fixtures shall have minimum wall thickness of 0.125 inches. Plastic lenses shall be 100% virgin acrylic or as shown. Glass lenses shall be tempered. Heat resistant glass shall be borosilicate type. Conoid recessed reflector cones shall be Alzak with clear specular low iridescent finish.

- a. High intensity discharge fixture shall comply with UL 1572. Recessed ceiling fixtures shall comply with NEMA LE 4. Reflectors shall be anodized aluminum. Fixtures for horizontal lamps shall have position oriented lampholders. Lampholders shall be pulse-rated to 5,000 volts. Fixtures indicated as classified or rated for hazardous locations or special service shall be designed and independently tested for the environment in which they are installed. Recessed lens fixtures shall have extruded aluminum lens frames. Ballasts shall be integral to fixtures and shall be accessible without the use of special tools. Remote ballasts shall be encased and potted. Lamps shall be shielded from direct view with a UV absorbing material such as tempered glass, and shall be circuited through a cut-off switch which will shut off the lamp circuit if the lens is not in place.
- bd. Emergency lighting fixtures and accessories shall be constructed and independently tested to meet the requirements of applicable codes. Batteries shall be Nicad or equal with no required maintenance, and shall have a minimum life expectancy of five years and warranty period of three years.

2.15 NOT USED

2.16 INSTRUMENTS, ELECTRICAL INDICATING

ANSI C39.1.

2.17 MOTORS, AC, FRACTIONAL AND INTEGRAL

Motors, ac, fractional and integral horsepower, 500 hp and smaller shall conform to NEMA MG 1 and UL 1004 for motors; NEMA MG 10 for energy management selection of polyphase motors; and UL 674 for use of motors in hazardous (classified) locations. In addition to the standards listed above, motors shall be provided with efficiencies as specified in the table "MINIMUM NOMINAL EFFICIENCIES" below.

2.17.1 Rating

The horsepower rating of motors should be limited to no more than 125 percent of the maximum load being served unless a NEMA standard size does not fall within this range. In this case, the next larger NEMA standard motor size should be used.

2.17.2 Motor Efficiencies

All permanently wired polyphase motors of 1 hp or more shall meet the minimum full-load efficiencies as indicated in the following table, and as specified in this specification. Motors of 1 hp or more with open, drip proof or totally enclosed fan cooled enclosures shall be high efficiency type, unless otherwise indicated. Motor efficiencies indicated in the tables apply to general-purpose, single-speed, polyphase induction motors. Applications which require definite purpose, special purpose, special frame, or special mounted polyphase induction motors are excluded from these efficiency requirements. Motors provided as an integral part of motor driven equipment are excluded from this requirement if a minimum seasonal or overall efficiency requirement is indicated for that equipment by the provisions of another section.

MINIMUM NOMINAL MOTOR EFFICIENCIES
OPEN DRIP PROOF MOTORS

<u>HP</u>	<u>1200 RPM</u>	<u>1800 RPM</u>	<u>3600 RPM</u>
1	82.5	85.5	80.0
1.5	86.5	86.5	85.5
2	87.5	86.5	86.5
3	89.5	89.5	86.5
5	89.5	89.5	89.5
7.5	91.7	91.0	89.5
10	91.7	91.7	90.2
15	92.4	93.0	91.0
20	92.4	93.0	92.4
25	93.0	93.6	93.0
30	93.6	93.6	93.0
40	94.1	94.1	93.6
50	94.1	94.5	93.6
60	95.0	95.0	94.1
75	95.0	95.0	94.5
100	95.0	95.4	94.5
125	95.4	95.4	95.0
150	95.8	95.8	95.4
200	95.4	95.8	95.4
250	95.4	96.2	95.8
300	95.4	95.0	95.4
350	94.5	95.4	95.0
400	94.1	95.8	95.0
450	94.5	95.4	95.4
500	94.5	94.5	94.5

2.18 MOTOR CONTROLS AND MOTOR CONTROL CENTERS

2.18.1 General

NEMA ICS 1, NEMA ICS 2, NEMA ICS 3 and NEMA ICS 6, and UL 508 and UL 845. Panelboards supplying non-linear loads shall have neutrals sized for 200 percent of rated current.

2.18.2 Motor Starters

Combination starters shall be provided with circuit breakers.

2.18.3 Thermal-Overload Protection

Each motor of 1/8 hp or larger shall be provided with thermal-overload protection. Polyphase motors shall have overload protection in each ungrounded conductor. The overload-protection device shall be provided either integral with the motor or controller, or shall be mounted in a separate enclosure. Unless otherwise specified, the protective device shall be of the manually reset type. Single or double pole tumbler switches specifically designed for alternating-current operation only may be used as manual controllers for single-phase motors having a current rating not in excess of 80 percent of the switch rating.

2.18.4 Low-Voltage Motor Overload Relays

2.18.4.1 General

Thermal and magnetic current overload relays shall conform to NEMA ICS 2 and UL 508. Overload protection shall be provided either integral with the motor or motor controller, and shall be rated in accordance with the requirements of NFPA 70. Standard units shall be used for motor starting times up to 7 seconds. Slow units shall be used for motor starting times from 8 to 12 seconds. Quick trip units shall be used on hermetically sealed, submersible pumps, and similar motors.

2.18.4.2 Construction

Manual reset type thermal relay shall be bimetallic construction. Automatic reset type thermal relays shall be bimetallic construction. Magnetic current relays shall consist of a contact mechanism and a dash pot mounted on a common frame.

2.18.4.3 Ratings

Voltage ratings shall be not less than the applicable circuit voltage. Trip current ratings shall be established by selection of the replaceable overload device and shall not be adjustable. Where the controller is remotely-located or difficult to reach, an automatic reset, non-compensated overload relay shall be provided. Manual reset overload relays shall be provided otherwise, and at all locations where automatic starting is provided. Where the motor is located in a constant ambient temperature, and the thermal device is located in an ambient temperature that regularly varies by more than minus 18 degrees F, an ambient temperature-compensated overload relay shall be provided.

2.18.5 Automatic Control Devices

2.18.5.1 Direct Control

Automatic control devices (such as thermostats, float or pressure switches) which control the starting and stopping of motors directly shall be designed for that purpose and have an adequate horsepower rating.

2.18.5.2 Pilot-Relay Control

Where the automatic-control device does not have such a rating, a magnetic starter shall be used, with the automatic-control device actuating the pilot-control circuit.

2.18.5.3 Manual/Automatic Selection

- a. Where combination manual and automatic control is specified and the automatic-control device operates the motor directly, a double-throw, three-position tumbler or rotary switch (marked MANUAL-OFF-AUTOMATIC) shall be provided for the manual control.
- b. Where combination manual and automatic control is specified and the automatic-control device actuates the pilot control circuit of a magnetic starter, the magnetic starter shall be provided with a three-position selector switch marked MANUAL-OFF-AUTOMATIC.
- c. Connections to the selector switch shall be such that; only the normal automatic regulatory control devices will be bypassed when the switch is in the Manual position; all safety control devices, such as low-or high-pressure cutouts, high-temperature cutouts, and motor-overload protective devices, shall be connected in the motor-control circuit in both the Manual and the Automatic positions of the selector switch. Control circuit connections to any MANUAL-OFF-AUTOMATIC switch or to more than one automatic regulatory control device shall be made in accordance with wiring diagram approved by the Contracting Officer unless such diagram is included on the drawings. All controls shall be 120 volts or less unless otherwise indicated.

2.18.6 Motor Control Centers

Control centers shall conform to the requirements of NEMA ICS 1, NEMA ICS 2, NEMA ICS 3 and NEMA ICS 6, and UL 508 and UL 845. Control centers shall be indoor type and shall contain combination starters and other equipment as indicated. Control centers shall be NEMA ICS 2, Class I Type B. Each control center shall be mounted on floor sills or mounting channels. Each circuit shall have a suitable metal or laminated plastic nameplate with white cut letters. Motor control centers shall be provided with a full-length ground bus bar.

2.19 PANELBOARDS

Dead-front construction, NEMA PB 1 and UL 67.

2.20 RECEPTACLES

2.20.1 Not Used

2.20.2 Heavy Duty Grade

NEMA WD 1. Devices shall conform to all requirements for heavy duty receptacles.

2.20.3 Standard Grade

2.20.4 Ground Fault Interrupters

UL 943, Class A or B.

2.20.5 Not Used

2.20.6 NEMA Standard Receptacle Configurations

NEMA WD 6.

- a. Duplex, 20-Ampere, 125 Volt

20-ampere, non-locking:

2.21 Service Entrance Equipment

UL 869A.

2.22 SPLICE, CONDUCTOR

UL 486C.

2.23 NOT USED

2.24 SNAP SWITCHES

UL 20.

2.25 TAPES

2.25.1 Plastic Tape

UL 510.

2.25.2 Rubber Tape

UL 510.

2.26 TRANSFORMERS

Single- and three-phase transformers shall have two windings per phase. Full-capacity standard NEMA taps shall be provided in the primary windings of transformers unless otherwise indicated. Three-phase transformers shall be configured with delta-wye windings, except as indicated. "T" connections may be used for transformers rated 15 kVA or below. Transformers supplying non-linear loads shall be UL listed as suitable for supplying such loads with a total K-factor not to exceed K-9.

2.26.1 Transformers, Dry-Type

Transformers shall have 220 degrees C insulation system for transformers 15 kVA and greater, and shall have 180 degrees C insulation system for transformers rated 10 kVA and less, with temperature rise not exceeding 150 degrees C under full-rated load in maximum ambient temperature of 40 degrees C. Transformer of 150 degrees C temperature rise shall be capable of carrying continuously 100 percent of nameplate kVA without exceeding insulation rating.

2.26.2 Not Used

2.26.3 Average Sound Level

The average sound level in decibels (dB) of transformers shall not exceed

the following dB level at 12 inches for the applicable kVA rating range listed unless otherwise indicated:

kVA Range	dB Sound Level
1-50	50
51-150	55
151-300	58
301-500	60
501-700	62
701-1000	64
1001-1500	65
1501 & above	70

2.27 ISOLATED POWER SYSTEM EQUIPMENT

UL 1047, with monitor UL 1022.

2.28 NOT USED

2.29 NOT USED

2.30 INSTRUMENT TRANSFORMERS

2.30.1 General

Instrument transformers shall comply with ANSI C12.11 and IEEE C57.13. Instrument transformers shall be configured for mounting in/on the device to which they are applied. Polarity marks on instrument transformers shall be visually evident and shown on drawings.

2.30.2 Current Transformers

Unless otherwise indicated, bar, wound, or window-type transformers are acceptable; and except for window-type units installed over insulated buses, transformers shall have a BIL rating consistent with the rated BIL of the associated switchgear or electric power apparatus bushings, buses or conductors. Current transformers shall have the indicated ratios. The continuous thermal-current rating factor shall be not less than 2.0. Other thermal and mechanical ratings of current transformer and their primary leads shall be coordinated with the design of the circuit breaker and shall be not less than the momentary rating of the associated circuit breaker. Circuit protectors shall be provided across secondary leads of the current transformers to prevent the accidental open-circuiting of the transformers while energized. Each terminal of each current transformer shall be connected to a short-circuiting terminal block in the circuit interrupting mechanism cabinet, power transformer terminal cabinet, and in the associated instrument and relay cabinets.

2.30.2.1 Not Used

2.30.2.2 Not Used

2.30.2.3 Not Used

2.30.2.4 Current Transformers for kWh and Demand Metering (Low Voltage)

Current transformers shall conform to IEEE C57.13. Provide current transformers with a metering accuracy Class of 0.3 through B-0.5, with a

minimum RF of 2 at 30 degrees C, with 600-volt insulation, and 10 kV BIL. Provide butyl-molded, window-type current transformers mounted on the transformer low-voltage bushings. Route current transformer leads in a location as remote as possible from the power transformer secondary cables to permit current measurements to be taken with hook-on-ammeters.

2.30.2.5 Voltage Transformers

Voltage transformers shall have indicated ratios. Units shall have an accuracy class rating of 0.3. Voltage transformers shall be of the drawout type having current-limiting fuses in both primary and secondary circuits. Mechanical interlocks shall prevent removal of fuses, unless the associated voltage transformer is in a drawout position. Voltage transformer compartments shall have hinged doors.

2.31 WIRING DEVICES

NEMA WD 1 for wiring devices, and NEMA WD 6 for dimensional requirements of wiring devices.

2.32 Not Used

2.33 COORDINATED POWER SYSTEM PROTECTION

Analyses shall be prepared to demonstrate that the equipment and system constructed meet the specified requirements for equipment ratings, coordination, and protection. They shall include a load flow analysis, a fault current analysis, and protective device coordination study. The studies shall be performed by a registered professional engineer with demonstrated experience in power system coordination in the last three years. The Contractor shall provide a list of references complete with points of contact, addresses and telephone numbers. The selection of the engineer is subject to the approval of the Contracting Officer.

2.33.1 Scope of Analyses

The fault current analysis, and protective device coordination study shall begin at: [the nearest upstream device in the existing source system and extend through the downstream devices at the load end.

2.33.2 Determination of Facts

The time-current characteristics, features, and nameplate data for each existing protective device shall be determined and documented. The Contractor shall coordinate with the commercial power company (Valley Electric Company; Mr. Larry Tade, Phone # (800) 877-2157 or (406) 228-9351 for fault current availability at the site. The Contractor shall utilize the fault current availability indicated as a basis for fault current studies.

2.33.3 Single Line Diagram

A single line diagram shall be prepared to show the electrical system buses, devices, transformation points, and all sources of fault current (including generator and motor contributions). A fault-impedance diagram or a computer analysis diagram may be provided. Each bus, device or transformation point shall have a unique identifier. If a fault-impedance diagram is provide, impedance data shall be shown. Locations of switches, breakers, and circuit interrupting devices shall be shown on the diagram together with available fault data, and the device interrupting rating.

2.33.4 Fault Current Analysis

2.33.4.1 Method

The fault current analysis shall be performed in accordance with methods described in IEEE Std 242, and IEEE Std 399.

2.33.4.2 Data

Actual data shall be utilized in fault calculations. Bus characteristics and transformer impedances shall be those proposed. Data shall be documented in the report.

2.33.4.3 Fault Current Availability

Balanced three-phase fault, bolted line-to-line fault, and line-to-ground fault current values shall be provided at each voltage transformation point and at each power distribution bus. The maximum and minimum values of fault available at each location shall be shown in tabular form on the diagram or in the report.

2.33.5 Coordination Study

The study shall demonstrate that the maximum possible degree of selectivity has been obtained between devices specified, consistent with protection of equipment and conductors from damage from overloads and fault conditions. The study shall include a description of the coordination of the protective devices in this project. Provide a written narrative that describes: which devices may operate in the event of a fault at each bus; the logic used to arrive at device ratings and settings; situation where system coordination is not achievable due to device limitations (an analysis of any device curves which order overlap); coordination between upstream and downstream devices; and relay settings. Recommendations to improve or enhance system reliability, and detail where such changes would involve additions or modifications to the contract and cost changes (addition or reduction) shall be provided. Composite coordination plots shall be provided on log-log graph paper.

2.33.6 Study Report

- a. The report shall include a narrative: the analyses performed; the bases and methods used; and the desired method of coordinated protection of the power system.
- b. The study shall include descriptive and technical data for existing devices and new protective devices proposed. The data shall include manufacturers published data, nameplate data, and definition of the fixed or adjustable features of the existing or new protective devices.
- c. The report shall document utility company data including system voltages, fault MVA, system X/R ratio, time-current characteristic curves, current transformer ratios, and relay device curves and protective device ratings and settings.
- d. The report shall contain fully coordinated composite time-current characteristic curves for each bus in the system, as required to ensure coordinated power system protection between protective

devices or equipment. The report shall include recommended ratings and settings of all protective devices in tabulated form.

- e. The report shall provide the calculations performed for the analyses, including computer analysis programs utilized. The name of the software package, developer, and version number shall be provided.

PART 3 EXECUTION

3.1 GROUNDING

Grounding shall be in conformance with NFPA 70, the contract drawings, and the following specifications.

3.1.1 Ground Rods

The resistance to ground shall be measured using the fall-of-potential method described in IEEE Std 81. The maximum resistance of a driven ground shall not exceed 25 ohms under normally dry conditions. If this resistance cannot be obtained with a single rod, two (2) additional rods not less than 6 feet on centers, or if sectional type rods are used, two (2) additional sections may be coupled and driven with the first rod. In high-ground-resistance, UL listed chemically charged ground rods may be used. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, the Contracting Officer shall be notified immediately. Connections below grade shall be fusion welded. Connections above grade shall be fusion welded or shall use UL 467 approved connectors.

3.1.2 Ground Bus

Ground bus shall be provided in the electrical pumphouse as indicated. Noncurrent-carrying metal parts of transformer neutrals and other electrical and electrical equipment shall be effectively grounded by bonding to the ground bus. The ground bus shall be bonded to both the entrance ground, and to a ground rod or rods as specified above having the upper ends terminating approximately 4 inches above the floor. Connections and splices shall be of the brazed, welded, bolted, or pressure-connector type, except that pressure connectors or bolted connections shall be used for connections to removable equipment. Connections shall be bolted type in lieu of thermoweld, so they can be changed as required by additions and/or alterations.

3.1.3 Grounding Conductors

A green equipment grounding conductor, sized in accordance with NFPA 70 shall be provided, regardless of the type of conduit. Equipment grounding bars shall be provided in all panelboards. The equipment grounding conductor shall be carried back to the service entrance grounding connection or separately derived grounding connection. All equipment grounding conductors, including metallic raceway systems used as such, shall be bonded or joined together in each wiring box or equipment enclosure. Metallic raceways and grounding conductors shall be checked to assure that they are wired or bonded into a common junction. Metallic boxes and enclosures, if used, shall also be bonded to these grounding conductors by an approved means per NFPA 70. When switches, or other utilization devices are installed, any designated grounding terminal on these devices shall also be bonded to the equipment grounding conductor junction with a short jumper.

3.2 WIRING METHODS

Wiring shall conform to NFPA 70, the contract drawings, and the following specifications. Unless otherwise indicated, wiring shall consist of insulated conductors installed in rigid aluminum conduit; rigid zinc-coated steel conduit; electrical metallic tubing; or intermediate metal conduit. Wire fill in conduits shall be based on NFPA 70 for the type of conduit and wire insulations specified.

3.2.1 Conduit and Tubing Systems

Conduit and tubing systems shall be installed as indicated. Conduit sizes shown are based on use of copper conductors with insulation types as described in paragraph WIRING METHODS. Minimum size of raceways shall be 1/2 inch. Only metal conduits will be permitted when conduits are required for shielding or other special purposes indicated, or when required by conformance to NFPA 70. Electrical metallic tubing (EMT) may be installed only within buildings. EMT may be installed in concrete and grout in dry locations. EMT installed in concrete or grout shall be provided with concrete tight fittings. EMT shall not be installed in damp or wet locations, or the air space of exterior masonry cavity walls. Bushings, manufactured fittings or boxes providing equivalent means of protection shall be installed on the ends of all conduits and shall be of the insulating type, where required by NFPA 70. Only UL listed adapters shall be used to connect EMT to rigid metal conduit, cast boxes, and conduit bodies. Aluminum conduit may be used only where installed exposed in dry locations. Nonaluminum sleeves shall be used where aluminum conduit passes through concrete floors and firewalls. Except as otherwise specified, IMC may be used as an option for rigid steel conduit in areas as permitted by NFPA 70. Raceways crossing structural expansion joints or seismic joints shall be provided with suitable expansion fittings or other suitable means to compensate for the building expansion and contraction and to provide for continuity of grounding. Wiring installed in pumphouse pit damp locations.

3.2.1.1 Not Used

3.2.1.2 Conduit Stub-Ups

Where conduits are to be stubbed up through concrete floors, a short elbow shall be installed below grade to transition from the horizontal run of conduit to a vertical run. A conduit coupling fitting, threaded on the inside shall be installed, to allow terminating the conduit flush with the finished floor. Wiring shall be extended in rigid threaded conduit to equipment, except that where required, flexible conduit may be used 6 inches above the floor. Empty or spare conduit stub-ups shall be plugged flush with the finished floor with a threaded, recessed plug.

3.2.1.3 Below Slab-on-Grade or in the Ground

Electrical wiring below slab-on-grade shall be protected by a conduit system. Conduit passing vertically through slabs-on-grade shall be rigid steel or IMC. Rigid steel or IMC conduits installed below slab-on-grade or in the earth shall be field wrapped with 0.010 inch thick pipe-wrapping plastic tape applied with a 50 percent overlay, or shall have a factory-applied polyvinyl chloride, plastic resin, or epoxy coating system.

3.2.1.4 Installing in Slabs Including Slabs on Grade

Conduit installed in slabs-on-grade shall be rigid steel or IMC. Conduits shall be installed as close to the middle of concrete slabs as practicable without disturbing the reinforcement. Outside diameter shall not exceed 1/3 of the slab thickness and conduits shall be spaced not closer than 3 diameters on centers except at cabinet locations where the slab thickness shall be increased as approved by the Contracting Officer. Where conduit is run parallel to reinforcing steel, the conduit shall be spaced a minimum of one conduit diameter away but not less than one inch from the reinforcing steel.

3.2.1.5 Changes in Direction of Runs

Changes in direction of runs shall be made with symmetrical bends or cast-metal fittings. Field-made bends and offsets shall be made with an approved hickey or conduit-bending machine. Crushed or deformed raceways shall not be installed. Trapped raceways in damp and wet locations shall be avoided where possible. Lodgment of plaster, dirt, or trash in raceways, boxes, fittings and equipment shall be prevented during the course of construction. Clogged raceways shall be cleared of obstructions or shall be replaced.

3.2.1.6 Supports

Metallic conduits and tubing, and the support system to which they are attached, shall be securely and rigidly fastened in place to prevent vertical and horizontal movement at intervals of not more than 10 feet and within 3 feet of boxes, cabinets, and fittings, with approved pipe straps, wall brackets, conduit clamps, conduit hangers, threaded C-clamps, beam clamps, or ceiling trapeze. Loads and supports shall be coordinated with supporting structure to prevent damage or deformation to the structure. Loads shall not be applied to joist bridging. Attachment shall be by wood screws or screw-type nails to wood; by toggle bolts on hollow masonry units; by expansion bolts on concrete or brick; by machine screws, welded threaded studs, heat-treated or spring-steel-tension clamps on steel work. Nail-type nylon anchors or threaded studs driven in by a powder charge and provided with lock washers and nuts may be used in lieu of expansion bolts or machine screws. Raceways or pipe straps shall not be welded to steel structures. Cutting the main reinforcing bars in reinforced concrete beams or joists shall be avoided when drilling holes for support anchors. Holes drilled for support anchors, but not used, shall be filled. In partitions of light steel construction, sheet-metal screws may be used. Raceways shall not be supported using wire or nylon ties. Raceways shall be independently supported from the structure. Upper raceways shall not be used as a means of support for lower raceways. Supporting means shall not be shared between electrical raceways and mechanical piping or ducts. Cables and raceways shall not be supported by ceiling grids. Except where permitted by NFPA 70, wiring shall not be supported by ceiling support systems. Conduits shall be fastened to sheet-metal boxes and cabinets with two locknuts where required by NFPA 70, where insulating bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, a single locknut and bushing may be used. Threadless fittings for electrical metallic tubing shall be of a type approved for the conditions encountered. Additional support for horizontal runs is not required when EMT rests on steel stud cutouts.

3.2.1.7 Exposed Raceways

Exposed raceways shall be installed parallel or perpendicular to walls, structural members, or intersections of vertical planes and ceilings.

Raceways under raised floors and above accessible ceilings shall be considered as exposed installations in accordance with NFPA 70 definitions.

3.2.2 Not Used

3.2.3 Not Used

3.2.4 Cables and Conductors

Installation shall conform to the requirements of NFPA 70. Covered, bare or insulated conductors of circuits rated over 600 volts shall not occupy the same equipment wiring enclosure, cable, or raceway with conductors of circuits rated 600 volts or less.

3.2.4.1 Sizing

Unless otherwise noted, all sizes are based on copper conductors and the insulation types indicated. Sizes shall be not less than indicated. Branch-circuit conductors shall be not smaller than No. 12 AWG. Conductors for branch circuits of 120 volts more than 100 feet long and of 277 volts more than 230 feet long, from panel to load center, shall be no smaller than No. 10 AWG. Class 1 remote control and signal circuit conductors shall be not less than No. 14 AWG. Class 2 remote control and signal circuit conductors shall be not less than No. 16 AWG. Class 3 low-energy, remote-control and signal circuits shall be not less than No. 22 AWG.

3.2.4.2 Use of Aluminum Conductors in Lieu of Copper

Aluminum conductors shall not be used.

3.2.4.3 Not Used

3.2.4.4 Not Used

3.2.4.5 Cable Splicing

Splices shall be made in an accessible location. Crimping tools and dies shall be approved by the connector manufacturer for use with the type of connector and conductor.

- a. Copper Conductors, 600 Volt and Under: Splices in conductors No. 10 AWG and smaller diameter shall be made with an insulated, pressure-type connector. Splices in conductors No. 8 AWG and larger diameter shall be made with a solderless connector and insulated with tape or heat-shrink type insulating material equivalent to the conductor insulation.

3.2.4.6 Conductor Identification and Tagging

Power, control, and signal circuit conductor identification shall be provided within each enclosure where a tap, splice, or termination is made.

Where several feeders pass through a common pull box, the feeders shall be tagged to indicate clearly the electrical characteristics, circuit number, and panel designation. Phase conductors of low voltage power circuits shall be identified by color coding. Phase identification by a particular color shall be maintained continuously for the length of a circuit, including junctions.

- a. Color coding shall be provided for service, feeder, branch, and

ground conductors. Color shall be green for grounding conductors and white for neutrals; except where neutrals of more than one system are installed in the same raceway or box, other neutral shall be white with colored (not green) stripe. The color coding for 3-phase and single-phase low voltage systems shall be as follows:

120/208-volt, 3-phase: Black(A), red(B), and blue(C).

277/480-volt, 3-phase: Brown(A), orange(B), and yellow(C).

- b. Conductor phase and voltage identification shall be made by color-coded insulation for all conductors smaller than No. 6 AWG. For conductors No. 6 AWG and larger, identification shall be made by color-coded insulation, or conductors with black insulation may be furnished and identified by the use of half-lapped bands of colored electrical tape wrapped around the insulation for a minimum of 3 inches of length near the end, or other method as submitted by the Contractor and approved by the Contracting Officer.
- c. Control and signal circuit conductor identification shall be made by color-coded insulated conductors, plastic-coated self-sticking printed markers, permanently attached stamped metal foil markers, or equivalent means as approved. Control circuit terminals of equipment shall be properly identified. Terminal and conductor identification shall match that shown on approved detail drawings. Hand lettering or marking is not acceptable.

3.3 BOXES AND SUPPORTS

Boxes shall be provided in the wiring or raceway systems where required by NFPA 70 for pulling of wires, making connections, and mounting of devices or fixtures. Pull boxes shall be furnished with screw-fastened covers. Indicated elevations are approximate. Unless otherwise indicated, boxes for wall switches shall be mounted 48 inches above finished floors. Switch and outlet boxes located on opposite sides of fire rated walls shall be separated by a minimum horizontal distance of 24 inches. The total combined area of all box openings in fire rated walls shall not exceed 100 square inches per 100 square feet. Maximum box areas for individual boxes in fire rated walls vary with the manufacturer and shall not exceed the maximum specified for that box in UL Elec Const Dir. Only boxes listed in UL Elec Const Dir shall be used in fire rated walls.

3.3.1 Box Applications

Each box shall have not less than the volume required by NFPA 70 for number of conductors enclosed in box. Boxes for metallic raceways shall be listed for the intended use when located in normally wet locations, when flush or surface mounted on outside of exterior surfaces. Boxes installed in wet locations and boxes installed flush with the outside of exterior surfaces shall be gasketed. Boxes for mounting lighting fixtures shall be not less than 4 inches square, or octagonal, except smaller boxes may be installed as required by fixture configuration, as approved. Cast-metal boxes with 3/32 inch wall thickness are acceptable. Large size boxes shall be NEMA 1.

Boxes in other locations shall be sheet steel except that aluminum boxes may be used with aluminum conduit. Boxes for use in masonry-block or tile walls shall be square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers.

3.3.2 Brackets and Fasteners

Boxes and supports shall be fastened to wood with wood screws or screw-type nails of equal holding strength, with bolts and metal expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screw or welded studs on steel work. Threaded studs driven in by powder charge and provided with lockwashers and nuts, or nail-type nylon anchors may be used in lieu of expansion shields, or machine screws. Penetration of more than 1-1/2 inches into reinforced-concrete beams or more than 3/4 inch into reinforced-concrete joists shall avoid cutting any main reinforcing steel. In partitions of light steel construction, bar hangers with 1 inch long studs, mounted between metal wall studs or metal box mounting brackets shall be used to secure boxes to the building structure. When metal box mounting brackets are used, additional box support shall be provided on the side of the box opposite the brackets. This additional box support shall consist of a minimum 12 inch long section of wall stud, bracketed to the opposite side of the box and secured by two screws through the wallboard on each side of the stud. Metal screws may be used in lieu of the metal box mounting brackets.

3.3.3 Mounting in Walls, Ceilings, or Recessed Locations

In walls or ceilings of concrete, tile, or other non-combustible material, boxes shall be installed so that the edge of the box is not recessed more than 1/4 inch from the finished surface. Boxes mounted in combustible walls or ceiling material shall be mounted flush with the finished surface.

Boxes installed for concealed wiring shall be provided with suitable extension rings or plaster covers, as required. The bottom of boxes installed in masonry-block walls for concealed wiring shall be mounted flush with the top of a block to minimize cutting of the blocks, and boxes shall be located horizontally to avoid cutting webs of block. Separate boxes shall be provided for flush or recessed fixtures when required by the fixture terminal operating temperature, and fixtures shall be readily removable for access to the boxes unless ceiling access panels are provided.

3.3.4 Installation in Overhead Spaces

In open overhead spaces, cast-metal boxes threaded to raceways need not be separately supported except where used for fixture support; cast-metal boxes having threadless connectors and sheet metal boxes shall be supported directly from the building structure or by bar hangers. Hangers shall not be fastened to or supported from joist bridging. Where bar hangers are used, the bar shall be attached to raceways on opposite sides of the box and the raceway shall be supported with an approved type fastener not more than 24 inches from the box.

3.4 DEVICE PLATES

One-piece type device plates shall be provided for all outlets and fittings. Plates on unfinished walls and on fittings shall be of zinc-coated sheet steel, cast-metal, or impact resistant plastic having rounded or beveled edges. Plates on finished walls shall be of steel with baked enamel finish or impact-resistant plastic and shall be ivory. Screws shall be of metal with countersunk heads, in a color to match the finish of the plate. Plates shall be installed with all four edges in continuous contact with finished wall surfaces without the use of mats or similar devices. Plaster fillings will not be permitted. Plates shall be installed with an alignment tolerance of 1/16 inch. The use of sectional-type

device plates will not be permitted. Plates installed in wet locations shall be gasketed and provided with a hinged, gasketed cover, unless otherwise specified.

3.5 RECEPTACLES

3.5.1 Duplex, 20-ampere, 125 volt

Duplex receptacles shall be rated 20 amperes, 125 volts, two-pole, three-wire, grounding type with polarized parallel slots. Bodies shall be of ivory to match color of switch handles in the same room or to harmonize with the color of the respective wall, and supported by mounting strap having plaster ears. Contact arrangement shall be such that contact is made on two sides of an inserted blade. Receptacle shall be side- or back-wired with two screws per terminal. The third grounding pole shall be connected to the metal mounting yoke. Switched receptacles shall be the same as other receptacles specified except that the ungrounded pole of each suitable receptacle shall be provided with a separate terminal. Only the top receptacle of a duplex receptacle shall be wired for switching application. Receptacles with ground fault circuit interrupters shall have the current rating as indicated, and shall be UL Class A type unless otherwise shown. Ground fault circuit protection shall be provided as required by NFPA 70 and as indicated on the drawings.

3.5.2 Not Used

3.5.3 Not Used

3.5.4 Weatherproof Applications

Weatherproof receptacles shall be suitable for the environment, damp or wet as applicable, and the housings shall be labeled to identify the allowable use. Receptacles shall be marked in accordance with UL 514A for the type of use indicated; "Damp locations", "Wet Locations", "Wet Location Only When Cover Closed". Assemblies shall be installed in accordance with the manufacturer's recommendations.

3.5.4.1 Damp Locations

Receptacles in damp locations shall be mounted in an outlet box with a gasketed, weatherproof, cast-metal cover plate (device plate, box cover) and a gasketed cap (hood, receptacle cover) over each receptacle opening. The cap shall be either a screw-on type permanently attached to the cover plate by a short length of bead chain or shall be a flap type attached to the cover with a spring loaded hinge.

3.5.4.2 Wet Locations

Receptacles in wet locations shall be installed in an assembly rated for such use whether the plug is inserted or withdrawn, unless otherwise indicated. In a duplex installation, the receptacle cover shall be configured to shield the connections whether one or both receptacles are in use. [Assemblies which utilize a self-sealing boot or gasket to maintain wet location rating shall be furnished with a compatible plug at each receptacle location and a sign notifying the user that only plugs intended for use with the sealing boot shall be connected during wet conditions].

3.6 WALL SWITCHES

Wall switches shall be of the totally enclosed tumbler type. The wall switch handle and switch plate color shall be ivory. Wiring terminals shall be of the screw type or of the solderless pressure type having suitable conductor-release arrangement. Not more than one switch shall be installed in a single-gang position. Switches shall be rated 20-ampere 120-volt for use on alternating current only. Pilot lights indicated shall consist of yoke-mounted candelabra-base sockets rated at 75 watts, 125 volts, and fitted with glass or plastic jewels. A clear 6-watt lamp shall be furnished and installed in each pilot switch. Jewels for use with switches controlling motors shall be green, and jewels for other purposes shall be red. Dimming switches shall be solid-state flush mounted, sized for the loads.

3.7 SERVICE EQUIPMENT

Service-disconnecting means shall be of the enclosed molded-case circuit breaker type with an external handle for manual operation. When service disconnecting means is a part of an assembly, the assembly shall be listed as suitable for service entrance equipment. Enclosures shall be sheet metal with hinged cover for surface mounting unless otherwise indicated.

3.8 PANELBOARDS

Circuit breakers and switches used as a motor disconnecting means shall be capable of being locked in the open position. Door locks shall be keyed alike. Nameplates shall be as approved. Directories shall be typed to indicate loads served by each circuit and mounted in a holder behind a clear protective covering. Busses shall be copper only. Aluminum is not acceptable.

3.8.1 Not Used

3.8.2 Panelboards

Panelboards shall be circuit breaker equipped as indicated on the drawings.

3.9 NOT USED

3.10 UNDERGROUND SERVICE

Unless otherwise indicated, interior conduit systems shall be stubbed out 5 feet beyond the building wall and 2 feet below finished grade, for interface with the exterior service lateral conduits and exterior communications conduit. Outside conduit ends shall be bushed when used for direct burial service lateral conductors. Outside conduit ends shall be capped or plugged until connected to exterior conduit systems. Underground service lateral conductors will be extended to building service entrance and terminated in accordance with the requirements of Section 16375A ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND and NFPA 70.

3.11 NOT USED

3.12 MOTORS

Each motor shall conform to the hp and voltage ratings indicated, and shall have a service factor and other characteristics that are essential to the proper application and performance of the motors under conditions shown or specified. Three-phase motors for use on 3-phase 208-volt systems shall

have a nameplate rating of 200 volts. Unless otherwise specified, all motors shall have open frames, and continuous-duty classification based on a 40 degree C ambient temperature reference. Polyphase motors shall be squirrel-cage type, having normal-starting-torque and low-starting-current characteristics, unless other characteristics are specified in other sections of these specifications or shown on contract drawings. The Contractor shall be responsible for selecting the actual horsepower ratings and other motor requirements necessary for the applications indicated. When electrically driven equipment furnished under other sections of these specifications materially differs from the design, the Contractor shall make the necessary adjustments to the wiring, disconnect devices and branch-circuit protection to accommodate the equipment actually installed.

3.13 MOTOR CONTROL

Each motor or group of motors requiring a single control shall be provided under other sections of these specifications with a suitable controller and devices that will perform the functions as specified for the respective motors. Each motor of 1/8 hp or larger shall be provided with thermal-overload protection. Polyphase motors shall have overload protection in each ungrounded conductor. The overload-protection device shall be provided either integral with the motor or controller, or shall be mounted in a separate enclosure. Unless otherwise specified, the protective device shall be of the manually reset type. Single or double pole tumbler switches specifically designed for alternating-current operation only may be used as manual controllers for single-phase motors having a current rating not in excess of 80 percent of the switch rating. Automatic control devices such as thermostats, float or pressure switches may control the starting and stopping of motors directly, provided the devices used are designed for that purpose and have an adequate horsepower rating. When the automatic-control device does not have such a rating, a magnetic starter shall be used, with the automatic-control device actuating the pilot-control circuit. When combination manual and automatic control is specified and the automatic-control device operates the motor directly, a double-throw, three-position tumbler or rotary switch shall be provided for the manual control; when the automatic-control device actuates the pilot control circuit of a magnetic starter, the latter shall be provided with a three-position selector switch marked MANUAL-OFF-AUTOMATIC. Connections to the selector switch shall be such that only the normal automatic regulatory control devices will be bypassed when the switch is in the Manual position; all safety control devices, such as low- or high-pressure cutouts, high-temperature cutouts, and motor-overload protective devices, shall be connected in the motor-control circuit in both the Manual and the Automatic positions of the selector switch. Control circuit connections to any MANUAL-OFF-AUTOMATIC switch or to more than one automatic regulatory control device shall be made in accordance with wiring diagram approved by the Contracting Officer unless such diagram is included on the drawings. All controls shall be 120 volts or less unless otherwise indicated.

3.13.1 Not Used

3.13.2 Motor Control Centers

Control centers shall be indoor type and shall contain combination starters and other equipment as indicated. Control centers shall be NEMA ICS 2, Class I, Type B. Each control center shall be mounted on floor sills or mounting channels. Each circuit shall have a suitable metal or laminated plastic nameplate with white cut letters. Combination starters shall be

provided with circuit breakers. Motor control centers shall be provided with a full-length ground bus bar.

3.13.3 Contacts

Unless otherwise indicated, contacts in miscellaneous control devices such as float switches, pressure switches, and auxiliary relays shall have current and voltage ratings in accordance with NEMA ICS 2 for rating designation B300.

3.13.4 Not Used

3.14 MOTOR-DISCONNECT MEANS

Each motor shall be provided with a disconnecting means when required by NFPA 70 even though not indicated. For single-phase motors, a single or double pole toggle switch, rated only for alternating current, will be acceptable for capacities less than 30 amperes, provided the ampere rating of the switch is at least 125 percent of the motor rating. Switches shall disconnect all ungrounded conductors.

3.15 TRANSFORMER INSTALLATION

Three-phase transformers shall be connected only in a delta-wye or wye-delta configuration as indicated [except isolation transformers having a one-to-one turns ratio]. "T" connections may be used for transformers rated at 15 kVA or below. Transformers to be located within the building may be provided in the manufacturer's standard, ventilated indoor enclosure designed for use in 40 degrees C ambient temperature, unless otherwise indicated.

3.16 LIGHTING FIXTURES, LAMPS AND BALLASTS

This paragraph shall cover the installation of lamps, lighting fixtures and ballasts in interior or building mounted applications.

3.16.1 Lamps

Lamps of the type, wattage, and voltage rating indicated shall be delivered to the project in the original cartons and installed just prior to project completion. Lamps installed and used for working light during construction shall be replaced prior to turnover to the Government if more than 15% of their rated life has been used. Lamps shall be tested for proper operation prior to turn-over and shall be replaced if necessary with new lamps from the original manufacturer. 10% spare lamps of each type, from the original manufacturer, shall be provided.

3.16.2 Lighting Fixtures

Fixtures shall be as shown and shall conform to the following specifications and shall be as detailed on the drawings. Illustrations shown on the drawings are indicative of the general type desired and are not intended to restrict selection to fixtures of any particular manufacturer. Fixtures of similar designs and equivalent energy efficiency, light distribution and brightness characteristics, and of equal finish and quality will be acceptable if approved.

3.16.2.1 Accessories

Accessories such as straps, mounting plates, nipples, or brackets shall be provided for proper installation.

3.16.2.2 Ceiling Fixtures

Ceiling fixtures shall be coordinated with and suitable for installation in, on or from the ceiling as shown. Installation and support of fixtures shall be in accordance with NFPA 70 and manufacturer's recommendations.

3.16.2.3 Not Used

3.16.2.4 Suspended Fixtures

Suspended fixtures shall be provided with swivel hangers or hand-straightens so that they hang plumb. Pendants, rods, or chains 4 feet or longer excluding fixture shall be braced to prevent swaying using three cables at 120 degrees of separation. Suspended fixtures in continuous rows shall have internal wireway systems for end to end wiring and shall be properly aligned to provide a straight and continuous row without bends, gaps, light leaks or filler pieces. Aligning splines shall be used on extruded aluminum fixtures to assure hairline joints. Steel fixtures shall be supported to prevent "oil-canning" effects. Fixture finishes shall be free of scratches, nicks, dents, and warps, and shall match the color and gloss specified. Pendants shall be finished to match fixtures. Aircraft cable shall be stainless steel. Maximum distance between suspension points shall be 10 feet or as recommended by the manufacturer, whichever is less.

3.16.3 Ballasts

Remote type ballasts or transformers, where indicated, shall be mounted in a well ventilated, easily accessible location, within the maximum operating distance from the lamp as designated by the manufacturer.

3.16.4 Emergency Light Sets

Emergency light sets shall conform to UL 924 with the number of heads as indicated. Sets shall be permanently connected to the wiring system by conductors installed in short lengths of flexible conduit.

3.17 NOT USED

3.18 EQUIPMENT CONNECTIONS

Wiring not furnished and installed under other sections of the specifications for the connection of electrical equipment as indicated on the drawings shall be furnished and installed under this section of the specifications. Connections shall comply with the applicable requirements of paragraph WIRING METHODS. Flexible conduits 6 feet or less in length shall be provided to all electrical equipment subject to periodic removal, vibration, or movement and for all motors. All motors shall be provided with separate grounding conductors. Liquid-tight conduits shall be used in damp or wet locations.

3.18.1 Motors and Motor Control

Motors, motor controls, and motor control centers shall be installed in accordance with NFPA 70, the manufacturer's recommendations, and as indicated. Wiring shall be extended to motors, motor controls, and motor control centers and terminated.

3.19 NOT USED

3.20 PAINTING AND FINISHING

Field-applied paint on exposed surfaces shall be provided under Section 09900 PAINTING, GENERAL.

3.21 REPAIR OF EXISTING WORK

The work shall be carefully laid out in advance, and where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceiling, or other surfaces is necessary for the proper installation, support, or anchorage of the conduit, raceways, or other electrical work, this work shall be carefully done, and any damage to building, piping, or equipment shall be repaired by skilled mechanics of the trades involved at no additional cost to the Government.

3.22 FIELD TESTING

Field testing shall be performed in the presence of the Contracting Officer. The Contractor shall notify the Contracting Officer 20 days prior to conducting tests. The Contractor shall furnish all materials, labor, and equipment necessary to conduct field tests. The Contractor shall perform all tests and inspection recommended by the manufacturer unless specifically waived by the Contracting Officer. The Contractor shall maintain a written record of all tests which includes date, test performed, personnel involved, devices tested, serial number and name of test equipment, and test results. All field test reports will be signed and dated by the Contractor.

3.22.1 Safety

The Contractor shall provide and use safety devices such as rubber gloves, protective barriers, and danger signs to protect and warn personnel in the test vicinity. The Contractor shall replace any devices or equipment which are damaged due to improper test procedures or handling.

3.22.2 Ground-Resistance Tests

The resistance of each grounding electrode or each grounding electrode system shall be measured using the fall-of-potential method defined in IEEE Std 81.

Soil resistivity in the area of the grid shall be measured concurrently with the grid measurements. Ground resistance measurements shall be made before the electrical distribution system is energized and shall be made in normally dry conditions not less than 48 hours after the last rainfall. Resistance measurements of separate grounding electrode systems shall be made before the systems are bonded together below grade. The combined resistance of separate systems may be used to meet the required resistance, but the specified number of electrodes must still be provided.

- a. Single rod electrode - 25 ohms.

3.22.3 Not Used

3.22.4 Cable Tests

The Contractor shall be responsible for identifying all equipment and devices that could be damaged by application of the test voltage and

ensuring that they have been properly disconnected prior to performing insulation resistance testing. An insulation resistance test shall be performed on all low and medium voltage cables after the cables are installed in their final configuration and prior to energization. The test voltage shall be 500 volts DC applied for one minute between each conductor and ground and between all possible combinations of conductors. The minimum value of resistance shall be:

R in megohms = (rated voltage in kV + 1) x 1000/(length of cable in feet)

Each cable failing this test shall be repaired or replaced. The repaired cable system shall then be retested until failures have been eliminated.

3.22.4.1 Not Used

3.22.4.2 Low Voltage Cable Tests

- a. Continuity test.
- b. Insulation resistance test.

3.22.5 Not Used

3.22.6 Motor Tests

- a. Phase rotation test to ensure proper directions.
- b. Operation and sequence of reduced variable frequency drives/constant frequency drives and voltage starters.

3.23 OPERATING TESTS

After the installation is completed, and at such time as the Contracting Officer may direct, the Contractor shall conduct operating tests for approval. The equipment shall be demonstrated to operate in accordance with the specified requirements. An operating test report shall be submitted in accordance with paragraph FIELD TEST REPORTS.

3.24 FIELD SERVICE

3.24.1 Onsite Training

The Contractor shall conduct a training course for the operating staff as designated by the Contracting Officer. The training period shall consist of (2) training days for 8 hours per day of normal working time and shall start after the system is functionally completed but prior to final acceptance tests. The course instruction shall cover pertinent points involved in operating, starting, stopping, servicing the equipment, as well as all major elements of the operation and maintenance manuals. Additionally, the course instructions shall demonstrate all routine maintenance operations. A VHS format video tape of the entire training shall be submitted.

3.24.2 Installation Engineer

After delivery of the equipment, the Contractor shall furnish one or more field engineers, regularly employed by the equipment manufacturer to supervise the installation of equipment, assist in the performance of the onsite tests, oversee initial operations, and instruct personnel as to the

operational and maintenance features of the equipment.

3.25 ACCEPTANCE

Final acceptance of the facility will not be given until the Contractor has successfully completed all tests and after all defects in installation, material or operation have been corrected.

-- End of Section --